

1 **Title: Corneal Sensitivity after Ocular Surgery**

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18

1 **Abstract**

2 The cornea is densely innervated with free nerve endings to provide a high level of sensitivity
3 to foreign bodies or noxious substances. They also provide trophic support to the tissues of the
4 cornea and facilitate their repair and replacement. Any reduction in the function of the nerve
5 endings through disease, contact lens wear or surgery may lead to corneal disease, damage or
6 reduced healing. Assessment of the corneal nerve function can be made by the use of
7 specialised instruments (aesthesiometers) that stimulate the corneal nerves using different
8 modalities – mechanical, chemical, thermal. Each modality assesses the function of a different
9 cohort of corneal nerve type. Ocular surgery, particularly corneal surgery, can produce
10 significant damage to the corneal innervation. However, for the majority of surgical
11 procedures, corneal sensation eventually returns to pre-operative levels given enough time.
12 The principal exceptions to this are penetrating keratoplasty, epikeratophakia and cryo-
13 keratomileusis, where sensation rarely returns to normal. For all types of surgery, the pattern
14 of corneal sensation loss and recovery depends on the type, depth and extent of incision since
15 these influence the number of nerve fibres severed, and on the healing response of the patient.

16

17

18 **Introduction**

19 The human cornea is a densely-innervated tissue that provides a high level of sensitivity for
20 ocular protection through the detection of foreign objects or noxious substances. Corneal
21 innervation also plays an important role in the trophic maintenance and repair of the cornea.
22 Any alterations to normal innervation of the cornea will not only lessen the ability to detect
23 objects or substances that could damage the eye, but also reduce its wound-healing ability.

24 Reduction in corneal sensitivity may occur from the desensitisation of nerve fibres, such as
25 during contact lens wear, or from nerve damage during the progression of specific corneal and
26 ocular diseases. The treatment can potentially cause further compromise of the existing corneal
27 innervation. In most cases, however, there are complex processes of re-innervation during the
28 recovery stage following treatment, which may be partial or complete, but which commonly
29 occurs over a prolonged period.

30 Recent times have seen a proliferation in the variety of procedures for the treatment of ocular
31 disease, conditions and refractive error. Current surgical techniques, however, still impact on
32 corneal sensitivity, having different effects on corneal sensation which depend upon the
33 location, size, depth, and orientation of surgical incisions to the ocular surface.

34 **Corneal Innervation and Measurement of Sensitivity**

35 The cornea is a highly specialised tissue that performs four roles in the eye: 1) transparency,
36 allowing light to enter the eye; 2) refraction, focussing the light entering the eye; 3) containment
37 of intraocular structures; and 4) protection of the eye against trauma. The protection of this
38 essential organ is achieved in a gross manner by the eyebrows, eyelids and eyelashes, and
39 avoidance responses to objects seen to approach the eye. Also of importance is the extremely
40 sensitive network of fine nerve endings within the corneal epithelium. These nerve endings
41 detect any potential noxious agent present on the corneal surface, stimulating lid closure and
42 tear production.

43 The quality of the epithelial nerve sensitivity can be assessed by measuring how well the nerves
44 respond to various stimuli, such as mechanical probing, in the form of a nylon thread,¹² a
45 thermally-cooling air-pulse,³ or to mechanical, thermal (warming) or chemical stimuli
46 presented pneumatically.^{4,5} The ability to assess corneal sensitivity has allowed the
47 investigation of various different physiological, pathological and surgical factors on corneal
48 nerve function.^{6,9}

49 During surgery, damage to nerve function can be minimised by using short, shallow, linear
50 incisions that avoid cutting across the radially oriented corneal nerves. Shorter incisions reduce
51 both the damage caused and the extent of healing required in the cornea post-operatively.
52 However, most incisions are circumferential, which can sever many nerve fibre bundles.
53 Excimer laser refractive surgery (PRK, LASIK, LASEK) affects the cornea and its sensory
54 nerve network in a very different way. The reshaping of the anterior corneal surface involves
55 the removal of a large volume of corneal tissue, over a wide surface area.^{10,11} As a consequence,
56 the epithelial sensory nerves are significantly affected within the treatment zone.

57 *Innervation of the Cornea*

58 The corneal epithelium has the highest nerve density of free nerve endings of any tissue in the
59 body: 300-600 times that of the skin, and 20-40 times that of dental pulp.¹² This extensive
60 network of fine nerve endings produces an exquisitely sensitive response to any mechanical,
61 thermal or chemical stimulus.¹² The nerves also play a role in the maintenance and health of
62 the corneal epithelium. A reduction in corneal nerve supply will result in impaired wound
63 healing, decreased epithelial metabolism and reduced epithelial cell adhesion (e.g.
64 neurotrophic keratopathy).^{13,14} In addition, impairment of the corneal nerves disrupts the
65 feedback loop for basal tear production, leading to diminished lacrimal secretion and blink
66 reflex, with subsequent drying of the ocular surface.¹⁵⁻¹⁸

67 *Derivation of the Corneal Nerve Supply*

68 The corneal nerves are derived from the nasociliary nerve, which is a branch of the ophthalmic
69 nerve, derived from the first division of the Vth cranial nerve (Trigeminal). The nerves
70 supplying the cornea pass along the long ciliary nerve, which is a branch of the nasociliary
71 nerve. They penetrate the posterior sclera, then pass between the sclera and choroid, coursing
72 anteriorly to provide the sensory supply for the cornea, iris, ciliary body, trabecular meshwork
73 and sclera.

74 Upon reaching the corneal limbus, the nerves produce an annular limbal plexus then join one
75 of two nerve systems. Approximately 50-90 deeper nerve trunks enter the mid-stroma radially,
76 at an average depth of $293 \pm 106\mu\text{m}$, from various sites around the corneal circumference.
77 More superficial nerves enter the posterior epithelium as finer nerves that contribute to the
78 peripheral subbasal nerve plexus.¹⁹ Each mid-stromal trunk contains 900 to 1200 myelinated
79 and unmyelinated axons of diameter $0.5-5\mu\text{m}$, which travel centripetally, mainly in the
80 superficial $150\mu\text{m}$ of the anterior stroma.²⁰ The posterior stroma contains only small-to-
81 medium diameter nerve bundles and scattered individual axons.²¹ However, this lower nerve
82 density is still sufficient to provide axons from which new nerves can re-innervate the
83 remaining cornea after anterior stromal surgery.

84 The myelinated nerves lose their myelin sheath soon after entering the stroma.²² As these axons
85 pass towards the epithelium, they ramify and divide to form a poorly characterised sub-
86 epithelial plexus in the superficial stroma.^{12,19,23} Anteriorly-directed nerves emerge from the sub-
87 epithelial plexus, at an average of 204 ± 58 sites, to enter the basal epithelial cell layer.^{19,24} As
88 they do so, the nerve bundles lose their remaining Schwann cell coverings. These nerves then
89 combine in bundles with peripheral nerves from the limbal plexus, which enter the basal
90 epithelium from the limbus, to form the sub-basal nerve plexus.²⁵ The sub-basal nerve plexus
91 lies between the epithelial basal cells and Bowman's layer, and typically runs parallel to the

92 corneal surface in a whorl-like pattern.²⁶ In some cases, the path of the nerve fibres deforms
93 the lateral or basal borders of the basal cells, such that the fibres appear to be fully enclosed.
94 Each nerve fibre contains from 1-40 axons.^{24,25} The fibres are of four different types – mechano-
95 sensory, polymodal, mechano-heat and ‘cold’ neurones - and are arranged within the corneal
96 epithelium according to their type.^{27,28,29} For the measurement of corneal sensitivity, there are
97 two principal nerve types that mediate the corneal nerve response: A δ fibres that remain in the
98 sub-basal nerve plexus, and C fibres that turn upwards from this plexus towards the surface.³⁰
99 A δ fibres are large diameter (8–10 μ m), straight nerves that respond primarily to mechanical
100 stimuli, while C fibres are small diameter (5–8 μ m), beaded nerves that respond to thermal and
101 chemical stimuli (Figure 1).^{5,27,31,32,33}

102 Within the epithelium the anteriorly-oriented fibres in the basal epithelial layer pass through
103 the wing-cell layer towards the superficial cells, where they end in fine, unspecialised, nerve
104 endings. These free terminals are usually swollen and can be found throughout the depth of
105 the epithelium. Some of the nerve endings in the superficial layer can extend up to the last
106 desmosomal junction between two superficial cells and are separated from the external
107 environment only by this junction.^{12,23,34}

108 *Corneal Nerve Repair Mechanisms*

109 The repair of the corneal nerve supply generally occurs in two phases, although the pattern and
110 timing of the process varies with the type and extent of initial tissue damage.^{35,36,37} The first phase
111 involves nerves from the undamaged epithelium surrounding the wound, and the second phase
112 originates in the undamaged stroma deep to the wound. During each phase, re-innervation
113 occurs by an initial degeneration of the original fibres within or close to the wound, followed
114 by the regeneration of new terminals and axons into the healing tissue.⁷

115 With a purely epithelial wound, degeneration of damaged nerves occurs rapidly, and any nerves
116 within the wound area will have degenerated by 24 hours after the trauma. By 48 hours, further

117 degeneration of the nerves in the undamaged stromal plexus occurs, up to approximately
118 0.5mm from the wound margin.^{35,38} Simultaneously, at around 16 hours, the first collateral nerve
119 sprouts start growing from the intra-epithelial axons in the undamaged cornea adjacent to the
120 wound. By 24 hours, a dense hyperplasia of these neurites can be seen. The nerve sprouts
121 completely surround the wound and orientate themselves perpendicularly to the edge.
122 Terminals from these sprouts then grow horizontally to enter the basal cell layer of the newly
123 repaired epithelium within the wound area. The purpose of these temporary neurites is not
124 known, but they may result from the increased release of epithelial neurotrophic factor.^{36,39}
125 The second phase of the re-innervation process begins around 7 days after the injury and can
126 extend for 14-21 days depending on the extent of the original injury. New nerve growth
127 develops in the stromal plexus of the surrounding undamaged cornea. New terminals grow
128 obliquely into the newly-formed, reorganised epithelium from the damaged stumps of the
129 original axons that had innervated the area. At the same time as these new nerve endings are
130 developing, the nerve sprouts formed in the first phase begin to degenerate and have
131 disappeared entirely by 3 weeks. The second phase re-innervation re-establishes a normal
132 pattern of corneal epithelial innervation within about 4 weeks, although tactile sensitivity will
133 still be below normal levels for some time.^{36,40}
134 Peri-limbal and deeper stromal wounds that damage the main ciliary nerve bundles at the
135 limbus (e.g. cataract surgery) or portions of the stromal nerve supply (e.g. penetrating
136 keratoplasty) will inevitably produce longer lasting damage to the epithelial nerve supply. All
137 those nerves distal to the incision will degenerate producing an immediate loss of corneal
138 sensitivity. Collateral growth of new nerve sprouts from undamaged axons occurs, but is much
139 reduced and nearly all of the new nerve growth develops from the stromal nerve stumps that
140 pass through the wound scar. The re-modelling takes at least 60 days to occur, and the resulting
141 innervation density will be lower than normal. The whole nerve supply architecture will remain

142 distorted even after 30 months.^{35,40} The recovery of sensitivity will be slow, and may never
143 return to previous levels, depending on the type and extent of the damage.⁴¹

144 *Measurement of Corneal Sensitivity*

145 The Cochet-Bonnet Aesthesiometer (CBA) is the most common method for assessing corneal
146 sensitivity.² Introduced in 1960, the instrument uses a thin nylon thread (diameter 0.12mm) to
147 apply a direct mechanical stimulus to the corneal nerves. The technique relies on the resistance
148 of the thread to bending. As the thread is gently pressed against the corneal surface, the force
149 required to bend the thread is transferred to the cornea. A variation in the intensity of this
150 stimulus is achieved by varying the length of the nylon thread, which in turn alters the force
151 that must be applied to produce a bend in the thread – the shorter the thread, the greater the
152 force required.

153 Although this instrument has become the standard method for assessing corneal sensitivity,
154 there are major deficiencies in its design and this has led to the development of newer
155 instruments.^{42,43,44} The Draeger Electronic-Optic Aesthesiometer used a fine metal wire attached
156 to a solenoid motor to apply varying stimulus intensities to the cornea.⁴⁵ It produced a stimulus
157 similar to the CBA, but was less affected by ambient, environmental influences. The Belmonte
158 Aesthesiometer uses pressurised air mixes, released at the cornea through an air-jet, to stimulate
159 the corneal nerves.⁴⁶ In a series of experiments, this instrument has been used to show that the
160 corneal nerve fibres respond to different stimulus modalities.^{32,47,48} The Non-Contact Corneal
161 Aesthesiometer (NCCA) uses a controlled air-pulse, of pre-determined pressure and duration,
162 aimed at the anterior ocular surface to produce a localised cooling of the tear-film.^{3,49} This
163 cooling is transferred to the corneal epithelium where it is detected by the nerves. Mechanical
164 techniques, such as the Cochet-Bonnet and Draeger Aesthesiometers, stimulate the A δ fibres,
165 whereas the NCCA, which produces cooling, predominantly stimulates the C fibres of the
166 corneal innervation.

167 Corneal sensitivity can be assessed at various locations on the corneal surface, depending on
168 the area of interest. For example, in LASIK a comparison is often made between the centre of
169 the flap and the paracentral area of the flap adjacent to the hinge. However, for the majority of
170 assessments, only the central cornea is measured.

171 **Effects of Ocular Surgery on Corneal Sensation**

172 All types of corneal surgery inevitably alter corneal sensitivity, since the corneal nerve supply
173 will be damaged. The pattern of loss and recovery produced will depend on the type (linear
174 incision, laser excision, thermal laser), depth, location and extent of wound made, since these
175 influence the number of nerve fibres damaged or severed, and on the healing response of the
176 patient. Other types of ocular surgery, such as retinal detachment repair or squint surgery, can
177 also affect the corneal nerve supply.^{6,9}

178 *Cataract Surgery*

179 In cataract surgery the cloudy lens is removed and a new intraocular lens is inserted through
180 an incision at, or just anterior or posterior to the limbus. As the technique has evolved it has
181 been possible for the length of the incision to be reduced.

182 *Large Incision Cataract Surgery*

183 In large-incision extracapsular cataract surgery the nucleus is removed intact, requiring a full-
184 thickness incision 12-13mm long to be made circumferential to the limbus. Corneal sensitivity
185 is severely reduced within the sector of the cornea central to the arc of incision.^{50,51,52,53} The
186 incision cuts through both the limbal nerve plexus and the large centripetal nerve fibres. As a
187 result, the corneal epithelium and stroma supplied by these nerves becomes denervated. After
188 such a major insult, the recovery of sensation is slow. Little improvement occurs by 1 year
189 post-operatively, and even at 2 years, sensitivity is below normal in the majority of cases.^{54,55,56,57,58}

190 *Manual Small Incision Cataract Surgery*

191 Manual small incision cataract surgery is a technique mainly used in the developing world. It
192 also delivers the nucleus whole, as in extracapsular surgery, but through a smaller, more
193 posterior incision in the sclera. Scleral incisions generally produce less effect on the corneal
194 sensation than corneal incisions.⁵⁹ A straight or curved incision, 6-8mm long, is made 3-4mm
195 behind the limbus, and a tunnel is fashioned to enter the anterior chamber at the level of
196 Schwalbe's line. No significant reduction in corneal sensitivity occurs in the central or 4 mid-
197 peripheral quadrants in the first 2 weeks postoperatively.⁶⁰

198 Rarely, patients undergoing cataract extraction cannot be given an intraocular lens, in which
199 case they may be fitted with a contact lens. Both rigid gas permeable lenses and soft lenses
200 reduce corneal sensation,⁶¹ so it is important to monitor corneal health in these patients as for
201 any other contact lens wearer.

202 With the advent of phacoemulsification and foldable intraocular lenses, cataract surgery can be
203 performed through a small 2-3mm tunnel incision. This still reduces corneal sensitivity, but
204 over a much smaller area and is possibly followed by a quicker recovery.⁶² This pattern is also
205 evident in patients who undergo a surgical iridectomy, or a trabeculectomy which is performed
206 in the anterior sclera. The smaller incision arc causes less nerve damage, and the sensitivity
207 loss is generally limited to corneal locations at the central and peripheral cornea adjacent to the
208 incision site.⁶³ Recovery to pre-operative normal levels usually occurs between 3 to 9
209 months.^{56,64,65} This is delayed by the presence of dry eye disease prior to surgery⁶⁶ and accelerated
210 with the topical applications of cyclosporine-A post-operatively to treat dry eye.⁶⁷ Recovery
211 does not appear to be influenced by the mechanism of phacoemulsification occurring at the tip,
212 as shown in a recent study that compared torsional technology to conventional longitudinal
213 movement of the tip.⁶⁸

214 *Small Corneal Tunnel Incision for Phacoemulsification Surgery*

215 Small incision cataract surgery is sometimes combined with limbal relaxing incisions (LRIs)
216 to address pre-existing corneal astigmatism. These arcuate incisions at 90% depth can also
217 produce a sector of reduced corneal sensation, in a similar way to more central arcuate
218 keratomies (see below).

219 *Corneal Transplantation*

220 The reduction in sensitivity following corneal transplantation depends upon the depth and
221 thickness of the tissue removed and replaced. There is a spectrum of procedures ranging from
222 full thickness penetrating keratoplasty (PK), through lamellar procedures in which the anterior
223 or posterior layers are replaced, to overlays (tectonic grafts or epikeratophakia) with minimal
224 removal of tissue. In all these procedures, the donor cornea inevitably has no innervation
225 immediately after surgery.

226 *Penetrating and Deep Anterior Lamellar Keratoplasty*

227 In PK, removal of the central corneal button from the host will damage the remnant nerves in
228 the adjacent host corneal tissue. Although the host corneal epithelium and the sub-epithelial
229 nerve plexus quickly recover, re-innervation of the stroma takes longer.^{69,70} In nerve regeneration
230 following simple corneal incisions, new nerves grow towards the central cornea along the
231 channels that the degenerated nerves had used. This speeds the regenerative process, and
232 ensures that the new nerve ends don't have to burrow through the densely-packed, stromal
233 collagen lamellae to establish a new path. However when the tissue is replaced, as in
234 transplantation, the nerves that are present in the peripheral host cornea do not align with the
235 channels in the graft. Re-innervation is severely restricted and any recovery of sensation occurs
236 slowly, being initiated at the periphery, with a gradual progression towards the centre of the
237 graft.^{70,71,72,73,74,75} This pattern emphasises the importance of a fully-functional stromal nerve supply,
238 in addition to the sub-epithelial plexus, in the recovery of a normal corneal sensitivity.

239 Although some re-innervation of the corneal epithelium over the graft must occur from the un-
240 damaged peripheral corneal epithelium, this is insufficient to provide a full level of sensation.
241 The earliest that central corneal sensitivity is detectable within the graft is 18 months post-
242 operatively. In a study by Macalister et al,⁷⁶ 66% of subjects had no central sensitivity and only
243 9% had normal sensitivity at 4 years post-operatively. By 7 years, 39% were still without any
244 measurable sensitivity. Rao et al.⁷² found that the graft can remain completely anaesthetic, or
245 hypoaesthetic, even 32 years after transplantation.

246 Two studies have shown that there is no difference in the rate of recovery of sensation between
247 PK and deep anterior lamellar keratoplasty (replacement of 90-96% of corneal thickness). In
248 both procedures, Lin et al⁷⁷ found that sensitivity was reduced 12 months post-operatively, and
249 Ceccuzzi et al⁷⁸ reported a 91% recovery to pre-surgery levels after 2 years post-operatively.
250 Darwish et al,⁷⁹ however, measured sensitivity changes using the NCCA (which stimulates C
251 fibres rather than A δ fibres) and found levels not significantly different to pre-surgery baseline
252 at 12 months. This suggests that recovery rates differ between the different nerve fiber types.
253 Al-Aqaba et al⁸⁰ performed a histochemical analysis on 12 failed full-thickness corneal grafts
254 of mean survival duration of 6.4 years. The study found evidence of abnormal architecture and
255 orientation of corneal nerves that persisted 14 years after surgery. It also showed that
256 regenerated stromal nerves remained in the stroma, and did not contribute to epithelial
257 innervation. Long-term alterations in corneal nerve morphology were confirmed in studies
258 using *in vivo* confocal microscopy.^{81,82} One study found that the sub-basal nerve density was
259 still reduced 40 years post-surgery.⁸¹ It seems that patients can expect some neural recovery,
260 but that the majority will be left with a sub-normal level of sensitivity.^{83,76}

261 *Posterior lamellar keratoplasty*

262 A posterior lamellar or endothelial keratoplasty is used in conditions where there is purely an
263 insufficiency of the endothelium, such as Fuchs corneal dystrophy. The host Descemet's

264 membrane and endothelium are replaced by those from a donor, thereby retaining the neural
265 structure of the anterior host cornea following surgery. Only two studies have investigated the
266 effect of this procedure on corneal sensitivity. Kumar et al.⁸⁴ demonstrated relative preservation
267 of corneal sensitivity after Descemet stripping automated endothelial keratoplasty (DSAEK)
268 technique. However, Ahuja et al.⁸⁵ found sensitivity was reduced compared to pre-operative
269 levels using a similar surgical technique (Descemet stripping endothelial keratoplasty, DSEK).
270 The same study found that, although sensitivity recovered to pre-surgery levels within 3 years,
271 it did not improve to levels similar to normal corneas.⁸⁵ This suggests that nerve loss in the host
272 periphery prior to surgery due to persistent oedema or scarring was long-lasting.

273 Patients awaiting corneal transplant and suffering from symptomatic bullous keratopathy may
274 have recurrent corneal erosions treated with anterior stromal puncture. This promotes new
275 adhesion complexes between the epithelium and underlying stroma from the secretion of
276 extracellular matrix proteins.⁸⁶ In these cases, there is relief of symptoms, particularly pain,
277 presumably from fewer bullae ruptures. Interestingly, corneal sensitivity improves following
278 the procedure,⁸⁷ suggesting recovery in nerve morphology with the improved corneal surface.

279 *Arcuate Keratotomy*

280 An arcuate keratotomy incision is a short (3-7mm) circumferential corneal incision addressing
281 astigmatism, for example following corneal transplantation. It is made at a diameter of 6-7mm
282 and to only 90-95% depth. There is a sectoral loss and recovery of sensitivity confined to the
283 portion of the cornea central to the incisions, as in cataract surgery. Shivitz and Arrowsmith⁸⁸
284 found that, with an incision of less than 80% corneal thickness, 72.8% of patients had a normal
285 sensitivity after 1 year, whereas with 90% corneal thickness incisions, no recovery of sensation
286 was measured after the same time period. Increasing the number of incisions also slows the
287 recovery. However, in general, corneal sensitivity returns to normal levels by 1 year post-
288 operatively.^{38,88,83,89} Studies involving animals have shown the faster recovery of experimentally

289 damaged nerves with the topical application of therapeutic agents, such as semaphoring 3A
290 inhibitor,⁹⁰ pituitary adenylate cyclase-activating polypeptide,⁹¹ macrophage migration
291 inhibitory factor,⁹² nerve growth factor,⁹³ drug FK962,⁹⁴ and pigment epithelial-derived factor
292 plus docosahexaenoic acid.⁹⁵

293 *Tectonic Overlay Grafts and Epikeratophakia*

294 A tectonic corneal graft is performed when a patch of donor tissue is transplanted onto the
295 surface of a host cornea which has an actual or threatened perforation. This typically occurs
296 as a result of severe corneal inflammation or previous infection, and the aim is to restore the
297 integrity of the globe. There are no studies of corneal sensitivity after such procedures, but we
298 can extrapolate from studies on epikeratophakia and cryo-keratomileusis. Epikeratophakia is a
299 refractive surgery technique that involves the grafting of a lenticule of donor tissue to the
300 anterior surface of the cornea, but is rarely performed these days. Cryo-keratomileusis is
301 similar, but involves the removal, freezing, re-shaping and re-attachment of a portion of the
302 host cornea. Following epikeratophakia, the new anterior surface has no nerve supply and a
303 new innervation must develop in much the same way as that after penetrating keratoplasty. As
304 a result, the pattern of sensitivity recovery measured is much the same.^{96,97,98,99} With cryo-
305 keratomileusis, the re-shaped corneal button has undergone freezing in addition to removal
306 from the donor, both of which will have destroyed the corneal nerves. Re-innervation of the
307 corneal button and sensation recovery will also be limited, in a similar manner to
308 keratoplasty.^{99,100,101,102,103} For example, at 5 years post-epikeratoplasty, only minimal corneal
309 sensitivity was measured in the central zone, but by 10 years post-operatively it had
310 significantly returned. However, only 17.7% of eyes at 10 years had a normal central corneal
311 sensitivity.¹⁰⁴ Epikeratoplasty may also include a centrally-placed keratectomy, but no
312 difference in loss and recovery has been found between those patients with a keratectomy and
313 those without.

314 *Radial Keratotomy*

315 In radial keratotomy, typically 4-8 radial incisions are made in the cornea to flatten its centre
316 to treat myopia. The degree of flattening depends on the type, depth and number of incisions
317 made, and these factors also define the extent of sensitivity loss.^{38,41,105} The normal radial incisions
318 are parallel to the axis of the radiating stromal nerve fibres and so produce minimal damage.

319 *Intra Corneal Ring Segments*

320 This surgical technique offers a reversible method for the correction of low myopic refractive
321 errors, but is now more commonly used to stabilise the corneal profile in cases of progressive
322 keratoconus. Small PMMA rings are inserted into a channel at two-thirds depth in the mid-
323 peripheral stroma to produce an alteration to the shape of the anterior corneal surface. The
324 epithelial nerves are not affected, and the stromal nerves are untouched superficial and deep to
325 the ring segments. A small 2-3mm radial incision in the cornea allows insertion, but this
326 produces no long-term reduction in corneal sensation, and sensitivity returns to pre-operative
327 levels after 1 year.¹⁰⁶

328 *Excimer Laser Surface Procedures*

329 The excimer laser can remove tissue from a large area of the superficial cornea with extreme
330 precision, and with minimal damage to adjacent tissue. It therefore affects the corneal nerve
331 supply in a very different way to a scalpel incision.^{11,107,108,109} It has a role in refractive surgery, and
332 is used to treat certain corneal surface diseases.

333 *Photo-refractive Keratectomy*

334 Photo-refractive keratectomy (PRK) uses excimer laser technology to directly alter the corneal
335 refractive power over a large surface area. Myopic PRK procedures remove central corneal
336 tissue over a typical treatment zone of 8-8.5mm diameter to produce a saucer-shaped excision
337 that is deeper centrally than peripherally. Hyperopic PRK has a wider 'ring-donut' shaped
338 treatment zone approximately 9mm in diameter. The majority of tissue is removed in the mid-

339 peripheral zone, with peripheral blending. In both procedures, the corneal epithelium is
340 manually debrided in the treatment zone, and this removes all of the sensitive epithelial nerve
341 supply. The excimer laser then ablates the exposed stroma to a depth (10-150 μ m) dependent
342 on the dioptric correction required and the diameter of the ablation zone.¹¹⁰ This procedure
343 removes a significant proportion of the anterior stromal nerve supply. As a result, when the
344 corneal epithelium has grown back over the exposed stroma, any re-innervation that takes place
345 can only do so from the peripheral un-touched epithelial supply and the remnant stromal supply
346 deep to the excision.

347 The majority of research into the pattern of corneal sensitivity loss and recovery after photo-
348 refractive keratectomy has been performed using the CBA or another similar mechanical
349 stimulus, and have therefore considered the surgical effect on the A δ fibres. There have only
350 been a limited number of studies that have assessed the effect on the C fibres using a thermally-
351 cooling stimulus. The vast majority of studies have also only considered myopic PRK rather
352 than hyperopic PRK.

353 *Myopic PRK*

354 The majority of studies on myopic PRK used mechanical stimuli and found a short-term
355 reduction in sensitivity.^{83,111,112,113,114,115} Sensitivity returned to pre-operative levels by 6 months or
356 even earlier, unless the ablation depth was very deep (approximately 100 μ m, or corrections
357 greater than -6.00D). For the majority of refractive errors corrected, where the ablation depth
358 was less than 100 μ m, there was no relationship between the pattern of corneal sensation loss
359 and recovery and ablation depth. In contrast, a study that assessed corneal sensitivity to a
360 thermally-cooling stimulus, found that sensitivity did not recover until 1 year after surgery.¹¹⁶
361 This difference may be related to the different neural architecture of the two nerve types that
362 mediate the two stimuli, and how this architecture is changed after PRK. An early histological
363 study in rabbit eyes¹¹⁷ and *in vivo* confocal microscopy studies on human eyes¹¹⁸⁻¹²⁰ have shown

364 the recovery of corneal innervation after PRK to be disorganised. The crude lattice of nerves
365 that re-innervates the corneal epithelium may provide a network more readily able to detect the
366 mechanical surface deformation stimulus of the CBA. In contrast, the cooling stimulus of the
367 NCCA may require a more complete re-organisation of the corneal nerves, with a network of
368 fine C fibre nerve endings arranged close to the epithelial surface. By necessity this takes
369 longer, and so recovery of the C fibre sensation would take longer too.

370 Hyper-sensitivity after PRK surgery has been reported in rabbits, which persisted for up to 10
371 weeks after surgery.¹²¹ No similar findings have been reported for studies on humans. However,
372 hyper-sensitivity of regenerating corneal nerve C fibres has been reported,¹²² and a study by
373 Gallar et al¹²³ found hyper-sensitivity following LASIK. This latter finding has been attributed
374 to the greater stimulus resolution possible with the Belmonte aesthesiometer. It is therefore
375 possible, that there could be some short-term (1-2 weeks) hyper-sensitivity following PRK if
376 measurements were made using a sufficiently sensitive device.

377 *Hyperopic PRK*

378 Only one published paper has considered the effect of low-powered hyperopic PRK correction
379 on corneal sensation.¹²⁴ This study used the cooling stimulus of the NCCA to assess the loss
380 and recovery of C fibre mediated sensitivity. Although a similar result might be expected, to
381 that found with myopic PRK, sensitivity was found to not change significantly after surgery.
382 This unusual result can be explained by again considering the effect of surgery on the corneal
383 architecture. Unlike myopic PRK where a large, deep central ablation occurs, in hyperopic
384 PRK the central corneal stroma is preserved and a peripheral ring is ablated. Since the ablations
385 attempted in the study were not deep (2-4 Dioptres), the deeper stromal nerve supply to the
386 central cornea was most-likely preserved. Even with the debridement or ablation of the central
387 epithelial nerve supply, the virtually un-touched stromal nerve supply is sufficient to maintain
388 corneal sensation. However, for this to be confirmed, measurements of corneal sensation at

389 both the central cornea and at the area of cornea where maximum ablation depth occurred
390 would need to be taken. The authors also suggested that the short-term hyper-sensitivity of
391 regenerating C fibres may have masked some of the initial sensitivity loss following corneal
392 epithelium removal.

393 *Photo-therapeutic Keratectomy*

394 One other important use of excimer laser ablation is for photo-therapeutic keratectomy (PTK).
395 This procedure produces a broad excision of uniform depth, or masking fluid can be used
396 during the removal of proud irregularities. It is used to treat superficial corneal pathological
397 conditions and has a wide variety of indications including removal of band keratopathy or
398 superficial scars and improving epithelial adhesion in recurrent erosion. No attempt is made
399 to alter the patient's refraction. Removal of abnormal tissue can lead to an improvement in
400 corneal sensation after PTK, both in terms of increased sensitivity and a reduction in
401 discomfort. Creation of a smoother corneal surface may also improve tear film quality and
402 conjunctival squamous metaplasia.¹²⁵ Patients with herpetic corneal scarring commonly have
403 reduced corneal sensitivity before the procedure, and therefore unsurprisingly, corneal
404 sensitivity measurements are slightly lower at 6 months compared with other patients.

405 *Excimer Laser Flap Procedures*

406 In refractive procedures under a flap, a layer of epithelium or epithelium plus stroma is raised
407 before the excimer laser refractive correction is applied to the stromal bed, and then the flap is
408 replaced. In contrast to surface treatments, this provides the opportunity for some innervation
409 of the surface layers to remain intact.

410 *Laser in-situ Keratomileusis*

411 Laser *in-situ* keratomileusis (LASIK) is a development of PRK, in which a corneal flap is
412 produced that includes superficial stroma as well as epithelium. A micro-keratome is used to
413 cut through the superficial stroma, creating a thin (160-180 μ m thick) flap. This is then peeled

414 back, exposing the underlying stroma for ablation in a similar way to PRK. The flap is then
415 carefully replaced over the treatment zone. An alternative method for the flap creation is the
416 use of a femtosecond laser, creating a thinner (90-100 μm thick) flap. Further details discussed
417 below.

418 From the point of view of corneal innervation, the micro-keratome cuts through the epithelial
419 nerve supply in the periphery of the flap, and the deep nerve supply across the base of the flap.
420 The only exception to this is in the 45°-60° sector central to the hinge, where the epithelial
421 supply is preserved. This is in contrast to the formally-used procedures of epikeratophakia and
422 cryokeratomileusis, where the flap was totally removed without a hinge and no nerve supply
423 to the flap was retained. In LASIK, the laser ablation will remove the central portion of the
424 stromal nerve supply to an even greater depth.

425 This more complex surgical procedure has produced a mixed set of results. The majority of
426 published studies have found less reduction in sensitivity following LASIK than with
427 PRK.^{126,127,128,129,130,131,132,133} However, this reduction in sensitivity has a longer duration, up to about 6
428 months.^{114,115,129,134,135,136} Several studies found a greater initial loss of sensitivity with deeper ablations,
429 but after 6 months this difference no longer persisted.^{137,138,139} Topical application of cyclosporine¹⁴⁰
430 and protein-free calf blood extract⁴¹ have been shown to speed the recovery of corneal
431 sensitivity following LASIK.

432 This outcome following LASIK can be attributed to the greater preservation of the corneal
433 epithelial and anterior stromal innervation via the hinge of the corneal flap. The sector central
434 to the hinge has some reduction in corneal sensation, but this loss is not as severe, and recovery
435 occurs more quickly, than the centre of the flap and those portions of the flap furthest from the
436 hinge.^{128,142,143} There is some disagreement on the influence of hinge position on corneal
437 sensitivity, with studies showing either less reduction,^{142,143} no difference,^{144,145,146} or greater
438 reduction¹³⁴ in nasal or temporal-hinged eyes compared to superior-hinged eyes. In addition,

439 Donnenfeld et al¹⁴² showed greater loss with a narrower hinge, while Mian et al¹⁴⁵ noted hinge
440 angle and thickness has no effect on sensitivity loss. Nevertheless, it is likely that the prolonged
441 depression in sensitivity reflects the need of the regenerating neurones to re-populate the
442 stromal flap rather than just the new epithelium. As such, it reflects the problems of re-
443 innervation encountered with corneal transplantation. In general, corneal nerve regeneration
444 after LASIK follows a slower pattern than that found after PRK, with a greater delay in the
445 development of new nerve fibres. However, as the nerve fibres become better organised,
446 sensitivity returns to normal levels.^{150,128,147}

447 In recent years, alternative methods to conventional LASIK have developed with the advent of
448 the femtosecond laser for refractive surgery. When used in place of the micro-keratome for
449 flap creation (i.e. FS-LASIK), femtosecond laser cuts have been shown to produce better
450 uniformity and predictability of the flap thickness than conventional LASIK,¹⁴⁸ which results in
451 less damage to the corneal nerves.^{149,150} In the femtosecond lenticule extraction (FLEX)
452 technique, the femtosecond laser is used to create a lenticule within the stroma that is removed
453 with forceps after the flap is lifted. In small incision lenticule extraction (SMILE), the lenticule
454 is removed through a 3-4mm opening in the peripheral cornea, rather than a full flap. This has
455 been shown to produce less sub-basal nerve density loss, with faster recovery of corneal
456 sensitivity,¹⁵¹ when compared to FS-LASIK^{152,153,154} and FLEX-treated eyes.^{155,156,157} Less reduction in
457 corneal sensitivity and faster recovery is found when the standard 70-degree angled laser side
458 cut flap is replaced with an inverted 130-degree cut,¹⁵⁸ presumably because of improved wound
459 healing and apposition of severed nerves from a more stable flap post-surgery.¹⁵⁹

460 *Laser Sub-epithelial Keratomileusis*

461 Laser sub-epithelial keratomileusis (LASEK) was developed for patients considering refractive
462 surgery who have low myopia, thin corneas, or a pre-disposition to flap trauma. LASEK
463 combines elements of both PRK and LASIK techniques. The hinged flap that is made and

464 restored following ablation is only a thin epithelial sheet. It is separated from the cornea, using
465 either the application of an alcohol solution,¹⁶⁰ or an epikeratome.¹⁶¹ The excimer laser ablation
466 is then applied to the stromal surface in a similar way to PRK. The deeper stromal nerves are
467 thus spared during the ablation process. Disruption to nerve fibres in the sub-basal, sub-
468 epithelial and anterior stromal layers still occurs following surgery, with the reduction in
469 corneal sensitivity correlating with ablation depth.^{162,163,164} Two studies showed the initial
470 reduction in corneal sensitivity was less and recovery faster after LASEK than conventional
471 LASIK.^{165,166} Another study involving LASEK showed corneal sensitivity recovered faster when
472 the flap was created with an epikeratome compared to using alcohol solution.¹⁵⁰ Darwish et al,¹⁶⁷
473 however, found no such difference between LASIK and LASEK when sensitivity was
474 measured using the NCCA. In addition, Patel et al¹⁶⁸ found no difference in sensitivity changes
475 between flaps created with the femtosecond laser and the microkeratome using the Belmonte
476 aesthesiometer. Therefore, it appears that LASIK has a greater impact than LASEK on the
477 damage and regeneration of A δ fibres, whereas there is no difference between the two
478 techniques on recovery of C fibers.

479 Dry eye is a common complaint following all types of photorefractive surgery. Several studies
480 have speculated that post-operative reduction in corneal sensitivity disrupts the feedback loop
481 for basal tear production, leading to diminished lacrimal secretion and poor tear film, which
482 produces dry eye symptoms.^{169,170,171,172,173}

483 *Collagen Cross-Linking*

484 Cross-linking is a relatively new procedure that can delay or prevent the progression of
485 keratoconus. Debridement of the central cornea epithelium is followed by topical application
486 of riboflavin solution and irradiation of the exposed corneal stroma with Ultraviolet A
487 radiation.¹⁷⁴ This results in chemical bonding between adjacent collagen lamellae to prevent
488 slippage leading to ectasia. Many studies show a significant loss of corneal sensitivity

489 immediately after surgery, followed by a gradual recovery towards pre-operative levels over
490 the ensuing 6 to 12 months.^{175,176,177,178,179,180} Nerve morphology is affected over a similar time course.
491 One study showed that sub-basal nerve density recovers to pre-operative levels after 7 to 12
492 months. However, normal levels were still not reached by 5 years post-operatively.¹⁸¹ Less
493 reduction and more rapid recovery in corneal sensitivity is achieved when the epithelium is not
494 removed, which is the recommended procedure for patients with less than 400 µm corneal
495 thickness.¹⁸²

496 *Cyclophotocoagulation*

497 This procedure is used to selectively damage the ciliary body to decrease production of aqueous
498 humour, as a treatment for glaucoma. It is accomplished by directing the beam from a
499 neodymium:yttrium-aluminium-garnet (Nd:YAG) laser perpendicular to the sclera at a point
500 1-2mm posterior to the limbus. The beam passes through the sclera and is absorbed by melanin
501 in the pigmented tissue.

502 Pre-existing corneal conditions (e.g. long-term use of topical beta-blockers, corneal surgery
503 with large incision, some corneal dystrophies, high myopia, anterior uveitis or diabetes
504 mellitus)¹⁸³ may pre-dispose the patient to neurotrophic cornea defects. In severe conditions,
505 this can lead to corneal perforation.¹⁸⁴ However, changes in corneal innervation or sensation
506 can be reduced by good patient selection and avoidance of the 3 and 9 o'clock limbal regions.¹⁸⁵
507 Subjects in this study were pre-selected to exclude corneas with previous complications,
508 diabetes, rheumatoid arthritis, amyloidosis, or herpetic eye disease, and this may have removed
509 those 'at-risk' groups that developed neurotrophic defects observed in other studies. Not
510 exceeding the recommended laser power level of 2500mW for 2.5 secs has also been suggested
511 to prevent neurotrophic keratopathy development as a result of nerve damage.¹⁸³

512 In contrast, a study in dogs found a 27.4% overall reduction in corneal sensitivity in all areas
513 of the cornea, from pre-op levels after 2 weeks.¹⁸⁶ No measurement was made of recovery time.

514 Immuno-histochemical analysis of the nerve fibres reported a loss of the major nerve bundles,
515 suggesting that the nerves in the area of laser application are destroyed.

516 *Retinal Detachment Surgery*

517 The impact of retinal detachment surgery, which commonly involves pars plana vitrectomy, is
518 not immediately apparent. However, a significant decrease in sensitivity has been found in
519 eyes treated with an encircling band. No significant decrease was found in eyes treated with
520 localised radial or circumferential silicone or sponge explants alone. The mechanism is
521 unclear, but may be due to surgically induced inflammation of the ciliary nerves as they course
522 between the sclera and choroid, or to damage produced by compression of the nerves from the
523 scleral buckle or by surgical perforation. There may be a contribution from post-operative
524 inflammation and surface irregularity as an encircling band requires a full peritomy, whereas
525 local explants require only sectoral incisions of the conjunctiva at the limbus. It is also not
526 clear whether the effect on sensitivity is long-term, as the pattern of recovery does not correlate
527 with time post-operatively. However there may be a lot of variations in the damage to
528 extraocular tissue during similar operations. Most studies find that sensation eventually returns
529 to normal levels.^{187,188,189,190} In eyes treated with circumferential laser photocoagulation, corneal
530 sensitivity may be reduced for 6 months after surgery.¹⁹¹ Damage is thought to occur to ciliary
531 nerves in the supra-choroidal space.^{192,193} One paper has raised the possibility of whether the fine
532 nerves in the sub-basal nerve plexus could be damaged as the laser passes through the cornea,
533 but there is no pigment in the cornea to absorb the energy, and the rays are not focussed there.¹⁹¹

534 *Strabismus Surgery*

535 Ocular discomfort and dryness is a commonly-reported symptom after strabismus surgery. In
536 the first study to explore the relationship between ocular sensitivity and symptoms,¹⁹⁴ no change
537 in central corneal sensation was observed. However, conjunctival sensation was reduced after
538 surgery, which persisted during the 3 months duration of the study. This effect was suggested

539 to be due to electro-cauterisation of the circum-limbal blood vessels damaging the peri-limbal
540 nerve fibres. A later study found goblet cell density was reduced up to 2 months after surgery,
541 resulting in instability of the ocular tear film and hence another possible cause of ocular
542 irritation symptoms.¹⁹⁵ A more recent study found more dry eye symptoms and tear film
543 instability with a limbal incision technique than fornix incisions.¹⁹⁶ Central corneal sensitivity
544 was also reduced following limbal incisions, whereas it was unchanged with fornix incisions,
545 which suggests limbal incisions cause partial denervation of the cornea. Despite the detected
546 adverse effects, all studies found most ocular signs and symptoms recovered to baseline levels
547 within 2-4 months post-surgery.

548 **Conclusions**

549 Transient reductions in corneal sensitivity have been recorded after most types of corneal
550 surgery. Incisions into the ocular surface damage the complex network of superficial nerve
551 fibres and terminals, with greater reductions in sensitivity seen with a greater arc length and
552 depth into the mid-stroma, and if incisions are circumferential rather than radial. Incisions or
553 debridement of the corneal epithelium will result in temporary loss of corneal sensitivity from
554 the damage or removal of the sub-basal and sub-epithelial nerve plexi. Recovery of corneal
555 sensitivity from such procedures to pre-operative levels is generally expected within 6 months
556 for mechanical stimuli, or up to 1 year for thermal or chemical stimuli. Circumferential stromal
557 and limbal incisions, however, cut through deeper trunks of the neural supply to the corneal
558 surface. Although sensitivity loss is confined to the sector of the cornea central to the arc of
559 incision, recovery is generally slower than epithelial procedures. Procedures involving the
560 transplantation of corneal tissue show the slowest recovery due to a lack of alignment of nerve
561 channels between host and donor tissue. A sub-normal level of sensitivity is commonly
562 observed after many years post-operatively. Other types of surgery, such as for squint or retinal
563 detachment, can alter corneal sensitivity by affecting the nerves as they travel towards the

564 cornea. In such cases, the severity and recovery time are generally less in comparison to
565 procedures that involve incisions to the ocular surface.

566 To summarise, all types of ocular surgery can affect corneal innervation. However, for the
567 majority of patients, corneal sensitivity can be expected to return to normal levels with time,
568 and only when the most severe damage to the corneal innervation occurs will there be a
569 permanent reduction or absence in corneal sensitivity. Nevertheless, a quick recovery of the
570 corneal nerve function to normal levels is important in the continuing maintenance of a healthy
571 cornea, as several cases of surgically induced neurotrophic epitheliopathy have been
572 recorded,^{18,187} as well as secondary effects on the tear film and contact lens tolerance. It is
573 therefore important that clinicians are aware of this to provide appropriate management,
574 especially in the presence of pre-existing disease that might delay or influence recovery of
575 corneal sensation.

576 **Method of Literature Search**

577 A systematic search was completed using several scientific publication databases. Articles
578 were selected for inclusion that evaluated any aspect of corneal sensation change, as a result of
579 ocular surgery, and each article was critically assessed for the contribution it gave to the
580 understanding of this area. For articles published between 2000 to 2017, articles relevant to
581 corneal sensitivity, ocular surgery interventions, and measurement techniques were found
582 using the search terms outlined in the PubMed search strategy (available on request), which
583 provides the detailed search strategy in PubMed and Ovid Embase. The languages of focus
584 were: English, French, German, Polish, Japanese, Danish, and Russian, however, the database
585 searches were not limited to these, and other languages were considered. Articles prior to 2000
586 were searched through Medline, ISI Web of Science, and other databases.

587

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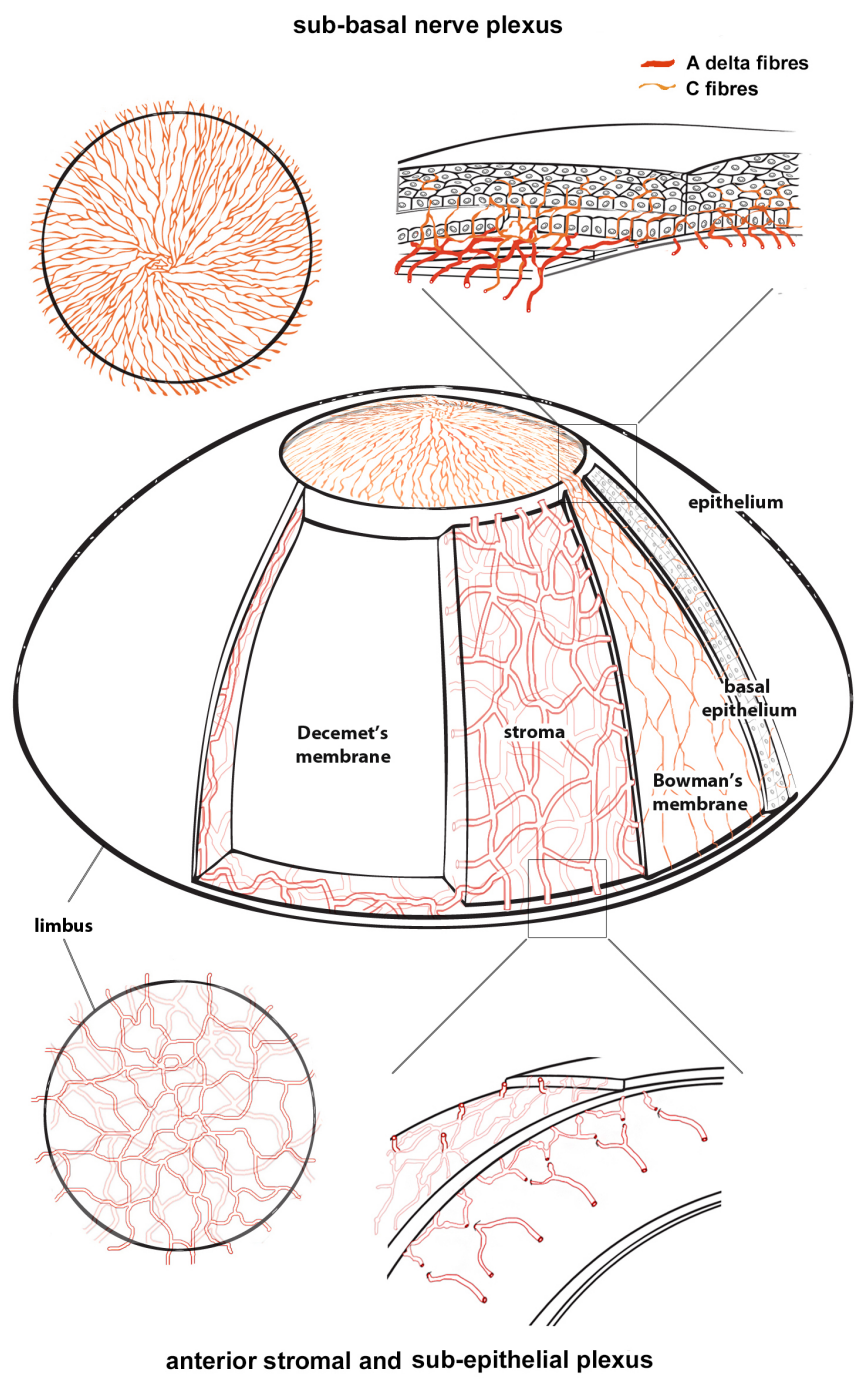
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1082 **Figure Legends**

1083

1084 Figure 1: Schematic diagram of corneal innervation showing a cross-sectional and layered
1085 views from the limbus to the central cornea and arrangement of the A delta and C fibres within
1086 the epithelium.



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