

# **Electrophysiological measures of optic nerve function**

by

Clement Afari

A thesis  
presented to the University of Waterloo  
in fulfillment of the  
thesis requirement for the degree of  
Doctor of Philosophy

in

Vision Science and Biology

Waterloo, Ontario, Canada, 2021

© Clement Afari 2021

## **Examining Committee Membership**

The following served on the Examining Committee for this thesis. The decision of the Examining Committee is by majority vote.

External Examiner

Machelle Pardue

Title: Professor

Supervisor(s)

Vivian Choh and Daphne McCulloch

Title: Associate Professor / Professor

Internal Member

Trefford Simpson

Title: Professor

Internal-external Member

Heidi Engelhardt

Title: Lecturer

Other Member(s)

Mungo Marsden

Title: Associate Professor

## **AUTHOR'S DECLARATION**

I hereby declare that I am the sole author of this thesis. This is a true copy of the thesis, including any required final revisions, as accepted by my examiners.

I understand that my thesis may be made electronically available to the public.

## Abstract

The contribution of retinal ganglion cells (RGCs) to human electroretinograms (ERGs) is known, but that of chicken (*Gallus gallus domesticus*) is not clear. This project seeks to determine the effect of RGC dysfunction on full-field flash ERGs in chickens using established protocols known to test RGC function in humans and other mammals.

Chicks were treated to produce unilateral retinal dysfunction by surgical optic nerve section (ONS group) or by intravitreal injection of tetrodotoxin (TTX group) to block ganglion cell function. Contralateral eyes received sham treatments, consisting of sham surgery or injections of vehicle, phosphate buffered saline (PBS), respectively. For both groups, bilateral, full-field ERGs were recorded in dark-adapted (DA) birds (ONS: n=6; TTX: n=5) or in light-adapted (LA) birds (ONS: n=10; TTX: n=5) prior to the imposed treatment (at one day post-hatch) and on days 3-, 5-, 7-, 14-, and 21- post-treatment on the same birds. In addition, bilateral, full-field, long-flash (150 ms) ERGs were recorded from light-adapted birds (ONS: n=8; TTX: n=5) prior to treatment (at one day post-hatch) and again at 3-, 14- and 21-days post-treatment. Interpolation and curve fitting, including Naka Rushton fitting, were used to report parameters of the ERG stimulus-response series such as maximum amplitudes ( $V_{max}$ ) and sensitivity ( $k$ , stimulus producing half  $V_{max}$ ). Cell counts (retinal histology) were conducted of the RGC and inner nuclear layers from histological sections of a separate group of 6 birds sacrificed at 21 days post-ONS.

For both groups, the measures of the DA ERG stimulus-response series (dark-adapted  $V_{max}$  and  $k$ , the oscillatory potential amplitudes, and the interpolated a-wave parameters) did not differ between the treated and sham-treated eyes in either treatment group. In addition, for both treatment groups, the negative waveform of the scotopic threshold response (STR), which reflects RGC function in most mammals, was not apparent in the chick ERGs to dim flashes. No differences between the eyes were

detected for the positive STR/DA b-wave to 0.01 cd.s/m<sup>2</sup> flashes (ONS: p=0.59; TTX: p=0.21). Similarly, the photopic negative response (PhNR) following the light adapted b-wave was small and showed no effect of either treatment (ONS: p=0.92; TTX: p=0.11). However, the offset positivity, the d-wave amplitude, was smaller in the treated eyes in both the ONS and TTX groups (ONS: p=0.008; TTX: p=0.03), but d-wave implicit times did not differ. Cell counts confirmed that RGCs were selectively lost following ONS (p<0.0001).

This study suggests that the STR and PhNR do not reflect RGC functions in chickens, as they do in most mammals. Anatomical differences between the chicken and human retinae might underlie differences in the generation of ERG waveforms associated with ganglion cells. In particular, chicken eyes, and avian eyes in general, lack an inner retinal blood supply and associated intra-retinal astroglia which may be necessary for the generation of STR and PhNR waveforms. Finally, this thesis showed that, unlike in humans, the chicken d-wave may reflect the function of cells in the optic nerve including RGCs and cells of the centrifugal vision system in the chicken.

## **Acknowledgements**

I want to thank God for the opportunity to study in this prestigious institution. I owe my supervisors, Dr. Daphne McCulloch and Dr. Vivian Choh, a great deal of gratitude for their guidance, encouragement, mentorship, and their unwavering patience throughout my studies. But for them, this thesis would not have been possible. I sincerely appreciate their immense support and prompt response any time of the day. My appreciation to my committee members, Dr. Mungo Marsden and Dr. Trefford Simpson, for their support, suggestions, and scientific mentorship.

Thanks to the graduate officers, instructors, staff, and faculty at the School of Optometry for their help and support. A Special thanks to Dr. Jeff Hovis, Dr. Trefford Simpson, Dr. Natalie Hutchings, Dr. Vivian Choh and Dr. Daphne McCulloch for their support and excellent course instructors. My sincere thanks to the graduate coordinators, especially Emily, Stephanie and Holly.

My sincere appreciation goes to Nancy Gibson for her immense technical support and help. To my lab mates, especially G. J. Won, Akshay Gurdita, Chung Ki Fung, Jennifer Li, thank you for the support and contributions. To my colleague graduate students: Unnisa, Melanie, Amritha, Varadhu, Emmanuel Alabi and all members of GIVS, thank you very much for the encouragement and for making graduate studies fun. Special gratitude to the Ontario Trillium Scholarship and University of Waterloo (UW) Scholarships for funding my doctoral studies. My sincere thanks to various research funds awarded to my supervisors for supporting the research work. I want to express my gratitude to the International Society for Clinical Electrophysiology of Vision (ISCEV) and UW Graduate Student Endowment Fund (GSEF) travel grants awards during my studies.

Since this is my terminal degree, I wish to thank my extended family, especially my parents and Dr. Walter Henry Adobor, for their immense help throughout my elementary, high school and undergraduate studies. To my caring siblings also, thank you. Last but not least, to my lovely wife and kids, I deeply appreciate the sacrifices, support, and inputs into making this work a success.

## **Dedication**

This thesis is dedicated to my lovely kids.

## Table of Contents

Examining Committee Membership .....	ii
AUTHOR'S DECLARATION.....	iii
Abstract .....	iv
Acknowledgements.....	vi
Dedication .....	vii
List of Figures .....	xi
List of Tables .....	xiv
List of Abbreviations .....	xv
Chapter 1 Literature Review .....	1
1.1 Retinal anatomy and physiology.....	1
1.1.1 Vertebrate Retina .....	1
1.1.2 Relationship between astrocytes and Müller cells .....	7
1.2 Embryology of the human retina .....	9
1.3 Chicken eye and retina.....	10
1.4 Models of RGC damage.....	13
1.5 Electroretinograms (ERGs).....	14
1.5.1 Brief-flash ERGs.....	17
1.5.2 Diagnostic potential of brief ERGs to measure optic nerve or RGC deficit.....	18
1.5.3 Long-flash ERGs .....	19
1.5.4 Development of chick electroretinogram.....	20
Chapter 2 Introduction .....	22
2.1 Retinal Ganglion Cells (RGCs) in disease .....	22
2.2 Animal models of the retina.....	22
2.3 Objectives .....	23
2.3.1 Specific aims:.....	24
Chapter 3 Materials and Methods .....	25
3.1 Introduction.....	25
3.2 Animal protocols.....	25
3.3 Protocol to induce RGC dysfunction: optic nerve section (ONS) .....	25
3.4 Protocols to induce RGC dysfunction: intravitreal injection of tetrodotoxin .....	26
3.5 Verification of optic nerve / RGC dysfunction.....	26



3.6 Chicken retinal histology procedure.....	27
3.6.1 Microscopic Imaging, and histology analyses.....	28
3.7 Measurement of visual function: Electroretinograms (ERGs) .....	28
3.8 Stimulus protocols for ERG studies .....	30
3.8.1 Dark-adapted ERG stimulus-response series .....	30
3.8.2 Light-adapted ERG stimulus-response series.....	31
3.8.3 Long-flash ERGs .....	33
3.9 Data analysis of ERGs.....	34
3.9.1 Sample size calculations.....	38
3.9.2 One-tailed or two-tailed analysis.....	38
Chapter 4 Pilot studies.....	39
4.1 Introduction .....	39
4.1 Pilot study 1: Effect of intra-orbital bleeding on subsequent light-adapted ERGs in chicks .....	39
4.2 Pilot study 2: Comparison of broad spectrum and long wavelength stimuli for chick dark-adapted ERG luminance-response series.....	40
4.3 Pilot study 3: Effects of spectral characteristics and duration on light-adapted ERGs in chicks.....	43
4.4 Summary of final method.....	49
4.4.1 The effect of ONS on chicken flash ERGs and retinal histology .....	49
4.4.2 The effect of TTX on chicken flash ERGs .....	50
4.4.3 Effect of ONS or TTX on long-flash light-adapted chicken ERGs.....	51
Chapter 5 Effect of Optic Nerve Section (ONS) on the chicken ERGs and retinal histology.....	53
5.1 Introduction .....	53
5.2 Effect of the ONS on chicken retinal histology.....	53
5.3 Survival for the ONS chicks for ERGs.....	57
5.4 Dark-adapted ERGs of ONS chicken eyes.....	57
5.4.1 Effect of ONS on chicken dark-adapted b-wave amplitudes in response to 0.01 cd.s/m <sup>2</sup> (DA 0.01 b-wave).....	57
5.4.2 Dark-adapted oscillatory potentials of ONS chicken eyes .....	59
5.5 Dark-adapted a- and b-waves of ONS chicken eyes .....	61
5.6 Effect of ONS on chick light-adapted ERG .....	65
5.7 Effect of ONS on chick long-flash ERG amplitudes and implicit time .....	71
5.8 Summary .....	72

Chapter 6 Effect of intravitreal injection of tetrodotoxin, TTX, on the chicken ERGs. ....	73
6.1 Survival for the TTX chicks for ERGs. ....	73
6.2 Effect of intravitreal injection of TTX on chicken DA b-wave to 0.01 cd.s/m <sup>2</sup> stimuli. ....	73
6.3 The effect of TTX on chicken oscillatory potential .....	75
6.4 Effect of intravitreal injection of TTX on chicken dark-adapted, DA, ERG .....	76
6.5 Effect of TTX on chick light-adapted ERG amplitudes.....	80
6.6 Effect of TTX on chick long-flash ERG amplitudes and implicit time .....	84
Chapter 7 Discussions.....	88
7.1 Introduction.....	88
7.2 Optic nerve dysfunction .....	88
7.3 Dark-adapted ERGs and the STR .....	88
7.4 Spectral characteristics and light-adapted long-flash ERGs .....	92
7.5 Chicken photopic negative response (PhNR) .....	92
7.6 Effect of optic nerve-section (ONS) and intravitreal of tetrodotoxin (TTX) on the dark-adapted ERGs. ....	95
7.7 Effect of ONS and TTX on chick light-adapted ERG luminance-response series .....	96
7.8 Effect of TTX and ONS on chick light-adapted long-flash ERGs.....	98
7.9 Summary and limitations .....	99
Letters of Copyright Permission .....	101
References.....	143
Appendices.....	150
Appendix A: Human ERG Studies .....	150
Appendix B: Human Ethics .....	152
Appendix C: Animal Ethics .....	153
Appendix D: DA Stimulus Response Curves .....	154
Appendix E: Naka-Rushton Curve Fitting R Codes .....	155

## List of Figures

Figure 1: Segregation of off visual pathway (in blue) from the on pathway (in red) at the IPL. ....	5
Figure 2: The embryological development of RGCs across species. ....	6
Figure 3: A drawing of the retina cells and its layers, highlighting the role of the Müller cell.....	7
Figure 4: Chick photoreceptor cells showing the oil droplet.....	12
Figure 5: A representation of Granit's view of the components of the long-flash ERGs. Adapted from (Perlman 2015) with permission. ....	16
Figure 6: Chicken long-flash ERGs, showing how the d-wave amplitude parameters were measured. d denotes the measurement of the amplitude from the off-set point to the first peak of d-wave. ....	34
Figure 7: A representative fitting of the Naka-Rushton curve to a dark-adapted b-wave amplitude stimulus-response curve. The dark line indicates the part of the curve that was fitted, and grey point was excluded as part of the secondary rising phase (Severns & Johnston 1993).....	36
Figure 8: A representative dark-adapted ERG luminance-response series to weak white- and red-flash stimuli.....	42
Figure 9: Representative ERGs from an untreated eye of a 14-day-old chick are shown for brief 1.0 cd.s/m <sup>2</sup> flashes with 30 cd/m <sup>2</sup> backgrounds using different spectral combinations (Table 3).....	45
Figure 10: Representative ERGs for the long-flash stimuli of 150 ms at 250 cd/m <sup>2</sup> , using the different spectral combinations in Table 3.....	46
Figure 11: The d-wave amplitude is shown for 4 normal chicks to different luminance levels for a long-red flash stimulus. ....	47
Figure 12: A representative long-flash ERG series from a normal chick recorded 14 days post- for stimuli with different stimulus durations (5 - 200 ms) using 250 cd/m <sup>2</sup> blue flash on a 30 cd/ m <sup>2</sup> blue background. ....	48
Figure 13: A Venn diagram outlining the number and distribution of birds in the ONS experimental groups. ....	50
Figure 14: A Venn diagram outlining the intravitreal injection of TTX experimental groups and the distribution of birds. ....	51
Figure 15: Representative retinal sections labelled with DAPI (blue nuclear stain) of sham-ONS (A) chicken retina and ONS (B) chicken retina. ....	54
Figure 16: Total number of cells in the ganglion cell layer (GCL) across a 464 µm image of the optic nerve sectioned (ONS) and sham treated chicken eyes.....	55

Figure 17: Total number of cells in the inner nuclear layer (INL) per mm of the optic nerve sectioned (ONS) and of sham operated chicken eyes with the mean, error bars showing standard deviation and dots shows the averaged counts from individual eyes. ....	56
Figure 18: Mean dark-adapted Standard b-wave amplitudes $\pm$ SD of ONS-treated eyes and sham fellow eyes at 0, 3, 5, 7, 14- and 21-days post-treatment (n=6 chicks).....	58
Figure 19: Representative DA oscillatory potentials of optic nerve-sectioned and sham eyes of the same chick.....	59
Figure 20: The time course of dark-adapted a-wave saturated amplitudes ( $V_{max}$ ), and implicit time interpolated at 3 cd.s/m <sup>2</sup> without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-treatment in treated chicks (n=6), for DA ERG luminance series from 0.0562to 31.6 cd.s/m <sup>2</sup> . ....	62
Figure 21: The time course of dark-adapted b-wave saturated amplitudes ( $V_{max}$ ), and implicit time interpolated at 3 cd.s/m <sup>2</sup> without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-treatment in treated chicks (n=6), for luminance 0.0562 to 31.6 cd.s/m <sup>2</sup> .....	62
Figure 22: Representative LA Standard ERGs from Sham and ONS eyes of a five-day post-ONS chicken. ....	65
Figure 23: The time course of light-adapted a-wave amplitude interpolated at 3.0 cd.s/m <sup>2</sup> , saturated b-wave amplitudes ( $V_{max}$ ), and implicit times for a- and b-waves at 3 cd.s/m <sup>2</sup> without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-treatment in ONS treated chicks (n=10), for an ERG luminance series with stimuli ranging from 0.0562 to 5.6 cd.s/m <sup>2</sup> . ....	67
Figure 24: The time course of light-adapted PhNR saturated amplitudes ( $V_{max}$ ), and implicit time at interpolated at 3 cd.s/m <sup>2</sup> without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-treatment in treated chicks (n=10), for luminance 0.0562 to 5.6 cd.s/m <sup>2</sup> .....	69
Figure 25: The time course of long-flash (250 cd/m <sup>2</sup> ) offset d-wave amplitudes before ONS and 3-, 5-, 7-, 14- and 21-days post-ONS (n=8).....	72
Figure 26: Dark-adapted DA 0.01 b-wave amplitudes at 0, 3, 5, 7, 14 and 21-days post-baseline is shown for five chicks with TTX treated eyes and sham fellow eyes.....	74
Figure 27: The time course of dark-adapted a-wave saturated amplitudes ( $V_{max}$ ) and IT without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-baseline in treated chicks (5 TTX), for luminance 0.0562 to 31.6 cd.s/m <sup>2</sup> . ....	76

Figure 28: The time course of dark-adapted b-wave saturated amplitudes ( $V_{max}$ ) and IT without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-baseline in treated chicks (5 TTX), for luminance 0.0562 to 31.6 cd.s/m<sup>2</sup>..... 77

Figure 29: The time course of for the maturation of the light adapted a-wave amplitude (interpolated at 3 cd.s/m<sup>2</sup>) and IT, before at day 0 (baseline, no treatment) and at 3-, 5-, 7-, 14- and 21- post-baseline in the TTX group..... 80

Figure 30: The time course of for the maturation of the light adapted  $V_{max}$  b-wave amplitude and IT, before at day 0 (baseline, no treatment) and at 3-, 5-, 7-, 14- and 21- post-baseline in the TTX group. .... 81

Figure 31: The time course of light-adapted PhNR saturated amplitudes ( $V_{max}$ ), and implicit time at interpolated at 3 cd.s/m<sup>2</sup> without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-baseline (TTX) in treated chicks (n=5), for luminance 0.0562 to 5.6 cd.s/m<sup>2</sup>..... 84

Figure 32: Representative of long-flash ERGs from the sham and TTX eyes of a 14-day post-treatment chicken, demonstrating the reduced d-wave in the TTX eye. .... 86

Figure 33: The time course of long-flash (250 cd/m<sup>2</sup>) offset d-wave amplitudes before TTX treatment and 3-, 14- and 21-days after baseline with TTX treatment each time (N=6)..... 87

Figure 34: A chicken (A) and human (B) representative dark-adapted ERG response to very dim white flash stimuli. .... 91

Figure 35: A representative ERG luminance series of a 14-day old light-adapted chicken (left) and human (right) light-adapted ERGs to a luminance series of 4ms red flashes on a blue 30 cd.s/m<sup>2</sup> background. .... 94

## List of Tables

Table 1: The stimulus parameters and ERG waveform measurements for dark-adapted ERG luminance-response series. ....	31
Table 2: The stimulus parameters and ERG waveform measures for the light-adapted ERG luminance-response series. ....	32
Table 3: Stimuli and background illumination for light-adapted ERGs. ....	44
Table 4: Table of RMS amplitude of dark-adapted OPs to 3.cd.s/m <sup>2</sup> flash in the ONS groups of chickens. ....	60
Table 5: Naka-Rushton equation sensitivity, K (flash strength at half V <sub>max</sub> ) of dark-adapted a- and b-waves of chickens in the ONS group. ....	64
Table 6: Sensitivity, K (luminance at half V <sub>max</sub> ), of light-adapted b-wave and PhNR of ONS group chickens. ....	70
Table 7: Table of RMS amplitude of dark-adapted OPs to 3.cd.s/m <sup>2</sup> flash in the TTX groups of chickens. ....	75
Table 8: Sensitivity (K) (flash strength at half V <sub>max</sub> ) of dark-adapted a- and b-waves of chickens in the TTX group.....	79
Table 9: Sensitivity, K (luminance at half V <sub>max</sub> ) of light-adapted b-wave and PhNR of TTX group chickens. ....	82
Table 10: Amplitudes of the long-flash onset ERG of TTX treated eyes and sham injected eyes. ....	85

## List of Abbreviations

ADOA	autosomal dominant optic atrophy
AMPA	alpha-amino-3-hydroxy-5-methyl-4-isoxazole propionic acid
ANOVA	analysis of variance
CNS	central nervous system
CO <sub>2</sub>	carbon dioxide
CVS	centrifugal visual science
DA	dark-adapted
DAPI	4',6-diamidino-2-phenylindole
DTL	Dawson, Trick, and Litzkow
EEG	electroencephalogram
ERG	electroretinogram
GC	ganglion cell
GCL	ganglion cell layer
IPL	inner plexiform layer
IT	implicit time
ISCEV	International Society for Clinical Electrophysiology Society
LA	light-adapted
LED	light-emitting diode
LWS	long wave sensitive
NIRG	non-astrocytic inner retinal cell
Nav	voltage-gated sodium channel
NK	Naka-Rushton curve
NMDA	N-methyl-D-aspartate
6-OHDA	6-hydroxy dopamine
OKN	optokinetic nystagmus
ON	optic nerve
ONH	optic nerve hypoplasia
ONL	outer nuclear layer
ONS	optic nerve section
OP	oscillatory potential

OPL	outer plexiform layer
PBS	phosphate buffer solution
PERG	pattern reversal electroretinogram
PH	potential of hydrogen
PhNR	photopic negative response
R <sup>2</sup>	r squared
RGC	retinal ganglion cell
RH	rod-opsin
RMS	root mean square
RPE	retina pigmented epithelium
SD	standard deviation
SEM	standard error of the mean
STR	Scotopic threshold response
TTX	tetrodotoxin
UV	ultraviolet
VB	vecuronium bromide



# Chapter 1

## Literature Review

### 1.1 Retinal anatomy and physiology

This section reviews literature about the vertebrate retina and electroretinogram. This review takes the format of general knowledge across various species first, the human variation, and then the retina of the chicken (*Gallus gallus domesticus*).

#### 1.1.1 Vertebrate Retina

The eye is considered as one of the most complex organs of the body. The eye can be divided into three layers: the outer, middle, and inner layers. The inner layer, the retina, is a complex, layered structure of neurons responsible for detecting light and vision. The middle layer is the vascular component of the eye, which is responsible for providing the majority of materials for the metabolic needs of the structures of the eye. This middle layer has the choroid, the ciliary body and the iris. The pupil size, which is controlled by the iris, is responsible for controlling the amount of light falling on the retina (Remington 2012). The outer layer, which is the protective layer of the eye, consists of the supporting sclera, which is continuous with transparent tissue at the front of the eye, and the cornea, through which most of the light rays coming to the eye are refracted (Remington 2012). The epithelial layer on the sclera around the cornea is the conjunctiva.

The retina contains many types of neurons, including the photoreceptors, cones and rods, bipolar cells and retinal ganglion cells (RGCs) (Remington 2012). Other major classes of neurons are horizontal cells and amacrine cells.

The internal limiting membrane is located at the innermost boundaries of the retina. The membrane is made of the inner end of the principal retinal glial cells, the Müller cells, and are also referred to as footplates of the Müller cells. The inner limiting membrane is smooth, and some modifications occur,

such as astrocytes replacement and inclusion of vitreous fibres at the optic disc and periphery, respectively (Remington 2012). The nerve fibre layer (NFL) runs parallel to the surface of the retina adjacent to the inner limiting membrane. In mammals, the NFL is made of unmyelinated axons of the retinal ganglion cells (RGCs) (Remington 2012), while in avians such as chickens, the RGC axons are myelinated by oligodendrocytes (Fu and Qiu 2001, Fischer et al. 2010). The appendages of Müller cells are found in the NFL where they cover/wrap around retinal vessels (such as the superficial capillary network in vascularized retinae) and nerve fibres (Oyster 1999). The next layer, the RGC layer, is usually one cell thick but might be 8-10 cells thick near the macula in primates (Remington 2012). Some displaced amacrine cells, Müller cell bodies and astroglia cells might be found at this layer (Remington 2012).

The next layer, the inner plexiform layer (IPL), contains the synapses of the RGCs and bipolar cells. Other synapses occur between these cells and amacrine cells. In vascularized retinae, the inner capillary networks of retinal vessels are in this layer (Remington 2012). The next more distal layer, the inner nuclear layer (INL), comprises the neuronal cell bodies of bipolar, amacrine, Müller horizontal and, uncommonly in mammals, displaced RGCs (Remington 2012). In the avian retina, displaced RGCs are common and have their cell bodies and dendrites in the IPL (Mey and Johann 2001). These displaced RGCs have the most extensive dendrites, and their axons project into the accessory optic system of the avian brain (Mey and Johann 2001). Distal to the INL is the outer plexiform layer (OPL), which contains the synapses of the photoreceptors and bipolar cells (Zareen et al. 2011). Also, this layer has horizontal cell synapses. The outer nuclear layer, which consists of the cell bodies of photoreceptor cells, is next to the OPL (Oyster 1999). The external limiting membrane (ELM) is a membrane-like structure made up of the outer processes of the Müller cells surrounding the photoreceptor cells near the junction of their outer and inner segments. It has been suggested by

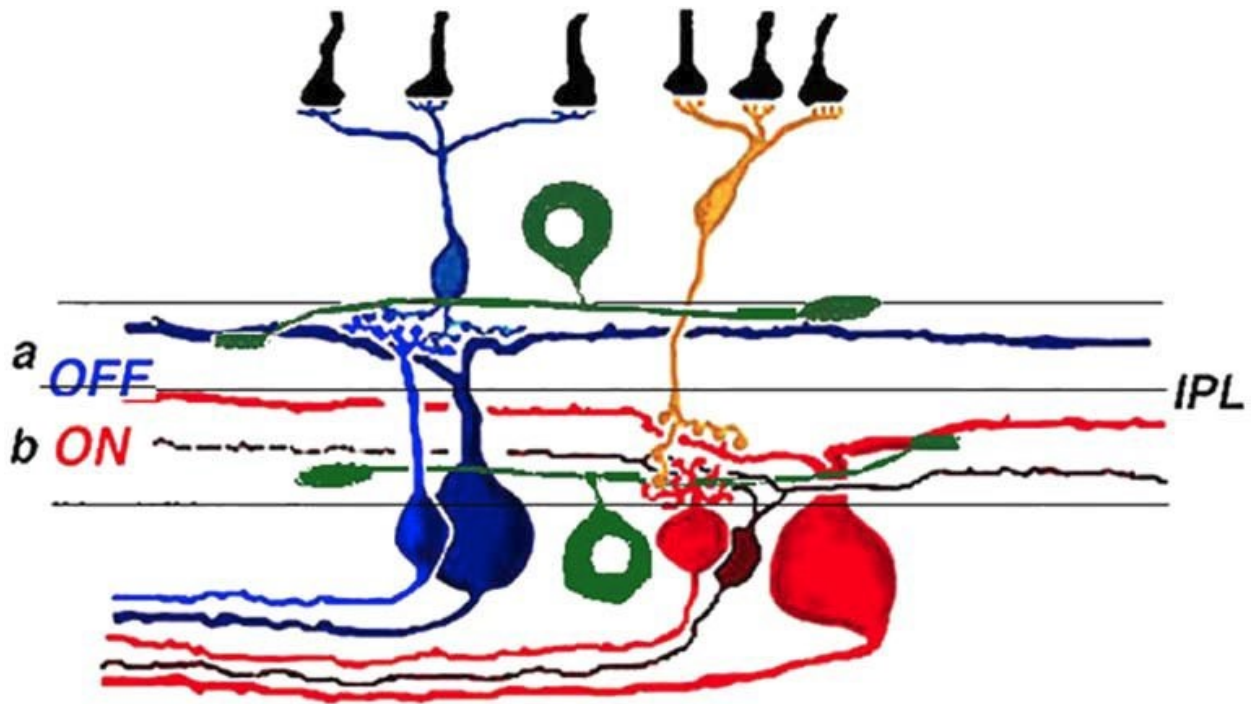
Panda-Jonas et al. (1996) that the external limiting membrane forms a barrier that prevents the movement of macromolecules from the photoreceptor layer to the inner part of the retina (Zareen et al. 2011). Distal to the outer limiting membrane, the photoreceptor outer segments form the photoreceptor layer of the retina.

The types and composition of retinal cells depend on the species of the animal under study as the retina in most animals has adapted to the visual environment (Bowmaker and Knowles 1977). Light absorption and transduction functions are performed by photoreceptors, which are broadly classified into rods and cones. The photoreceptors absorb light by using visual pigments (chromophores) derived from Vitamin A. Isomerization of a chromophore upon light absorption results in the biochemical cascade of phototransduction, which eventually leads to the halting of glutamate neurotransmitter release.

Rods are designed to capture photons at low light levels and, therefore, are more sensitive than cones and very useful for dim vision. Furthermore, the organization of the cones tends to be concentrated in a specific part of the retina to achieve better visual acuity (Oyster 1999). For humans, many primates and raptors depend on excellent visual acuity for survival, and these cones are concentrated at a specialized depressed (pit) part of the retina called the fovea (Oyster 1999). The chicken retina has a concentration of cones 2 mm dorsal to the optic disc but has no foveal pit (Morris 1982). Primates have three types of cones: long-wavelength sensitive (red light), medium-wavelength sensitive (green light) and short-wavelength sensitive (blue light) (Oyster 1999). However, avian species have additional photoreceptors in the ultraviolet range, and chickens specifically have five cone types, including the double cone (Kram et al. 2010, Wisely et al. 2017).

The photoreceptors synapse with the second-order neuron, the bipolar cells. One feature of the bipolar cells is that they initiate the parallel visual processing pathway in the visual system by dividing the pathway into on- and off-pathways (Famiglietti and Kolb 1976). The on-bipolar cells are depolarized when glutamate release is interrupted by phototransduction in the photoreceptors. Furthermore, because they possess excitatory kainate and  $\alpha$ -amino-3hydroxy-5-methyl-4-isoxazole propionic acid (AMPA) receptors, they are also known as metabotropic glutamate receptors (mGluRs) (Sasaki and Kaneko 1996).

Contrary to the on-bipolar cells, the off-bipolar cells have inhibitory glutamate receptors and are known to have ionotropic glutamate receptors (iGluRs). Another interesting feature of the bipolar cells is the segregation of the off-bipolar to RGC synapses from the on-bipolar to RGC synapses at the IPL, as shown in Figure 1. Specifically, the off-bipolar cells synapse close to the INL, while the on-bipolar cells connect to the RGCs close to the RGC layer (Nelson and Connaughton 1995). Moreover, this segregation occurs only in mature retinae and is absent if the off-bipolar cells are blocked during early development. The segregation develops independently of the presence or absence of functioning RGCs (Tootle 1993, Chalupa and Gunhan 2004).

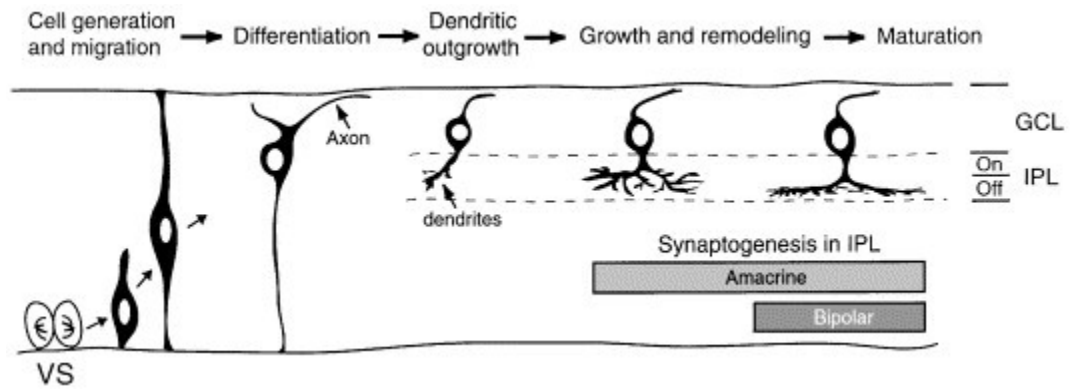


**Figure 1: Segregation of off visual pathway (in blue) from the on pathway (in red) at the IPL.**

From Chalupa and Gunhan (2004) with permission.

The primary retinal cells that transmit highly processed visual information to the brain are the RGCs. These third-order retinal cells are highly diverse. In terms of morphology, some possess small cell bodies but complex dendrites; others have large cell bodies but may have a smaller dendritic spread (Oyster 1999). Functionally, some fire impulses in response to motion, stimuli from specific direction or stimuli from particular orientation. The response to the stimuli can be sustained or transient. Moreover, the RGCs only respond to a specific range of contrast, stimulus size or colour (Oyster 1999).

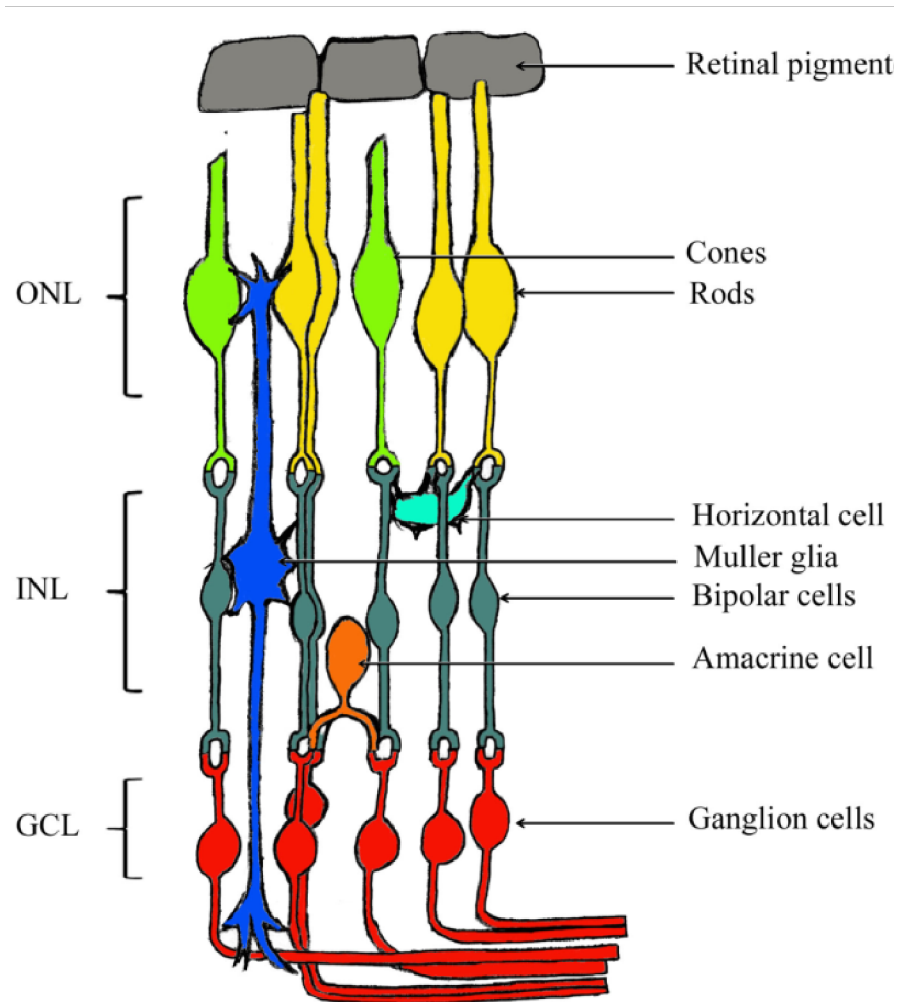
Embryologically, RGCs are the first to differentiate from primordial retinal stem cells (Cepko, 2014, Rapaport et al., 1996, Tian, 1995). It is known that upon migrating to the ganglion cell layer, RGCs extend their axons before forming extensive dendrites (Maslim et al. 1986, Kirby and Steineke 1991); segregation of the dendrites at the IPL is seen in matured RGCs as shown in Figure 2.



**Figure 2: The embryological development of RGCs across species.**

VS is the mitotic RGC stem cells. From Sernagor et al. (2001). with permission

Müller cells are the primary glial cells in the retina and span the layers of the retina from the inner to the outer limiting membranes (Figure 3). They have close contact with most retinal cells (Pfrieger and Barres 1996) and serve to control the flow of oxygen, carbon dioxide, nutrients and other metabolites (Reichenbach and Robinson 1995).



**Figure 3: A drawing of the retina cells and its layers, highlighting the role of the Müller cell.**

From Belecky-Adams et al. (2013) with permission.

### 1.1.2 Relationship between astrocytes and Müller cells

In the retina, the astrocytes and Müller cells seem to perform similar functions. Additionally, it has been postulated by Ramírez et al. (1998) and Jammalamadaka et al. (2015) that astrocytes complement the role of Müller cells in performing their functions. These two retinal glial cells store,

and release glycogen, help neurotransmitter metabolism, take up CO<sub>2</sub>, regulate potassium ions, and help establish the blood-retinal barriers. Although Müller cells are present and traverse all layers of the retina, the presence or distribution of astrocytes is complex. Whereas elongated astrocytes are found in the NFL of most vertebrates, the intra-retinal stellate (protoplasmic) astrocytes tend to be abundant only in animals with inner retinal blood vessels (Schnitzer 1988, Chojnacki and Weiss 2008). In animals with avascular retinæ, intra-retinal astrocytes are rare (Schnitzer 1988, Fischer et al. 2010). In chickens (Fischer et al. 2010), hippopotami and rhinoceri, all of which have avascular inner retina, the astrocytes are restricted to only the optic nerve head (optic disc) (Schnitzer 1987, Schnitzer 1988). In animals with vessels around the disc, the astrocytes are located around the optic disk. Moreover, in the rabbits' partially vascularized retina, the astrocytes are located only around the vascular (medullary artery) part of the retina and are rare in the other parts of the retina (Clark and Mobbs 1992, Trivin et al. 1997, Haddad et al. 2001). Intra-retinal astrocytes are numerous in animals with inner retinal blood supply except in areas of the retina which have no vessels, such as the fovea (Stone and Dreher 1987, Jammalamadaka et al. 2015). The concept of the link between the astrocytes and inner retinal blood vessels has led to the assumption that the intra-retinal astrocytes are necessary for the development of inner retinal vessels, and for most vertebrates, the distribution of astrocytes is based on whether they have inner retinal blood vessels or not. Because of the close functional relationship of the Müller cells and the retinal astrocytes, in animals without inner blood vessels, Müller cells take over the functions of astrocytes (Stone and Dreher 1987, Jammalamadaka et al. 2015).



## 1.2 Embryology of the human retina

Following the formation of a hollow blastocyst and three germinal layers a neural tube forms by surface invagination the neural plate, which is derived from the primordial ectoderm. At the rostral end of the developing embryo, the primitive vesicles of the developing brain, including the diencephalon (Oyster 1999). An outpouching of the diencephalon gives rise to the earliest stage of ocular development, the optic vesicles. The vesicles fold inwards to form the two-layered cup-shaped optic cups. Although the optic vesicle is initially located beside the surface ectoderm, a layer of derivative neural crest (mesenchymal) cells migrates between the optic cup and the ectoderm. Several eye structures, such as the choroid, the corneal endothelium, and the ciliary body, develop from these mesenchymal cells (Oyster 1999).

The optic vesicle, on contacting the surface ectoderm, causes the surface ectoderm to thicken to form the primordial lens, and the invagination of the optic cup results in a groove called the choroidal fissure (Oyster 1999). The primitive central artery forms and grows into the optic cup through the choroidal fissure. Consequently, the two-layered optic cup forms the retina; the outer layer becomes the retinal pigmented epithelium (RPE) and the inner one results in the neural retina (Oyster 1999). In humans, this process takes place between 25 – 35 days of gestation, and in chicks is complete by about 20 days (Wisely et al. 2017). The Müller cells and the true astrocytes are both of the neuroectoderm origin (Ramírez et al. 1998) and the Müller cells may share the same stem cells as neurons. In some species such as chickens, the Müller cells are progenitor cells for neurons (Ramírez et al. 1998). In humans, the astrocytes originate from the brain and enter the retina through the optic nerve in the 13<sup>th</sup> week of gestation (Jammalamadaka et al. 2015). Astrocytes follow the pathway of spindle fibres, which form the retinal blood vessels (Ramírez et al. 1998, Jammalamadaka et al. 2015).

### **1.3 Chicken eye and retina**

The basic structure of the chicken retina is similar to that of other vertebrates (Hocking and Guggenheim 2014). In fact, most of the information about the vertebrate retinal structure and function were obtained through the study of the eyes of chickens (Bovolenta and Martinez-Morales 2019).

However, there are notable differences, and these differences can be categorized as macro and micro levels. At the macro level, the chicken eye takes about 50% of the cranium space whilst the human eye is 5% of the human cranium (Waldvogel 1990). Differences in the anterior chicken eye compared with most mammals include the presence of ossicles at the limbus, the region between the sclera and cornea, and that the iris and ciliary body contain skeletal muscles, but the most substantial differences are in the retina. The inner retina of the chicken has no inner retinal vessels; instead, it possesses pecten oculi, which provides nourishment to inner retinal structures (Pettigrew et al. 1990).

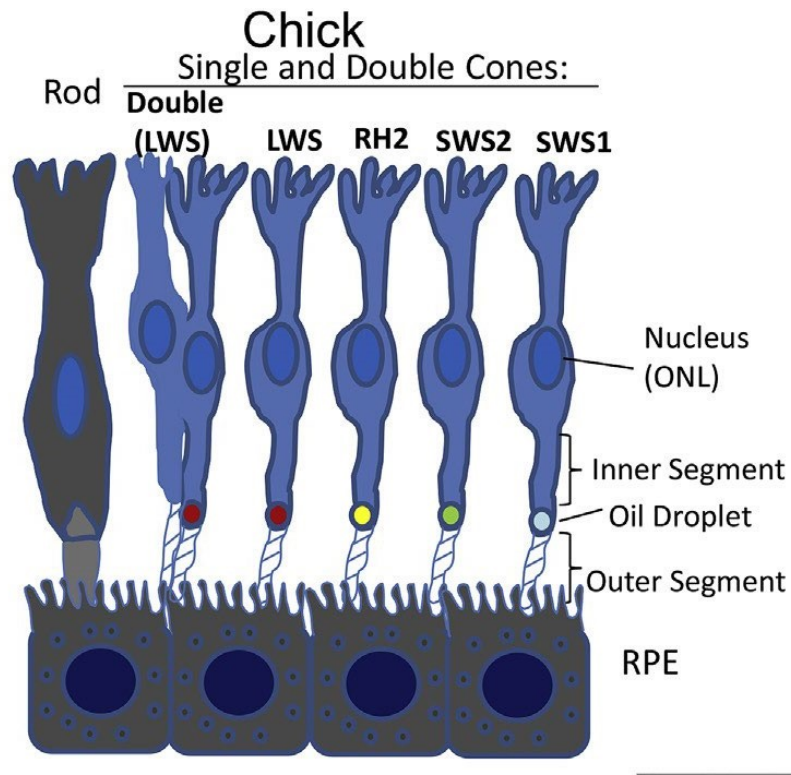
Moreover, 2 mm dorsal from the chicken optic disc (Morris 1982) is a no "pit" fovea called the area centralis (3mm in diameter), which performs similar functions as a fovea. The photoreceptors of the chicken retina have oil droplets (Figure 4), located between the outer and inner segments of the cone photoreceptors (Bowmaker and Knowles 1977). The oil droplets are pigmented, and the pigments filter light, which except for the double cones, contributes to colour vision (Hart and Vorobyev 2005). The oil droplets' pigmentation is modified by the type of feed (Meyer et al. 1971) given to the chicken (high carotenoid feeds lead to higher pigmentation), and by the light intensity (Hart et al. 2006) of the environment of the chicken (chickens reared in the high intensity of light have more pigmentation).

Chickens have single cones with peak sensitivity to short, medium and long wavelengths as in humans but also an additional cone type that is sensitive to ultraviolet (UV) (Osorio et al. 1999).

Furthermore, the chicken has double cones, which are sensitive to medium to long wavelengths (Morris and Shorey 1967).

In the inner retina, the AII amacrine cell, which mediate the rod pathway in humans and many other mammals, is reported to be lacking in the chicken retina (Shi and Stell 2013). Possibly, the AII amacrine cells is lacking because chickens are diurnal, and their retina is dominated by cones.

Additionally, chickens have an enhanced centrifugal vision system (CVS), efferent fibres from the brain to the retina (Cowan et al. 1961, Gutierrez-Ibanez et al. 2012, Miles 1972), while such efferent fibres are rare in the primate retina (Gastinger et al. 2006). Most of the CVS fibres terminate close to the off-bipolar cells and excite RGCs (Lindstrom et al. 2010). This CVS is implicated in the feedback system, which plays an important role in the feeding habit of chickens. Since the synapses of the CVS cells are closer to the RGC layer of the chicken retina, it is expected that off-pathway may play an important role in influencing the ERGs of RGCs of chickens. For instance, in toads and salamanders, also animals with enhanced CVS, dysfunctional RGCs influenced the electroretinogram of their off-pathway. In chickens, sectioning of the CVS only has the same effect on refractive error as full optic nerve section. (Dillingham et al., 2017).



**Figure 4: Chick photoreceptor cells showing the oil droplet.**

RPE is retinal pigmented epithelium, ONL (outer nuclear layer), LWS (long wave sensitive), RH2 (rod-opsin-like cone opsin), short wavelength sensitive (SWS). Adapted from Wisely et al. (2017), with permission.

At the microlevel, chickens, like other vertebrates with avascular inner retinae, such as guinea pigs and other birds, have no or very few intra-retinal astrocytes. Unlike other vertebrates, chickens have oligodendrocytes surrounding the nerve fibres (Fischer et al. 2010). Additionally, the chicken possesses non-neuronal intra-retinal non-astrocytic inner retinal cells (NIRGs) (Zelinka et al. 2012). It has been suggested by Fischer et al. (2010) that these NIRG cells play a role in Müller cell and neuronal retina cell survival.

## **1.4 Models of RGC damage**

Surgical excision of the optic nerve (optic nerve sectioning; ONS) or intravitreal injection of tetrodotoxin are employed as methods to destroy or block RGC function, respectively (Wildsoet and Wallman 1995, Chong 2013). Chong et al. (2013) and Choh et al. (2004) showed that ONS of chicken eyes causes a significant and progressive loss of the number of RGC cells starting from days three post-surgery. This finding makes ONS a particularly excellent model choice for studying RGC deficits as it allows longitudinal observation of the effect of progressive reduction of RGC numbers. The RGC reduction is mediated by apoptotic processes (Choh et al. 2004, Chong et al. 2013).

Tetrodotoxin is a neurotoxin that blocks action potentials in neurons with axons by blocking the voltage-gated sodium channel (Nav) (Narahashi 1974). TTX is, therefore, effective in inhibiting the RGCs and spiking amacrine cells. Because the affinity of the TTX to a particular Nav depends on the composition of the channels' amino acid isoforms, and different species have different amino acid isoforms in their Navs, some species are resistant to the effect of TTX (Catterall et al. 2005). Out of the 10 types of Navs, TTX has a high affinity for Nav 1.4, and Nav 1.6, which frequently are associated with the neurons in the CNS, including the chicken retina (Lee and Ruben 2008). The TTX effect in the retina is reversible. Intravitreal injection of 0.8  $\mu\text{g}$  in 8  $\mu\text{l}$  citrate buffer is known to effectively stop the function of the RGC in domestic chicken eyes (Wildsoet and Wallman 1995) for up to 72 hours (McBrien et al. 1995).

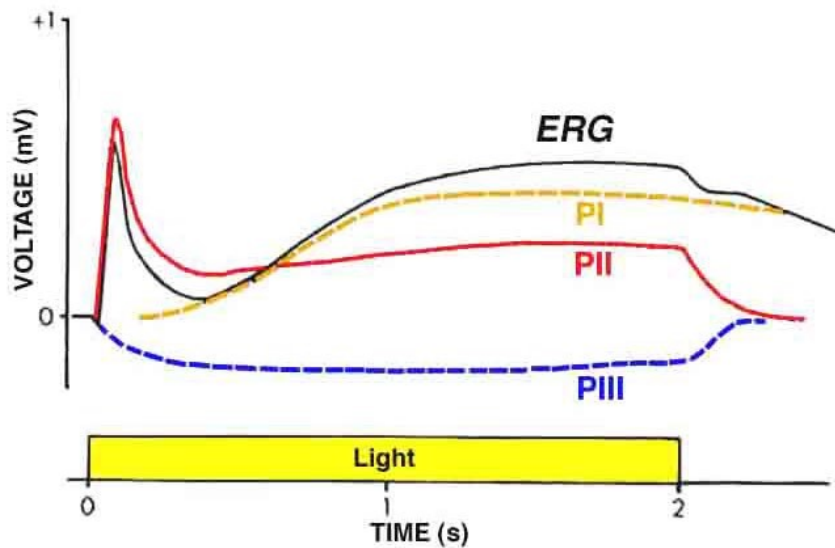
## 1.5 Electroretinograms (ERGs)

The activity of retinal neurons in the form of the electrical response of the retina to light flashes can be recorded from the surface of the cornea. This non-invasive method of assessing retinal cell function has become an essential tool for studying the retina in clinical and laboratory settings (McCulloch et al. 2015).

Generally, it is widely accepted that retinal responses such as ERGs occur due to voltage changes generated by inward and outward ion flow from the changes to localized conductance across the active retinal cell membranes (Brown 1968, Frishman 2006). These voltage changes cause currents to flow through the extracellular space, following the potential gradients. Part of the active cell membrane serves as a generating source of these currents or as a sink, and these currents are directed towards a less active part of the membrane. Therefore, the aggregate effect of many cells generating the extracellular current flowing in the same vector direction synchronously results in potential changes referred to as field potentials (Brown 1968, Frishman 2006, Perlman 2015). The measurement of the field potential can be recorded on the eye surface if the field potential is radiating outward around the eye. Most retinal neurons are involved in the generation of ERGs (Frishman 2006). Based on the influence of specific factors, such as the position and orientation of the cell, and the relative strength of the cellular responses, the ERG waveforms generated can be attributed to specific types of retinal cells. Mainly, radially oriented retinal cells generate most ERG signals (Brown 1968, Frishman 2006, Perlman 2015). Therefore, photoreceptor cells, bipolar cells and Müller glial cells are the dominant generators of ERGs. Conversely, the small to undetectable signals originating from specific retinal cells that are not radially oriented, such as amacrine and horizontal cells are associated with much smaller field potentials such as the oscillatory potentials that are superimposed on the leading edge of b-waves. The current dipole, created as a result of the

movement of current from the generating area to the receiving area (current sink) of radially oriented cells, flows mostly intraretinally. A small amount of the current generated by the dipoles flows through tissue external to the retina, i.e., through the vitreous and the highly resistant RPE, and returns to the neural retina (Brown 1968, Frishman 2006, Perlman 2015). From the above explanation, it stands to reason that placement of the active electrode has a considerable influence on the magnitude of ERGs. Other factors, such as the background illumination, the adaptation of the retinal cells to the light stimulus, the strength of the flash stimulus, and the stimulus wavelength, affect the relative contribution of the cells to the ERGs (Frishman 2006). For instance, in light-adapted ERGs, most rods are suppressed and hence have little contribution from rod photoreceptor cells (Frishman 2006).

Using drug neuro-active drugs, research studies have made significant contributions to understand the ERG waveform. Ragnar Granit won the Nobel prize for medicine for his research in sensory physiology, including his studies of ERGs. His classic work, published in 1933, showed that ERGs have three components, which he named the PI, PII, and PIII, in order of their loss when exposed to anesthesia (Granit 1933), as shown in Figure 5.



**Figure 5: A representation of Granit's view of the components of the long-flash ERGs.** Adapted from (Perlman 2015) with permission.

Before Granit's dissection of the ERG components, several researchers, including Holmgren and Armington, made major contributions to the detection and our understanding of ERGs (Perlman 2015). Einthoven and Jolly discovered the a-wave and b-wave components of ERGs (Einthoven and Jolly 1908). The use of drugs to inhibit specific cell types of the retina, thus studying the uninhibited part, is termed pharmacological dissection, and this method has contributed greatly to understanding the sources of ERG waveforms. Additionally, the use of intra-retinal electrophysiological recordings also contributed immensely to our understanding of the source of the ERGs (Perlman 2015). Since the discovery of the pharmacological agent, L-aspartate, which can isolate the function of the photoreceptors, it has been found that photoreceptors are the source of a-wave (Perlman 2015).

Because of the proximity of the potassium sink of the Müller cells and the bipolar cells, the studies to determine the source of b-wave were quite controversial. The Müller cells were initially thought to be the source of b-wave (Miller and Dowling 1970). However, intra-retinal recording and



pharmacological dissection of the retina revealed that the ON bipolar cells are the major generators of b-waves. In definitive studies, Lei and Perlman (1999) used barium ion salt, which specifically blocks the function of the Müller cell, to show that b-waves originate from bipolar cells. In their study, although Müller cell function was blocked, there was an enhancement of the b-wave.

### **1.5.1 Brief-flash ERGs**

In humans, brief flash ERGs are widely used as retinal function tests (McCulloch et al. 2015), possibly because testing is easily performed clinically and has been standardized by the International Society for Clinical Electrophysiology of Vision (ISCEV). The ISCEV standard for full-field ERG testing includes the DA ERG amplitudes in response to 0.01 cd.s/m<sup>2</sup> stimuli (DA b-wave) to assess rod pathway function, dark-adapted 3 cd.s/m<sup>2</sup> flashes for both rod and cone driven retinal function, dark-adapted 10 cd.s/m<sup>2</sup> flashes for enhanced a-waves to evaluate photoreceptor function, dark-adapted oscillatory potentials (OPs) to reflect middle retinal cells, light-adapted 3 cd.s/m<sup>2</sup> flashes and light-adapted 30 Hz flicker ERG to study the cone-driven retinal pathway (McCulloch et al. 2015). For light flashes below the human psychophysical threshold, negative and positive ERG waveforms are observed on the human dark-adapted ERG (Frishman et al. 1996). This waveform is called the scotopic threshold response (STR), the negative and positive STR (nSTR and pSTR), respectively. These STRs are distinguished from a- or b-waves because they are removed with pharmacological agents that block inner retinal cells, and because the STR is elicited with very weak flashes below the perceptual threshold. In macaque monkeys, pSTRs are small because part of their waveforms are negated by nSTR (Frishman et al. 1996). The STR waveforms are easily elicited in rod-dominant mammals such as rodents and in non-human primates. However, these STRs are not universally present in all vertebrate dark-adapted retinae.

### **1.5.2 Diagnostic potential of brief ERGs to measure optic nerve or RGC deficit**

Whereas it is relatively easy to test for the function of radially oriented retinal neurons such as photoreceptor cells (a-waves) and bipolar cells (b-waves), non-invasive testing of the RGC function is more challenging (Frishman 2006, Perlman 2015). However, in humans, the STR, photopic negative response (PhNR), and pattern reversal ERG (PERG) protocols reflect RGC function (Viswanathan et al. 1999, Frishman 2006, Perlman 2015). As described above, the STRs are dark-adapted ERGs elicited by very weak flashing ( $-6$  to  $-3$  log cd.s/m<sup>2</sup>) stimuli; the PhNR is the negative potential that follows the b-wave in light-adapted ERGs, whilst the PERG is generated through contrast changes such as alternating checkerboard stimuli.

While standard ERGs are useful to test retinal function, they provide limited usefulness in the detection of RGC deficits. The PERG is the most studied ERG known to objectively assess the central vision of primates. Standard PERGs are elicited using checkerboard stimuli and require clear ocular media and refraction. The PERG is made of two main waveforms, P50 and N95. The P50 waveform reflects macular function, and the N95 waveform originates from RGCs. In several mammals, PERG waveforms are reduced on intravitreal injection of TTX (Viswanathan et al. 2000) or ONS surgery (Harrison et al. 1987).

In humans (Morny et al. 2015, Joshi et al. 2017), monkeys (Wilsey et al. 2017) and dogs (Takada et al. 2017), PhNRs hold great potential for diagnosis of RGC deficits. First described by Viswanathan et al. (1999), the PhNR has been useful for the detection of RGC deficits in that it has become a useful substitute to PERG in the study of RGC function. Additionally, the PhNR has the added advantage of not requiring clear and optimal correction of refractive error of subjects. Clinically, studies indicate that PhNR amplitudes are sensitive to RGC cell functions (Morny et al. 2015, Wilsey and Fortune 2016, Frishman et al. 2018); however, other studies point to the fact that the amplitude is

sensitive to the integrity of glial cells around the RGC layer of the retina (Machida et al. 2008, Raz-Prag et al. 2010). Animals with inner retinal vascular supplies tend to have many glial cells, notably intra-retinal astrocytes and Müller cells, and these animals are also reported to have PhNRs reflecting RGC functions; it stands to reason that the PhNR may indirectly reflect RGC function but be generated by glial cells. Animals with avascular inner retina could help to differentiate the RGC from the glial origins of the PhNR.

### **1.5.3 Long-flash ERGs**

ERGs elicited by long-duration (150-200 ms) stimuli in the presence of rod suppressing backgrounds are useful for obtaining ERGs from on- and off- retinal pathways. D-waves are positive responses to the flash offset, while onset responses are indicated by a- and b-waves. The stimulus strength and duration affect the amplitudes obtained in long-flash ERGs. Sustar et al. (2006), showed that the optimal offset d-wave is obtained with flashes 150 to 200 ms; shorter flash durations result in incomplete separation of the on- and off responses, showing an i-wave, superimposed on the onset b-wave rather than a separated d-wave at off-set. Also, the longer the duration of the stimuli, the larger the amplitude of the d-wave. The amplitudes of the b- and d-waves also depend on the stimulus strength in a non-linear manner (Al Abdlseaed et al. 2010).

ISCEV recently published an extended protocol for long-flash ERG recordings with a white stimulus of 150-350 cd/m<sup>2</sup> for eliciting the onset ERG and off-set d-wave (Sustar et al. 2018). However, several studies point to the use of other wavelengths such as orange on a green background to selectively stimulate the L and M-cones (Sustar et al. 2006). Evers and Gouras (1986) and Sustar et al. (2006) report that a long-wavelength flash stimulus generates significantly smaller d-wave amplitudes; hence long wavelength stimuli should not be used to elicit the off-set responses. However, published work still makes use of long-wavelength flashes to successfully elicit d-waves

(Morny et al. 2015, Morny et al. 2019). Furthermore, due to significant interspecies differences in retinal constituents, the long-flash ERG protocol is expected to be specific to the species.

Seiving et al. 1993 pioneered work in the long-flash ERGs in primates, describing the on-response of the ERG as being a typical biphasic waveform, as seen in short flash ERGs. The second component, the d-wave, is typically a positive off-response. It has subsequently been suggested that the positive off-response is not universal and is found selectively in vertebrates with significant cone photoreceptors (Lei, 2003). Therefore, vertebrates with retinae containing a substantial population of cones such as primates, birds and guinea pigs tend to have a positive polarity of the d-wave, while retinae with fewer number of cones, such as those in rats, typically show a negative-going waveform after offset. Furthermore, there seems to be a positive relationship between the number of cones and the magnitude of the d-wave. It has been suggested that the on a-wave reflects the functions of photoreceptors with some contribution from hyperpolarizing bipolar cells (Bush and Sieving 1994) while the on b-wave reflects functions of the depolarizing on-bipolar cells with some contribution from the horizontal cells (Sieving et al. 1994). In contrast, the d-wave appears to reflect the off-pathway with a major contribution from the hyperpolarizing off-bipolar cells, which are cone-specific with no direct connection to rod photoreceptors (Sieving et al. 1994). Additionally, Seiving et al. (1994), in proposing the ‘push and pull’ model of brief-flash b-wave amplitude, showed that the amplitude of the brief-flash b-wave is influenced by increased (“push”) of the flash onset b-wave from depolarizing on-bipolar cells and decreased (“pull”) amplitude of the offset d-wave from the hyperpolarizing (off) bipolar cells.

#### **1.5.4 Development of chick electroretinogram**

The a-wave and b-wave onset are known to be recordable in chickens from pre-hatch day 18 of incubation (Ookawa 1971). Ookawa (1971), also noted that the a- and b-wave amplitudes increase up

to day 14 post-hatch. However, in the post-hatch chick, there is a notable drop in amplitude of the a- and b-wave on day seven post-hatch (Ookawa 1971).

Moreover, the b-wave is most affected by a decrease in chicken body temperature far more than the a-wave. This phenomenon is contrary to what is expected, as the a-wave, having its source from photoreceptors, should be more affected because it is closer to the systemic blood supply through the choroid (Ookawa and Tateishi 1970). Chick b-wave latencies are the same as the evoked potential of the optic tectum in Rhode Island chickens (Crampton and Boggs 1959).

Furthermore, chicken oscillatory potentials (OPs) are thought to originate from inhibitory feedback pathways in the inner retina (Wachtmeister 1998) and are similar to human OPs (Yonemura et al. 1963). However, Li et al. (1992) showed that, unlike human OPs, 6-hydroxy dopamine (6-OHDA), causes an increase in chicken OP amplitudes but reduced a- and b-wave amplitudes.

Interocular differences in ERGs are common. Inter-ocular differences in ERG parameters have been explored in humans and primates with normal differences noted to be  $\pm 13\%$  (Rotenstreich et al. 2003),  $\pm 30\%$  (Viswanathan et al. 1999), respectively. McGoogan et al. 2000, demonstrated that chickens' ERGs are prone to volume conduction of the field potentials such that ERGs could be recorded at the untested contralateral eye (ERG crosstalk). To account for inter-ocular differences, Armstrong (2013) suggested that in a situation where both eyes (treated and fellow control eyes) are to be analyzed for differences, paired t-test or Analysis of Variance (ANOVA) should be employed.

## **Chapter 2**

### **Introduction**

#### **2.1 Retinal Ganglion Cells (RGCs) in disease**

Retinal cells, like all neural cells in the central nervous system, do not regenerate. Most human blinding diseases are results of photoreceptor or RGC dysfunction. Moreover, conditions associated with RGC dysfunctional conditions in humans are not reversible. Of these conditions, glaucoma is most prevalent globally (Pascolini et al. 2004). Also, other conditions with RGC dysfunction, such as optic nerve hypoplasia (ONH), are important for childhood blindness ((Rahi et al. 2003, Garcia-Filion and Borchert 2013). Therefore, the contribution of RGC functional measurement is important. Moreover, since these conditions cannot be cured, studies to inform the prevention of RGC dysfunctions are very relevant. More importantly, early diagnosis of these conditions is vital to management, prevention from further deterioration and/or cure. One aspect of early detection of RGCs dysfunction is the in vivo electroretinogram (ERG). ERG tests are non-invasive and are known to detect retinal dysfunction before structural damage is detectible.

#### **2.2 Animal models of the retina**

Several animal models exist for the study of RGC functions. Mostly these models use mammals such as cats, rodents, and non-human primates. The macaque monkey is frequently used because its retina is closest to humans (Viswanathan et al. 1999). However, primates are very expensive to maintain, and usually, few are available for research, thereby reducing the power needed for statistical analysis. Additionally, they are not easily amenable to genetic manipulation. Rodents are the most abundant animal model for RGC function studies. However, rodents have fewer cones and, in general, have retinæ that are more suited for nocturnal vision. Chickens are inexpensive to acquire and maintain, have good visual acuity, and are diurnal. Also, the chicken has an avascular inner retina, which

eliminates ischemia as a confounding factor in RGC retinal research. Although there are anatomical differences in the retina of chickens and humans (Section 1.3), the spectral sensitivity of the chicken retina and the origin of ERG waveforms associated with glial cells might be expected to differ from that of humans. However, surprisingly, but for the UV cones, the spectral sensitivity of chick vision closely matches that of humans. ERG protocols with no UV stimulation (i.e. wavelengths above 420 nm) are well-matched to the human relative spectral sensitivity (Li et al., 1992, Schnapf et al., 1987)

Several studies of the structure (retinal histology) and function (PERG, PhNR and STR) of RGCs exist for non-human primates and rodents (Frishman et al. 2000, Bui and Fortune 2004, Porciatti 2015), but similar studies in chickens are rare. Ostrin et al. (2016) showed that it is possible to record PERGs in chickens but conclusively demonstrated that the PERG waveforms do not reflect RGC function. Petersen-Jones et al. (2010) suggested that d-waves reflect the non-spiking RGC function in white leghorn chicks.

Although a chicken's retina is cone-dominated and therefore perhaps ideal for isolating PhNRs and d-waves, a literature search showed a paucity of data relating flash ERGs to RGC function in chickens.

### **2.3 Objectives**

This project ultimately seeks to determine the effects of ganglion cell death and dysfunction, using ONS and pharmacologic blockade with TTX, respectively, on the flash ERGs in chickens using PhNR, STR and photopic long-flash ERG protocols; specifically, it is hypothesized that ONS will cause selective reduction of PhNR and STR waveforms in the ERGs of maturing chicks and that intravitreal injection of TTX will cause selective reduction of the PhNR and the STR in the ERG waveforms recorded from the retinae of maturing chicks. In addition, it is expected that there will be a selective reduction of RGC in retinal histological cell count in ONS eyes.

### **2.3.1 Specific aims:**

These studies aim to develop the chick model for RGC development and function.

Specifically:

1. To monitor the time course of development of light- and dark-adapted ERG luminance-response functions in the chick from hatching to 21 days post-treatment.
2. To determine the effect of ONS on light- and dark-adapted ERG waveforms in the maturing chick retina.
3. To determine the effect of TTX on light- and dark-adapted ERG waveforms in the maturing chick retina.
4. To determine the effect of ONS on long-flash ERG waveforms in the maturing chick
5. To determine the effect of TTX on long-flash ERG waveforms in the maturing chick.



## **Chapter 3**

### **Materials and Methods**

#### **3.1 Introduction**

This chapter describes the procedures used in the studies reported in this thesis, including procedures for inducing RGC degeneration (ONS) and pharmacological blockade of the RGCs. In addition, the procedures and protocols for the evaluation of retinal function (ERGs) are included. The procedures which are common to all study subjects are described in this chapter.

#### **3.2 Animal protocols**

All research undertaken in this thesis adhered to the standards of the Canadian Council on Animal Care. Ethical approval for the study was obtained from the Animal Care Committee of the University of Waterloo. *Gallus gallus domesticus* (White Leghorn chicken) mixed, unsexed hatchlings were purchased from Maple Leaf Poultry Foods Inc, New Hamburg, ON, Canada, for the study. The chickens were fed ad libitum with feed obtained from Jones Feed Mills Ltd, Mitchell, ON, Canada. All chicks that were 0 to 13 days old were housed in 1 meter by 1 meter heated stainless steel brooders, and floor housing was provided for 14 to 21 day-old chicks. The housing for the chicks was kept at room temperature of the building. The chicks were kept on a 12h light and 12h dark cycle throughout all studies. Both retinal dysfunction studies were initiated when chicks were 1-day old (day 0 of the experiment).

#### **3.3 Protocol to induce RGC dysfunction: optic nerve section (ONS)**

Each chick (n=18) was anesthetized with 2% isoflurane prior to and during optic nerve section (ONS) surgery. For each eye, the skin lateral to the lateral canthus was wiped with sterile alcohol pads. At the antero-lateral orbital bone of the chick, a 4 mm vertical cut was made on the skin. Another

incision was made to the underlying fascial sheath. The eyeball was held away from the temporal orbital wall by the aid of forceps to expose the optic nerve. The exposed sheath covering the nerve was poked into using a small (3 mm) knife, and the forceps were used to enlarge the hole to expose the nerve fibers. Forceps were used to break the nerve fibers by pulling them upwards. To ensure all the fibers had been cut off, the hole was visualized, and any fiber left uncut was excised.

The contralateral eye was treated to the same procedures as above, but the nerve fibers were not cut (sham surgery). The eye designated as the treated or control eye alternated between groups, such that if the right eyes of all chicks in a group for surgery were chosen as the treated eyes, the left eyes of the next group of chicks for surgery would be chosen as the treated eyes.

### **3.4 Protocols to induce RGC dysfunction: intravitreal injection of tetrodotoxin**

A different set of chicks (n= 14) were used for the tetrodotoxin (TTX) intravitreal injection procedure. Each chick was anesthetized as described above. Then, using a Hamilton syringe, 10  $\mu$ l of phosphate buffer solution (PBS) containing 1.0  $\mu$ g of TTX was injected into the vitreous of the treated eye. A preliminary experiment showed that this concentration (0.1  $\mu$ g/ $\mu$ l) of TTX causes the desired blockade in each age group of the chicks. The contralateral sham eye was intravitreally injected with 10  $\mu$ l of the PBS vehicle only. The injections were repeated 1 hour before every ERG procedure.

### **3.5 Verification of optic nerve / RGC dysfunction**

To ascertain whether the optic nerve section procedure was successful, each bird's pupillary reaction were tested (one eye at a time) a day before ERG testing for the ONS group. Pupils were tested one hour after injection for birds in the TTX group. The bird was restrained, and its beak placed on a head holder whilst a custom-made lid retractor was used to open the eyelid. The left eye was patched with

a black electrical tape. A pen torch was then switched on to elicit the pupillary test on the right eye. The light was directed to the center of the pupil. The pupillary response was then noted. The pupillary reaction of the left eye was similarly tested with the right eye patched. The pupillary test is known to be an effective indicator of whether ONS treatments (McBrien et al., 1995, Wildsoet and Wallman, 1995, Wong-Riley et al., 1989a) or TTX treatments on the eye (Wildsoet and Wallman 1995) were successful, indicated by fixed dilated pupils when stimulating the treated eyes, and light-induced pupillary constriction in the control eyes.

To further confirm the success the optic nerve dysfunction procedure, each chicken for this study went through visual tracking testing. Each bird was restrained with their heads allowed to move freely and placed on a stage with the untested eye occluded. The chicken was then presented at the central view with the OKN Strips IOS application on an Iphone 6 (Apple, Cupertino, USA), which produced a moving 0.2 cpd (cycle per degree) white and 100% black square wave grating placed 5 cm away from the chicken eyes. The stripes moved nasal to temporal first and from temporal to nasal. The success of the treatment procedure is indicated by the absence of visual tracking of the chicken in the treated (dysfunction) eye and the presence of the tracking movement in the sham (control) eye. In all cases, the ONS/TTX injections were successful.

### **3.6 Chicken retinal histology procedure**

Six chickens were used for histological analyses (6 optic nerve-sectioned eyes and their contralateral sham eyes). The ONS and sham procedure was as described in Section 3.3. The enucleated eyes were cut at the ora serrata. The vitreous and the anterior portion were removed, leaving the posterior eye cup. The eye cup was fixed in 4% paraformaldehyde (w/v) with 3% sucrose (w/v) in 0.1M Sorensen's sodium buffer (23.996 g/L  $\text{NaH}_2\text{PO}_4$ , 23.392 g/L  $\text{Na}_2\text{HPO}_4$  in deionised water; pH of 7.5) for 20 mins. The tissue was then washed in 0.1M Sorensen's buffer for 5 min. The washing was

repeated for 3x and the eyecup was then cryoprotected in 30% sucrose (w/v) in 0.1M Sorensen's buffer for 12 hrs. Optimal cutting medium (VWR CA27900-2460) was used to embed the eyecups in 22 mm by 22 mm molds, before freezing. The eye cups were sectioned at 12  $\mu$ m using a cryotome (Leica CM 1900 UV). The sections were mounted on Superfrost<sup>TM</sup> Plus glass slides (VWR CA CA48311-703) and air-dried before being stored in a -20°C freezer.

Only tissue from the central retina was used for the histological staining. After washing with PBS (3x in 5 minutes), slides were stained with 4',6-diamidino-2-phenylindole (DAPI; 1M, 5 min; Invitrogen, Waltham, MA, USA) to label the retinal cell nuclei. Slides were mounted with antifading mountant and a cover slip before viewing under a microscope.

### **3.6.1 Microscopic Imaging, and histology analyses.**

An upright fluorescence deconvolution microscope (Zeiss Axio Image.Z2) was used to capture the microscope images. The image of the chicken central region of the retina was taken at 20x magnification. The total number of cells at GCL layers was counted across the retinal image length. Cells within one cell diameter away from the GCL were counted. For the INL, 100  $\mu$ m wide rectangular boxes containing the entire INL height were superimposed on each image, and all cells located in or touching the box were counted.

The cells were counted three times and averaged. The averaged cell count from the treated and control eyes were statistically analyzed with paired t-test.  $P \leq 0.05$  was considered statistically significant.

### **3.7 Measurement of visual function: Electroretinograms (ERGs)**

For both the ONS and TTX groups, ERGs were recorded on day 0, prior to any treatment, then again 3, 5, 7, 10 and 21 days after the day 0 recording. To anesthetize the chick cornea, one drop of proparacaine (Alcon Inc., Mississauga, ON, Canada) was applied to each cornea. After 1 minute, one

drop of vecuronium bromide mixture (VB) was applied to the cornea to dilate the chick's pupil. The contents of the VB were 3 mg/ml of vecuronium bromide (Sigma-Aldrich, Oakville, ON, Canada), 1% methylcellulose (Sigma-Aldrich, Oakville, ON, Canada), and 0.13% benzalkonium chloride (Sigma-Aldrich, Oakville, ON, Canada). One drop of VB was applied every minute for a total of four drops in each eye. The chick was then injected with a combination of ketamine/xylazine (53.3 mg/kg and 5.3 mg/kg, respectively).

After anesthesia induction (no movement upon prodding), the chick's head was placed in a head-holder that was attached to a heated platform. A sterile lubricant, Cellulvisc (Allergan Inc., Irvine, CA, USA), was applied to a temperature probe which was placed in the bird's rectum to monitor the well-being of the bird. The back of the head was wiped with a sterile alcohol pad. Two platinum needle reference electrodes (Diagnosys LLC., Lowell, MA, USA), one for each eye, were inserted under the skin of swabbed areas 3 mm from the lateral eye canthus of each eye. Eyelids were kept open with custom-made lid retractors. To keep the cornea moist, one lubricating drop of Celluvisc was placed on each cornea before placing a custom-made loop electrode on the cornea.

The light stimulation and ERG recording system used in this study was an Espion E2 with AC amplifier (Diagnosys LLC., Lowell, MA, USA). The light was delivered through two mini-ganzfeld stimulators (Espion ColorBurst units, Diagnosys LLC., Lowell, MA, USA). The ganzfeld stimulators were placed such that eyes were equally illuminated. For each eye, the ganzfeld was consistently placed such that the eye of the chick was at the center, and the plane of the opening was parallel to the surface of the chick's eye. ERGs for the treated eyes and the control eyes were tested simultaneously in each bird to avoid confounding effects, such as anesthesia (Choh et al., 2017) on ERG variability from sequential testing.

### **3.8 Stimulus protocols for ERG studies**

#### **3.8.1 Dark-adapted ERG stimulus-response series**

Full-field, dark-adapted ERGs were recorded bilaterally. All dark-adapted ERGs were recorded in the night-time to activate the chicken rods (Schaeffel et al. 1991). Before the ERG recordings, the chick was dark-adapted for an additional 20 min to negate any effect of the dim red illumination. Each dark-adapted ERG was recorded for 500 ms after the flash, with a 50 ms pre-trigger, and a sampling frequency of 1000 Hz with a band pass of 0.1 to 1000 Hz. The stimulus was a 4 ms full-field white flash on dark background. White flash was generated using the three sets of narrow band light-emitting diodes (LEDs) incorporated in Colorburst® mini ganzfeld stimulators. The central wavelengths of the LEDs were 650 nm (red), 588 nm (Green), 524 nm (amber) and 470 nm (blue), respectively, and the equivalent colour temperature of the white stimulus was 6500°K.

Following a no-stimulus baseline measure, flash stimuli were incremented in 0.25 log unit steps from -5.25 log cd.s/m<sup>2</sup> to the maximum available stimulus of +1.50 log cd.s/m<sup>2</sup> (Table 1). All measurements, regardless of adaptation state, are expressed in photopic units as is conventional in clinical electrophysiology (McCulloch and Hamilton, 2010, McCulloch et al. 2015).

The dark-adapted ERG parameters measured were the amplitudes and implicit times of nSTR, pSTR, a- and b-waves. Implicit times were measured to the maximum or minimum of the peaks or troughs, and amplitudes were either from baseline or peak to peak described in Table 1. Oscillatory potentials were simultaneously isolated from the dark-adapted ERGs to 3.0 cd.s/m<sup>2</sup> stimuli. A separate channel with a band pass frequency filter of 50-300 Hz was used to isolate the OPs, as done in a similar study in chicken flash ERGs (Ostrin et al. 2016).

**Table 1: The stimulus parameters and ERG waveform measurements for dark-adapted ERG luminance-response series.**

Step range	Type of ERG	Log steps	Range of flash strength cd.s/m <sup>2</sup>	ERG parameters recorded	No. of sweeps	Measurement of Amplitude
1	No ERG	NA	No flash	No parameters	20-30	
2-11	Scotopic Threshold Responses	0.25	-5.25 to -3.0 log	nSTR	20-40	From the baseline to STR trough.
18-21	Scotopic ERGs	0.25	-2.25 to -1.75 log	DA standard b-wave	10-20	From the trough to the peak of the DA standard b-wave.
22-31	Scotopic ERGs	0.5	-1.25 to +1.5 log	a-, b-waves and OPs	3-6	a-wave: from the trough to baseline b-wave: from the trough of a-wave to the peak of b-wave OPs: RMS between 5 to 55 ms

### 3.8.2 Light-adapted ERG stimulus-response series

For the light-adapted ERG protocols, the dilated eyes were light-adapted to 30 cd/m<sup>2</sup> white light for 10 minutes using the mini ganzfeld stimulators. Full-field, light-adapted, ERGs were recorded simultaneously from both eyes to 4 ms long-wavelength (red) flashes (LED with peak  $\lambda = 650$  nm) in increasing flash luminance from 0.1 to 8 cd.s/m<sup>2</sup> on a rod suppressing short-wavelength (blue) background (LED peak  $\lambda = 462$  nm) of 30 cd/m<sup>2</sup>. Table 2 shows the stimulus parameters. Each ERG

was recorded from a pre-triggered baseline of 50 ms to 500 ms after the flash, with a sampling frequency of 1000 Hz. An in-built analogue 60 Hz notch filter from the ERG system was used to reduce line frequency noise. Each step of the ERG protocol had at least 4 flash stimulus sweeps, which were then averaged as one result. For weak stimuli, up to 10 flashes were averaged to determine whether a detectable ERG was present.

**Table 2: The stimulus parameters and ERG waveform measures for the light-adapted ERG luminance-response series.**

Step range	Type of ERG	No. of sweeps	Range of flash strength (log cd.s/m <sup>2</sup> )	ERG parameters recorded	Measurement of Amplitude
1	No ERG	≥5	No flash	-	-
2-9	Light adapted ERGs	≥5	-0.1 to 0.75 in log steps of 0.25	a-wave b-wave PhNR	a-wave: from trough to baseline b-wave: from a-wave trough to peak the of b-wave PhNR: from the trough of PhNR to the peak of b-wave

The parameters measured for this light-adapted ERG protocol were a-wave, b-wave, and PhNR. The Espion E2 software (Diagnosys LLC., Lowell, MA, USA) was set to autodetect the trough of the a-wave, PhNR, and the peak of the b-wave based on maximum and minimum points in pre-selected post-stimulus time ranges. These were manually adjusted after reviewing the ERG waveforms and deleting any sweep contaminated by artifact. The amplitude of the a-wave was measured from the baseline to trough of a-wave, the b-wave from the trough of the a-wave to the b-wave peak and the

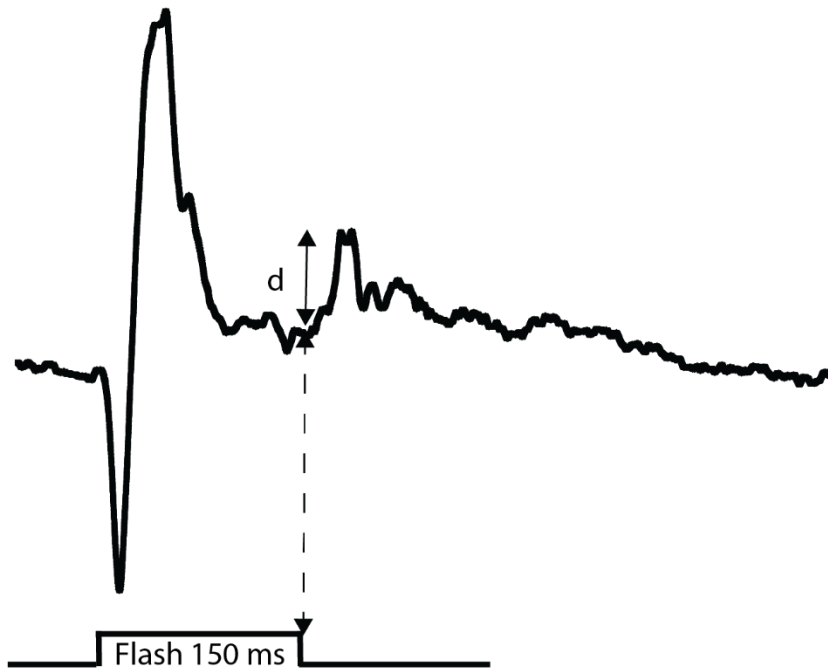


PhNR was measured from the peak of the b-wave to the trough of the PhNR. The implicit time of all the parameters was measured from the mid-point of the stimulus flash to the peak of the ERG wave. The amplitude and implicit time of the ERG parameters were then exported as Microsoft Excel files (Richmond, Washington, USA) for statistical analysis.

### **3.8.3 Long-flash ERGs**

Full-field, long-flash (150 ms) ERGs were recorded bilaterally. The filters, sampling frequency and the pre-trigger and post-trigger times were matched to the protocol for recording the light-adapted ERG stimulus-response function (section 3.8.2). However, the long-flash duration and luminance matched those of the ISCEV extended protocol (250 cd/m<sup>2</sup> flashes on 30 cd/m<sup>2</sup> background), but the wavelengths determined in pilot study 2 (section 4.2) were used. The parameters measured were onset a- and b-waves, and offset d-waves. The responses to at least 5-10 long-flash stimuli were averaged and analyzed. The bilateral ERGs were recorded on day 0 (baseline, no treatment) and again on days 3, 5, 7, 14 and 21 post-baselines for the ONS (8 birds) and TTX (5 birds) groups on day 0 and again on days 3, 14 and 21 post-baselines.

The amplitude and implicit time of the onset a- and b-waves were analyzed as above (section 3.8.2, Table 2), and the offset d-wave amplitude was measured relative from the offset point (at 150 ms) to the peak of the d-wave onset as shown in Figure 6.



**Figure 6: Chicken long-flash ERGs, showing how the d-wave amplitude parameters were measured. d, denotes the measurement of the amplitude from the off-set point to the first peak of d-wave.**

### **3.9 Data analysis of ERGs.**

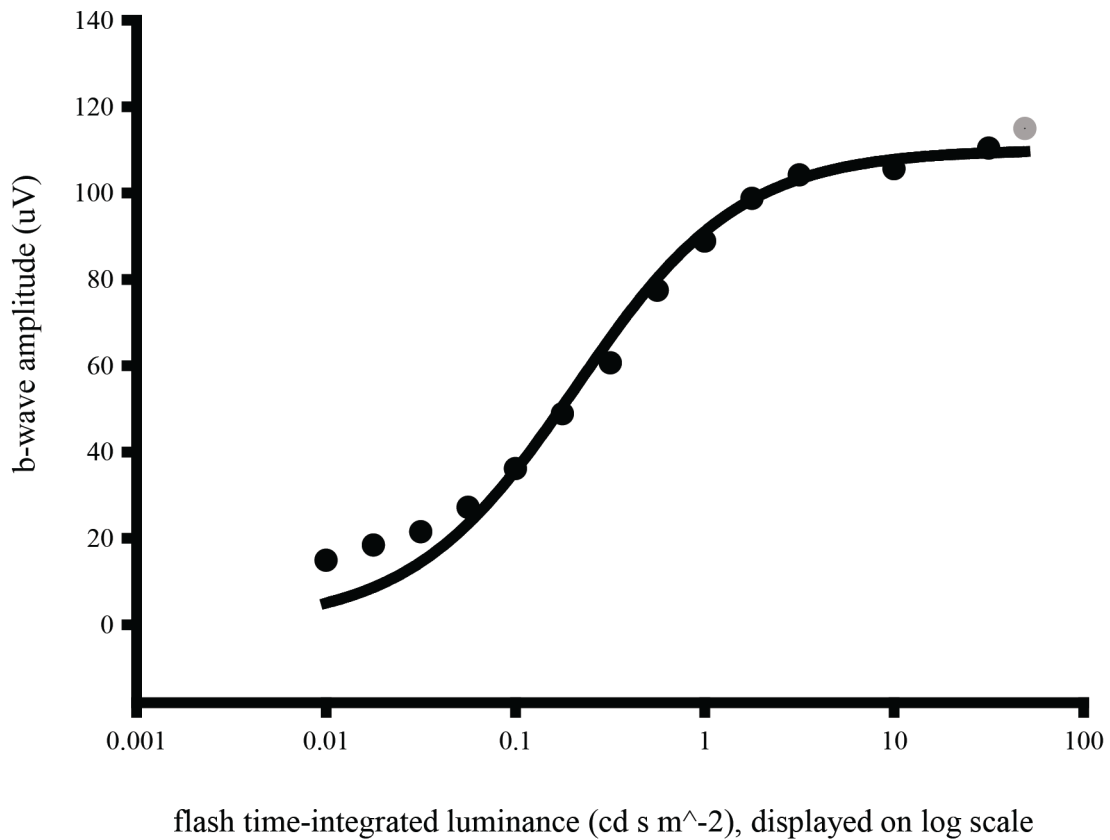
Dark- and light-adapted a-, b-wave amplitudes and implicit times, light- and dark-adapted OPs, light-adapted d-wave amplitudes and implicit times were measured and analyzed. For each luminance-response series, some overall parameters were derived using the appropriate curve-fitting methods.

Curve fitting for the stimulus-response series for the amplitudes of the dark-adapted a- and b-waves, the light-adapted b-wave and the PhNR were fitted using least-squares curve fitting based on the ERG measurements for each subject at each time point. For these measurements, parameters were obtained through curve fitting using the Naka-Rushton curve:

$$V = \frac{(V_{\max} \cdot I^n)}{(I^n + K^n)}$$

where V is the amplitude in response to a flash strength of I, Vmax is the maximum (saturated) amplitude, K is the sensitivity (flash strength at half Vmax) and the slope parameter (n) is constrained to 1 (Hamilton et al. 2007).

R-studio set codes (Appendix E) was used for the least-squares fitting of Naka Rushton curves. In all cases of fitting  $R^2 \geq 0.88$  or better was used. The set of codes was written by Vivian Choh, PhD and was modified by the candidate with permission. The Naka-Rushton equation of the dark-adapted a- and b-waves, as well as the light-adapted b-wave and PhNR, was constrained to a slope (n) of one (1) as suggested by Hamilton et al (2007) and as used in some chick stimulus-response fittings by Montiani-Ferreira et al. (2007). Following the suggested protocols of Severns and Johnson (1993) and Joshi et al. (2017), the Naka Rushton curve was used to fit all points of the ERG stimulus-response function, unless the last point of the function showed a decline following saturation or a sharp rise of the secondary rising function (Figure 7).



**Figure 7: A representative fitting of the Naka-Rushton curve to a dark-adapted b-wave amplitude stimulus-response curve. The dark line indicates the part of the curve that was fitted, and grey point was excluded as part of the secondary rising phase (Severns & Johnston 1993).**

All light-adapted a-waves did not saturate at higher light intensities, and therefore were fitted with a power function:

$$V = a * i^b$$

where V is the light-adapted a-wave amplitude in response to the stimulus intensity, i, and a and b are parameters of the curve fit. In all cases,  $R^2 \geq 0.88$  was considered a good fit. The light-adapted

amplitude used for the analysis was interpolated from the individually fitted power functions for a stimulus of 3 cd.s/m<sup>2</sup>.

The extracted OPs amplitudes were quantified by calculating the root mean square (RMS) of signals within 5 to 55 ms post-flash. A similar method was used in the study of OPs by Wang et al. (2015) and Gur et al. (1987).

The data were analyzed using GraphPad Prism version 9 software (GraphPad Prism Inc, San Diego, USA). The differences between eyes at baseline (day 0) for the chicken ERG data were analyzed a priori using paired t-tests.

Two-way repeated-measures analysis of variance (ANOVA) was used to analyze the main effects of treatment (sham vs treatment) and age (maturation period of 3,5,7,14 and 21 days post-treatment), and the interaction (treatment x age) on NK-Rushton parameters (Vmax, K) of DA a- and b-waves and LA b-waves and PhNR), interpolated a-wave (for light-adapted a-wave) or long-flash ERG parameters as well as implicit times. In the event of missing data (as was the case in all the ONS studies), the two-way repeated ANOVA method was replaced by mixed-effects modelling. If the assumption of sphericity was violated, Greenhouse-Geisser corrections were used.

Bonferroni test was used for post-hoc comparison.  $P \leq 0.05$  was considered statistically significant for all statistical tests in this study.

Cohen's d, d, was used for post-hoc power analysis to estimate the effect size of the main effect of treatment:

$$d = \frac{\mu_1 - \mu_2}{\sqrt{[(\sigma_1^2 + \sigma_2^2)/2]}}$$

Where  $\mu$  is the pooled mean and  $\sigma$  is the pooled standard deviation of the main effects in sham, 1, and treated, 2, eyes. As used by Ahmadiéh et al. (2021) on ERG parameters, the Cohen's d can be grouped into "negligible" ( $d < 0.2$ ), small ( $0.2 < d < 0.5$ ), medium ( $0.5 < d < 0.8$ ), large ( $d > 0.8$ ).

### **3.9.1 Sample size calculations**

Due to the paucity of data on the effect of ONS on ERGs in birds, the sample size arrived at for this study was based on the rat model (L Alarcon-Martinez et al. 2009) and non-human primate model (Viswanathan et al., 1999) where at least 40% of STRs or PhNR were lost after RGC loss respectively. However, since there are notable differences in chicken and rodents' and primates' retinae, power calculations were based on a more conservative value for the expected difference of 25%. Two-tailed analysis with an expected change of 25%, a standard deviation of 10%, alpha of 0.05 and power goal of 0.80 gave the sample size of 4 (minimum), calculated using Statistica V8 software (Statsoft Inc, Tulsa, OK). Hence, this study uses a minimum of  $n=4$  birds for statistical analysis with 80% power to detect amplitude differences of 25%.

The sample size calculation for the histology work is based on work done in our lab (Chong et al. 2013) with chicks which shows  $n=3$  for statistical analysis with 80% power to detected differences.

### **3.9.2 One-tailed or two-tailed analysis.**

For this thesis, two-tailed statistical analysis was employed throughout. Currently, several studies point to the fact that stress on RGC could lead to either reduction of ERG amplitudes (Bui and Fortune, 2004, Viswanathan et al., 1999) or its enhancement (Choh et al., 2016, Tan et al., 2018). Since the effect of RGCs dysfunction could go either way, a two-tailed statistical analysis was performed throughout this study.

## Chapter 4

### Pilot studies

#### 4.1 Introduction

Because of the paucity of ERG data in chicks using coloured LED stimuli, preliminary experiments were carried out to inform the stimulus selection for the main studies.

#### 4.1 Pilot study 1: Effect of intra-orbital bleeding on subsequent light-adapted ERGs in chicks

Purpose: It has been documented that the blood supply to the retina affects the ERGs of some species (Block and Schwarz, 1998). Little is known of the effect of the blood supply in chicken ERGs.

Moreover, some surgeries in this study resulted in intra-orbital bleeding; therefore, a pilot study was undertaken to determine if chickens with intra-orbital bleeding should be excluded from the study.

Methods: Light-adapted ERGs were recorded on day three post-ONS, using eyes of chicks with sham surgery performed at 1-day after hatch that bled during the procedure (n=5) or that did not bleed (n=5) during the procedure. The setup for the surgery and ERG is as described in section 3.3, and the setup for the ERGs was as described for light-adapted ERGs (section 3.8.2), except that stimuli were white flashes (3.0 cd.s/m<sup>2</sup>) on a white (30 cd/m<sup>2</sup>) background.

Results and discussion: Three days after surgery, there were no differences in the amplitudes of the a-waves (p = 0.88, t-test) or b-waves (p=0.980, t-test) or implicit times (a-wave: p = 0.73; b-wave: p = 0.69) between the ERGs of sham-treated eyes in chicks that had intra-orbital bleeding and those that did not.

Conclusion: No further exclusion criteria relating to surgical bleeding were added to the study. Each eye of chicks with and without intra-orbital bleeding was included in all cohorts.

## 4.2 Pilot study 2: Comparison of broad spectrum and long wavelength stimuli for chick dark-adapted ERG luminance-response series

**Purpose:** To determine the normal chick scotopic threshold response (STR) and dark-adapted ERG luminance-response series. Since the long-wavelength light activates mostly chicken retinal cones, a comparison of the ERGs from white light and red light could be used to indicate the differences of the cone ERG waveform from the rod ERG waveform.

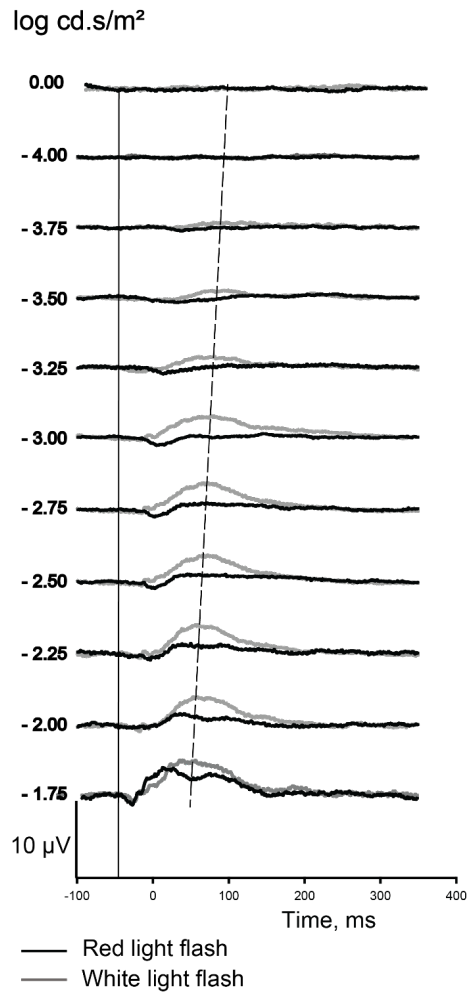
**Method:** The same procedure (section 3.8.1) for recording bilateral dark-adapted ERGs was used for 3 normal (not treated) birds day 15 post-hatch. An additional red flash ERG luminance-response series using the long-wavelength LED flash stimulus (peak output of 650 nm) matched to the white stimuli for photopic time-integrated luminance up to the maximum of  $\log -1.75 \text{ cd.s/m}^2$  was conducted a day after the white flash dark-adapted ERGs series but within the same time (midnight to 3 am). A description of the ERG waveforms of the left eye was reported.

**Results:** For all chicks, there was no detectable dark-adapted ERG for very dim white or red flashes ( $-6$  to  $-4 \log \text{ cd.s/m}^2$ ). For red and white flashes from  $-3.5$  to  $-2.25 \log \text{ cd.s/m}^2$ , ERGs tended to be small, below  $4 \mu\text{V}$ . For both red and white light stimuli of  $-2.75 \log \text{ cd.s/m}^2$  and stronger, chicks show no negative going ERGs but a positive going ERGs. With increasing stimulus strength, the positive ERG waveform showed increasing amplitudes and shorter implicit times. The response from the white light showed larger amplitudes at lower thresholds as compared responses to red stimuli, which appeared smaller and double peaked. A typical dark-adapted luminance-response series for red and white flash stimuli is shown in Figure 8.

**Conclusion:** Although the ERGs recorded between  $-3$  and  $-2.25 \log \text{ cd.s/m}^2$  were small and most were below the  $4 \mu\text{V}$ , it could be observed that such responses were real because they had low noise levels with averaging and the amplitude increased with stronger stimuli.



The positive waveforms of these near threshold ERGs to flash stimuli of  $-2.5 \log \text{cd.s/m}^2$ ,  $-2.25 \log \text{cd.s/m}^2$  and  $-2 \log \text{cd.s/m}^2$  were considered the dark-adapted b-wave and were averaged for this analysis. White light stimuli were used for the dark-adapted ERGs in the main studies because white light produced larger amplitudes and single peaks, facilitating analysis.



**Figure 8: A representative dark-adapted ERG luminance-response series to weak white- and red-flash stimuli.**

Dark-adapted ERGs to red (black line) and white (grey line) stimuli from a chick at day 15 (white flash) and day 16 (for red flash series). The dotted line shows decreasing implicit time of the DA standard b-wave to white flash, while the vertical line depicts the midpoint of the 4 ms flash stimuli.

### **4.3 Pilot study 3: Effects of spectral characteristics and duration on light-adapted ERGs in chicks**

**Purpose:** To inform the spectral characteristics and flash duration for stimulus selection for the light-adapted ERG studies.

**Methods:** Bilateral ERGs were recorded using the standard preparation and anesthesia (see section 3.7) for four hatchlings with no other experimental intervention (normal) when they were 14- and 21-days post-hatch. Following 10 minutes of light-adaptation, different stimulus combinations were used to determine suitable spectral characteristics for the flash stimulus and the 30 cd/m<sup>2</sup>, background illumination for brief flash ERGs. Additional studies were conducted to determine a suitable duration for long-flash stimuli using the same normal chicks. The parameters for the flashes used for the light-adapted brief and long-flash ERG pilot studies are presented in Table 3.

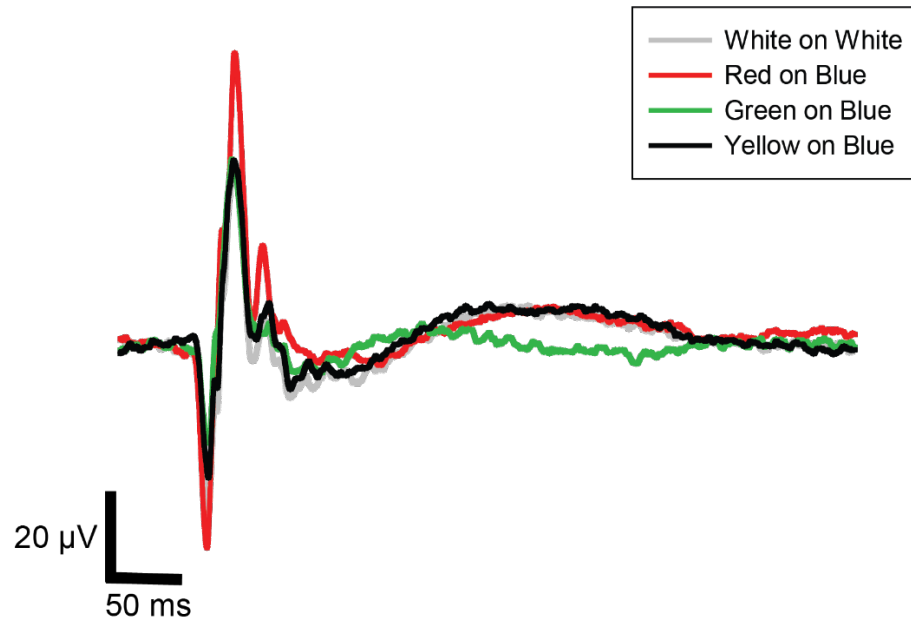
The mini-ganzfeld stimulators were supplied with three sets of narrow band-width LEDs, with peak outputs at a long wavelength (650 nm, 'red'), a medium wavelength (510 nm, 'green') and a short wavelength (462 nm 'blue'). All stimuli were calibrated using photopic spectral sensitivity. The three LED sources could be combined. Balanced output from the three sets of LED simulated broad spectrum (white) light. Long and medium wavelength outputs were combined for yellow stimuli. The strength of brief flashes was controlled by a combination of power to the LEDs and flash duration, as shown in the Table 3.

**Table 3: Stimuli and background illumination for light-adapted ERGs.**

Comparison	Type of ERG	Stimulus strength	Stimulus/ Background*
Spectral combination	Brief-flash	1 cd.s/m <sup>2</sup>	White on White Red on Blue Green on Blue Yellow on Blue
Spectral combination	Long-flash 150 ms	250 cd/m <sup>2</sup>	White on White Red on Blue Green on Blue
Stimulus duration	Long-flash 5, 10, 30, 50, 100, 150 and 200 ms	250 cd/m <sup>2</sup>	Red on blue
Stimulus luminance	Brief-flash	0.3, 1, 3, 5 & 8 cd.s/m <sup>2</sup>	Red on blue

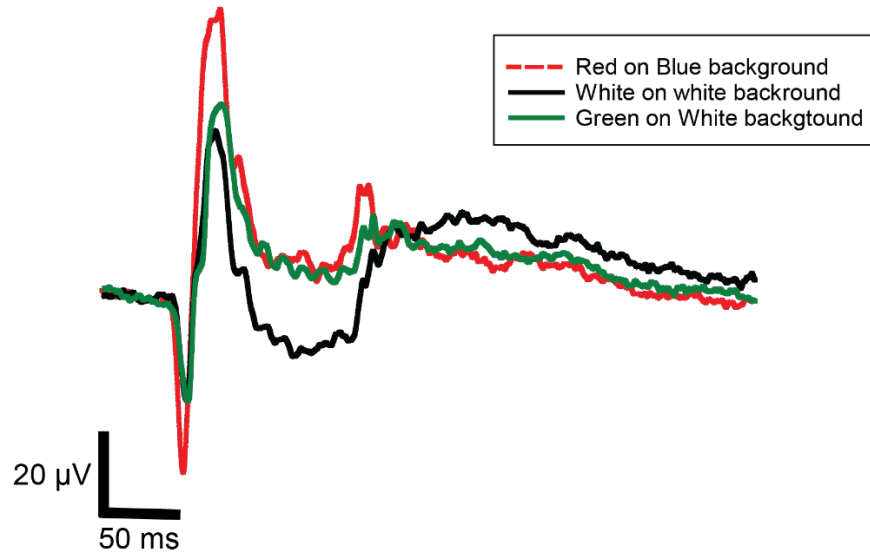
\* All light adapting backgrounds were 30 cd/m<sup>2</sup> for 10 minutes.

**Results:** The light-adapted, 1 cd.s/m<sup>2</sup>, brief flash ERG waveforms using the different spectral combinations in Table 3 (top row) are shown in Figure 9. The series shows that ERGs to a red flash stimulus on blue rod-suppressing background elicit the largest amplitudes for a- and b-waves. This was also found for the oscillatory potentials and i-waves.

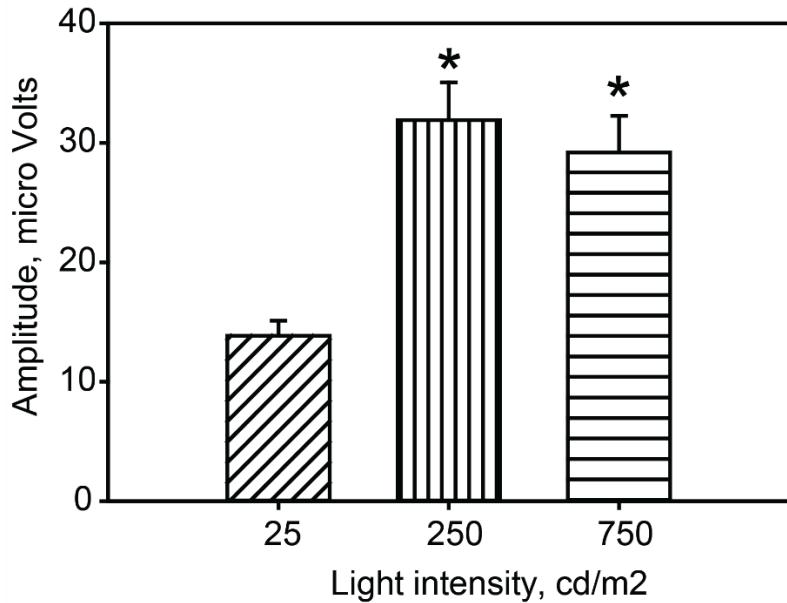


**Figure 9: Representative ERGs from an untreated eye of a 14-day-old chick are shown for brief 1.0 cd.s/m<sup>2</sup> flashes with 30 cd/m<sup>2</sup> backgrounds using different spectral combinations (Table 3).**

ERGs for the long-flash stimuli of 250 cd.s/m<sup>2</sup>, using different spectral combinations, are shown in Figure 10. The series shows that the ERGs in response to the red, long-flash stimulus on blue background provide the largest amplitudes and a well-defined offset d-wave. To determine the stimulus strength to use for the long-flash ERG studies, the 250 cd/m<sup>2</sup> gave a larger d-wave compared with those for 25 and 700 cd/m<sup>2</sup>; the difference reached significance ( $p = 0.04$ ) compared to the 25 cd/m<sup>2</sup> stimulus (Figure 11).



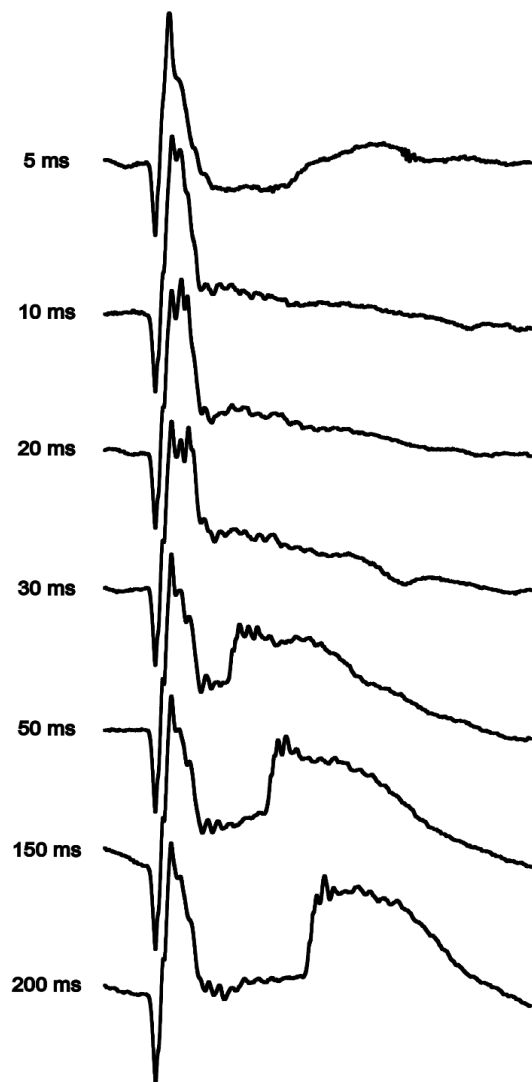
**Figure 10: Representative ERGs for the long-flash stimuli of 150 ms at 250 cd/m<sup>2</sup>, using the different spectral combinations in Table 3.**



**Figure 11: The d-wave amplitude is shown for 4 normal chicks to different luminance levels for a long-red flash stimulus.**

**The ERGs were recorded on 4 chicks who were 14-day post-hatch. \* amplitudes ( $p = 0.04$ ) were higher for stronger stimuli, ( $250 \text{ cd/m}^2$  and  $750 \text{ cd/m}^2$ ) than those for the long-flash stimulus of  $25 \text{ cd/m}^2$  stimulus.**

For flashes from 5 to 20 ms duration the onset and offset ERG waveforms overlapped and could not be independently distinguished (Figure 12). For red-on-blue long-flashes, the onset and offset ERGs were clearly separated for long-flashes exceeding 20 ms (Figure 12).



**Figure 12: A representative long-flash ERG series from a normal chick recorded 14 days post-hatch for stimuli with different stimulus durations (5 - 200 ms) using 250 cd/m<sup>2</sup> blue flash on a 30 cd/m<sup>2</sup> blue background.**

**Conclusions:** Red flash (1 cd.s/m<sup>2</sup>) on a blue (30 cd/m<sup>2</sup>) background was chosen as the stimulus combination to measure the PhNR in the main light-adapted ERG study. For the long-flash ERGs studies, the red on blue stimulus was chosen with the red flash luminance of 250 cd/m<sup>2</sup>. The duration



of 150 ms was chosen to match the ISCEV extended protocol, although only the very short durations (< 30 ms) caused overlap between the on- and off-responses.

#### **4.4 Summary of final method**

Following the pilot studies, the protocols for the main were fixed. These are summarized below along with a synopsis of the methods from Chapter 3.

##### **4.4.1 The effect of ONS on chicken flash ERGs and retinal histology**

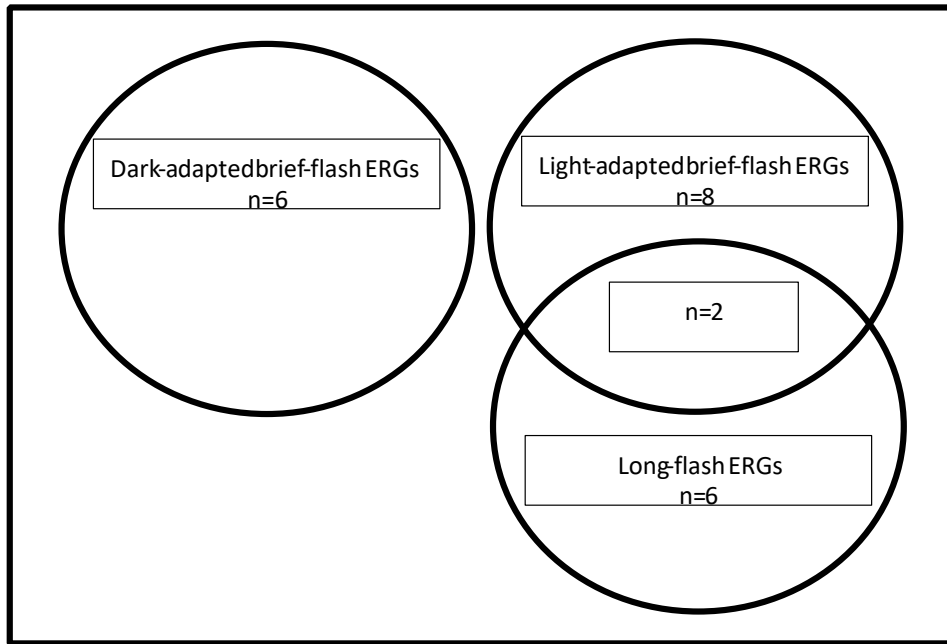
###### **Histology**

For the histology, six chickens with ONS treated (n=6) and contralateral sham (n=6) eyes were used. The total number of cells at GCL layers and INL layers were counted across the Z-stack images of the chicken central region of the retina.

###### **ONS and ERGs**

The optic nerve sectioning (ONS) and electroretinograms (ERG) procedures were performed as described in sections 3.2 to 3.5, 3.7 and 3.8. Separate ONS birds were used to collect the dark-adapted and light-adapted ERGs (ONS total: n=22). The ERGs done on the chickens were grouped into dark-adapted (DA) ERGs (DA standard b-wave, dark-adapted a- and b-waves, n=6), light-adapted (LA) ERGs (a-, b-waves, PhNR, n=10), long-flash ERGs (a-, b-, and d-waves, n=8). From the pilot 2 study, data from 0.01 cd.s/m<sup>2</sup> stimuli were analyzed as the DA standard (0.01) b-wave, and DA b-wave curve fitting used responses to 0.01 cd.s/m<sup>2</sup> and stronger because responses from below 0.01 cd.s/m<sup>2</sup> stimuli were below noise level in some cases. The sample size of each group is as shown in Figure 13. The minimum sample size of 4 was calculated as described in section 3.9.1. Each study was carried out on separate birds except for the light-adapted ERGs groups, for which two birds in the ONS group (Figure 13) were used for both brief and long-flash light-adapted ERGs. For the optic nerve-sectioned

(ONS) group of birds, ERGs were collected when the bird were one-day old, just prior to ONS surgery (refers to 0 post-treatment), and again at days 3, 5, 7, 14 and 21 post-treatment.

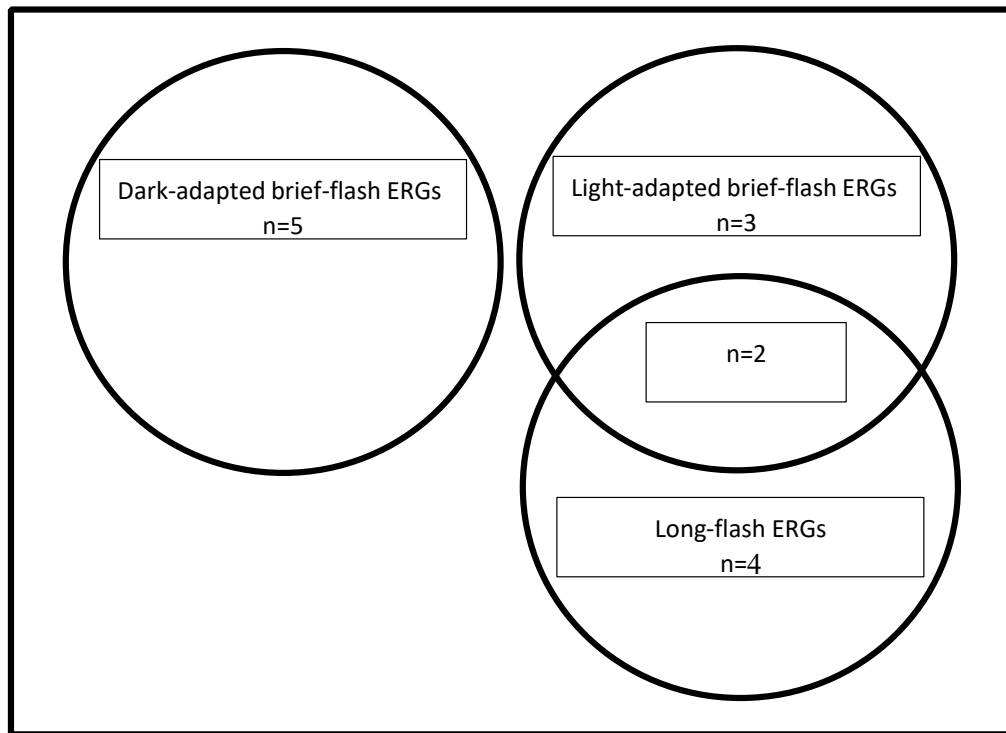


**Figure 13: A Venn diagram outlining the number and distribution of birds in the ONS experimental groups.**

#### **4.4.2 The effect of TTX on chicken flash ERGs**

Similar to 4.5.1, the intravitreal injections of TTX and ERG procedures were performed as described in 3.2 to 3.5, 3.7 and 3.8. Separate TTX birds were used to collect the dark-adapted and light-adapted ERGs (total TTX: n=14). The ERGs done on the chickens were grouped into DA ERGs (n=5), LA ERGs (n=5), long-flash ERGs (n=6). From the pilot 2 study, only data from 0.01 cd.s/m<sup>2</sup> stimuli was analyzed for DA standard (0.01) b-wave because the response from below 0.01 cd.s/m<sup>2</sup> stimuli was below noise level. Each study was carried out on separate birds except for the light-adapted ERGs groups, for which two birds in the TTX group were used for both brief and long-flash light-adapted

ERGs. The sample size of each group is as shown in Figure 14. The minimum sample size of 4 was calculated as described in section 3.6.1. For the intravitreal injection of TTX groups of birds, ERGs were collected when the birds were one day old, prior to the first intravitreal injection of TTX (refers to 0 post-treatment), and again at days 3, 5, 7, 14 and 21 after the first injection (post-baseline). The injection was repeated before each ERGs as described in section 3.4.



**Figure 14: A Venn diagram outlining the intravitreal injection of TTX experimental groups and the distribution of birds.**

#### **4.4.3 Effect of ONS or TTX on long-flash light-adapted chicken ERGs**

For the long-flash ERGs studies, the red on blue stimulus was chosen with the red flash luminance of 250 cd/m<sup>2</sup>. The duration of 150 ms was chosen to match the ISCEV extended protocol, although only

the very short durations ( $< 30$  ms) caused overlap between the on- and off-responses. A total of 8 birds for ONS and 5 birds for TTX groups were used for this study.

## Chapter 5

### Effect of Optic Nerve Section (ONS) on the chicken ERGs and retinal histology.

#### 5.1 Introduction

The chapter covers the results of the experiments to determine the effect of disrupting retinal function using optic nerve-section surgery (ONS). The focus of this thesis is to find out whether ERG waveforms known to detect RGC deficits in humans can also detect RGC deficits in chickens.

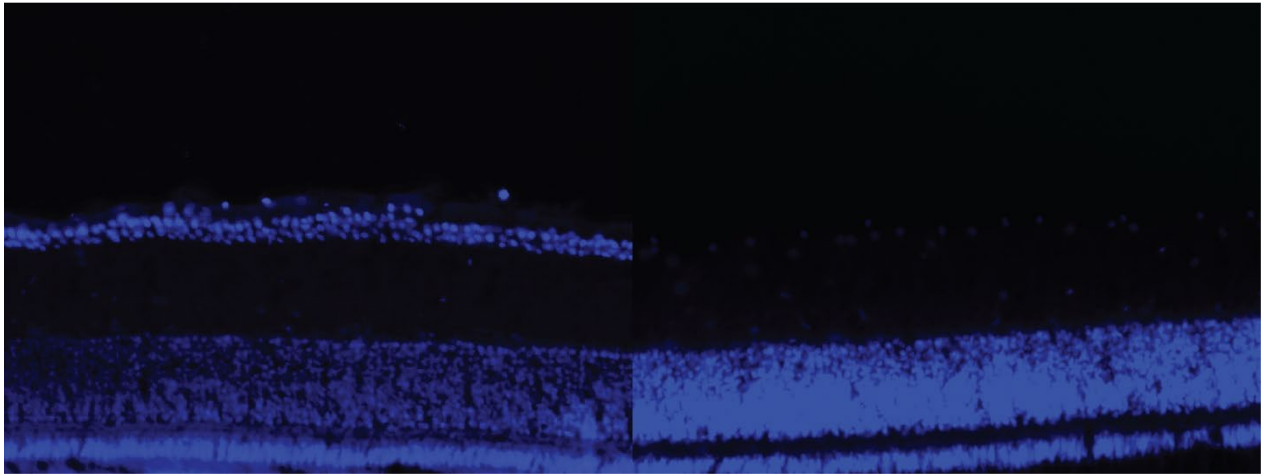
#### 5.2 Effect of the ONS on chicken retinal histology

To determine the effect the ONS on the chicken retinal GCL (ganglion cell layer) and INL (inner nuclear layer), the retina from 6 ONS chicks sacrificed at 21 days post-treatment were analyzed. Retinal tissues were stained with DAPI, and the retinal images were analyzed (see section 3.6 and 3.6.1). Images of the retina of the treated (ONS) and sham control eyes are shown in Figure 15, where these representative images show the depleted RGC cells from the ONS eye. The cell count across an average length of 464  $\mu\text{m}$  of the GCL layers (Figure 16) of the ONS treated eyes were fewer than those of the sham treated eyes ( $52.2 \pm 18.9$  vs  $245.3 \pm 34.9$  cells/mm, respectively;  $p < 0.0001$ ,  $N=6$ , paired t-test).

For the INL (Figure 17), the cell count for 6 pairs of eyes sampled in 100  $\mu\text{m}$  wide boxes that span the depth of INL (average of three counts) were similar for the treated and sham ONS eyes (numbers,  $p=0.41$ ,  $N=6$ , paired t-test). ONS selectively induced reduction of cells in the chicken GCL with a mean loss of 78.7% of the nuclei in the GCL. However, the cell counts in the INL were not different, and showed no effect of ONS versus sham surgery on the INL thickness or cell density.

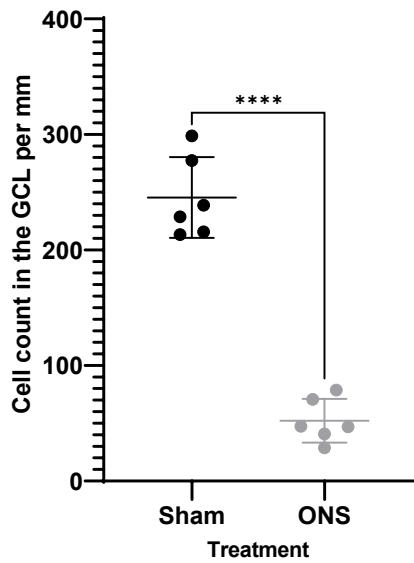
**A**

**B**



**Figure 15: Representative retinal sections labelled with DAPI (blue nuclear stain) of sham-ONS (A) chicken retina and ONS (B) chicken retina.**

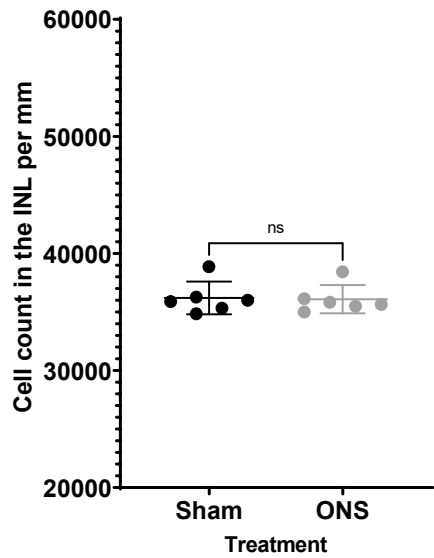
The figure shows depletion of cells in the GCL of the ONS eye and a clear GCL in the sham eye.



**Figure 16: Total number of cells in the ganglion cell layer (GCL) across a 464  $\mu\text{m}$  image of the optic nerve sectioned (ONS) and sham treated chicken eyes.**

Mean, error bars showing standard deviation and dots showing the average counts from individual eyes. The \*\*\*\* denotes p-value of  $\leq 0.0001$  ( $n=6$ ).

The figure shows that there were fewer cells in the GC layer of the ONS eye than in the sham treated eyes of the same chicks sacrificed on day 21 post-ONS and sham treatments.



**Figure 17: Total number of cells in the inner nuclear layer (INL) per mm of the optic nerve sectioned (ONS) and of sham operated chicken eyes with the mean, error bars showing standard deviation and dots shows the averaged counts from individual eyes.**

The ns denotes no statistical difference (n=6). The figure shows that there were similar number (p=41) of cells in the INL in the ONS and sham eyes.



### **5.3 Survival for the ONS chicks for ERGs.**

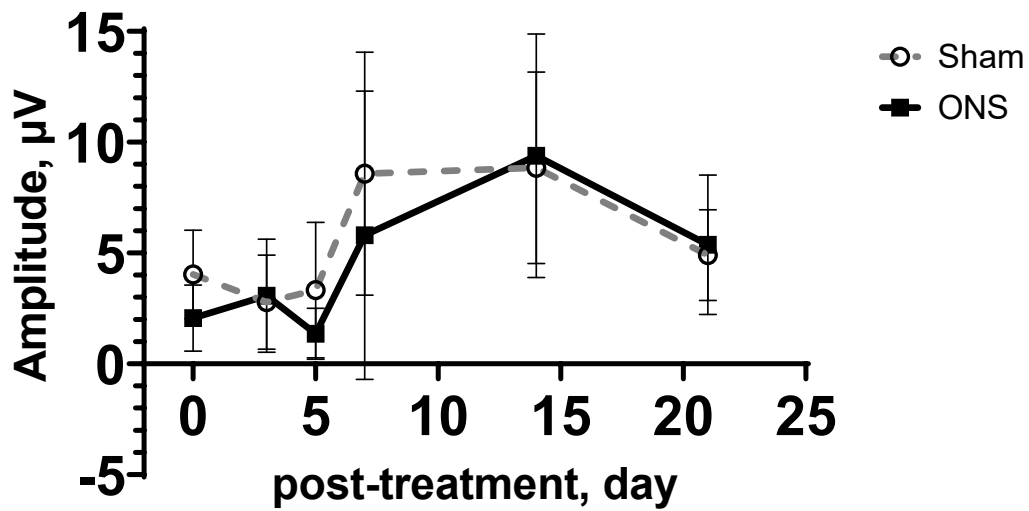
Three birds (1 for dark-adapted group and 2 light-adapted group) were lost on day 10 post-ONS.

Therefore, complete dark-adapted (DA) ERG results are reported for 5 birds along with results up to 7 days for the one additional bird. For light-adapted (LA) ERGs, complete results are reported for 8 birds along with results up to 7 days for the two additional birds.

### **5.4 Dark-adapted ERGs of ONS chicken eyes**

#### **5.4.1 Effect of ONS on chicken dark-adapted b-wave amplitudes in response to 0.01 cd.s/m<sup>2</sup> (DA 0.01 b-wave).**

The DA b-wave ERG amplitudes in response to 0.01 cd.s/m<sup>2</sup> stimuli (DA 0.01 b-wave) was similar (paired t-test,  $p = 0.21$ ,  $N=6$ ) between the pre-treated eyes at day 0 (baseline). The ONS and sham treated fellow eyes (treatment) were not different (main effect of treatment:  $p=0.59$ , Cohen's  $d$ ,  $d=0.36$ ,  $N=6$ ), suggesting that ONS does not affect the DA 0.01 b-wave ERG amplitudes. A main effect of age was detected ( $p=0.003$ ). Bonferroni's post-hoc showed increasing amplitudes between day 3 post-treatment to 7 ( $p=0.02$ ) and decreasing amplitudes between days 14 to 21 post-treatment ( $p=0.04$ ; Figure 18). There was no significant interaction of age and treated eyes on the DA 0.01 b-wave ( $p=0.78$ ).

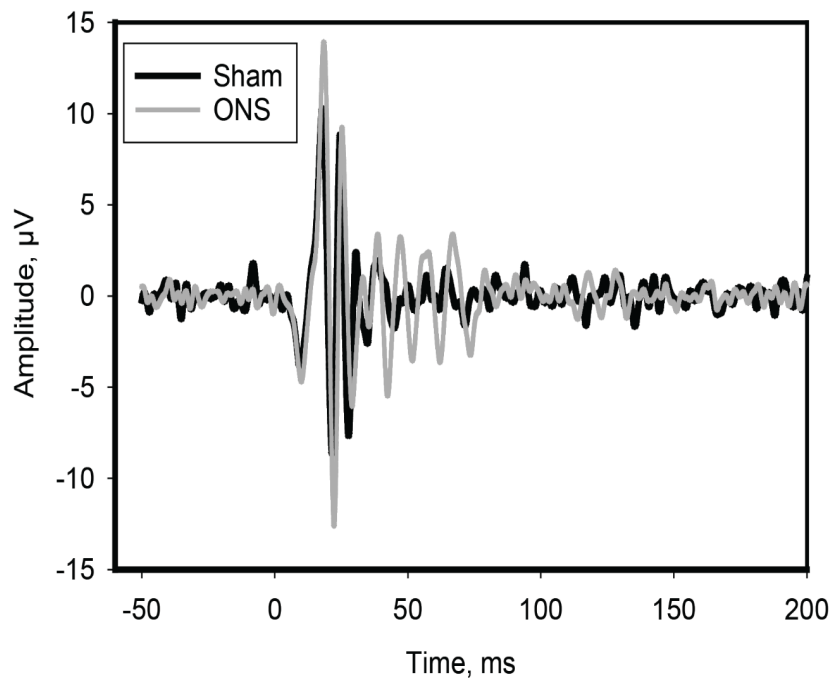


**Figure 18: Mean dark-adapted Standard b-wave amplitudes  $\pm$  SD of ONS-treated eyes and sham fellow eyes at 0, 3, 5, 7, 14- and 21-days post-treatment (n=6 chicks)**

Stimuli were 4ms DA flashes of  $0.01 \text{ cd.s/m}^2$ . Note that on day 0 neither eye had undergone treatment. A main effect of maturation (age) was detected ( $p=0.003$ ).

### 5.4.2 Dark-adapted oscillatory potentials of ONS chicken eyes

OPs were clearly recorded from all chick eyes, as shown in Figure 19. The RMS amplitudes between 5 and 55 ms for the 3 cd.s/m<sup>2</sup> white flash stimulus are shown in Table 4. The pre-treatment eyes (day 0) were not different ( $p=0.34$ ,  $N=6$ ) in OP amplitude. There was no significant difference ( $p=0.51$ ) between the sham and ONS eyes (Table 4), as demonstrated by the inconsistent trend of the lower RMS amplitudes for the ONS eyes on days 3, 7 and 21 but higher RMS amplitudes for days 5 and 21 post-treatments compared with the sham eyes (Table 4). There was no significant difference of the OP RMS amplitudes across the days post-treatment, age ( $p=0.51$ ), nor the interaction of treatment and age ( $p=0.35$ ).



**Figure 19: Representative DA oscillatory potentials of optic nerve-sectioned and sham eyes of the same chick.**

The chick was 14 days post-ONS. Stimuli were brief flashes of 3.0 cd.s/m<sup>2</sup>.

**Table 4: Table of RMS amplitude of dark-adapted OPs to 3.cd.s/m<sup>2</sup> flash in the ONS groups of chickens.**

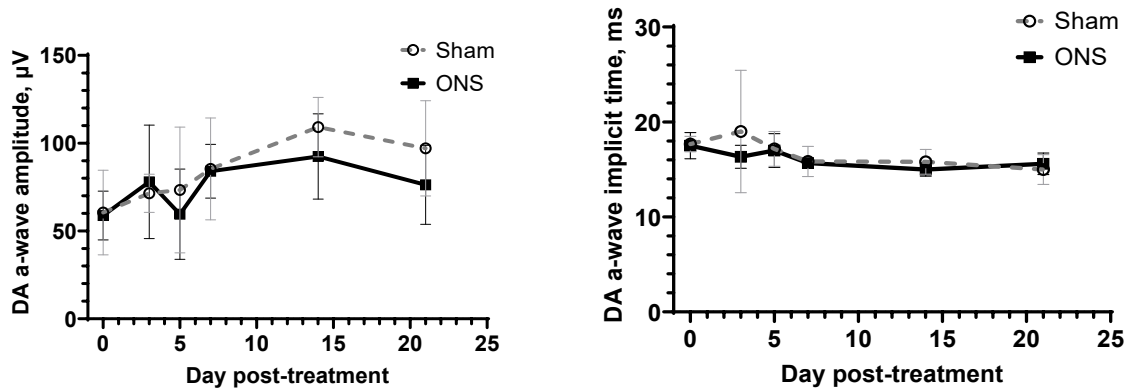
Days post-treatment N=6	Treatment, Mean RMS amplitude $\pm$ SD, $\mu$ V	
	Sham	ONS
0 (pre-treatment)	31.60 $\pm$ 16.68	31.21 $\pm$ 6.08
3	37.21 $\pm$ 10.42	36.84 $\pm$ 6.16
5	24.14 $\pm$ 3.55	28.38 $\pm$ 6.54
7	33.95 $\pm$ 14.88	28.78 $\pm$ 12.54
14	35.76 $\pm$ 9.88	32.10 $\pm$ 12.50
21	31.19 $\pm$ 9.76	33.04 $\pm$ 9.80

For the DA OPs, this study of 6 pairs of chicks' eyes had the power (80%) to detect a difference in RMS amplitude of 10.0  $\mu$ V ( $p < 0.05$ ).

### 5.5 Dark-adapted a- and b-waves of ONS chicken eyes

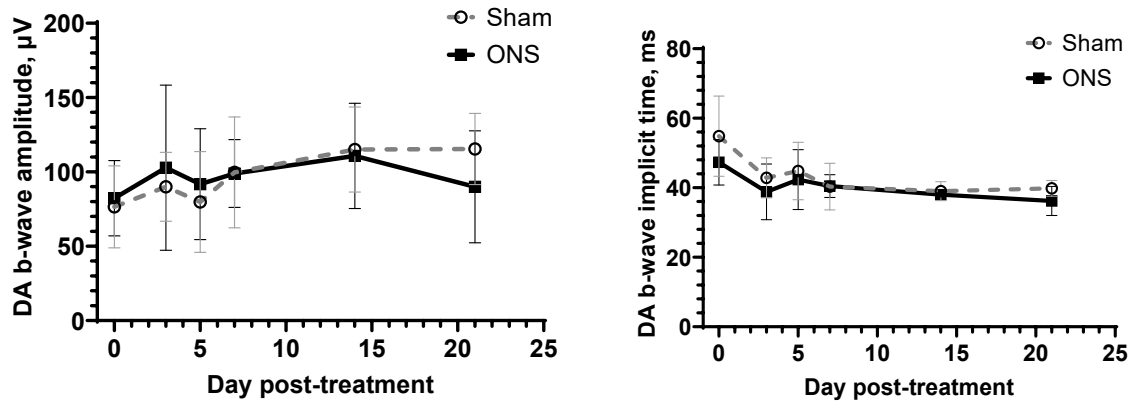
The DA saturated amplitudes ( $V_{max}$  of the amplitudes fit with the NK equation) for the DA-ERG a-waves are illustrated in Figure 20. The pre-treated eyes (day 0) ERG data showed no significant differences in DA a-wave  $V_{max}$  ( $p=0.34$ ,  $N=6$ ) and IT ( $p=0.74$ ,  $N=6$ ). The ONS did not affect the  $V_{max}$  or the interpolated IT at  $3 \text{ cd.s/m}^2$  of the DA a-waves ( $V_{max}$ :  $p=0.38$ ,  $d=0.32$ ; IT:  $p=0.52$ ,  $d=0.32$ ) post-treatment (3 to 21 days). The a-wave amplitudes of both eyes generally grew (not significant) till day 14 and dipped (not significant) on day 5 and 21 post-treatment, while the IT of the eyes generally reduced across the period, but there was no main effect of age between 3- and 21-days post-treatment (a-wave:  $p=0.051$ ,  $d=0.48$ , IT:  $p=0.11$ ) and no interaction (a-wave:  $p=0.11$ , IT:  $p=0.34$ )

The  $V_{max}$  of DA b-wave amplitudes and interpolated ITs showed no significant difference between the untreated eyes at day 0 (b-wave  $V_{max}$ :  $p=0.49$ , IT:  $p=0.10$ ) and no significant change of between the post-treated eyes (amplitude:  $p=0.98$ ,  $d=0.03$ ; IT:  $p=0.22$ ,  $d=0.42$ ). There was no significant difference in both eyes with age ( $p=0.12$ ), as demonstrated by the inconsistent trend of the  $V_{max}$  amplitudes of both eyes dipping on days 5, and 21 but increasing on days 3, 7 and 14 post-treatments (Figure 21). Similarly, the IT of the interpolated b-wave of both eyes did not change significantly across over the period of the experiment (IT:  $p=0.90$ ). There were no interactions of age and treatment in either the  $V_{max}$  or IT (b-wave:  $p=0.21$ , IT:  $p=0.27$ ).



**Figure 20: The time course of dark-adapted a-wave saturated amplitudes (Vmax), and implicit time interpolated at 3 cd.s/m<sup>2</sup> without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-treatment in treated chicks (n=6), for DA ERG luminance series from 0.0562 to 31.6 cd.s/m<sup>2</sup>.**

The point is the mean and error bars standard deviation (SD). The figure shows no statistical significance in Vmax or IT for DA a-waves.



**Figure 21: The time course of dark-adapted b-wave saturated amplitudes (Vmax), and implicit time interpolated at 3 cd.s/m<sup>2</sup> without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-treatment in treated chicks (n=6), for luminance 0.0562 to 31.6 cd.s/m<sup>2</sup>.**

The point is the mean and error bars standard deviation (SD). The figure shows no statistical significance in Vmax or IT for DA b-waves.

For the NK Rushton sensitivity parameter, K, the dark-adapted a-waves reached half of Vmax for stimuli below the strength of the standard flash (mean (from log values)  $K = 1.74 \text{ cd.s/m}^2$ ). B-waves were more sensitive, with K values for half Vmax at an average of  $0.360 \text{ cd.s/m}^2$  (converted from the mean of log values). Sensitivity, K values, for DA a- and b-waves showed no differences between eyes on baseline day 0 (a-wave:  $p=0.45$ , b-wave  $p=0.13$ ), showed no effect of the ONS on K for either a-waves (a-wave:  $p=0.17$ ,  $d=0.60$ ) or b-waves ( $p=0.25$ ,  $d=0.35$ ) between the treated and the sham eyes as illustrated in Table 5. In addition, sensitivity did not change significantly with age for a-wave:  $p=0.51$  but changed for b-waves ( $p=0.02$ ) between 3- and 21-days post-ONS. No interaction of treatment and age was detected for both a-wave ( $p=0.44$ ) and b-wave ( $p=0.52$ ).

**Table 5: Naka-Rushton equation sensitivity, K (flash strength at half Vmax) of dark-adapted a- and b-waves of chickens in the ONS group.**

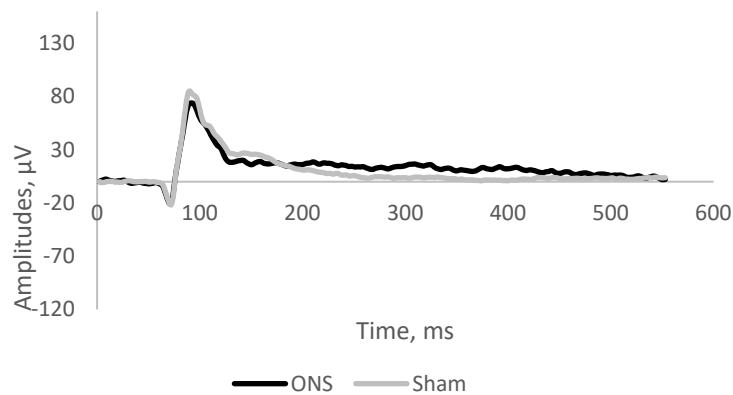
Post-ONS Time, Days N=6	Mean DA a-wave sensitivity, K (cd.s/m <sup>2</sup> ) ± SD, μV	
	Sham	ONS
0 (pre-treatment)	2.05 ± 1.07	1.69 ± 0.37
3	1.17 ± 0.21	1.26 ± 0.57
5	1.57 ± 0.64	1.25 ± 0.64
7	2.34 ± 1.38	1.52 ± 0.76
14	2.39 ± 0.51	2.47 ± 0.78
21	2.47 ± 0.75	1.64 ± 0.72
Post-ONS Time, Days n=6	Mean DA b-wave sensitivity, K (cd.s/m <sup>2</sup> ) ± SD, μV *	
	Sham	ONS
0 (pre-treatment)	0.49 ± 0.23	0.42 ± 0.12
3	0.21 ± 0.10	0.32 ± 0.05
5	0.41 ± 0.21	0.39 ± 0.09
7	0.43 ± 0.16	0.42 ± 0.09
14	0.31 ± 0.08	0.19 ± 0.08
21	0.41 ± 0.09	0.49 ± 0.09

\* A main effect of maturation (age) was detected (p=0.003) for b-wave but not a-wave.



## 5.6 Effect of ONS on chick light-adapted ERG

Over the 21-days for all the light-adapted (LA) ERGs, the a- and b-waves were clearly recordable in all eyes for flashed from 0.3 to 5.6 cd.s/m<sup>2</sup> (Table 2). Figure 22 shows representative Standard LA 3.0 ERGs. For the LA standard ERGs, were no differences in the amplitudes between the treatments ( $p=0.30$ ,  $d=0.49$  and  $p=0.33$ ,  $d=0.22$  for a-and b-wave amplitudes, respectively), nor were there differences with maturation ( $p=0.16$  and  $p=0.19$ , for a-and b-wave amplitudes, respectively) nor were there any significant differences in interactions ( $p=0.82$  and  $p=0.60$ , for a-and b-wave amplitudes, respectively)

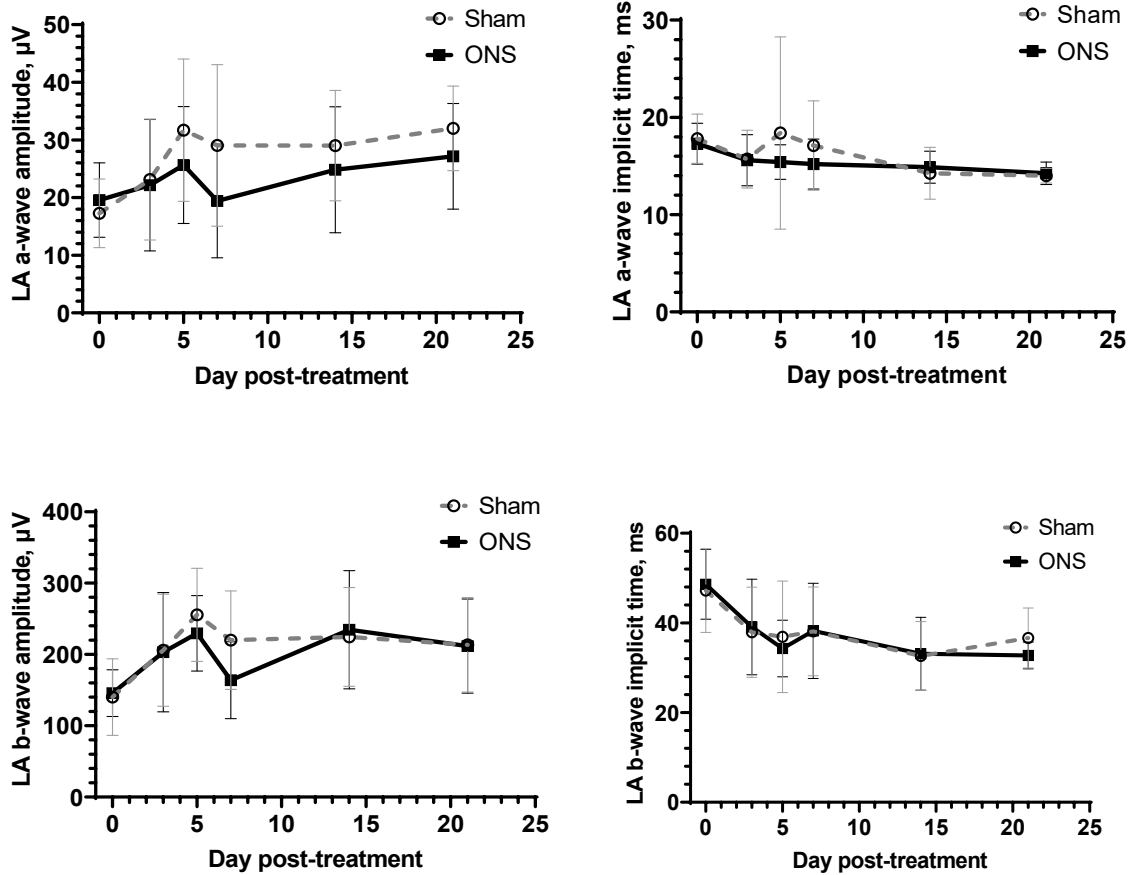


**Figure 22: Representative LA Standard ERGs from Sham and ONS eyes of a five-day post-ONS chicken.**

ERGs stimulus was a 3.0 cd.s/m<sup>2</sup> white flash on a 30 cd/m<sup>2</sup> background.

At pre-treatment day 0 (1-day post-hatch), the retinal function of the chickens' right and left eyes were similar for all parameters measured ( $p>0.05$ ). The ONS did not affect the LA implicit times (interpolated at 3 cd.s/m<sup>2</sup>) of the a- or b-waves ( $p=0.39$ ,  $d=0.21$  and  $p=0.63$ ,  $d=0.12$ , for a-and b-

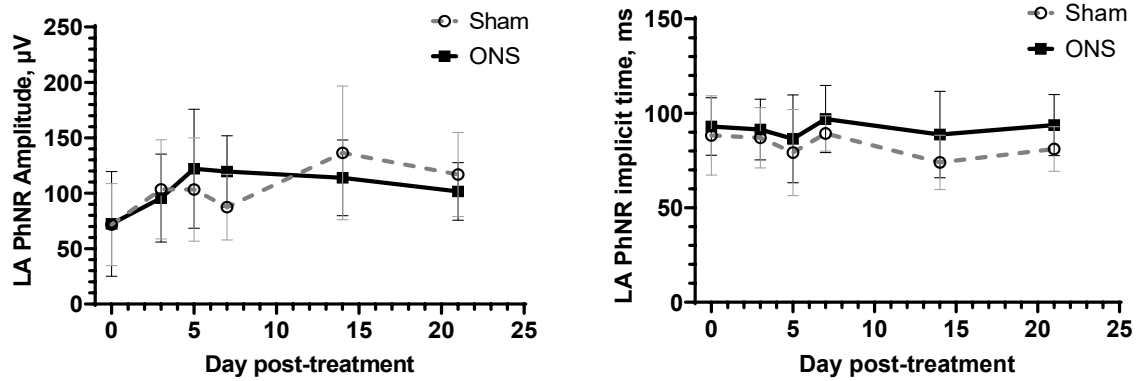
wave interpolated ITs, respectively). Figure 23 shows the LA ERG results for power function interpolation of a-waves at 3 cd.s/m<sup>2</sup> and for Vmax for LA b-waves in ONS and sham treated eyes across the age range. The LA a-wave interpolated amplitude and b-wave Vmax of both eyes appears increased (not significant) with maturation except for a transient decrease (not significant) at day 7 post-treatment, but these changes in the amplitude of both eyes were not significant over age (p=0.24 and p=0.30, for a- and b-wave ITs, respectively). There were no interactions of the two (p=0.61 and p=0.91, for a- and b-wave ITs, respectively). The sensitivity NK Rushton equation parameter, K, (flash luminance resulting in half Vmax) of light-adapted b-waves (Table 6) were not statistically different between the treated and the sham eyes (ONS: p = 0.50, d=0.13), nor was there an effect of age (p=0.08), nor the interaction between eye and time (p=0.46).



**Figure 23: The time course of light-adapted a-wave amplitude interpolated at  $3.0 \text{ cd.s/m}^2$ , saturated b-wave amplitudes ( $V_{\text{max}}$ ), and implicit times for a- and b-waves at  $3 \text{ cd.s/m}^2$  without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-treatment in ONS treated chicks ( $n=10$ ), for an ERG luminance series with stimuli ranging from  $0.0562$  to  $5.6 \text{ cd.s/m}^2$ .**

The point is the mean and error bars standard deviation (SD). The figure shows no statistical significance in LA a-wave amplitude interpolated at  $3.0 \text{ cd.s/m}^2$  and a-wave IT and LA b-wave  $V_{\text{max}}$  or IT

Prior to treatment, there was no difference in the Vmax of the PhNR (Figure 23), ( $p=0.90$ ) nor in the PhNR IT ( $p=0.32$ ) between sham treated and ONS eyes prior to treatment (day 0). There were no significant differences in Vmax or implicit time, IT, between sham and ONS treated eyes (Vmax:  $p=0.92$ ,  $d=0.18$ ; IT:  $p=0.13$ ,  $d=0.31$ ). Figure 23 shows the saturated amplitudes, Vmax, of the PhNR measured to the b-wave and ITs to the PhNR trough. While Vmax of the ONS eyes increased till day 5 post-ONS and decreased marginally from 7 to 21, the control sham eyes dropped by 15% at day 7 and 21 post-treatment. However, these changes were not significant by age (Vmax:  $p=0.42$ , IT:  $p=0.10$ ) and there were no interactions between age and treatment (Vmax:  $p=0.23$ , IT:  $p=0.71$ ). No differences in PhNR sensitivity (Table 6), pre-treated eyes ( $p=0.09$ ), in the post treated eyes ( $p=0.17$ ), by age ( $p=0.44$ ), nor was there an interaction between age and treatment ( $p=0.70$ ) for sensitivity (K value). The results, therefore, suggest that chicken PhNR does not reflect RGC functions.



**Figure 24: The time course of light-adapted PhNR saturated amplitudes (Vmax), and implicit time at interpolated at 3 cd.s/m<sup>2</sup> without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-treatment in treated chicks (n=10), for luminance 0.0562 to 5.6 cd.s/m<sup>2</sup>.**

The point is the mean and error bars, standard deviation (SD). The figure shows no statistical significance in Vmax or IT for LA PhNR.

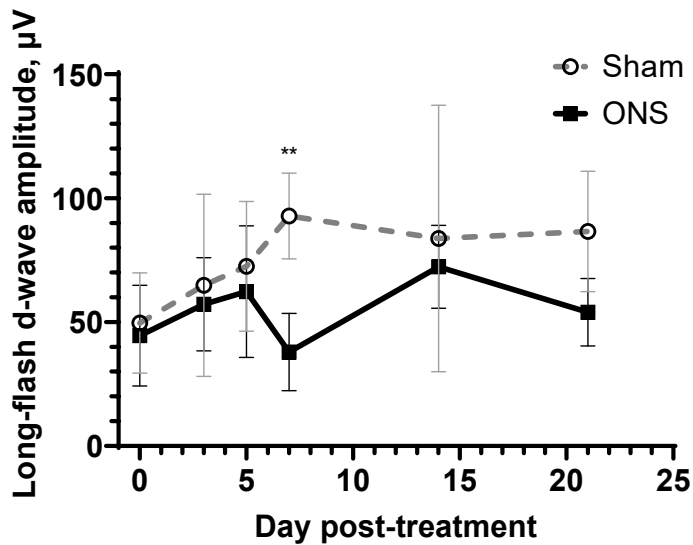
**Table 6: Sensitivity, K (luminance at half Vmax), of light-adapted b-wave and PhNR of ONS group chickens.**

Post-ONS Time, Days n=10	LA b-wave sensitivity, K (cd.s/m <sup>2</sup> ) ± SD	
	Sham	ONS
0 (pre-treatment)	1.97 ± 0.05	1.92 ± 1.26
3	1.87 ± 0.43	1.80 ± 0.99
5	1.37 ± 0.92	1.50 ± 0.89
7	1.37 ± 0.79	1.47 ± 0.98
14	1.22 ± 0.56	2.38 ± 0.92
21	0.92 ± 0.37	1.23 ± 0.82
Post-ONS Time, Days n=10	LA PhNR sensitivity, K (cd.s/m <sup>2</sup> ) ± SD	
	Sham	ONS
0 (-pre-treatment)	1.52 ± 0.13	1.64 ± 0.19
3	1.24 ± 0.20	1.16 ± 0.17
5	1.03 ± 0.12	1.06 ± 0.20
7	1.00 ± 0.13	0.90 ± 0.18
14	0.72 ± 0.19	1.90 ± 0.90
21	0.40 ± 0.05	0.97 ± 0.39

### **5.7 Effect of ONS on chick long-flash ERG amplitudes and implicit time**

The long-flash (250 cd/m<sup>2</sup>) ERG onset waveforms were clearly recordable in all eyes at all ages. Neither amplitudes nor implicit times of the onset a-waves differed between the ONS and sham treated eyes, and there was no effect of age nor an interaction between age and treatment (pre-treatment  $p=0.56$ , treatment;  $p=0.38$ , age:  $p=0.69$  or interaction:  $p=0.67$ ). Similarly, no differences in the onset b-wave amplitudes were found by pre-treatment ( $p=0.46$ ), by treatment ( $p=0.48$ ), by age ( $p=0.07$ ), nor was there an interaction between treatment and age ( $p=0.93$ ). Indicating that the ONS did not affect the photoreceptors and bipolar cells between the eyes and over the period of studies.

The offset d-waves measured from the treatment at offset were clearly recordable in all eyes. D-wave amplitude (mean 64.29  $\mu\text{V} \pm 14.35 \mu\text{V}$ ) was approximately half of the amplitude of the onset b-waves for the same stimulus. Figure 25 shows there was steady rise of d-wave amplitude to day 7, which then plateaued. The ONS eye dropped below the treatment at day 7, increased in day 14 but marginally dropped at day 21. For the offset d-wave amplitude, there was an overall a main effect of smaller amplitudes in the ONS eyes compared with the sham eyes ( $p=0.008$ ), but there were no differences in amplitude by age ( $p=0.67$ ) or interaction between age and treatment ( $p=0.45$ ). On Bonferroni's multiple comparisons post-hoc testing for differences at specific ages, the d-wave amplitude for the ONS treated eyes was decreased significantly ( $p=0.04$ ) at day 7. No difference in d-wave implicit times (mean  $\pm$ SD) were found between eyes pre-treatment ( $p=0.34$ ), by treatment ( $p=0.17$ ), with age ( $p=0.12$ ) or by interaction ( $p=0.22$ ).



**Figure 25: The time course of long-flash (250 cd/m<sup>2</sup>) offset d-wave amplitudes before ONS and 3-, 5-, 7-, 14- and 21-days post-ONS (n=8).**

There is a main effect of the ONS treatment and the significant difference (p=0.04) of eye treatment on day 7 post-treatment is indicated (\*\*).

### 5.8 Summary

In summary, the ONS study shows no effect of treatment on the DA standard b-wave amplitude, LA PhNR but showed treatment effect of the d-wave. Furthermore, this study also detected main effect of age (maturation) on DA standard b-wave amplitudes and Naka-Rushton equation sensitivity, K (half V<sub>max</sub> intensity) of the DA b-wave.



## Chapter 6

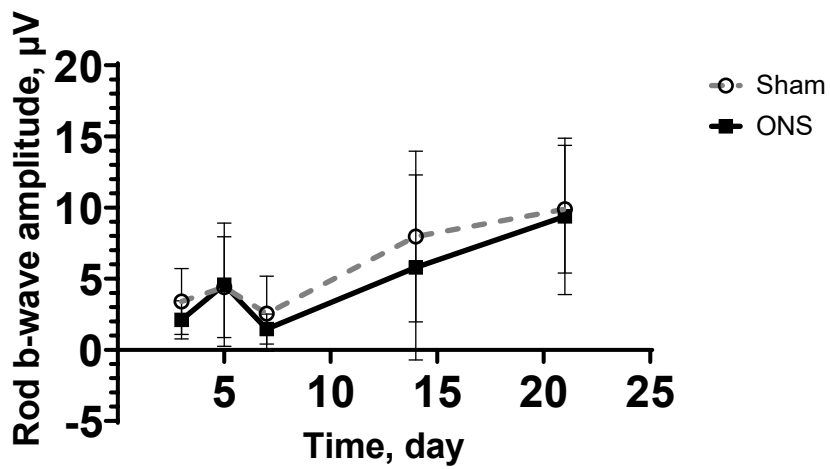
### **Effect of intravitreal injection of tetrodotoxin, TTX, on the chicken ERGs.**

#### **6.1 Survival for the TTX chicks for ERGs.**

There was no loss of birds in the TTX experiment, and repeated measures ANOVA was used to analyze the ERG data from the post-treated chickens.

#### **6.2 Effect of intravitreal injection of TTX on chicken DA b-wave to 0.01 cd.s/m<sup>2</sup> stimuli.**

The b-wave amplitudes of the sham and TTX treated eyes for luminance level at 0.01 cd.s/m<sup>2</sup> (DA 0.01 b-wave) at each of the time points, as illustrated in Figure 25. The sham b-waves amplitudes increased from day 5 post-treatment to day 14 and plateaued at 21 days. A similar trend was observed for the TTX treated eyes except for a dip (not significant) in the amplitude on day 5 and marginally at 14. At day 0 (baseline), there were no differences ( $p=0.21$ ) in the DA standard b-wave amplitudes between the eyes (pre-treatment). The amplitude difference between TTX and sham injected, fellow eyes (treatment) was not significant ( $p=0.96$ , post-hoc power analysis,  $d=0.05$ ,  $n=5$ ). The DA 0.01 b-wave amplitudes did increase significantly with age of both eyes ( $p=0.009$ ). The interactions of treatment and age of both eyes were not significant ( $p=0.57$ ).



**Figure 26: Dark-adapted DA 0.01 b-wave amplitudes at 0, 3, 5, 7, 14 and 21-days post-baseline is shown for five chicks with TTX treated eyes and sham fellow eyes.**

Stimuli were 4ms flashes 0.01 cd.s/m<sup>2</sup>. Note that on day 0 neither eye had undergone baseline. The point is the mean and error bars standard deviation (SD). The DA 0.01 b-wave amplitudes did increase significantly with age of both eyes (p=0.009)

### 6.3 The effect of TTX on chicken oscillatory potential

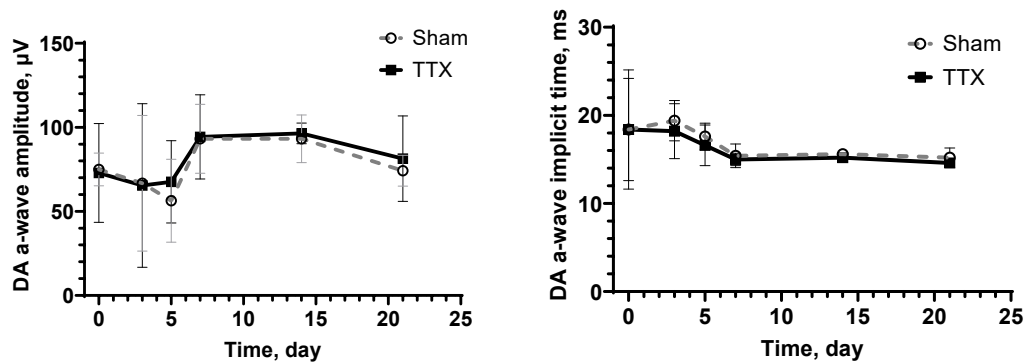
The root mean square (RMS) of the OP amplitudes between 5 and 55 ms for the 3 cd.s/m<sup>2</sup> flash stimulus are shown in (Table 7). At baseline day 0 (pre-treatment), there were no differences ( $p=0.77$ ) in OP amplitudes between the eyes, and there were no differences ( $p=0.18$ ,  $d=0.24$ ,  $n=5$ ) between the treated eyes and sham eyes (treatment) across the period, 3- to 21 days post-baseline. Table 7 shows the chicken DA OPs RMS increased from day 5 to day 7 before saturating to day 21, but the increases by age were not significant ( $p=0.06$ ), and there were no interactions of treatment and age ( $p=0.90$ ).

**Table 7: Table of RMS amplitude of dark-adapted OPs to 3.cd.s/m<sup>2</sup> flash in the TTX groups of chickens.**

Days post-baseline  N=5	Treatment, RMS amplitude ( $\mu V$ )	
	PBS (sham)	TTX
0	2.28 $\pm$ 1.11	1.89 $\pm$ 0.84
3	2.02 $\pm$ 1.43	2.05 $\pm$ 1.46
5	1.56 $\pm$ 0.59	1.92 $\pm$ 0.93
7	3.86 $\pm$ 2.62	4.11 $\pm$ 2.21
14	2.94 $\pm$ 0.41	3.03 $\pm$ 0.39
21	2.75 $\pm$ 0.43	3.08 $\pm$ 0.29

#### 6.4 Effect of intravitreal injection of TTX on chicken dark-adapted, DA, ERG

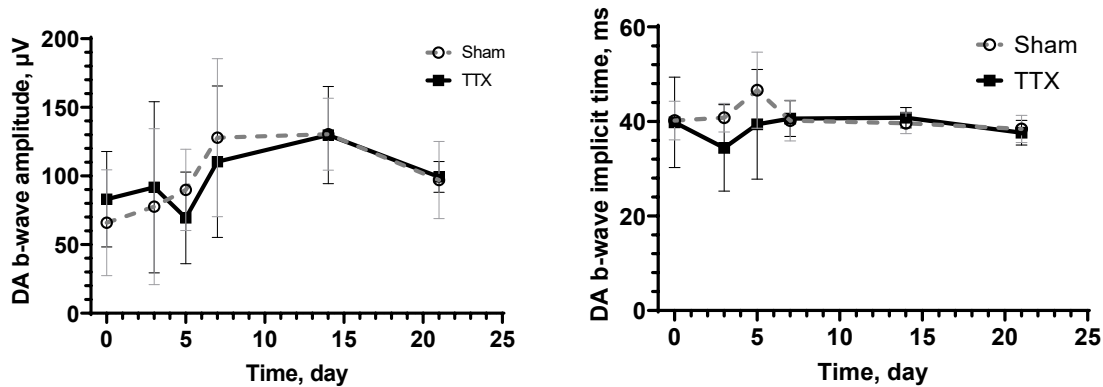
The TTX DA-ERG a-wave saturated amplitude ( $V_{max}$ ) and interpolated implicit time (IT) at 3  $cd.s/m^2$  are illustrated in Figure 27. From Figure 27, at baseline (day 0), there were no differences in a-wave  $V_{max}$  amplitudes and IT between the pre-treatment eyes (a-wave:  $p=0.86$ , IT= $p=0.56$ ,  $n=5$ ). Additionally, no differences (a-waves:  $p=0.17$ ,  $d=0.24$ ; IT:  $p=0.52$ ,  $d=0.31$ ,  $n=5$ ) in the post-baseline eyes across the treatment period. The a-wave amplitudes of both the sham and treated eyes appear to decrease marginally from day 0 to day 5 post-baseline but grew (observed) at day 7 before saturating at 14- and 21- days post-baseline but these changes over time were not significant (a-wave:  $p=0.27$ ). The a-wave IT of both eyes generally appear to decrease (observed, not statistically significant,  $p=0.11$ ) with age from post-baseline day 5 to 21. There were no interactions ( $V_{max}$ :  $p=0.77$ , IT:  $p=0.46$ ) between the effects of the treatment and age.



**Figure 27: The time course of dark-adapted a-wave saturated amplitudes ( $V_{max}$ ) and IT without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-baseline in treated chicks (5 TTX), for luminance 0.0562 to 31.6  $cd.s/m^2$ .**

The point is the mean and error bars standard deviation (SD). The figure shows no statistical significance in  $V_{max}$  or IT for DA a-waves of the treated eyes.

The DA b-wave Vmax amplitude of untreated baseline eyes ( $p=0.03$ ) differed between the eyes that was subsequently treated with TTX and those that became sham eyes, although the b-wave IT was same ( $p=0.52$ ), but Vmax or implicit times (IT) of the b-waves did not change as a function of treatment (DA b-wave Vmax:  $p=0.69$ ,  $d=0.06$ , IT:  $p=0.36$ ,  $n=5$ ). The pattern of b-wave ERGs (saturated amplitude and implicit time) changes with age followed a similar observed trend as the a-wave amplitudes, except for decreased saturated amplitudes of the treated (TTX) eyes at day 5 and a dip (not significant) in the IT at day 3 post-baseline (Figure 28) but the observed changes with age were not significant (DA b-wave:  $p=0.28$ , IT:  $p=0.31$ ) as well, there was no interaction of age and treatment (amplitude:  $p=0.50$ , IT:  $p=0.37$ ).



**Figure 28: The time course of dark-adapted b-wave saturated amplitudes (Vmax) and IT without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-baseline in treated chicks (5 TTX), for luminance 0.0562 to 31.6 cd.s/m<sup>2</sup>.**

The point is the mean and error bars standard deviation (SD).

The NK Rushton sensitivity parameter, K, of the dark-adapted a-waves and b-waves baseline ERG data shows no differences between the untreated eyes at day 0 ( $p>0.50$ ), and no differences in the K

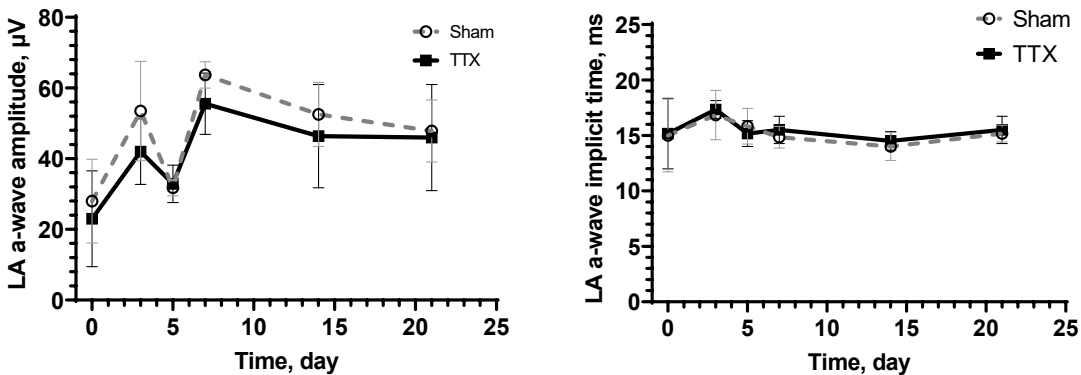
values for the treated and sham eyes (a-wave:  $p=0.59$ ,  $d=0.11$ ; b-wave:  $p=0.64$ ,  $d=0.07$ ,  $n=5$ ). From the Table 8, the sensitivity, K, appears to decrease with age except for day 3- and 5- post-baseline for a- and b-waves of the two eyes, respectively, but the changes with age were not significant (a-wave:  $p=0.34$ ; b-wave:  $p=0.16$ ). The interactions of baseline and age (a-wave:  $p=0.94$ ; b-wave:  $p=0.90$ ) of both eyes were also not significant.

**Table 8: Sensitivity (K) (flash strength at half Vmax) of dark-adapted a- and b-waves of chickens in the TTX group.**

Post-baseline Time, Days. n=5	DA a-wave sensitivity, K (cd.s/m <sup>2</sup> )	
	PBS (sham)	TTX
0 (Pre-treatment)	2.06 ± 0.93	1.76 ± 0.80
3	1.93 ± 0.88	1.56 ± 0.49
5	2.06 ± 0.84	1.95 ± 1.01
7	1.37 ± 0.34	1.42 ± 0.50
14	1.65 ± 0.72	1.47 ± 0.66
21	1.56 ± 0.29	1.66 ± 0.64
Post-baseline Time, Days. n=5	DA b-wave sensitivity, K (cd.s/m <sup>2</sup> )	
	PBS (sham)	TTX
0 (Pre-treatment)	0.48 ± 0.28	0.65 ± 0.23
3	1.99 ± 3.42	1.17 ± 0.93
5	0.79 ± 0.71	0.73 ± 0.42
7	0.41 ± 0.39	0.31 ± 0.07
14	0.32 ± 0.04	0.29 ± 0.09
21	0.29 ± 0.10	0.40 ± 0.28

## 6.5 Effect of TTX on chick light-adapted ERG amplitudes

The pre-treatment (day 0) LA a-wave amplitude interpolated at 3 cd.s/m<sup>2</sup> were asymmetric, with smaller a-waves in the eyes that were subsequently treated (p=0.003), but the ERGs from the TTX treated eyes were not significantly smaller than those of the sham eyes (p=0.06, d=0.58, n=5). The LA a-wave amplitude interpolated at 3 cd.s/m<sup>2</sup> from both eyes decreased at day 5 post-baseline as shown in Figure 28. Both amplitudes increased (p=0.01) with age, and the interaction of treatment and age was not significant (p=0.09). The LA a-wave IT at 3 cd.s/m<sup>2</sup> of between eyes were not different pre-treatment (p=0.70), or with treatment (p=0.06, d=0.48) but ITs in both eyes decreased (p<0.001) with age. The interaction of the LA a-wave IT with treatment and age was not significant (p=0.56).

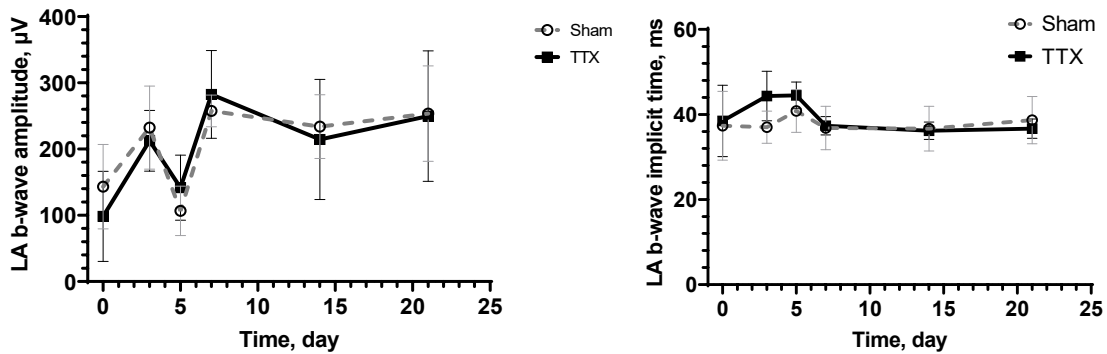


**Figure 29: The time course of for the maturation of the light adapted a-wave amplitude (interpolated at 3 cd.s/m<sup>2</sup>) and IT, before at day 0 (baseline, no treatment) and at 3-, 5-, 7-, 14- and 21- post-baseline in the TTX group.**

LA a-wave amplitude interpolated at 3 cd.s/m<sup>2</sup> increased (p=0.01). The ITs in both eyes decreased (p<0.001) with age between 3 and 21 after treatment.



Similar to the DA b-wave amplitude, the LA b-wave saturated amplitude,  $V_{max}$ , differed between the eyes that were subsequently treated with TTX and those that became sham eyes ( $p=0.003$ ) at pre-treatment day 0. The  $V_{max}$  for the treatment sessions was not significantly different ( $p=0.86$ ,  $d=0.09$ ,  $n=5$ ) between the TTX treated eye and the fellow eye but was different with age ( $p=0.003$ ). The  $V_{max}$  of both eyes appears to decrease at day 5, increase day 7 and decrease marginally by day 21 post-baseline (Figure 29), but these differences are not significant. There was no interaction of treatment and age ( $p=0.72$ ). The LA b-wave IT at 3  $cd.s/m^2$  shows no significant differences between pre-treated eyes ( $p=0.77$ ), between treated and sham eyes ( $p=0.26$ ,  $d=0.17$ ,  $n=5$ ) but generally decreased ( $p=0.01$ ) over the period of the experiment. There was no interaction ( $p=0.17$ ) of treatment and age.



**Figure 30: The time course of for the maturation of the light adapted  $V_{max}$  b-wave amplitude and IT, before at day 0 (baseline, no treatment) and at 3-, 5-, 7-, 14- and 21- post-baseline in the TTX group.**

The point is the mean and error bars standard deviation (SD).  
 LA  $V_{max}$  of b-wave increased ( $p=0.003$ ) and b-wave ITs decreased ( $0.01$ ) with age.

For the sensitivity parameter K, (flash luminance resulting in half Vmax) of the light-adapted b-waves, there were no differences (Table 9) between pretreated eyes ( $p=0.09$ ), the treated and the sham eyes ( $p=0.051$ ,  $d=0.61$ ,  $n=5$ ), nor did K change as a function of age ( $p=0.55$ ). There was no significant interaction of treatment and age ( $p=0.10$ ).

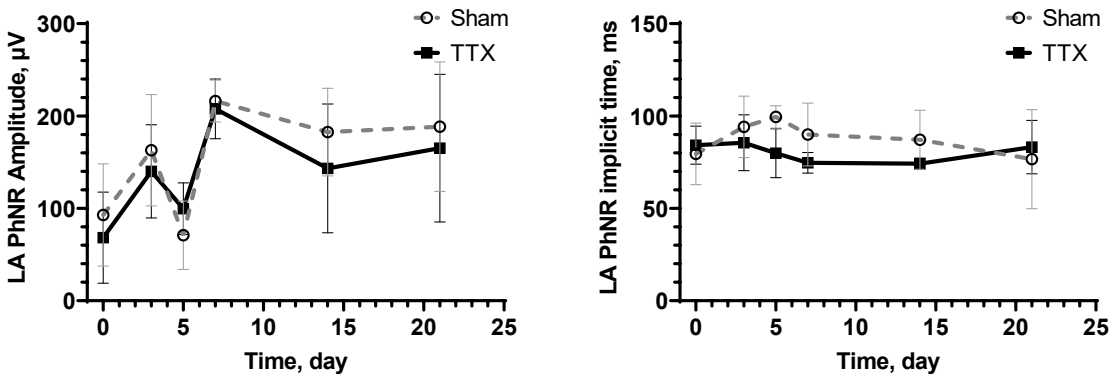
**Table 9: Sensitivity, K (luminance at half Vmax) of light-adapted b-wave and PhNR of TTX group chickens.**

Post-TTX Time, Days n=5	LA b-wave sensitivity, K (cd.s/m <sup>2</sup> ) ± SD	
	Sham	TTX
0 (pre-treatment)	1.49 ± 0.81	1.44 ± 1.19
3	1.41 ± 0.70	1.39 ± 0.92
5	1.24 ± 0.14	1.93 ± 0.77
7	1.21 ± 0.33	1.61 ± 0.51
14	1.45 ± 0.50	1.56 ± 0.27
21	1.01 ± 0.29	1.31 ± 0.37
Post-TTX Time, Days n=5	PhNR sensitivity, K (cd.s/m <sup>2</sup> ) ± SD	
	Sham	TTX
0 (pre-treatment)	1.55 ± 0.19	1.61 ± 1.89
3	1.29 ± 0.43	1.29 ± 0.77
5	1.62 ± 0.60	2.64 ± 1.51
7	2.65 ± 0.70	2.06 ± 1.08
14	1.95 ± 0.66	2.13 ± 0.74
21	1.15 ± 0.37	1.26 ± 0.38

The day 0 baseline PhNR Vmax was different between the pretreated eyes (p=0.02) with the eye subsequently treated with TTX being smaller during the baseline sessions, but there was no difference in the PhNR Vmax values between the TTX eyes and the sham eyes (p=0.11, d=0.36, n=5). PhNR Vmax values did not change over time (p=0.06) despite a dip in the values between day 3 and 5 and an apparent increase between day 5 and 7 (Figure 30). There was interaction of treatment and age (p=0.02)

The day 0 treatment IT was not different between the pretreated eyes ( $p=0.25$ ), and there was no difference in the IT values between the eyes during the treatment sessions ( $p=0.09$ ,  $d=0.36$ ,  $n=5$ ). The IT from both eyes generally decreased over time, but change was not significant ( $p=0.19$ ), and the interactions were also not significant ( $p=0.15$ ), as shown in Figure 30.

No differences in PhNR sensitivity,  $K$ , of between the eyes with pre-treatment ( $p=0.08$ ), during the treatment sessions ( $p=0.17$ ), with age ( $p=0.17$ ), nor was there an interaction between treatment and age ( $p=0.18$ ).



**Figure 31: The time course of light-adapted PhNR saturated amplitudes ( $V_{max}$ ), and implicit time at interpolated at  $3 \text{ cd.s/m}^2$  without treatment at day 0 and at 3-, 5-, 7-, 14- and 21-days post-baseline (TTX) in treated chicks ( $n=5$ ), for luminance  $0.0562$  to  $5.6 \text{ cd.s/m}^2$ .**

The point is the mean and error bars standard deviation (SD).  
LA  $V_{max}$  of PhNR increased ( $p=0.02$ ) with age.

## 6.6 Effect of TTX on chick long-flash ERG amplitudes and implicit time

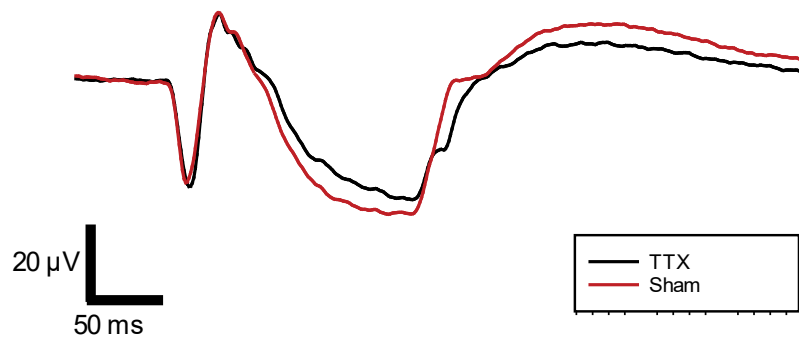
TTX group waveforms did not differ significantly between pre-treated eyes at day 0 ( $p>0.05$ ). There were no differences between the intravitreally TTX injected eyes and the sham injected control eyes in onset a-wave and b-wave (Table 10) amplitude (a-wave:  $p=0.40$ , b-wave:  $p=0.38$ ,  $n=6$ ) nor by age

(a-wave:  $p=0.13$ , b-wave:  $p=0.09$ ) nor by interaction of age and treatment (a-wave:  $p=0.45$ , b-wave:  $p=0.51$ ). Similarly, there were no differences in the implicit times between the treatment eyes for the onset a- and b-waves ( $p = 0.71$ ;  $p= 0.54$  for a- and b-waves, respectively,  $n=6$ ), and no differences by age (a-wave:  $p=0.37$ , b-wave:  $p=0.20$ ). The interactions for both long-flash a- and b-waves ITs were also not significant ( $p=0.83$ ;  $p=0.61$  for a- and b-waves, respectively).

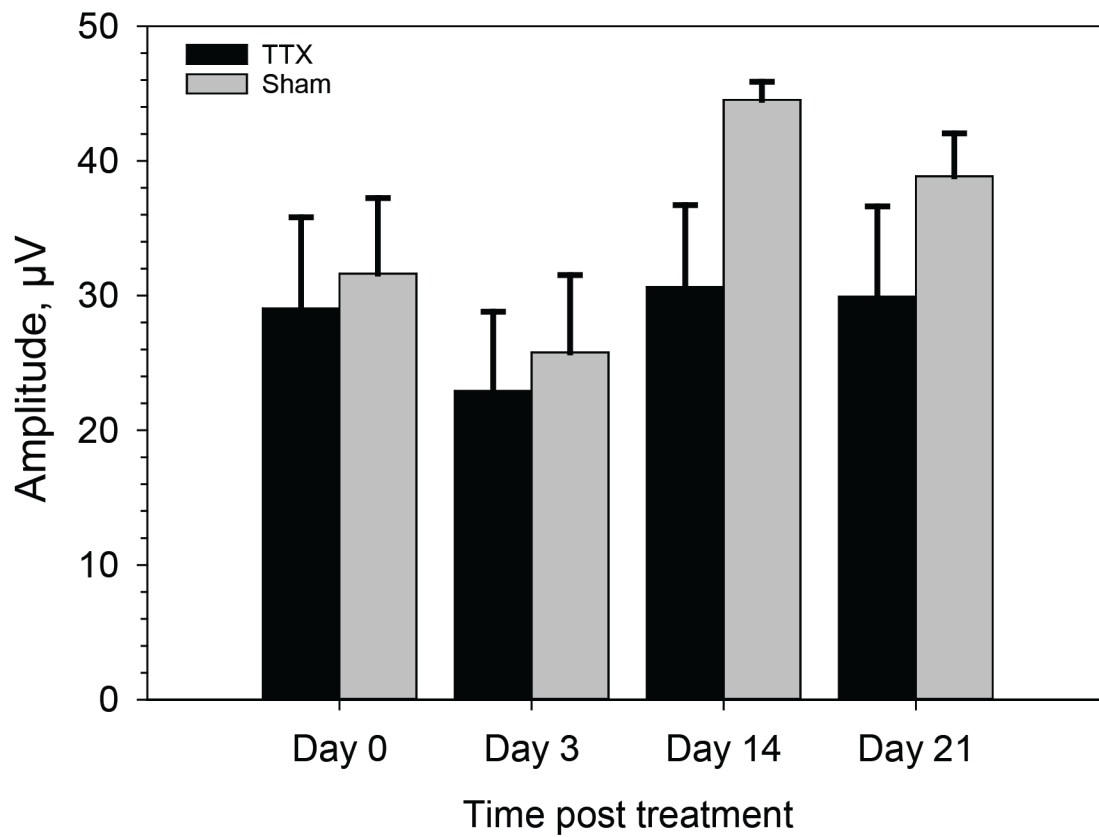
**Table 10: Amplitudes of the long-flash onset ERG of TTX treated eyes and sham injected eyes.**

<b>Treatment, n=6</b>	<b>Time post-baseline (days)</b>	<b>Long-flash b-wave Amplitude <math>\pm</math> SD (<math>\mu</math>V)</b>	<b>Long-flash a-wave Amplitude <math>\pm</math> SD (<math>\mu</math>V)</b>
<b>Pre-treated eye</b>	0	130.10 $\pm$ 9.16	39.3 $\pm$ 4.34
<b>Vehicle and TTX (treated)</b>	3	149.10 $\pm$ 9.56	48.90 $\pm$ 3.92
	14	122.03 $\pm$ 24.16	50.70 $\pm$ 7.30
	21	152.75 $\pm$ 27.83	48.47 $\pm$ 7.83
<b>Pre-treated eye</b>	0	132.13 $\pm$ 10.35	40.54 $\pm$ 5.89
<b>Vehicle (sham)</b>	3	155.28 $\pm$ 13.62	53.44 $\pm$ 4.54
	14	132.67 $\pm$ 16.46	57.14 $\pm$ 4.20
	21	154.73 $\pm$ 23.25	49.04 $\pm$ 5.76

At pre-treatment, the d-waves were not different between the eyes ( $p=0.10$ ). For the light-adapted long-flash offset d-wave, although there were no differences in the implicit time ( $p=0.10$ ), there was a statistically significant difference ( $p=0.03$ ,  $n=6$ ) with the amplitude (Figure 33). The sham eyes' d-waves amplitudes were bigger than those of the treated eyes (Figure 32). There were no differences in both eyes by age (d-wave amplitude:  $p=0.08$ , IT:  $p=0.20$ ) nor interaction of age and treatment (d-wave amplitude:  $p=0.12$ , IT:  $p=0.32$ )



**Figure 32: Representative of long-flash ERGs from the sham and TTX eyes of a 14-day post-treatment chicken, demonstrating the reduced d-wave in the TTX eye.**



**Figure 33: The time course of long-flash (250 cd/m<sup>2</sup>) offset d-wave amplitudes before TTX treatment and 3-, 14- and 21-days after baseline with TTX treatment each time (N=6).**

The sham eyes' d-waves amplitudes were bigger than those of the treated eyes

## **Chapter 7**

### **Discussions**

#### **7.1 Introduction**

This project ultimately seeks to determine the contribution of chicken RGCs to flash ERGs using PhNR, STR and photopic long-flash ERGs protocols with optic nerve section (ONS) and tetrodotoxin (TTX) which destroy or block RGC function, respectively.

#### **7.2 Optic nerve dysfunction**

This study shows that ONS- or TTX-induced optic nerve dysfunction results in delinking of the eye to the brain. This was demonstrated by the lack of pupillary constriction on direct pupillary tests on all the chickens used in this study. It has been reported by several authors that pupillary reaction test is a reliable method of testing the efficacy of the ONS/TTX (McBrien et al., 1995, Wong-Riley et al., 1989b). Furthermore, the optokinetic method was employed in this study to further demonstrate the loss of retinal ganglion cells functions. The observed absence of the optokinetic response in the treated eyes further confirms the success of the RGC dysfunction methods employed in this study, and this work is consistent with study by Ostrin et al. (2016).

#### **ONS Histology**

The histology experiment shows selective reduction of retinal ganglion cells in the treated eye. Chong et al. (2013) also demonstrated that ONS is associated with loss of chicken RGCs. However, this study further demonstrated that the cells INL were not affected in ONS treated chicken eyes. Since the INL has both amacrine, on- and off-bipolar cells, it is likely that these cells were not affected by ONS in chicken.

#### **7.3 Dark-adapted ERGs and the STR**

The absence of a negative-going waveform in the scotopic threshold responses (STRs) in very dim flashes in chicks was reported in this study. Given that STRs are elicited from the rod pathway, it is



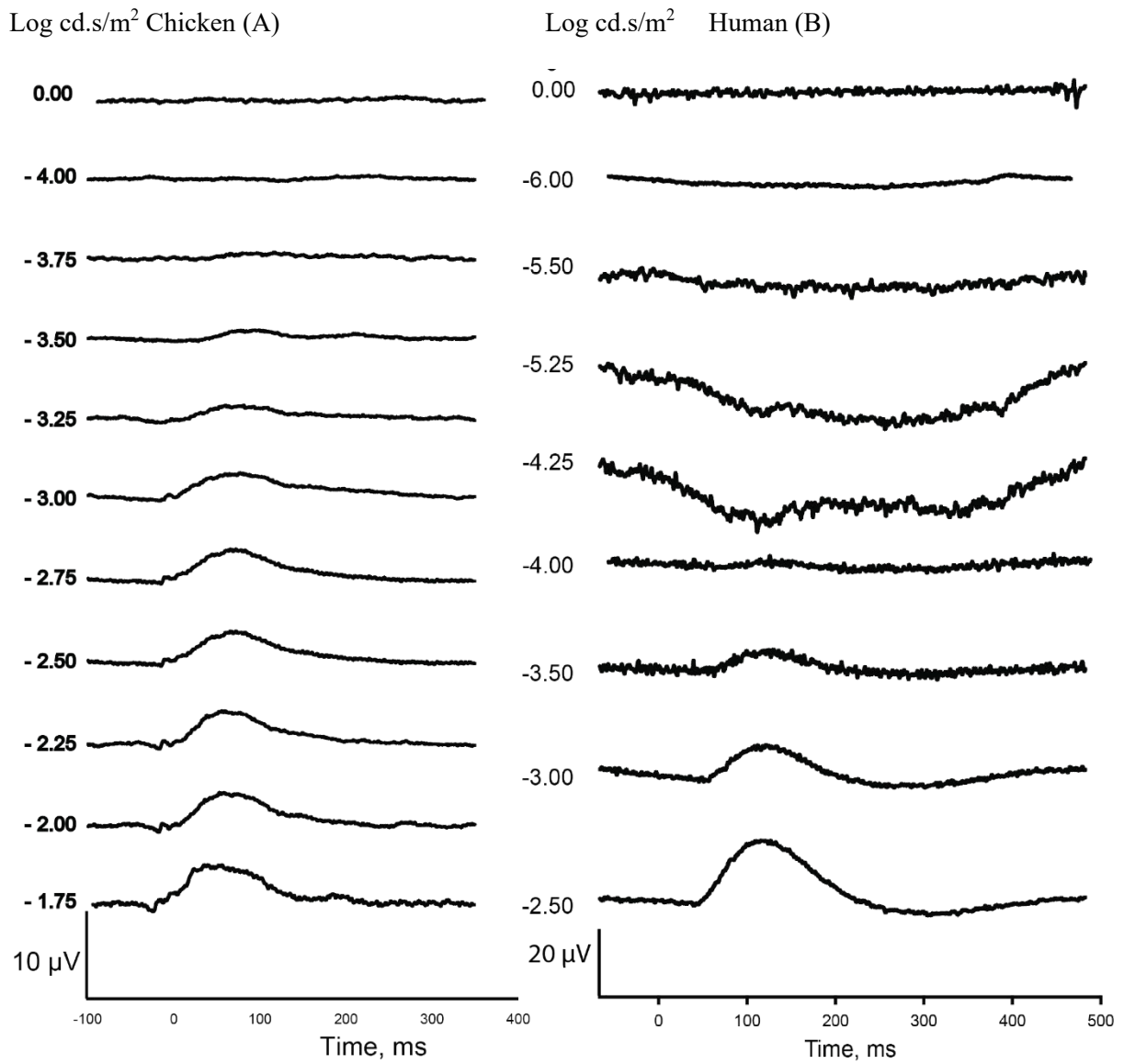
not surprising that chickens lack STRs. Unlike humans who have 1:20 rod:cone ratio (Jonas et al. 1992), chicks have 2:3 ratio (Morris 1970, Wisely et al. 2017) as well as a circadian suppression of rod function during the daytime (Schaeffel et al. 1991). For very dim flashes, the chicken ERG, was relatively small as compared with human ERGs. It was suggested by Montiani-Ferreira et al. (2007) that perhaps the relatively fewer rods may account for the lower amplitude of the entire ERG waveform generated in across the scotopic range.

The observed ERGs are like those described by Montiani-Ferreira et al (2007), who reported similar positive-going waves from dark-adapted ERGs from  $-2.4 \log$  to  $-2 \log \text{ cd/m}^2$ . Although a previous study by Schaeffel et al. (1991) shows that, in the dark-adapted state at the appropriate time in the circadian cycle, the rod pathway dominates the chickens' vision, no dark-adapted ERGs were detected from stimuli of  $-4.32 \log \text{ cd.s/m}^2$ . In fact, the dark-adapted ERGs in the present study supports the work of Shi and Stell (2013), who found chickens threshold luminance is about  $-4 \log \text{ cd.s/m}^2$  in 5- to 13-days old chicks. The slight difference in the threshold of  $-0.32 \log \text{ cd.s/m}^2$  found in the same age range as in the present study might be explained by the strain of chick used by the different studies. Shi and Stell (2013) used bovan chickens, a chicken strain reported to have higher night sensitivities, and Shi and Stell (2013) speculated that this strain might have higher rod:cone ratio than the domestic chick (used in this present study).

Some mammals, such as guinea pigs that have a non-vascularized inner retina (Cringle et al. 1996), and also lack STR waveforms in ERGs elicited through the stimulus range that is sub-threshold for ERG b-waves (Lei 2003). Although the paucity of data on chick ERGs to subthreshold luminance levels makes it difficult to compare this result with other studies, it could be suggested that domestic chicks do not have negative STR waveforms and, therefore, the observed positive-going waveforms to dim stimuli is associated with b-waves (Figure 35). Furthermore, it can be observed from Figure 8

that the positive-going wave peak for dim stimuli has similar implicit times to those of b-waves, suggesting that the waves reflect rod bipolar activity and are not positive STRs.

The absence of the negative STR waveform in all chicks gives rise to questions about the protocol and recording techniques, particularly as the STR is eliminated by incomplete dark adaptation or small amounts of light exposure. We, therefore, reproduced our chick STR protocol using a human participant for comparison (Appendix A); given the same protocol/stimulus parameters, STRs with both a negative and positive waveform are recordable in humans. The human negative STR was apparent for stimuli between  $-5.25$  and  $-4.25$  log cd.s/m<sup>2</sup> (Figure 35). The use of similar instruments, recording parameters and light stimulus levels elicited STRs in the human subject but not in the chicks (Figure 35) gives credence to the suggestion by this study that chicks might not have STRs.



**Figure 34: A chicken (A) and human (B) representative dark-adapted ERG response to very dim white flash stimuli.**

The chick was 14 days old post-hatch. The human was an adult with brown iris.

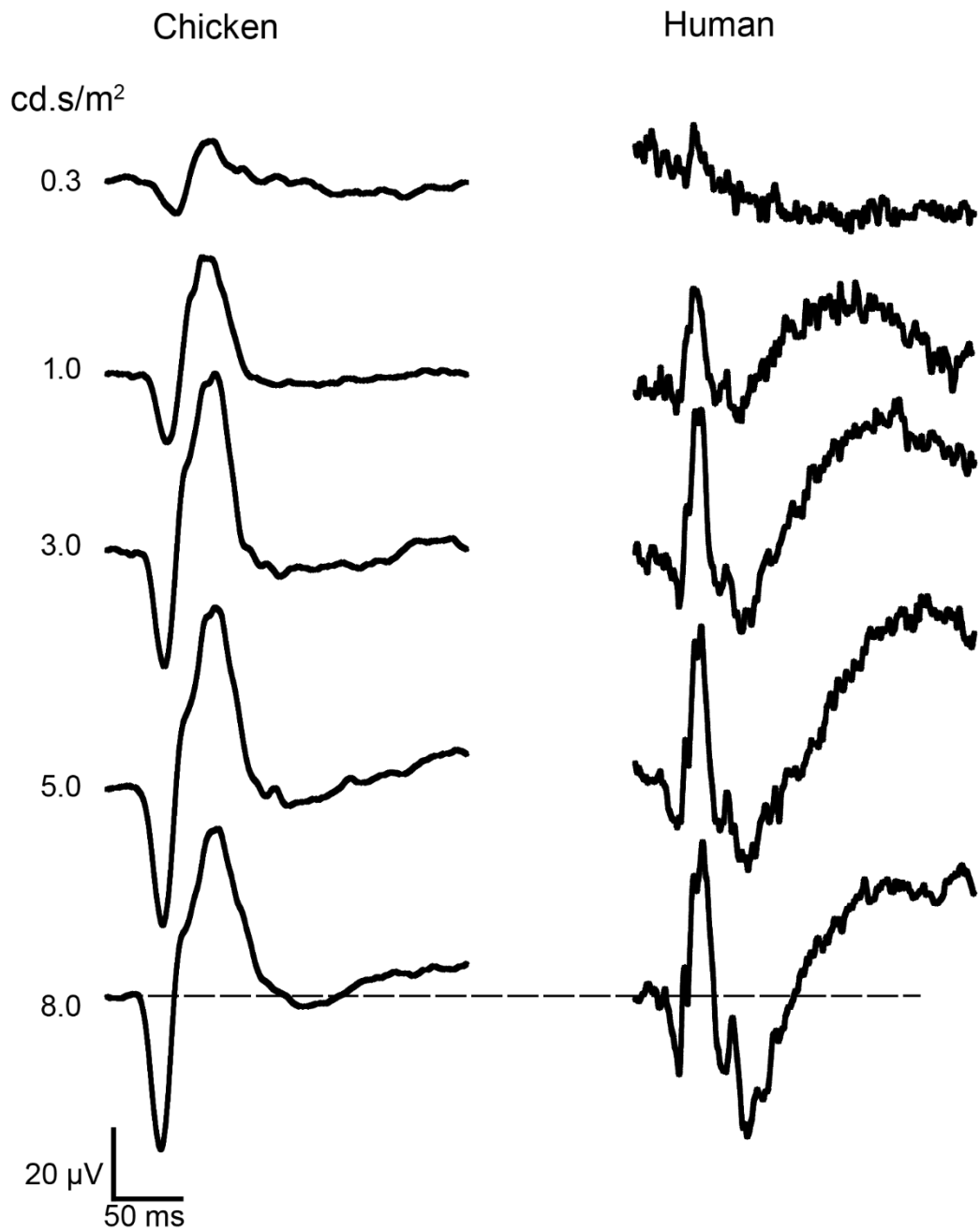
#### **7.4 Spectral characteristics and light-adapted long-flash ERGs**

From pilot study 3, red on blue long-flash ERGs had larger amplitudes than the other combinations of wavelengths used. This is different as compared to human long-flash where ERGs with red light on a blue background elicited the smallest long-flash ERGs (Sustar et al. 2006); Although the relative threshold sensitivity of the chick retina for medium and long-wavelength light is similar to that of humans, chicks have cone types with red/orange oil droplets (Bowmaker & Knowles, 1977; Kram et al. 2010). It is, therefore, possible that for suprathreshold stimuli, more photoreceptors in the chick respond to the red flash, resulting in a larger a-wave, and therefore also generating a larger b-wave. More importantly, the chick red on blue long-flash ERG showed a more distinct off response d-wave compared with those for the white-on-white stimulation. The d-waves of the chick to light-adapted long-flash ERGs show double peaks as in humans (Sustar et al. 2009, Horn et al. 2011, Morny et al. 2019) and in non-human primates (Sieving et al. 1994, Ueno et al. 2006). Although limited studies have been done to conclusively determine which peaks of the d-wave reflect off bipolar cells in chicks, it is likely that the first peak reflects off-bipolar cell function as it does in the human. This assumption is based only on the fact that the chick and human long-flash ERGs have similar waveforms. Further investigation into the double peak of chick ERGs is indicated.

#### **7.5 Chicken photopic negative response (PhNR)**

As for the STRs, the light-adapted short-flash ERGs did not show remarkable PhNR waveforms regardless of the spectral characteristics of the stimulus. For brief flashes (pilot study 2), red on blue elicited ERGs with a clearer PhNR as well as prominent i-wave, as compared to the white-on-white ERGs. As above, the protocol was tested on a human participant to verify that that the PhNR could be recorded using these stimuli. A typical light-adapted luminance-response function from a 2-week-old chick is compared with that of the adult human participant is shown in Figure 35. The

rudimentary PhNR in the chick is consistent with ERGs shown by Montiani-Ferreira et al. (2007) and by Ostrin et al. (2016). Nonetheless, this result is in contrast to the large negative PhNR from primates (Viswanathan et al. 1999, Frishman et al. 2018). It has been shown by Raz-Prag et al. (2010) that these negative going waves come from potassium rectifying channels in the glial cells in the ganglion cell layer that are activated upon retinal ganglion cells function. Perhaps the unremarkable PhNR in chicks is linked to the lack of intra-retinal astrocytes (Fischer et al. 2010). Furthermore, the  $K^+$  channels of glial cells (mostly Müller and intra-retinal astrocytes) draw into the cells the excess  $K^+$  in the extracellular space upon excitation of the RGC cells, Raz-Prag et al. (2010) suggesting that  $K^+$  ions are released in response to RGCs function. Since the chicks lack intra-retinal astrocytes, and therefore the associated rectifying channels, their PhNR amplitude is expectedly, small.



**Figure 35: A representative ERG luminance series of a 14-day old light-adapted chicken (left) and human (right) light-adapted ERGs to a luminance series of 4ms red flashes on a blue 30 cd.s/m<sup>2</sup> background.**

## **7.6 Effect of optic nerve-section (ONS) and intravitreal of tetrodotoxin (TTX) on the dark-adapted ERGs.**

The present study shows that ONS at one day post-hatch does not affect the rod bipolar of chick ERG throughout the 21 days after treatment (1 day post-hatch). A possible explanation relates to the absence of AII amacrine cells in the chicken retina (Quesada et al. 1988, Shi and Stell 2013). AII amacrine cells mediate dark-adapted vision in rod-dominant mammals as they connect rod bipolar cells to ganglion cells.

Because in some species, RGC damage models affect b-waves and oscillatory potentials of dark-adapted flash ERGs, analysis of the dark-adapted chick ERGs was carried out to determine if ONS or TTX affects flash dark-adapted chick ERGs. Not surprisingly, none of the waveforms of the dark-adapted ERGs was affected by the RGC attenuation. The limited report of the effect of the ONS or TTX on chick dark-adapted ERGs makes it difficult to compare this result with others. In this study, the dark-adapted chicks' a- and b-waves were not affected by RGC deficits. Other studies have suggested that some vertebrate bipolar cells have TTX sensitive channels (Saszik and DeVries 2012) so that b-waves may be affected by TTX if the chick bipolar cells had such properties. However, in the present study, the reduction of the b-wave in the TTX group did not reach significance ( $p = 0.311$ ).

In some species such as rats and mice, it has been documented that attenuation of RGCs results in loss of dark-adapted OP amplitudes (Raviola and Gilula 1973). However, in this report of chicken ERGs, the amplitude of dark-adapted OPs was not affected by RGC deficits caused by either ONS or TTX. This study also differs from studies in humans (Raviola and Gilula 1973), where RGC deficit conditions resulted in the loss of OP amplitude. Although TTX blocks the chicken spiking amacrine cells (Wildsoet and Wallman 1995), TTX did not reduce the amplitudes of the dark-adapted OPs. The results suggest that amacrine cells may contribute to OP generation in humans but not in chicks, perhaps accounting, at least in part, for the interspecies differences.

### **7.7 Effect of ONS and TTX on chick light-adapted ERG luminance-response series**

The PhNR was not significantly reduced in the treated eyes of either the ONS or TTX studies. However, the sham eyes PhNR amplitudes in the ONS study showed a trend towards being larger ( $p = 0.09$ ). Similarly, in the TTX study, the PhNR tended to be larger in the sham (PBS injected) eyes but not significantly. The present results contrast with similar work done by Viswanathan et al. (1999) in primates, showing a substantial diminution of the PhNR amplitude with TTX injection. It could be suggested that since the PhNR was not reduced significantly in the treated chick, perhaps spiking RGCs do not contribute substantially to chick PhNR waveforms. This author did not find published data on chick PhNR; hence comparison within species is not possible.

The ONS surgery and the subsequent loss of RGCs did not affect the a- and b-wave of the chick light-adapted ERGs. Similarly, no differences in the light-adapted a- or b-waves was found for eyes injected with TTX. These data match previous work done in our lab (Choh et al. 2004, Chong et al. 2013) that shows that ONS selectively affects RGCs, hence no effect was demonstrated in the ERG waveforms generated by more distal cells. This work is also consistent with similar work done by Ostrin et al. (2016). The present study includes additional ERG protocols to probe cone function as



compared with Ostrin et al. (2016), such as the use of red on blue stimuli and a light-adapted luminance series as against white on white to one  $3 \text{ cd.s/m}^2$  short flash. Both studies showed that the b-wave amplitude reduction was not significant for any stimulus protocol. B-waves primarily originate from the bipolar cells and thus are not expected to be affected by ONS or TTX. There is limited literature pointing to the loss of bipolar cells in any species after ONS. However, we cannot discard the fact that some components of the b-wave might be affected. It has been noted by Sieving et al. (1994) that short flash b-wave amplitude reflects the function or contributions of both on- and off bipolar cells. Also, RGCs might contribute to the pull factor of the push and pull model of b-wave as postulated by Sieving et al. (1994). However, because the push factor (on-bipolar cell activated by the brief-flash stimuli) has more input and hence any reduction associated with RGC input to the pull factor (off bipolar cells) was not detectable.

For the TTX experiment, DA b-wave, LA a-wave, LA b-wave, and LA-PhNR amplitudes had significant inter-ocular differences (ID) in pre-treatment eyes. However, the results post-baseline is still valid due to the robust method used in the analysis. Firstly, the method of analysis repeated-measures ANOVA was performed as suggested by Armstrong (2013) and as used in chicken ERG by Ostrin et al. (2016) on only the post-baseline data, and any significant differences in the treated and control eyes will have been captured. Secondly, although interocular differences were observed in the pre-treatment, it is worth noting that, in post-baseline, these differences became narrower, suggesting that the lack of significant differences in post-baseline could not be attributed to the pre-treatment ID. Thirdly, to account for the pre-existing difference between the eyes prior to treatment, the inter-ocular differences (calculated as treated eyes minus fellow eye changes, were compared as a function time) in LA ERG parameters at the various ages were analyzed (repeated measures ANOVA) (not

reported), where any significant changes from day 0 represent additional differences than the pre-existing day 0 inter-ocular difference, but the result was not different from the reported results.

### **7.8 Effect of TTX and ONS on chick light-adapted long-flash ERGs**

The investigation into which component of the short flash b-wave reflects chick RGC function resulted in a study of the effect of ONS on the on b-wave and off d-wave of the chick long-flash ERGs. Here, the results suggested that both TTX and ONS affect the retinal off-pathway. This result is consistent with work done by Petersen-Jones et al. (2010), which found that another RGC pharmacological blocking agent, NMDA, reduces the d-wave of chicks. The drug employed by Petersen-Jones et al. (2010) targets non-spiking RGCs, TTX targets spiking cells and ONS blocks both spiking and non-spiking RGC. Since all of these treatments reduce d-wave amplitudes, it could be postulated that both spiking and non-spiking RGCs contribute to chickens' d-waves. Moreover, Awatramani et al. (2001) also noted this generalized trend and stated that in blocking the inner retinal functions, d-waves tend to be affected more than the on b-waves. Given that d-wave amplitude and implicit time seems to be preserved in RGC destructive conditions such as glaucoma (Horn et al. 2011) and autosomal dominant optic atrophy (Morny et al. 2015), it could be suggested that an anatomical reason might account for the difference. Chicks have an enhanced centrifugal vision system (CVS), efferent fibres from the brain to the retina, while such efferent fibres are rare in the primate retina (Gastinger et al. 2006). Most of the CVS fibres terminate close to off-bipolar cells and excite RGC cells (Lindstrom et al. 2010). It could be suggested that dysfunction of the CVS fibres by ONS or by blockage of RGCs with TTX in the treated eye could lead to decreased activity of the off-bipolar cells and hence the reduced d-wave.

Both ONS and TTX studies show growth in the ERG waveform over time. This is consistent with studies done by Ookawa, T. (1971), which shows that the chicken ERGs grow over time. Ostrin et al. (2016), also demonstrated this maturation in ONS and sham-treated eyes.

## **7.9 Summary and limitations**

This thesis explored the use of chicken ERGs to detect RGC functions. The ERGs protocols employed in this study were known to detect RGC functions in human subjects. However, this study suggests that chicken does not have a sub-threshold response, which implies that STRs do not reflect RGC functions in chicks. Moreover, another negative going ERG waveform, which is known to reflect RGCs function, the photopic negative response (PhNR), is small in growing white leghorn chickens (compared to humans). Finally, this thesis showed that offset d-wave possibly reflects RGCs functions.

Furthermore, the pre-treatment day 0 (baseline) data shows bigger ERG values for the TTX group than the ERGs from the birds in the ONS group. This may be due to different batches of chickens used for each study. We noticed the ERG amplitudes from the batch of chicken for the TTX group were bigger than for the batch for the ONS group, although the experiment was carried out in the same experimental conditions. For instance, the mean of the DA a-wave amplitude from the TTX group of birds was 15  $\mu\text{V}$ , bigger than the ONS group at day 0. These differences in the treatment ERG data and the generally increased amplitude of ERG data from the TTX group make it difficult to compare the ERGs from the two groups. Also, both study groups were not carried out concurrently. It is suggested that future studies into chicken ERG studies be carried concurrently using the same batch of chickens.

Moreover, the main effects of treated eye of flash ERG parameters measured in the thesis showed no significant difference. However, since the effect size analysis with Cohen's  $d$ , shows none of these main effects of treatment had  $d > 0.60$ , it could be suggested the effect sizes were also not large enough. It is suggested that future studies, especially PhNR in chicks, should consider the low observed power analysis from this thesis and factor it into the sample size calculations.

Although this study clearly demonstrated that the inner nuclear layer cells were not affected by the ONS, the d-wave from the chick retina was affected, suggesting future investigations into the effect of ONS or TTX on off-bipolar cells should be investigated in the future using immunohistology.

Additionally, ERGs for the treated eyes and the control eyes were tested simultaneously in each bird to avoid testing effects, such as anesthesia (Choh et al., 2017) on ERG variability from sequential testing in this thesis. Although this type of design is the most used in ERG studies in chickens, it is possible that the ERGs could cross to the contralateral eye through volume conduction (ERG crosstalk) because chickens have small heads and have eyes close together. However, the possibility of this ERG cross talk affecting the results was minimized in this study since ERGs crosstalk from each eye could cancel out. In the future, chicken ERG interocular differences should be explored. The sham controls instead of naïve controls were used in this thesis because sham controls had the advantage of cancelling out the effect of the surgery/injection in both chicken eyes.

## Letters of Copyright Permission

ELSEVIER LICENSE  
TERMS AND CONDITIONS  
Jun 24, 2020

---

---

This Agreement between University of Waterloo -- Clement Afari ("You") and Elsevier ("Elsevier") consists of your license details and the terms and conditions provided by Elsevier and Copyright Clearance Center.

License Number	4855311450241
License date	Jun 24, 2020
Licensed Content Publisher	Elsevier
Licensed Content Publication	Progress in Retinal and Eye Research
Licensed Content Title	Development of Retinal Ganglion Cell Structure and Function
Licensed Content Author	Evelyne Sernagor, Stephen J Eglen, Rachel O.L Wong
Licensed Content Date	Mar 1, 2001
Licensed Content Volume	20
Licensed Content Issue	2
Licensed Content Pages	36
Start Page	139
End Page	174
Type of Use	reuse in a thesis/dissertation

Portion figures/tables/illustrations

Number of figures/tables/illustrations 1

Format both print and electronic

Are you the author of this Elsevier article? No

Will you be translating? No

Title Electrophysiological measure of retinal ganglion cell function

Institution name University of Waterloo Expected presentation date Aug 2020

Order reference number w

Portions Fig. 2.

University of Waterloo

200 University Avenue West SOVS

Requestor Location

Waterloo, ON N2L 3G1

Canada

Attn: University of Waterloo

Publisher Tax ID GB 494 6272 12

Total 0.00 CAD

Terms and Conditions

## INTRODUCTION

1. The publisher for this copyrighted material is Elsevier. By clicking "accept" in connection with completing this licensing transaction, you agree that the following terms and conditions apply to this transaction (along with the Billing and Payment terms and conditions established by Copyright Clearance Center, Inc. ("CCC"), at the time that you opened your Rightslink account and that are available at any time at <http://myaccount.copyright.com>).

## GENERAL TERMS

2. Elsevier hereby grants you permission to reproduce the aforementioned material subject to the terms and conditions indicated.

3. Acknowledgement: If any part of the material to be used (for example, figures) has appeared in our publication with credit or acknowledgement to another source, permission must also be sought from that source. If such permission is not obtained then that material may not be included in your publication/copies. Suitable acknowledgement to the source must be made, either as a footnote or in a reference list at the end of your publication, as follows:

"Reprinted from Publication title, Vol /edition number, Author(s), Title of article / title of chapter, Pages No., Copyright (Year), with permission from Elsevier [OR APPLICABLE SOCIETY COPYRIGHT OWNER]." Also Lancet special credit - "Reprinted from The Lancet, Vol. number, Author(s), Title of article, Pages No., Copyright (Year), with permission from Elsevier."

4. Reproduction of this material is confined to the purpose and/or media for which permission is hereby given.

5. Altering/Modifying Material: Not Permitted. However figures and illustrations may be altered/adapted minimally to serve your work. Any other abbreviations, additions, deletions and/or any other alterations shall be made only with prior written authorization of Elsevier Ltd. (Please contact Elsevier at [permissions@elsevier.com](mailto:permissions@elsevier.com)). No modifications can be made to any Lancet figures/tables and they must be reproduced in full.

6. If the permission fee for the requested use of our material is waived in this instance, please be advised that your future requests for Elsevier materials may attract a fee.

7. Reservation of Rights: Publisher reserves all rights not specifically granted in the combination of (i) the license details provided by you and accepted in the

course of this licensing transaction, (ii) these terms and conditions and (iii) CCC's Billing and Payment terms and conditions.

8. **License Contingent Upon Payment:** While you may exercise the rights licensed immediately upon issuance of the license at the end of the licensing process for the transaction, provided that you have disclosed complete and accurate details of your proposed use, no license is finally effective unless and until full payment is received from you (either by publisher or by CCC) as provided in CCC's Billing and Payment terms and conditions. If full payment is not received on a timely basis, then any license preliminarily granted shall be deemed automatically revoked and shall be void as if never granted. Further, in the event that you breach any of these terms and conditions or any of CCC's Billing and Payment terms and conditions, the license is automatically revoked and shall be void as if never granted. Use of materials as described in a revoked license, as well as any use of the materials beyond the scope of an unrevoked license, may constitute copyright infringement and publisher reserves the right to take any and all action to protect its copyright in the materials.

9. **Warranties:** Publisher makes no representations or warranties with respect to the licensed material.

10. **Indemnity:** You hereby indemnify and agree to hold harmless publisher and CCC, and their respective officers, directors, employees and agents, from and against any and all claims arising out of your use of the licensed material other than as specifically authorized pursuant to this license.

11. **No Transfer of License:** This license is personal to you and may not be sublicensed, assigned, or transferred by you to any other person without publisher's written permission.

12. **No Amendment Except in Writing:** This license may not be amended except in a writing signed by both parties (or, in the case of publisher, by CCC on publisher's behalf).

13. **Objection to Contrary Terms:** Publisher hereby objects to any terms contained in any purchase order, acknowledgment, check endorsement or other writing prepared by you, which terms are inconsistent with these terms and



conditions or CCC's Billing and Payment terms and conditions. These terms and conditions, together with CCC's Billing and Payment terms and conditions (which are incorporated herein), comprise the entire agreement between you and publisher (and CCC) concerning this licensing transaction. In the event of any conflict between your obligations established by these terms and conditions and those established by CCC's Billing and Payment terms and conditions, these terms and conditions shall control.

14. **Revocation:** Elsevier or Copyright Clearance Center may deny the permissions described in this License at their sole discretion, for any reason or no reason, with a full refund payable to you. Notice of such denial will be made using the contact information provided by you. Failure to receive such notice will not alter or invalidate the denial. In no event will Elsevier or Copyright Clearance Center be responsible or liable for any costs, expenses or damage incurred by you as a result of a denial of your permission request, other than a refund of the amount(s) paid by you to Elsevier and/or Copyright Clearance Center for denied permissions.

### **LIMITED LICENSE**

The following terms and conditions apply only to specific license types:

15. **Translation:** This permission is granted for non-exclusive world **English** rights only unless your license was granted for translation rights. If you licensed translation rights you may only translate this content into the languages you requested. A professional translator must perform all translations and reproduce the content word for word preserving the integrity of the article.

16. **Posting licensed content on any Website:** The following terms and conditions apply as follows: Licensing material from an Elsevier journal: All content posted to the web site must maintain the copyright information line on the bottom of each image; A hyper-text must be included to the Homepage of the journal from which you are licensing at <http://www.sciencedirect.com/science/journal/xxxxx> or the Elsevier homepage for books at <http://www.elsevier.com>; Central Storage: This license does not include permission for a scanned version of the material to be stored in a central repository such as that provided by Heron/XanEdu.

Licensing material from an Elsevier book: A hyper-text link must be included to the Elsevier homepage at <http://www.elsevier.com> . All content posted to the web site must maintain the copyright information line on the bottom of each image.

**Posting licensed content on Electronic reserve:** In addition to the above the following clauses are applicable: The web site must be password-protected and made available only to bona fide students registered on a relevant course. This permission is granted for 1 year only. You may obtain a new license for future website posting.

17. **For journal authors:** the following clauses are applicable in addition to the above:

**Preprints:**

A preprint is an author's own write-up of research results and analysis, it has not been peer-reviewed, nor has it had any other value added to it by a publisher (such as formatting, copyright, technical enhancement etc.).

Authors can share their preprints anywhere at any time. Preprints should not be added to or enhanced in any way in order to appear more like, or to substitute for, the final versions of articles however authors can update their preprints on arXiv or RePEc with their Accepted Author Manuscript (see below).

If accepted for publication, we encourage authors to link from the preprint to their formal publication via its DOI. Millions of researchers have access to the formal publications on ScienceDirect, and so links will help users to find, access, cite and use the best available version. Please note that Cell Press, The Lancet and some society-owned have different preprint policies. Information on these policies is available on the journal homepage.

**Accepted Author Manuscripts:** An accepted author manuscript is the manuscript of an article that has been accepted for publication and which typically includes author-incorporated changes suggested during submission, peer review and editor-author communications.

Authors can share their accepted author manuscript:

- immediately
  - via their non-commercial person homepage or blog
  - by updating a preprint in arXiv or RePEc with the accepted manuscript
  - via their research institute or institutional repository for internal institutional uses or as part of an invitation-only research collaboration work-group
  - directly by providing copies to their students or to research collaborators for their personal use
  - for private scholarly sharing as part of an invitation-only work group on commercial sites with which Elsevier has an agreement
- After the embargo period
  - via non-commercial hosting platforms such as their institutional repository
  - via commercial sites with which Elsevier has an agreement

In all cases accepted manuscripts should:

- link to the formal publication via its DOI
- bear a CC-BY-NC-ND license - this is easy to do
- if aggregated with other manuscripts, for example in a repository or other site, be shared in alignment with our hosting policy not be added to or enhanced in any way to appear more like, or to substitute for, the published journal article.

**Published journal article (JPA):** A published journal article (PJA) is the definitive final record of published research that appears or will appear in the journal and embodies all value-adding publishing activities including peer review co-ordination, copy-editing, formatting, (if relevant) pagination and online enrichment.

Policies for sharing publishing journal articles differ for subscription and gold open access articles:

**Subscription Articles:** If you are an author, please share a link to your article rather than the full-text. Millions of researchers have access to the formal publications on ScienceDirect, and so links will help your users to find, access, cite, and use the best available version.

Theses and dissertations which contain embedded PJAs as part of the formal submission can be posted publicly by the awarding institution with DOI links back to the formal publications on ScienceDirect.

If you are affiliated with a library that subscribes to ScienceDirect you have additional private sharing rights for others' research accessed under that agreement. This includes use for classroom teaching and internal training at the institution (including use in course packs and courseware programs), and inclusion of the article for grant funding purposes.

**Gold Open Access Articles:** May be shared according to the author-selected enduser license and should contain a [CrossMark logo](#), the end user license, and a DOI link to the formal publication on ScienceDirect.

Please refer to Elsevier's [posting policy](#) for further information.

18. **For book authors** the following clauses are applicable in addition to the above: Authors are permitted to place a brief summary of their work online only. You are not allowed to download and post the published electronic version of your chapter, nor may you scan the printed edition to create an electronic version.

**Posting to a repository:** Authors are permitted to post a summary of their chapter only in their institution's repository.

19. **Thesis/Dissertation:** If your license is for use in a thesis/dissertation your thesis may be submitted to your institution in either print or electronic form. Should your thesis be published commercially, please reapply for permission. These requirements include permission for the Library and Archives of Canada to supply single copies, on demand, of the complete thesis and include permission for Proquest/UMI to supply single copies, on demand, of the complete thesis. Should your thesis be published commercially, please reapply for permission.

Theses and dissertations which contain embedded PJAs as part of the formal submission can be posted publicly by the awarding institution with DOI links back to the formal publications on ScienceDirect.

### **Elsevier Open Access Terms and Conditions**

You can publish open access with Elsevier in hundreds of open access journals or in nearly 2000 established subscription journals that support open access

publishing. Permitted third party re-use of these open access articles is defined by the author's choice of Creative Commons user license. See our [open access license policy](#) for more information.

**Terms & Conditions applicable to all Open Access articles published with Elsevier:**

Any reuse of the article must not represent the author as endorsing the adaptation of the article nor should the article be modified in such a way as to damage the author's honour or reputation. If any changes have been made, such changes must be clearly indicated.

The author(s) must be appropriately credited and we ask that you include the end user license and a DOI link to the formal publication on ScienceDirect.

If any part of the material to be used (for example, figures) has appeared in our publication with credit or acknowledgement to another source it is the responsibility of the user to ensure their reuse complies with the terms and conditions determined by the rights holder.

**Additional Terms & Conditions applicable to each Creative Commons user license:**

**CC BY:** The CC-BY license allows users to copy, to create extracts, abstracts and new works from the Article, to alter and revise the Article and to make commercial use of the Article (including reuse and/or resale of the Article by commercial entities), provided the user gives appropriate credit (with a link to the formal publication through the relevant DOI), provides a link to the license, indicates if changes were made and the licensor is not represented as endorsing

the use made of the work. The full details of the license are available at <http://creativecommons.org/licenses/by/4.0>.

**CC BY NC SA:** The CC BY-NC-SA license allows users to copy, to create extracts, abstracts and new works from the Article, to alter and revise the Article, provided this is not done for commercial purposes, and that the user gives appropriate credit (with a link to the formal publication through the relevant DOI), provides a link to the license, indicates if changes were made and the licensor is

not represented as endorsing the use made of the work. Further, any new works must be made available on the same conditions. The full details of the license are available at <http://creativecommons.org/licenses/by-nc-sa/4.0>.

**CC BY NC ND:** The CC BY-NC-ND license allows users to copy and distribute the Article, provided this is not done for commercial purposes and further does not permit distribution of the Article if it is changed or edited in any way, and provided the user gives appropriate credit (with a link to the formal publication through the relevant DOI), provides a link to the license, and that the licensor is not represented as endorsing the use made of the work. The full details of the license are available at <http://creativecommons.org/licenses/by-nc-nd/4.0>. Any commercial reuse of Open Access articles published with a CC BY NC SA or CC BY NC ND license requires permission from Elsevier and will be subject to a fee.

Commercial reuse includes:

- Associating advertising with the full text of the Article
- Charging fees for document delivery or access
- Article aggregation
- Systematic distribution via e-mail lists or share buttons

Posting or linking by commercial companies for use by customers of those companies.

## 20. Other Conditions:

v1.9

**Questions? [customercare@copyright.com](mailto:customercare@copyright.com) or +1-855-239-3415 (toll free in the US) or +1-978-646-2777.**

---

---

ELSEVIER LICENSE  
TERMS AND CONDITIONS  
Jun 24, 2020

---

---

This Agreement between University of Waterloo -- Clement Afari ("You") and Elsevier ("Elsevier") consists of your license details and the terms and conditions provided by Elsevier and Copyright Clearance Center.

License Number	4855321019452
License date	Jun 24, 2020
Licensed Content Publisher	Elsevier
Licensed Content Publication	Progress in Retinal and Eye Research The chick eye in vision research: An
Licensed Content Title	excellent model for the study of ocular disease
Licensed Content Author	C. Ellis Wisely, Javed A. Sayed, Heather Tamez, Chris Zelinka, Mohamed H. AbdelRahman, Andy J. Fischer, Colleen M. Cebulla
Licensed Content Date	Nov 1, 2017
Licensed Content Volume	61
Licensed Content Issue	n/a
Licensed Content Pages	26
Start Page	72
End Page	97

Type of Use	reuse in a thesis/dissertation
Portion	figures/tables/illustrations
Number of figures/tables/illustrations	1
Format	both print and electronic
Are you the author of this Elsevier article?	No
Will you be translating?	No
Title	Electrophysiological measure of retinal ganglion cell function
Institution name	University of Waterloo
Expected presentation date	Aug 2020
Order reference number	Thesis 4
Portions	Fig 5.
Requestor Location	University of Waterloo 200 University Avenue West SOVS  Waterloo, ON N2L 3G1 Canada Attn: University of Waterloo
Publisher Tax ID	GB 494 6272 12
Total	0.00 CAD



## Terms and Conditions

## INTRODUCTION

1. The publisher for this copyrighted material is Elsevier. By clicking "accept" in connection with completing this licensing transaction, you agree that the following terms and conditions apply to this transaction (along with the Billing and Payment terms and conditions established by Copyright Clearance Center, Inc. ("CCC"), at the time that you opened your Rightslink account and that are available at any time at <http://myaccount.copyright.com>).

## GENERAL TERMS

2. Elsevier hereby grants you permission to reproduce the aforementioned material subject to the terms and conditions indicated.

3. Acknowledgement: If any part of the material to be used (for example, figures) has appeared in our publication with credit or acknowledgement to another source, permission must also be sought from that source. If such permission is not obtained then that material may not be included in your publication/copies. Suitable acknowledgement to the source must be made, either as a footnote or in a reference list at the end of your publication, as follows:

"Reprinted from Publication title, Vol /edition number, Author(s), Title of article / title of chapter, Pages No., Copyright (Year), with permission from Elsevier [OR APPLICABLE SOCIETY COPYRIGHT OWNER]." Also Lancet special credit - "Reprinted from The Lancet, Vol. number, Author(s), Title of article, Pages No., Copyright (Year), with permission from Elsevier."

4. Reproduction of this material is confined to the purpose and/or media for which permission is hereby given.

5. Altering/Modifying Material: Not Permitted. However figures and illustrations may be altered/adapted minimally to serve your work. Any other abbreviations, additions, deletions and/or any other alterations shall be made only with prior written authorization of Elsevier Ltd. (Please contact Elsevier at [permissions@elsevier.com](mailto:permissions@elsevier.com)). No modifications can be made to any Lancet figures/tables and they must be reproduced in full.

6. If the permission fee for the requested use of our material is waived in this instance, please be advised that your future requests for Elsevier materials may attract a fee.
7. **Reservation of Rights:** Publisher reserves all rights not specifically granted in the combination of (i) the license details provided by you and accepted in the course of this licensing transaction, (ii) these terms and conditions and (iii) CCC's Billing and Payment terms and conditions.
8. **License Contingent Upon Payment:** While you may exercise the rights licensed immediately upon issuance of the license at the end of the licensing process for the transaction, provided that you have disclosed complete and accurate details of your proposed use, no license is finally effective unless and until full payment is received from you (either by publisher or by CCC) as provided in CCC's Billing and Payment terms and conditions. If full payment is not received on a timely basis, then any license preliminarily granted shall be deemed automatically revoked and shall be void as if never granted. Further, in the event that you breach any of these terms and conditions or any of CCC's Billing and Payment terms and conditions, the license is automatically revoked and shall be void as if never granted. Use of materials as described in a revoked license, as well as any use of the materials beyond the scope of an unrevoked license, may constitute copyright infringement and publisher reserves the right to take any and all action to protect its copyright in the materials.
9. **Warranties:** Publisher makes no representations or warranties with respect to the licensed material.
10. **Indemnity:** You hereby indemnify and agree to hold harmless publisher and CCC, and their respective officers, directors, employees and agents, from and against any and all claims arising out of your use of the licensed material other than as specifically authorized pursuant to this license.
11. **No Transfer of License:** This license is personal to you and may not be sublicensed, assigned, or transferred by you to any other person without publisher's written permission.

12. **No Amendment Except in Writing:** This license may not be amended except in a writing signed by both parties (or, in the case of publisher, by CCC on publisher's behalf).

13. **Objection to Contrary Terms:** Publisher hereby objects to any terms contained in any purchase order, acknowledgment, check endorsement or other writing prepared by you, which terms are inconsistent with these terms and conditions or CCC's Billing and Payment terms and conditions. These terms and conditions, together with CCC's Billing and Payment terms and conditions (which are incorporated herein), comprise the entire agreement between you and publisher (and CCC) concerning this licensing transaction. In the event of any conflict between your obligations established by these terms and conditions and those established by CCC's Billing and Payment terms and conditions, these terms and conditions shall control.

14. **Revocation:** Elsevier or Copyright Clearance Center may deny the permissions described in this License at their sole discretion, for any reason or no reason, with a full refund payable to you. Notice of such denial will be made using the contact information provided by you. Failure to receive such notice will not alter or invalidate the denial. In no event will Elsevier or Copyright Clearance Center be responsible or liable for any costs, expenses or damage incurred by you as a result of a denial of your permission request, other than a refund of the amount(s) paid by you to Elsevier and/or Copyright Clearance Center for denied permissions.

### **LIMITED LICENSE**

The following terms and conditions apply only to specific license types:

15. **Translation:** This permission is granted for non-exclusive world **English** rights only unless your license was granted for translation rights. If you licensed translation rights you may only translate this content into the languages you requested. A professional translator must perform all translations and reproduce the content word for word preserving the integrity of the article.

16. **Posting licensed content on any Website:** The following terms and conditions apply as follows: Licensing material from an Elsevier journal: All

content posted to the web site must maintain the copyright information line on the bottom of each image; A hyper-text must be included to the Homepage of the journal from which you are licensing at <http://www.sciencedirect.com/science/journal/xxxxx> or the Elsevier homepage for books at <http://www.elsevier.com>; Central Storage: This license does not include permission for a scanned version of the material to be stored in a central repository such as that provided by Heron/XanEdu.

Licensing material from an Elsevier book: A hyper-text link must be included to the Elsevier homepage at <http://www.elsevier.com> . All content posted to the web site must maintain the copyright information line on the bottom of each image.

**Posting licensed content on Electronic reserve:** In addition to the above the following clauses are applicable: The web site must be password-protected and made available only to bona fide students registered on a relevant course. This permission is granted for 1 year only. You may obtain a new license for future website posting.

17. **For journal authors:** the following clauses are applicable in addition to the above:

**Preprints:**

A preprint is an author's own write-up of research results and analysis, it has not been peer-reviewed, nor has it had any other value added to it by a publisher (such as formatting, copyright, technical enhancement etc.).

Authors can share their preprints anywhere at any time. Preprints should not be added to or enhanced in any way in order to appear more like, or to substitute for, the final versions of articles however authors can update their preprints on arXiv or RePEc with their Accepted Author Manuscript (see below).

If accepted for publication, we encourage authors to link from the preprint to their formal publication via its DOI. Millions of researchers have access to the formal publications on ScienceDirect, and so links will help users to find, access, cite and use the best available version. Please note that Cell Press, The Lancet and some

society-owned have different preprint policies. Information on these policies is available on the journal homepage.

**Accepted Author Manuscripts:** An accepted author manuscript is the manuscript of an article that has been accepted for publication and which typically includes author-incorporated changes suggested during submission, peer review and editor-author communications.

Authors can share their accepted author manuscript:

- immediately
  - via their non-commercial personal homepage or blog
  - by updating a preprint in arXiv or RePEc with the accepted manuscript
  - via their research institute or institutional repository for internal institutional uses or as part of an invitation-only research collaboration work-group
  - directly by providing copies to their students or to research collaborators for their personal use
  - for private scholarly sharing as part of an invitation-only work group on commercial sites with which Elsevier has an agreement
- After the embargo period
  - via non-commercial hosting platforms such as their institutional repository
  - via commercial sites with which Elsevier has an agreement

In all cases accepted manuscripts should:

- link to the formal publication via its DOI
- bear a CC-BY-NC-ND license - this is easy to do
- if aggregated with other manuscripts, for example in a repository or other site, be shared in alignment with our hosting policy not be added to or enhanced in any way to appear more like, or to substitute for, the published journal article.

**Published journal article (JPA):** A published journal article (PJA) is the definitive final record of published research that appears or will appear in the journal and embodies all value-adding publishing activities including peer review co-ordination, copy-editing, formatting, (if relevant) pagination and online enrichment.

Policies for sharing publishing journal articles differ for subscription and gold open access articles:

**Subscription Articles:** If you are an author, please share a link to your article rather than the full-text. Millions of researchers have access to the formal publications on ScienceDirect, and so links will help your users to find, access, cite, and use the best available version.

Theses and dissertations which contain embedded PJAs as part of the formal submission can be posted publicly by the awarding institution with DOI links back to the formal publications on ScienceDirect.

If you are affiliated with a library that subscribes to ScienceDirect you have additional private sharing rights for others' research accessed under that agreement. This includes use for classroom teaching and internal training at the institution (including use in course packs and courseware programs), and inclusion of the article for grant funding purposes.

**Gold Open Access Articles:** May be shared according to the author-selected enduser license and should contain a [CrossMark logo](#), the end user license, and a DOI link to the formal publication on ScienceDirect.

Please refer to Elsevier's [posting policy](#) for further information.

18. **For book authors** the following clauses are applicable in addition to the above: Authors are permitted to place a brief summary of their work online only. You are not allowed to download and post the published electronic version of your chapter, nor may you scan the printed edition to create an electronic version.

**Posting to a repository:** Authors are permitted to post a summary of their chapter only in their institution's repository.

19. **Thesis/Dissertation:** If your license is for use in a thesis/dissertation your thesis may be submitted to your institution in either print or electronic form. Should your thesis be published commercially, please reapply for permission. These requirements include permission for the Library and Archives of Canada to supply single copies, on demand, of the complete thesis and include permission for Proquest/UMI to supply single copies, on demand, of the complete thesis.

Should your thesis be published commercially, please reapply for permission. Theses and dissertations which contain embedded PJAs as part of the formal submission can be posted publicly by the awarding institution with DOI links back to the formal publications on ScienceDirect.

### **Elsevier Open Access Terms and Conditions**

You can publish open access with Elsevier in hundreds of open access journals or in nearly 2000 established subscription journals that support open access publishing. Permitted third party re-use of these open access articles is defined by the author's choice of Creative Commons user license. See our [open access license policy](#) for more information.

#### **Terms & Conditions applicable to all Open Access articles published with Elsevier:**

Any reuse of the article must not represent the author as endorsing the adaptation of the article nor should the article be modified in such a way as to damage the author's honour or reputation. If any changes have been made, such changes must be clearly indicated.

The author(s) must be appropriately credited and we ask that you include the end user license and a DOI link to the formal publication on ScienceDirect.

If any part of the material to be used (for example, figures) has appeared in our publication with credit or acknowledgement to another source it is the responsibility of the user to ensure their reuse complies with the terms and conditions determined by the rights holder.

#### **Additional Terms & Conditions applicable to each Creative Commons user license:**

**CC BY:** The CC-BY license allows users to copy, to create extracts, abstracts and new works from the Article, to alter and revise the Article and to make commercial use of the Article (including reuse and/or resale of the Article by commercial



entities), provided the user gives appropriate credit (with a link to the formal publication through the relevant DOI), provides a link to the license, indicates if changes were made and the licensor is not represented as endorsing

the use made of the work. The full details of the license are available at <http://creativecommons.org/licenses/by/4.0>.

**CC BY NC SA:** The CC BY-NC-SA license allows users to copy, to create extracts, abstracts and new works from the Article, to alter and revise the Article, provided this is not done for commercial purposes, and that the user gives appropriate credit (with a link to the formal publication through the relevant DOI), provides a link to the license, indicates if changes were made and the licensor is not represented as endorsing the use made of the work. Further, any new works must be made available on the same conditions. The full details of the license are available at <http://creativecommons.org/licenses/by-nc-sa/4.0>.

**CC BY NC ND:** The CC BY-NC-ND license allows users to copy and distribute the Article, provided this is not done for commercial purposes and further does not permit distribution of the Article if it is changed or edited in any way, and provided the user gives appropriate credit (with a link to the formal publication through the relevant DOI), provides a link to the license, and that the licensor is not represented as endorsing the use made of the work. The full details of the license are available at <http://creativecommons.org/licenses/by-nc-nd/4.0>. Any commercial reuse of Open Access articles published with a CC BY NC SA or CC BY NC ND license requires permission from Elsevier and will be subject to a fee.

Commercial reuse includes:

- Associating advertising with the full text of the Article
- Charging fees for document delivery or access
- Article aggregation
- Systematic distribution via e-mail lists or share buttons

Posting or linking by commercial companies for use by customers of those companies.

**20. Other Conditions:**

v1.9

**Questions? [customercare@copyright.com](mailto:customercare@copyright.com) or +1-855-239-3415 (toll free in the US) or +1-978-646-2777.**

---

---

ELSEVIER LICENSE  
TERMS AND CONDITIONS  
Jun 24, 2020

---

---

This Agreement between University of Waterloo -- Clement Afari ("You") and Elsevier ("Elsevier") consists of your license details and the terms and conditions provided by Elsevier and Copyright Clearance Center.

License Number	4855320635518
License date	Jun 24, 2020
Licensed Content Publisher	Elsevier
Licensed Content Publication	Progress in Retinal and Eye Research
Licensed Content Title	Development of On and Off retinal pathways and retinogeniculate projections
Licensed Content Author	Leo M. Chalupa,Emine Günhan
Licensed Content Date	Jan 1, 2004
Licensed Content Volume	23
Licensed Content Issue	1
Licensed Content Pages	21
Start Page	31
End Page	51
Type of Use	reuse in a thesis/dissertation
Portion	figures/tables/illustrations
Number of	1

figures/tables/illustrations

Format both print and electronic

Are you the author of this Elsevier  
article? No

Will you be translating? No

Title Electrophysiological measure of retinal  
ganglion cell function

Institution name University of Waterloo

Expected presentation date Aug 2020

Order reference number Thesis 3

Portions Fig. 1

Requestor Location University of Waterloo  
200 University Avenue West  
SOVS

Waterloo, ON N2L 3G1

Canada

Attn: University of Waterloo

Publisher Tax ID GB 494 6272 12

Total 0.00 CAD

Terms and Conditions

## **INTRODUCTION**

1. The publisher for this copyrighted material is Elsevier. By clicking "accept" in connection with completing this licensing transaction, you agree that the following terms and conditions apply to this transaction (along with the Billing and Payment terms and conditions established by Copyright Clearance Center, Inc. ("CCC"), at the time that you opened your Rightslink account and that are available at any time at <http://myaccount.copyright.com>).

## GENERAL TERMS

2. Elsevier hereby grants you permission to reproduce the aforementioned material subject to the terms and conditions indicated.

3. Acknowledgement: If any part of the material to be used (for example, figures) has appeared in our publication with credit or acknowledgement to another source, permission must also be sought from that source. If such permission is not obtained then that material may not be included in your publication/copies. Suitable acknowledgement to the source must be made, either as a footnote or in a reference list at the end of your publication, as follows:

"Reprinted from Publication title, Vol /edition number, Author(s), Title of article / title of chapter, Pages No., Copyright (Year), with permission from Elsevier [OR APPLICABLE SOCIETY COPYRIGHT OWNER]." Also Lancet special credit - "Reprinted from The Lancet, Vol. number, Author(s), Title of article, Pages No., Copyright (Year), with permission from Elsevier."

4. Reproduction of this material is confined to the purpose and/or media for which permission is hereby given.

5. Altering/Modifying Material: Not Permitted. However figures and illustrations may be altered/adapted minimally to serve your work. Any other abbreviations, additions, deletions and/or any other alterations shall be made only with prior written authorization of Elsevier Ltd. (Please contact Elsevier at [permissions@elsevier.com](mailto:permissions@elsevier.com)). No modifications can be made to any Lancet figures/tables and they must be reproduced in full.

6. If the permission fee for the requested use of our material is waived in this instance, please be advised that your future requests for Elsevier materials may attract a fee.
7. **Reservation of Rights:** Publisher reserves all rights not specifically granted in the combination of (i) the license details provided by you and accepted in the course of this licensing transaction, (ii) these terms and conditions and (iii) CCC's Billing and Payment terms and conditions.
8. **License Contingent Upon Payment:** While you may exercise the rights licensed immediately upon issuance of the license at the end of the licensing process for the transaction, provided that you have disclosed complete and accurate details of your proposed use, no license is finally effective unless and until full payment is received from you (either by publisher or by CCC) as provided in CCC's Billing and Payment terms and conditions. If full payment is not received on a timely basis, then any license preliminarily granted shall be deemed automatically revoked and shall be void as if never granted. Further, in the event that you breach any of these terms and conditions or any of CCC's Billing and Payment terms and conditions, the license is automatically revoked and shall be void as if never granted. Use of materials as described in a revoked license, as well as any use of the materials beyond the scope of an unrevoked license, may constitute copyright infringement and publisher reserves the right to take any and all action to protect its copyright in the materials.
9. **Warranties:** Publisher makes no representations or warranties with respect to the licensed material.
10. **Indemnity:** You hereby indemnify and agree to hold harmless publisher and CCC, and their respective officers, directors, employees and agents, from and against any and all claims arising out of your use of the licensed material other than as specifically authorized pursuant to this license.
11. **No Transfer of License:** This license is personal to you and may not be sublicensed, assigned, or transferred by you to any other person without publisher's written permission.

12. **No Amendment Except in Writing:** This license may not be amended except in a writing signed by both parties (or, in the case of publisher, by CCC on publisher's behalf).

13. **Objection to Contrary Terms:** Publisher hereby objects to any terms contained in any purchase order, acknowledgment, check endorsement or other writing prepared by you, which terms are inconsistent with these terms and conditions or CCC's Billing and Payment terms and conditions. These terms and conditions, together with CCC's Billing and Payment terms and conditions (which are incorporated herein), comprise the entire agreement between you and publisher (and CCC) concerning this licensing transaction. In the event of any conflict between your obligations established by these terms and conditions and those established by CCC's Billing and Payment terms and conditions, these terms and conditions shall control.

14. **Revocation:** Elsevier or Copyright Clearance Center may deny the permissions described in this License at their sole discretion, for any reason or no reason, with a full refund payable to you. Notice of such denial will be made using the contact information provided by you. Failure to receive such notice will not alter or invalidate the denial. In no event will Elsevier or Copyright Clearance Center be responsible or liable for any costs, expenses or damage incurred by you as a result of a denial of your permission request, other than a refund of the amount(s) paid by you to Elsevier and/or Copyright Clearance Center for denied permissions.

### **LIMITED LICENSE**

The following terms and conditions apply only to specific license types:

15. **Translation:** This permission is granted for non-exclusive world **English** rights only unless your license was granted for translation rights. If you licensed translation rights you may only translate this content into the languages you requested. A professional translator must perform all translations and reproduce the content word for word preserving the integrity of the article.

16. **Posting licensed content on any Website:** The following terms and conditions apply as follows: Licensing material from an Elsevier journal: All

content posted to the web site must maintain the copyright information line on the bottom of each image; A hyper-text must be included to the Homepage of the journal from which you are licensing at <http://www.sciencedirect.com/science/journal/xxxxx> or the Elsevier homepage for books at <http://www.elsevier.com>; Central Storage: This license does not include permission for a scanned version of the material to be stored in a central repository such as that provided by Heron/XanEdu.

Licensing material from an Elsevier book: A hyper-text link must be included to the Elsevier homepage at <http://www.elsevier.com> . All content posted to the web site must maintain the copyright information line on the bottom of each image.

**Posting licensed content on Electronic reserve:** In addition to the above the following clauses are applicable: The web site must be password-protected and made available only to bona fide students registered on a relevant course. This permission is granted for 1 year only. You may obtain a new license for future website posting.

17. **For journal authors:** the following clauses are applicable in addition to the above:

**Preprints:**

A preprint is an author's own write-up of research results and analysis, it has not been peer-reviewed, nor has it had any other value added to it by a publisher (such as formatting, copyright, technical enhancement etc.).

Authors can share their preprints anywhere at any time. Preprints should not be added to or enhanced in any way in order to appear more like, or to substitute for, the final versions of articles however authors can update their preprints on arXiv or RePEc with their Accepted Author Manuscript (see below).

If accepted for publication, we encourage authors to link from the preprint to their formal publication via its DOI. Millions of researchers have access to the formal publications on ScienceDirect, and so links will help users to find, access, cite and use the best available version. Please note that Cell Press, The Lancet and some



society-owned have different preprint policies. Information on these policies is available on the journal homepage.

**Accepted Author Manuscripts:** An accepted author manuscript is the manuscript of an article that has been accepted for publication and which typically includes author-incorporated changes suggested during submission, peer review and editor-author communications.

Authors can share their accepted author manuscript:

- immediately
  - via their non-commercial person homepage or blog
  - by updating a preprint in arXiv or RePEc with the accepted manuscript
  - via their research institute or institutional repository for internal institutional uses or as part of an invitation-only research collaboration work-group
  - directly by providing copies to their students or to research collaborators for their personal use
  - for private scholarly sharing as part of an invitation-only work group on commercial sites with which Elsevier has an agreement
- After the embargo period
  - via non-commercial hosting platforms such as their institutional repository
  - via commercial sites with which Elsevier has an agreement

In all cases accepted manuscripts should:

- link to the formal publication via its DOI
- bear a CC-BY-NC-ND license - this is easy to do
- if aggregated with other manuscripts, for example in a repository or other site, be shared in alignment with our hosting policy not be added to or enhanced in any way to appear more like, or to substitute for, the published journal article.

**Published journal article (JPA):** A published journal article (PJA) is the definitive final record of published research that appears or will appear in the journal and embodies all value-adding publishing activities including peer review co-ordination, copy-editing, formatting, (if relevant) pagination and online enrichment.

Policies for sharing publishing journal articles differ for subscription and gold open access articles:

**Subscription Articles:** If you are an author, please share a link to your article rather than the full-text. Millions of researchers have access to the formal publications on ScienceDirect, and so links will help your users to find, access, cite, and use the best available version.

Theses and dissertations which contain embedded PJAs as part of the formal submission can be posted publicly by the awarding institution with DOI links back to the formal publications on ScienceDirect.

If you are affiliated with a library that subscribes to ScienceDirect you have additional private sharing rights for others' research accessed under that agreement. This includes use for classroom teaching and internal training at the institution (including use in course packs and courseware programs), and inclusion of the article for grant funding purposes.

**Gold Open Access Articles:** May be shared according to the author-selected enduser license and should contain a [CrossMark logo](#), the end user license, and a DOI link to the formal publication on ScienceDirect.

Please refer to Elsevier's [posting policy](#) for further information.

18. **For book authors** the following clauses are applicable in addition to the above: Authors are permitted to place a brief summary of their work online only. You are not allowed to download and post the published electronic version of your chapter, nor may you scan the printed edition to create an electronic version.

**Posting to a repository:** Authors are permitted to post a summary of their chapter only in their institution's repository.

19. **Thesis/Dissertation:** If your license is for use in a thesis/dissertation your thesis may be submitted to your institution in either print or electronic form. Should your thesis be published commercially, please reapply for permission. These requirements include permission for the Library and Archives of Canada to supply single copies, on demand, of the complete thesis and include permission for Proquest/UMI to supply single copies, on demand, of the complete thesis. Should your thesis be published commercially, please reapply for permission. Theses and

dissertations which contain embedded PJAs as part of the formal submission can be posted publicly by the awarding institution with DOI links back to the formal publications on ScienceDirect.

### **Elsevier Open Access Terms and Conditions**

You can publish open access with Elsevier in hundreds of open access journals or in nearly 2000 established subscription journals that support open access publishing. Permitted third party re-use of these open access articles is defined by the author's choice of Creative Commons user license. See our [open access license policy](#) for more information.

#### **Terms & Conditions applicable to all Open Access articles published with Elsevier:**

Any reuse of the article must not represent the author as endorsing the adaptation of the article nor should the article be modified in such a way as to damage the author's honour or reputation. If any changes have been made, such changes must be clearly indicated.

The author(s) must be appropriately credited and we ask that you include the end user license and a DOI link to the formal publication on ScienceDirect.

If any part of the material to be used (for example, figures) has appeared in our publication with credit or acknowledgement to another source it is the responsibility of the user to ensure their reuse complies with the terms and conditions determined by the rights holder.

#### **Additional Terms & Conditions applicable to each Creative Commons user license:**

**CC BY:** The CC-BY license allows users to copy, to create extracts, abstracts and new works from the Article, to alter and revise the Article and to make commercial use of the Article (including reuse and/or resale of the Article by commercial entities), provided the user gives appropriate credit (with a link to the formal

publication through the relevant DOI), provides a link to the license, indicates if changes were made and the licensor is not represented as endorsing the use made of the work. The full details of the license are available at <http://creativecommons.org/licenses/by/4.0>.

**CC BY NC SA:** The CC BY-NC-SA license allows users to copy, to create extracts, abstracts and new works from the Article, to alter and revise the Article, provided this is not done for commercial purposes, and that the user gives appropriate credit (with a link to the formal publication through the relevant DOI), provides a link to the license, indicates if changes were made and the licensor is not represented as endorsing the use made of the work. Further, any new works must be made available on the same conditions. The full details of the license are available at <http://creativecommons.org/licenses/by-nc-sa/4.0>.

**CC BY NC ND:** The CC BY-NC-ND license allows users to copy and distribute the Article, provided this is not done for commercial purposes and further does not permit distribution of the Article if it is changed or edited in any way, and provided the user gives appropriate credit (with a link to the formal publication through the relevant DOI), provides a link to the license, and that the licensor is not represented as endorsing the use made of the work. The full details of the license are available at <http://creativecommons.org/licenses/by-nc-nd/4.0>. Any commercial reuse of Open Access articles published with a CC BY NC SA or CC BY NC ND license requires permission from Elsevier and will be subject to a fee.

Commercial reuse includes:

- Associating advertising with the full text of the Article
- Charging fees for document delivery or access
- Article aggregation
- Systematic distribution via e-mail lists or share buttons

Posting or linking by commercial companies for use by customers of those companies.

## 20. Other Conditions:

v1.9

Questions? [customercare@copyright.com](mailto:customercare@copyright.com) or +1-855-239-3415 (toll free in the US) or +1-978-646-2777.



Creative Commons Legal Co



*CREATIVE COMMONS CORPORATION IS NOT A LAW FIRM AND DOES NOT PROVIDE LEGAL SERVICES. DISTRIBUTION OF THIS LICENSE DOES NOT CREATE AN ATTORNEY-CLIENT RELATIONSHIP. CREATIVE COMMONS PROVIDES THIS INFORMATION ON AN "AS-IS" BASIS. CREATIVE COMMONS MAKES NO WARRANTIES REGARDING THE INFORMATION PROVIDED, AND DISCLAIMS LIABILITY FOR DAMAGES RESULTING FROM ITS USE.*

## **License**

THE WORK (AS DEFINED BELOW) IS PROVIDED UNDER THE TERMS OF THIS CREATIVE COMMONS PUBLIC LICENSE ("CCPL" OR "LICENSE"). THE WORK IS PROTECTED BY COPYRIGHT AND/OR OTHER APPLICABLE LAW. ANY USE OF THE WORK OTHER THAN AS AUTHORIZED UNDER THIS LICENSE OR COPYRIGHT LAW IS PROHIBITED. BY EXERCISING ANY RIGHTS TO THE WORK PROVIDED HERE, YOU ACCEPT AND AGREE TO BE

BOUND BY THE TERMS OF THIS LICENSE. TO THE EXTENT THIS LICENSE MAY BE CONSIDERED TO BE A CONTRACT, THE LICENSOR GRANTS YOU THE RIGHTS CONTAINED HERE IN CONSIDERATION OF YOUR ACCEPTANCE OF SUCH TERMS AND CONDITIONS.

## 1. Definitions

a. **"Adaptation"** means a work based upon the Work, or upon the Work and other pre-existing works, such as a translation, adaptation, derivative work, arrangement of music or other alterations of a literary or artistic work, or phonogram or performance and includes cinematographic adaptations or any other form in which the Work may be recast, transformed, or adapted including in any form recognizably derived from the original, except that a work that constitutes a Collection will not be considered an Adaptation for the purpose of this License. For the avoidance of doubt, where the Work is a musical work, performance or phonogram, the synchronization of the Work in timed relation with a moving image ("synching") will be considered an Adaptation for the purpose of this License.

b. **"Collection"** means a collection of literary or artistic works, such as encyclopedias and anthologies, or performances, phonograms or broadcasts, or other works or subject matter other than works listed in Section 1(f) below, which, by reason of the selection and arrangement of their contents, constitute intellectual creations, in which the Work is included in its entirety in unmodified form along with one or more other contributions, each constituting separate and independent works in themselves, which together are assembled into a collective whole. A work that constitutes a

Collection will not be considered an Adaptation (as defined above) for the purposes of this License.

c. **"Distribute"** means to make available to the public the original and copies of the Work or Adaptation, as appropriate, through sale or other transfer of ownership.

d. **"Licensor"** means the individual, individuals, entity or entities that offer(s) the Work under the terms of this License.

e. **"Original Author"** means, in the case of a literary or artistic work, the individual, individuals, entity or entities who created the Work or if no individual or entity can be identified, the publisher; and in addition (i) in the case of a performance the actors, singers, musicians, dancers, and other persons who act, sing, deliver, declaim, play in, interpret or otherwise perform literary or artistic works or expressions of folklore; (ii) in the case of a phonogram the producer being the person or

legal entity who first fixes the sounds of a performance or other sounds; and, (iii) in the case of broadcasts, the organization that transmits the broadcast.

f. **"Work"** means the literary and/or artistic work offered under the terms of this License including without limitation any production in the literary, scientific and artistic domain, whatever may be the mode or form of its expression including digital form, such as a book, pamphlet and other writing; a lecture, address, sermon or other work of the same nature; a dramatic or dramatico-musical work; a choreographic work or entertainment in dumb show; a musical composition with or without words; a cinematographic work to which are assimilated works expressed by a process analogous to cinematography; a work of drawing, painting, architecture, sculpture, engraving or lithography; a photographic work to which are assimilated works expressed by a process analogous to photography; a work of applied art; an illustration, map, plan, sketch or three-dimensional work relative to geography, topography, architecture or science; a performance; a broadcast; a phonogram; a compilation of data to the extent it is protected as a copyrightable work; or a work performed by a variety or circus performer to the extent it is not otherwise considered a literary or artistic work.

g. **"You"** means an individual or entity exercising rights under this License who has not previously violated the terms of this License with respect to the Work, or who has received express permission from the Licensor to exercise rights under this License despite a previous violation.

h. **"Publicly Perform"** means to perform public recitations of the Work and to communicate to the public those public recitations, by any means or process, including by wire or wireless means or public digital performances; to make available to the public Works in such a way that members of the public may access these Works from a place and at a place individually chosen by them; to perform the Work to the public by any means or process and the communication to the public of the performances of the Work, including by public digital performance; to broadcast and rebroadcast the Work by any means including signs, sounds or images.

i. **"Reproduce"** means to make copies of the Work by any means including without limitation by sound or visual recordings and the right of fixation and reproducing fixations of the Work, including storage of a protected performance or phonogram in digital form or other electronic medium.

**2. Fair Dealing Rights.** Nothing in this License is intended to reduce, limit, or restrict any uses free from copyright or rights arising from limitations or exceptions that are provided for in connection with the copyright protection under copyright law or other applicable laws.



**3. License Grant.** Subject to the terms and conditions of this License, Licensor hereby grants You a worldwide, royalty-free, non-exclusive, perpetual (for the duration of the applicable copyright) license to exercise the rights in the Work as stated below:

a. to Reproduce the Work, to incorporate the Work into one or more Collections, and to Reproduce the Work as incorporated in the Collections;

b. to create and Reproduce Adaptations provided that any such Adaptation, including any translation in any medium, takes reasonable steps to clearly label, demarcate or otherwise identify that changes were made to the original Work. For example, a translation could be marked "The original work was translated from English to Spanish," or a modification could indicate "The original work has been modified.";

c. to Distribute and Publicly Perform the Work including as incorporated in Collections; and,

d. to Distribute and Publicly Perform Adaptations.

e. For the avoidance of doubt:

i. **Non-waivable Compulsory License Schemes.** In those jurisdictions in which the right to collect royalties through any statutory or compulsory licensing scheme cannot be waived, the Licensor reserves the exclusive right to collect such royalties for any exercise by You of the rights granted under this License;

ii. **Waivable Compulsory License Schemes.** In those jurisdictions in which the right to collect royalties through any statutory or compulsory licensing scheme can be waived, the Licensor waives the exclusive right to collect such royalties for any exercise by You of the rights granted under this License; and,

iii. **Voluntary License Schemes.** The Licensor waives the right to collect royalties, whether individually or, in the event that the Licensor is a member of a collecting society that administers voluntary licensing schemes, via that society, from any exercise by You of the rights granted under this License. The above rights may be exercised in all media and formats whether now known or hereafter devised. The above rights include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. Subject to Section 8(f), all rights not expressly granted by Licensor are hereby reserved.

**4. Restrictions.** The license granted in Section 3 above is expressly made subject to and limited by the following restrictions:

a. You may Distribute or Publicly Perform the Work only under the terms of this License. You must include a copy of, or the Uniform Resource Identifier (URI) for, this License with every copy of

the Work You Distribute or Publicly Perform. You may not offer or impose any terms on the Work that restrict the terms of this License or the ability of the recipient of the Work to exercise the rights granted to that recipient under the terms of the License. You may not sublicense the Work. You must keep intact all notices that refer to this License and to the disclaimer of warranties with every copy of the Work You Distribute or Publicly Perform. When You Distribute or Publicly Perform the Work, You may not impose any effective technological measures on the Work that restrict the ability of a recipient of the Work from You to exercise the rights granted to that recipient under the terms of the License. This Section 4(a) applies to the Work as incorporated in a Collection, but this does not require the Collection apart from the Work itself to be made subject to the terms of this License. If You create a Collection, upon notice from any Licensor You must, to the extent practicable, remove from the Collection any credit as required by Section 4(b), as requested. If You create an Adaptation, upon notice from any Licensor You must, to the extent practicable, remove from the Adaptation any credit as required by Section 4(b), as requested.

b. If You Distribute, or Publicly Perform the Work or any Adaptations or Collections, You must, unless a request has been made pursuant to Section 4(a), keep intact all copyright notices for the Work and provide, reasonable to the medium or means You are utilizing: (i) the name of the Original Author (or pseudonym, if applicable) if supplied, and/or if the Original Author and/or Licensor designate another party or parties (e.g., a sponsor institute, publishing entity, journal) for attribution ("Attribution Parties") in Licensor's copyright notice, terms of service or by other reasonable means, the name of such party or parties; (ii) the title of the Work if supplied; (iii) to the extent reasonably practicable, the URI, if any, that Licensor specifies to be associated with the Work, unless such URI does not refer to the copyright notice or licensing information for the Work; and (iv) , consistent with Section 3(b), in the case of an Adaptation, a credit identifying the use of the Work in the Adaptation (e.g., "French translation of the Work by Original Author," or "Screenplay based on original Work by Original Author"). The credit required by this Section 4 (b) may be implemented in any reasonable manner; provided, however, that in the case of a Adaptation or Collection, at a minimum such credit will appear, if a credit for all contributing authors of the Adaptation or Collection appears, then as part of these credits and in a manner at least as prominent as the credits for the other contributing authors. For the avoidance of doubt, You may only use the credit required by this Section for the purpose of attribution in the manner set out above and, by exercising Your rights under this License, You may not implicitly or explicitly assert or imply any connection with, sponsorship or endorsement by the Original Author, Licensor and/or Attribution Parties, as appropriate, of You or Your use of the Work, without the separate, express prior written permission of the Original Author, Licensor and/or Attribution Parties.

c. Except as otherwise agreed in writing by the Licensor or as may be otherwise permitted by applicable law, if You Reproduce, Distribute or Publicly Perform the Work either by itself or as part of any Adaptations or Collections, You must not distort, mutilate, modify or take other derogatory action in relation to the Work which would be prejudicial to the Original Author's honor or reputation. Licensor agrees that in those jurisdictions (e.g. Japan), in which any exercise of the right

granted in Section 3(b) of this License (the right to make Adaptations) would be deemed to be a distortion, mutilation, modification or other derogatory action prejudicial to the Original Author's honor and reputation, the Licensor will waive or not assert, as appropriate, this Section, to the fullest extent permitted by the applicable national law, to enable You to reasonably exercise Your right under Section 3(b) of this License (right to make Adaptations) but not otherwise.

**5. Representations, Warranties and Disclaimer**

UNLESS OTHERWISE MUTUALLY AGREED TO BY THE PARTIES IN WRITING,

LICENSOR OFFERS

THE WORK AS-IS AND MAKES NO REPRESENTATIONS OR WARRANTIES OF ANY

KIND

CONCERNING THE WORK, EXPRESS, IMPLIED, STATUTORY OR OTHERWISE,

INCLUDING,

WITHOUT LIMITATION, WARRANTIES OF TITLE, MERCHANTABILITY, FITNESS FOR

A PARTICULAR

PURPOSE, NONINFRINGEMENT, OR THE ABSENCE OF LATENT OR OTHER

DEFECTS,

ACCURACY, OR THE PRESENCE OF ABSENCE OF ERRORS, WHETHER OR NOT

DISCOVERABLE. SOME JURISDICTIONS DO NOT ALLOW THE EXCLUSION OF IMPLIED

WARRANTIES, SO SUCH EXCLUSION MAY NOT APPLY TO YOU.

**6. Limitation on Liability.** EXCEPT TO THE EXTENT REQUIRED BY APPLICABLE

LAW, IN NO

EVENT WILL LICENSOR BE LIABLE TO YOU ON ANY LEGAL THEORY FOR ANY SPECIAL, INCIDENTAL, CONSEQUENTIAL, PUNITIVE OR EXEMPLARY DAMAGES ARISING OUT OF THIS LICENSE OR THE USE OF THE WORK, EVEN IF LICENSOR HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

## **7. Termination**

a. This License and the rights granted hereunder will terminate automatically upon any breach by You of the terms of this License. Individuals or entities who have received Adaptations or Collections from You under this License, however, will not have their licenses terminated provided such individuals or entities remain in full compliance with those licenses. Sections 1, 2, 5, 6, 7, and 8 will survive any termination of this License.

b. Subject to the above terms and conditions, the license granted here is perpetual (for the duration of the applicable copyright in the Work). Notwithstanding the above, Licensor reserves the right to release the Work under different license terms or to stop distributing the Work at any time; provided, however that any such election will not serve to withdraw this License (or any other license that has been, or is required to be, granted under the terms of this License), and this License will continue in full force and effect unless terminated as stated above.

## **8. Miscellaneous**

a. Each time You Distribute or Publicly Perform the Work or a Collection, the Licensor offers to the recipient a license to the Work on the same terms and conditions as the license granted to You under this License.

b. Each time You Distribute or Publicly Perform an Adaptation, Licensor offers to the recipient a license to the original Work on the same terms and conditions as the license granted to You under this License.

c. If any provision of this License is invalid or unenforceable under applicable law, it shall not affect the validity or enforceability of the remainder of the terms of this License, and without further action by the parties to this agreement, such provision shall be reformed to the minimum extent necessary to make such provision valid and enforceable.

d. No term or provision of this License shall be deemed waived and no breach consented to unless such waiver or consent shall be in writing and signed by the party to be charged with such waiver or consent.

e. This License constitutes the entire agreement between the parties with respect to the Work licensed here. There are no understandings, agreements or representations with respect to the Work not specified here. Licensor shall not be bound by any additional provisions that may appear in any communication from You. This License may not be modified without the mutual written agreement of the Licensor and You.

f. The rights granted under, and the subject matter referenced, in this License were drafted utilizing the terminology of the Berne Convention for the Protection of

Literary and Artistic Works (as amended on September 28, 1979), the Rome Convention of 1961, the WIPO Copyright Treaty of 1996, the WIPO Performances and Phonograms Treaty of 1996 and the Universal Copyright Convention (as revised on July 24, 1971). These rights and subject matter take effect in the relevant jurisdiction in which the License terms are sought to be enforced according to the corresponding provisions of the implementation of those treaty provisions in the applicable national law. If the standard suite of rights granted under applicable copyright law includes additional rights not granted under this License, such additional rights are deemed to be included in the License; this License is not intended to restrict the license of any rights under applicable law.

## **Creative Commons Notice**

*Creative Commons is not a party to this License, and makes no warranty whatsoever in connection with the Work. Creative Commons will not be liable to You or any party on any legal theory for any damages whatsoever, including without limitation any general, special, incidental or consequential damages arising in connection to this license. Notwithstanding the foregoing two (2) sentences, if Creative Commons has expressly identified itself as the Licensor hereunder, it shall have all rights and obligations of Licensor.*

*Except for the limited purpose of indicating to the public that the Work is licensed under the CCPL, Creative Commons does not authorize the use by either party of the trademark "Creative Commons"*

*or any related trademark or logo of Creative Commons without the prior written consent of Creative Commons. Any permitted use will be in compliance with Creative Commons' then-current trademark usage guidelines, as may be published on its website or otherwise made available upon request from time to time. For the avoidance of doubt, this trademark restriction does not form part of this License. Creative Commons may be contacted at <https://creativecommons.org/>.*

## References

- Al Abdlseaed, A., McTaggart, Y., Ramage, T., Hamilton, R. and McCulloch, D. L. (2010). "Light- and dark-adapted electroretinograms (ERGs) and ocular pigmentation: comparison of brown- and blue-eyed cohorts." Documenta Ophthalmologica **121**(2): 135-146.
- Armstrong, R. A. (2013). "Statistical guidelines for the analysis of data obtained from one or both eyes." Ophthalmic and Physiological Optics **33**(1): 7-14.
- Awatramani, G., Wang, J. and Slaughter, M. M. (2001). "Amacrine and ganglion cell contributions to the electroretinogram in amphibian retina." Visual Neuroscience **18**(1): 147-156.
- Belecky-Adams, T. L., Chernoff, E. C., Wilson, J. M. and Dharmarajan, S. (2013). Reactive Müller glia as potential retinal progenitors. Neural Stem Cells - New Perspectives IntechOpen. **75**.
- Block, F. and Schwarz, M. (1998). "The b-wave of the electroretinogram as an index of retinal ischemia." General Pharmacology, **30**: 281-7.
- Bovolenta, P. and Martinez-Morales, J. R. (2019). "Genetics of congenital eye malformations: insights from chick experimental embryology." Human Genetics **138**(89): 1001-1006.
- Bowmaker, J. K. and Knowles, A. (1977). "The visual pigments and oil droplets of the chicken retina." Vision Research **17**(7): 755-764.
- Brown, K. T. (1968). "The electroretinogram: its components and their origins." Vision Research **8**(6): 633-646.
- Bui, B. V. and Fortune, B. (2004). "Ganglion cell contributions to the rat full-field electroretinogram." The Journal of Physiology **555**(1): 153-173.
- Bush, R. A. and Sieving, P. A. (1994). "A proximal retinal component in the primate photopic ERG a-wave." Investigative Ophthalmology and Visual Science **35**(2): 635-645.
- Catterall, W. A., Goldin, A. L. and Waxman, S. G. (2005). "International Union of Pharmacology. XLVII. Nomenclature and structure-function relationships of voltage-gated sodium channels." Pharmacological Reviews **57**(4): 397-409.
- Cepko, C. (2014). "Intrinsically different retinal progenitor cells produce specific types of progeny." Nature Reviews Neuroscience **15**(9): 615-627.
- Chalupa, L. M. and Gunhan, E. (2004). "Development of On and Off retinal pathways and retinogeniculate projections." Progress in Retinal and Eye Research **23**(1): 31-51.
- Choh, V., Banh, J. and Wildsoet, C. (2004). "Thickness and Histological Changes in Optic Nerve-Sectioned Chick Retina." Investigative Ophthalmology and Visual Science **45**(13): 47-47.
- Choh, V., Gurdita, A., Tan, B., Feng, Y., Bizheva, K., McCulloch, D. L. & Joos, K. M. (2017). "Isoflurane and ketamine:xylazine differentially affect intraocular pressure-associated scotopic threshold responses in Sprague-Dawley rats." Documenta Ophthalmologica, **135**: 121-132
- Choh, V., Gurdita, A., Tan, B., Prasad, R. C., Bizheva, K. & Joos, K. M. (2016). "Short-Term Moderately Elevated Intraocular Pressure Is Associated With Elevated Scotopic Electroretinogram Responses." Investigative Ophthalmology and Visual Science, **57**: 2140-51.
- Chojnacki, A. and Weiss, S. (2008). "Production of neurons, astrocytes and oligodendrocytes from mammalian CNS stem cells." Nature Protocols **3**(6): 935
- Chong, S. (2013). Cell death and proliferation characteristics of the retina after optic nerve section in chickens Msc. Thesis, University of Waterloo.
- Chong, S., Wildsoet, C. and Choh, V. (2013). "Life and Death of Retinal Cells in Optic Nerve Sectioned Chick Eyes." Investigative Ophthalmology and Visual Science **54**(15):

6096-6096.

- Cowan, W. M., Adamson, L. & Powell, T. P. (1961). An experimental study of the avian visual system. Journal of Anatomy **95**: 545-63.
- Clark, B. and Mobbs, P. (1992). "Transmitter-operated channels in rabbit retinal astrocytes studied in situ by whole-cell patch clamping." Journal of Neuroscience **12**(2): 664-673.
- Crampton, G. H. and Boggs, N. (1959). "Latencies of the electroretinogram and optic tectum evoked potentials in the chicken." American Journal of Physiology **196**(5): 1067-1070.
- Cringle, S., Yu, D. Y., Alder, V., Su, E. N. and Yu, P. (1996). "Oxygen consumption in the avascular guinea pig retina." American Journal of Physiology **271**(3 Pt 2): H1162-1165.
- Dillingham, C. M., Guggenheim, J. A. & Erichsen, J. T. (2017). "The effect of unilateral disruption of the centrifugal visual system on normal eye development in chicks raised under constant light conditions." Brain Structure Function, **222**:1315-1330.
- Einthoven, W. and Jolly, W. (1908). "The form and magnitude of the electrical response of the eye to stimulation by light at various intensities." Quarterly Journal of Experimental Physiology: Translation and Integration **1**(4): 373-416.
- Evers, H. U. and Gouras, P. (1986). "Three cone mechanisms in the primate electroretinogram: two with, one without off-center bipolar responses." Vision Research **26**(2): 245-254.
- Famiglietti, E. V., Jr. and Kolb, H. (1976). "Structural basis for ON- and OFF-center responses in retinal ganglion cells." Science **194**(4261): 193-195.
- Fischer, A. J., Zelinka, C. and Scott, M. A. (2010). "Heterogeneity of glia in the retina and optic nerve of birds and mammals." PloS One **5**(6): e10774.
- Frishman, L., Reddy, M. and Robson, J. (1996). "Effects of background light on the human dark-adapted electroretinogram and psychophysical threshold." Journal of the Optical Society of America A: Optics and Image Science **13**(3): 601-612.
- Frishman, L., Sustar, M., Kremers, J., McAnany, J. J., Sarossy, M., Tzekov, R. and Viswanathan, S. (2018). "ISCEV extended protocol for the photopic negative response (PhNR) of the full-field electroretinogram." Documenta Ophthalmologica. **136**(3): 207-211
- Frishman, L. J. (2006). Origins of the electroretinogram. Principles and Practice of Clinical Electrophysiology of Vision. J. R. Heckenlively, Arden, G. B., & Bach, M., MIT press. **2**: 139-183.
- Frishman, L. J., Saszik, S., Harwerth, R. S., Viswanathan, S., Li, Y., Smith, E. L., 3rd, Robson, J. G. and Barnes, G. (2000). "Effects of experimental glaucoma in macaques on the multifocal ERG. Multifocal ERG in laser-induced glaucoma." Documenta Ophthalmologica **100**(2-3): 231-251.
- Frishman, L. J., Shen, F. F., Du, L., Robson, J. G., Harwerth, R. S., Smith, E., Carter-Dawson, L. and Crawford, M. (1996). "The scotopic electroretinogram of macaque after retinal ganglion cell loss from experimental glaucoma." Investigative Ophthalmology and Visual Science **37**(1): 125-141.
- Fu, H. and Qiu, M. (2001). "Migration and differentiation of Nkx-2.2+ oligodendrocyte progenitors in embryonic chicken retina." Brain Research: Developmental Brain Research **129**(1): 115-118.
- Garcia-Filion, P. and Borchert, M. (2013). "Optic nerve hypoplasia syndrome: a review of the epidemiology and clinical associations." Current Treatment Options in Neurology **15**(1): 78-89.
- Gastinger, M. J., Tian, N., Horvath, T. and Marshak, D. W. (2006). "Retinopetal axons in mammals: emphasis on histamine and serotonin." Current Eye Research **31**(7-8): 655-667.
- Granit, R. (1933). "The components of the retinal action potential in mammals and their relation to the discharge in the optic nerve." The Journal of physiology **77**(3): 207-239.
- Gur, M., Zeevi, Y. Y., Bielik, M. and Neumann, E. (1987). "Changes in the oscillatory potentials of the electroretinogram in glaucoma." Current Eye Research **6**(3): 457-466.



Gutierrez-Ibanez, C., Iwaniuk, A. N., Lisney, T. J., Faunes, M., Marin, G. J. & Wylie, D. R. (2012). "Functional implications of species differences in the size and morphology of the isthmo-optic nucleus (ION) in birds." PLoS One, 7, e37816.

Haddad, A., Ramírez, A. I., Laicine, E. M., Salazar, J. J., Triviño, A. and Ramírez, J. M. (2001). "Immunohistochemistry in association with scanning electron microscopy for the morphological characterization and location of astrocytes of the rabbit retina." Journal of Neuroscience Methods **106**(2): 131-137.

Hamilton, R., Bees, M. A., Chaplin, C. A. and McCulloch, D. L. (2007). "The luminance-response function of the human photopic electroretinogram: a mathematical model." Vision Research **47**(23): 2968-2972.

Harrison, J. M., O'Connor, P. S., Young, R. S., Kincaid, M. and Bentley, R. (1987). "The pattern ERG in man following surgical resection of the optic nerve." Investigative Ophthalmology and Visual Science **28**(3): 492-499.

Hart, N. S., Lisney, T. J. and Collin, S. P. (2006). "Cone photoreceptor oil droplet pigmentation is affected by ambient light intensity." Journal of Experimental Biology **209**(23): 4776-4787.

Hart, N. S. and Vorobyev, M. (2005). "Modelling oil droplet absorption spectra and spectral sensitivities of bird cone photoreceptors." Journal of Comparative Physiology. A: Neuroethology, Sensory, Neural, and Behavioral Physiology **191**(4): 381-392.

Hatton, D. D., Schwietz, E., Boyer, B. and Rychwalski, P. (2007). "Babies Count: the national registry for children with visual impairments, birth to 3 years." Journal of American Association for Pediatric Ophthalmology and Strabismus **11**(4): 351-355.

Hocking, P. M. and Guggenheim, J. A. (2014). "The chick as an animal model of eye disease." Drug Discovery Today: Disease Models **10**(4): e225-e230.

Horn, F. K., Gottschalk, K., Mardin, C. Y., Pangeni, G., Junemann, A. G. and Kremers, J. (2011). "On and off responses of the photopic fullfield ERG in normal subjects and glaucoma patients." Documenta Ophthalmologica **122**(1): 53-62.

Jammalamadaka, A., Suwannat, P., Fisher, S. K., Manjunath, B., Höllerer, T. and Luna, G. (2015). "Characterizing spatial distributions of astrocytes in the mammalian retina." Bioinformatics **31**(12): 2024-2031.

Jonas, J. B., Schneider, U. and Naumann, G. O. (1992). "Count and density of human retinal photoreceptors." Graefes Archive for Clinical and Experimental Ophthalmology **230**(6): 505-510.

Joshi, N. R., Ly, E. and Viswanathan, S. (2017). "Intensity response function of the photopic negative response (PhNR): effect of age and test-retest reliability." Documenta Ophthalmologica **135**(1): 1-16.

Kirby, M. A. and Steineke, T. C. (1991). "Early dendritic outgrowth of primate retinal ganglion cells." Visual Neuroscience **7**(6): 513-530.

Kram, Y. A., Mantey, S. and Corbo, J. C. (2010). "Avian cone photoreceptors tile the retina as five independent, self-organizing mosaics." PLoS One **5**(2).

Lee, C. H. and Ruben, P. C. (2008). "Interaction between voltage-gated sodium channels and the neurotoxin, tetrodotoxin." Channels **2**(6): 407-412.

Lei, B. (2003). "The ERG of guinea pig (*Cavia porcellus*): comparison with I-type monkey and E-type rat." Documenta Ophthalmologica **106**(3): 243-249.

Lei, B. and Perlman, I. (1999). "The contributions of voltage- and time-dependent potassium conductances to the electroretinogram in rabbits." Visual Neuroscience **16**(4): 743-754.

Li, X. X., Schaeffel, F., Kohler, K. and Zrenner, E. (1992). "Dose-dependent effects of 6-hydroxydopamine on deprivation myopia, electroretinograms, and dopaminergic amacrine cells in chickens." Visual Neuroscience **9**(5): 483-492.

Lindstrom, S. H., Azizi, N., Weller, C. and Wilson, M. (2010). "Retinal input to efferent target amacrine cells in the avian retina." Visual Neuroscience **27**(3-4): 103-118.

Machida, S., Toba, Y., Ohtaki, A., Gotoh, Y., Kaneko, M. and Kurosaka, D. (2008). "Photopic negative response of focal electroretinograms in glaucomatous eyes." Investigative Ophthalmology and Visual Science **49**(12): 5636-5644.

Maslim, J., Webster, M. and Stone, J. (1986). "Stages in the structural differentiation of retinal ganglion cells." Journal of Comparative Neurology **254**(3): 382-402.

McBrien, N. A., Moghaddam, H. O., Cottrill, C. L., Leech, E. M. and Cornell, L. M. (1995). "The effects of blockade of retinal cell action potentials on ocular growth, emmetropization and form deprivation myopia in young chicks." Vision Research **35**(9): 1141-1152.

McCulloch, D. L. & Hamilton, R. (2010). "Essentials of photometry for clinical electrophysiology of vision." Documenta Ophthalmologica, **121**(1): 77-84.

McCulloch, D. L., Marmor, M. F., Brigell, M. G., Hamilton, R., Holder, G. E., Tzekov, R. and Bach, M. (2015). "ISCEV Standard for full-field clinical electroretinography (2015 update)." Documenta Ophthalmologica **130**(1): 1-12.

McGoogan, J. M. Wu., W. Q., & Cassone, V. M. (2000). "Inter-ocular interference and circadian regulation of the chick electroretinogram". Vision research, **40**(20): 2869-2879.

Mey, J. and Johann, V. (2001). "Dendrite development and target innervation of displaced retinal ganglion cells of the chick (*Gallus gallus*)." International Journal of Developmental Neuroscience **19**(5): 517-531.

Meyer, D. B., Stuckey, S. R. and Hudson, R. A. (1971). "Oil droplet carotenoids of avian cones—I. Dietary exclusion: models for biochemical and physiological studies." Comparative Biochemistry and Physiology Part B: Comparative Biochemistry **40**(1): 6164.

Miller, R. and Dowling, J. (1970). "Intracellular responses of the Müller (glial) cells of mudpuppy retina: their relation to b-wave of the electroretinogram." Journal of Neurophysiology **33**(3): 323-341.

Miles, F. A. (1972). "Centrifugal control of the avian retina. II. Receptive field properties of cells in the isthmo-optic nucleus." Brain Research, **48**, 93-113.

Montiani-Ferreira, F., Shaw, G. C., Geller, A. M. and Petersen-Jones, S. M. (2007). "Electroretinographic features of the retinopathy, globe enlarged (rge) chick phenotype." Molecular Vision **13**: 553.

Morny, E. K. A., Margrain, T. H., Binns, A. M. and Votruba, M. (2015). "Electrophysiological ON and OFF Responses in Autosomal Dominant Optic AtrophyElectrophysiological ON and OFF Responses in ADOA." Investigative Ophthalmology and Visual Science **56**(13): 7629-7637.

Morny, E. K. A., Patel, K., Votruba, M., Binns, A. M. and Margrain, T. H. (2019). "The Relationship Between the Photopic Negative Response and Retinal Ganglion Cell Topography." Investigative Ophthalmology and Visual Science **60**(6): 1879-1887.

Morris, V. B. (1970). "Symmetry in a receptor mosaic demonstrated in the chick from the frequencies, spacing and arrangement of the types of retinal receptor." Journal of Comparative Neurology **140**(3): 359-398.

Morris, V. B. (1982). "An avian foveate area centralis in the chick retina." Journal of Comparative Neurology **210**(2): 198-203.

Morris, V. B. and Shorey, C. (1967). "An electron microscope study of types of receptor in the chick retina." Journal of Comparative Neurology **129**(4): 313-339.

Narahashi, T. (1974). "Chemicals as tools in the study of excitable membranes." Physiological Reviews **54**(4): 813-889.

Nelson, R. and Connaughton, V. (1995). Bipolar Cell Pathways in the Vertebrate Retina. Webvision: The Organization of the Retina and Visual System. H. Kolb, E. Fernandez and R. Nelson. Salt Lake City (UT).

Ookawa, T. (1971). "The onset and development of the chick electroretinogram: the A- and B-waves." Poultry Science **50**(2): 601-608.

Ookawa, T. and Tateishi, T. (1970). "Relation between the chick electroretinogram and body temperature." Experientia **26**(3): 277-278.

Osorio, D., Vorobyev, M. and Jones, C. D. (1999). "Colour vision of domestic chicks." Journal of Experimental Biology **202**(21): 2951-2959.

Ostrin, L. A., Choh, V. and Wildsoet, C. F. (2016). "The pattern ERG in chicks - Stimulus dependence and optic nerve section." Vision Research **128**: 45-52.

Oyster, C. W. (1999). The human eye: structure and function. Sunderland, Mass., Sinauer Associates.

Panda-Jonas, S., Jonas, J. B. and Jakobczyk-Zmija, M. (1996). "Retinal pigment epithelial cell count, distribution, and correlations in normal human eyes." American Journal of Ophthalmology **121**(2): 181-189.

Pascolini, D., Mariotti, S., Pokharel, G., Pararajasegaram, R., Etya'ale, D., Négrel, A.-D. and Resnikoff, S. (2004). "2002 global update of available data on visual impairment: a compilation of population-based prevalence studies." Ophthalmic Epidemiology **11**(2):67-115.

Perlman, I. (2015). "The electroretinogram: ERG by IDO Perlman." Webvision: The Organization of the Retina and Visual System.

Petersen-Jones, S., Shaw, G. and Montiani-Ferreira, F. (2010). "Analysis of the Chick Electroretinogram." Investigative Ophthalmology and Visual Science **51**(13): 1072-1072.

Pettigrew, J. D., Wallman, J. and Wildsoet, C. F. (1990). "Saccadic oscillations facilitate ocular perfusion from the avian pecten." Nature **343**(6256): 362-363.

Pfrieger, F. W. and Barres, B. A. (1996). "New views on synapse-glia interactions." Current Opinion in Neurobiology **6**(5): 615-621.

Porciatti, V. (2015). "Electrophysiological assessment of retinal ganglion cell function." Experimental Eye Research **141**: 164-170.

Quesada, A., Prada, F. A. and Genis-Galvez, J. M. (1988). "Bipolar cells in the chicken retina." Journal of Morphology **197**(3): 337-351.

Rahi, J. S., Cable, N. & British Childhood Visual Impairment Study, G. (2003). "Severe visual impairment and blindness in children in the UK." Lancet, **362**(9393): 1359-65.

Ramírez, J. M., Triviño, A., Ramírez, A. I. and Salazar, J. J. (1998). "Organization and function of astrocytes in human retina." Understanding glial cells: 47-62.

Rapaport, D. H., Rakic, P. and LaVail, M. M. (1996). "Spatiotemporal gradients of cell genesis in the primate retina." Perspectives on Developmental Neurobiology **3**(3): 147159.

Raviola, E. and Gilula, N. B. (1973). "Gap junctions between photoreceptor cells in the vertebrate retina." Proceedings of the National Academy of Sciences **70**(6): 1677-1681.

Raz-Prag, D., Grimes, W. N., Fariss, R. N., Vijayasarathy, C., Campos, M. M., Bush, R. A., Diamond, J. S. and Sieving, P. A. (2010). "Probing potassium channel function in vivo by intracellular delivery of antibodies in a rat model of retinal neurodegeneration." Proceedings of the National Academy of Sciences of the United States of America **107**(28): 12710-12715.

Reichenbach, A. and Robinson, S. (1995). "Phylogenetic constraints on retinal organisation and development." Progress in Retinal and Eye Research **15**(1): 139-171.

Remington, L. A. (2012). Retina. Clinical anatomy and physiology of the visual system, Elsevier: 61-92.

Rotenstreich, Y., G. A. Fishman, R. J. Anderson and D. G. Birch (2003). "Interocular amplitude differences of the full field electroretinogram in normal subjects." The British journal of ophthalmology **87**(10): 1268-1271.

Sasaki, T. and Kaneko, A. (1996). "L-Glutamate-induced responses in OFF-type bipolar cells of the cat retina." Vision Research **36**(6): 787-795.

Saszik, S. and DeVries, S. H. (2012). "A mammalian retinal bipolar cell uses both graded changes in membrane voltage and all-or-nothing Na<sup>+</sup> spikes to encode light." Journal of Neuroscience **32**(1): 297-307.

Schaeffel, F., Rohrer, B., Lemmer, T. and Zrenner, E. (1991). "Diurnal control of rod function in the chicken." Visual Neuroscience **6**(6): 641-653.

Schnapf, J. L., Kraft, T. W. and Baylor, D. A. (1987). "Spectral sensitivity of human cone photoreceptors." Nature **325**(6103): 439-41.

Schnitzer, J. (1987). "Retinal astrocytes: their restriction to vascularized parts of the mammalian retina." Neuroscience Letters **78**(1): 29-34.

Schnitzer, J. (1988). "Astrocytes in the guinea pig, horse, and monkey retina: their occurrence coincides with the presence of blood vessels." Glia **1**(1): 74-89.

Sernagor, E., Eglén, S. J. and Wong, R. O. (2001). "Development of retinal ganglion cell structure and function." Progress in Retinal and Eye Research **20**(2): 139-174.

Severns, M. L. and Johnson, M. A. (1993). "The care and fitting of Naka-Rushton functions to electroretinographic intensity-response data." Documenta Ophthalmologica **85**(2): 135-150.

Shi, Q. and Stell, W. K. (2013). "Die Fledermaus: regarding optokinetic contrast sensitivity and light-adaptation, chicks are mice with wings." PloS One **8**(9): e75375.

Sieving, P. A., Murayama, K. and Naarendorp, F. (1994). "Push-pull model of the primate photopic electroretinogram: a role for hyperpolarizing neurons in shaping the b-wave." Visual Neuroscience **11**(3): 519-532.

Stone, J. and Dreher, Z. (1987). "Relationship between astrocytes, ganglion cells and vasculature of the retina." Journal of Comparative Neurology **255**(1): 35-49.

Sustar, M., Cvenkel, B. and Breclj, J. (2009). "The effect of broadband and monochromatic stimuli on the photopic negative response of the electroretinogram in normal subjects and in open-angle glaucoma patients." Documenta Ophthalmologica **118**(3): 167-177.

Sustar, M., Hawlina, M. and Breclj, J. (2006). "ON-and OFF-response of the photopic electroretinogram in relation to stimulus characteristics." Documenta Ophthalmologica **113**(1): 43-52.

Sustar, M., Holder, G. E., Kremers, J., Barnes, C. S., Lei, B., Khan, N. W. and Robson, A. G. (2018). "ISCEV extended protocol for the photopic On-Off ERG." Documenta Ophthalmologica. 136:199–206

Takada, S., Kinoshita, J., Iwata, N., Imaoka, M. and Tani, Y. (2017). "Response Characteristics and Retinal Origin of the Photopic Negative Response of the Electroretinogram in Dogs." Current Eye Research **42**(9): 1302-1307.

Tan, B., Gurdita, A., Choh, V., Joos, K. M., Prasad, R. & Bizheva, K. (2018). "Morphological and functional changes in the rat retina associated with 2 months of intermittent moderate intraocular pressure elevation." Science Report, **8**(1): 7727.

Tian, N. (1995). Development of Retinal Ganglion Cell Dendritic Structure and Synaptic Connections. Webvision: The Organization of the Retina and Visual System. H. Kolb, E. Fernandez and R. Nelson. Salt Lake City (UT). [internet]

Tootle, J. S. (1993). "Early postnatal development of visual function in ganglion cells of the cat retina." Journal of Neurophysiology **69**(5): 1645-1660.

Trivin, A., Ramírez, J., Ramírez, A. I., Salazar, J. J. and García-Sánchez, J. (1997). "Comparative study of astrocytes in human and rabbit retinae." *Vision Research* **37**(13): 1707-1711.

Ueno, S., Kondo, M., Ueno, M., Miyata, K., Terasaki, H. and Miyake, Y. (2006). "Contribution of retinal neurons to d-wave of primate photopic electroretinograms." *Vision Research* **46**(5): 658-664.

Viswanathan, S., Frishman, L. J. and Robson, J. G. (2000). "The uniform field and pattern ERG in macaques with experimental glaucoma: removal of spiking activity." *Investigative Ophthalmology and Visual Science* **41**(9): 2797-2810.

Viswanathan, S., Frishman, L. J., Robson, J. G., Harwerth, R. S. and Smith, E. (1999). "The photopic negative response of the macaque electroretinogram: reduction by experimental glaucoma." *Investigative Ophthalmology and Visual Science* **40**(6): 1124-1136.

Wachtmeister, L. (1998). "Oscillatory potentials in the retina: what do they reveal." *Progress in Retinal and Eye Research* **17**(4): 485-521.

Waldvogel, J. A. (1990). "The bird's eye view." *American Scientist* **78**(4): 342-353.

Wang, J., Mojumder, D. K., Yan, J., Xie, A., Standaert, R. F., Qian, H., Pepperberg, D. R. and Frishman, L. J. (2015). "In vivo electroretinographic studies of the role of GABAC receptors in retinal signal processing." *Experimental Eye Research* **139**: 48-63.

Wildsoet, C. and Wallman, J. (1995). "Choroidal and scleral mechanisms of compensation for spectacle lenses in chicks." *Vision Research* **35**(9): 1175-1194.

Wilsey, L., Gowrisankaran, S., Cull, G., Hardin, C., Burgoyne, C. F. and Fortune, B. (2017). "Comparing three different modes of electroretinography in experimental glaucoma: diagnostic performance and correlation to structure." *Documenta Ophthalmologica* **134**(2): 111-128.

Wilsey, L. J. and Fortune, B. (2016). "Electroretinography in glaucoma diagnosis." *Current Opinion in Ophthalmology* **27**(2): 118-124.

Wisely, C. E., Sayed, J. A., Tamez, H., Zelinka, C., Abdel-Rahman, M. H., Fischer, A. J. and Cebulla, C. M. (2017). "The chick eye in vision research: An excellent model for the study of ocular disease." *Progress in Retinal and Eye Research* **61**: 72-97.

Wong-Riley, M. T., Tripathi, S. C., Trusk, T. C. & Hoppe, D. A. 1989a. Effect of retinal impulse blockage on cytochrome oxidase-rich zones in the macaque striate cortex: I. Quantitative electron-microscopic (EM) analysis of neurons. *Visual neuroscience*, **2**, 483-497.

Yonemura, D., Masuda, Y. and Hatta, M. (1963). "The oscillatory potential in the electroretinogram." *Japanese Journal of Physiology* **13**: 129-137.

Zareen, N., Khan, M. and Minhas, L. (2011). "Histological stages of retinal morphogenesis in chicken—a descriptive laboratory research." *Italian Journal of Zoology* **78**(1): 45-52.

Zelinka, C. P., Scott, M. A., Volkov, L. and Fischer, A. J. (2012). "The reactivity, distribution and abundance of non-astrocytic inner retinal glial (NIRG) cells are regulated by microglia, acute damage, and IGF1." *PloS One* **7**(9): e44477.

## Appendices

### Appendix A: Human ERG Studies

Purpose: To record STR waveforms in a human participant using the protocols designed for chick ERG testing with similar levels of light control and dark adaptation

Method: ERGs were recorded from one human subject (male black, 38yrs) after ethical approval (ORE 22678) for the study was obtained from Human Research Ethics Committee of University of Waterloo. After informed consent, the visual acuity, intraocular pressure, and anterior chamber assessments were recorded, then eyes were dilated with 1% tropicamide (Alcon Inc., Mississauga, ON, Canada). After achieving pupil dilation of > 6 mm diameter, the ERGs were recorded bilaterally from the cornea using standard DTL® fiber electrodes (Diagnosys LCC, Lowell, MD, USA).

References were standard EEG skin electrodes (Fenton Tech. Inc., Markham, ON, Canada) placed on the lateral canthus and a ground placed on the right wrist. Two micro-manipulators (one for each eye) were used to hold and position the ganzfeld such that each eye was looking at center of its ganzfeld. Protocols completed included those used for the main studies of the chick. Specifically, the dark- and light-adapted protocols (section 3.8.1 and 3.8.2). For dark-adapted ERGs, eyes were dark adapted for 20 min, and for light adapted ERGs, eyes were light adapted to the background (see Table 1 and Table 2) for 10 min.

Results: This study revealed that given the same protocol/stimulus parameters, STRs with both a negative and positive waveform are recordable in humans. The human negative STR was apparent for stimuli between -5.25 and -4.25 log cd.s/m<sup>2</sup>. As the use of the same instruments, recording parameters and light stimulus levels elicited STRs in the human subject but not in the chicks (Figure 34), also

gives credence to the suggestion by this study that chicks might not have STRs. The LA-ERG results also show unlike chickens humans have enhanced PhNR.

## Appendix B: Human Ethics

Dear Researcher:

A University of Waterloo Research Ethics Committee is pleased to inform you the study named below has been reviewed and given ethics clearance.

Title: Electrophysiological Measure of Retinal Ganglion Cells Function in humans ORE #: 22678 Faculty Supervisor: Daphne McCulloch ([daphne.mcculloch@uwaterloo.ca](mailto:daphne.mcculloch@uwaterloo.ca)) Faculty Supervisor: Vivian Choh ([vchoh@uwaterloo.ca](mailto:vchoh@uwaterloo.ca)) Student Investigator: Clement Afari ([clement.afari@uwaterloo.ca](mailto:clement.afari@uwaterloo.ca))

A signed copy of the notification of ethics clearance will be sent to the Principal Investigator (or Faculty Supervisor in the case of student research). Ethics approval to start this research is effective as of the date of this email. The above named study is to be conducted in accordance with the submitted application (Form 101/101A) and the most recent approved versions of all supporting materials.

University of Waterloo Research Ethics Committees operate in compliance with the institution's guidelines for research with human participants, the Tri-Council Policy Statement for the Ethical Conduct for Research Involving Humans (TCPS, 2nd edition), Internalization Conference on Harmonization: Good Clinical Practice (ICH-GCP), the Ontario Personal Health Information Protection Act (PHIPA), and the applicable laws and regulations of the province of Ontario. Both Committees are registered with the U.S. Department of Health and Human Services under the Federal Wide Assurance, FWA00021410, and IRB registration number IRB00002419 (Human Research Ethics Committee) and IRB00007409 (Clinical Research Ethics Committee).



## Appendix C: Animal Ethics

UNIVERSITY OF WATERLOO  
OFFICE OF RESEARCH ETHICS  
ANIMAL CARE COMMITTEE

CERTIFICATE OF FULL ETHICS APPROVAL:

RENEWAL OF ANIMAL UTILIZATION PROJECT PROPOSAL (LAST RENEWAL)

All research and teaching activities at the University of Waterloo which use live, non-human vertebrate animals must be conducted in compliance with the Animals for Research Act of Ontario (Revised Statutes of Ontario), the Guide to the Care and Use of Experimental Animals from the Canadian Council on Animal Care and the University of VVatelloo's Guidelines for the Care and Use of Animals in Research and Teaching.

Principal Investigator(s):	Vivian Choh;
Department or School:	Optometry;
Co-Investigator(s):	Daphne McCulloch;
Student Investigator(s):	Clement Afari; Ziqing Li;
Project Title •	Comparison of three tests that measure retinal ganglion cell function in chickens
AUPP # :	15-11 Approval Date: May 29, 2018
Number of Animals	66 Chickens Invasiveness Category: c
Approved:	

The above Animal Utilization Project Proposal (AUPP) Renewal Form has been reviewed by members of the Animal Care Committee at the University of Waterloo in compliance with the requirements of the Animals for Research Act, the Canadian Council on Animal Care's Guide to the Care and Use of Experimental Animals, and the University's Guidelines for the Care and Use of Animals in Research and Teaching.

Approval of the original AUPP is extended for an additional twelve month period from the date shown.

This year's renewal represents the last of the 4 year renewal cycle under Animal Care Committee guidelines since an AUPP representing continuing research may be renewed three times only after original ethics approval. In the event you wish to continue this project beyond May 2019, you will need to submit a full AUPP not later than the end of April 2019 in order to avoid any break in ethics approval status.

Note: the project covered by this AUPP must be conducted according to the procedures described in the application. Requests for subsequent modifications to approved AUPPs must be communicated in writing to the Research Ethics Advisor, Office of Research Ethics, using the Modification Form.

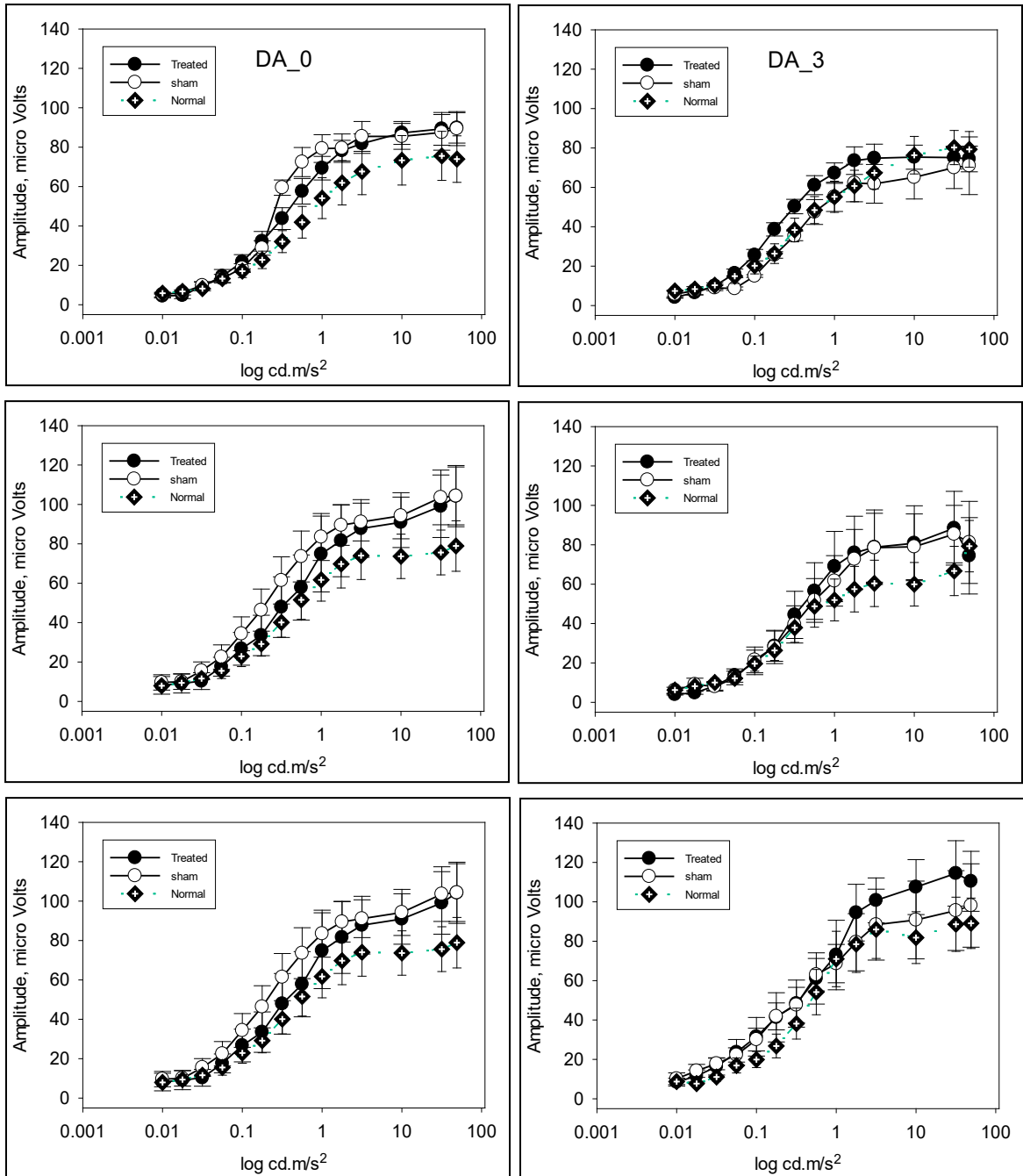


Cindy Fether  
Research Ethics Advisor  
Office of Research Ethics

[https://oreprod.private.uwaterloo.ca/ethics/animals/aupp/ad/AUPPrenewal\\_certificate.asp?i...](https://oreprod.private.uwaterloo.ca/ethics/animals/aupp/ad/AUPPrenewal_certificate.asp?i...)

## Appendix D: DA Stimulus Response Curves

Stimulus response curves from the DA chickens from the ONS group. The order of graph is day 0, 3, 4, 5, 7 post-baseline.



## Appendix E: Naka-Rushton Curve Fitting R Codes

### Naka-Rushton curve fitting

Coded by Vivian Choh, [vchoh@uwaterloo.ca](mailto:vchoh@uwaterloo.ca)

Modified with permission by Clement Afari, [a5clemen@uwaterloo.ca](mailto:a5clemen@uwaterloo.ca)

```
##### Set up function to fit #####
library(tcltk) require(nlmt) library(nls2)
library(ggplot2)
log.fit <- "y ~ sqrt(a^2)/(1+(x/sqrt(x0^2))^-1)"

##### Initialise files #####
if(exists("NRdata.a")) {rm(NRdata.a)}
if(exists("NRdata.b")) {rm(NRdata.b)}

##### Read in data and set out.path ##### df <- df[,
colSums(is.na(df)) != nrow(df)] my.out.path <-
tclvalue(tkchooseDirectory())

##### Find expected variables in data file #####
birdName <- unique(df$Bird) whichWeek <-
unique(df$week) fit.a <- unique(df$a.amp) fit.b <-
unique(df$b.amp)

##### Assuming multiple weeks and multiple birds ##### weekNum =
whichWeek[weekLoop]

##### Assuming multiple weeks and multiple birds #####
124
weekNum = whichWeek[weekLoop]

##### Assuming multiple number of birds #####
whichBird = birdName[birdLoop]

##### For the individual bird at a certain time point #####
```