

Design of a Flexible and Modular Test Bed for Studies on Islanded Microgrids

by

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AUTHOR'S DECLARATION

I hereby declare that I am the sole author of this thesis. This is a true copy of the thesis, including any required final revisions, as accepted by my examiners.

I understand that my thesis may be made electronically available to the public.

Abstract

The last two decades in the electric power sector have been increasingly dominated by a rising interest in the integration of distributed energy resources (DERs) into electric power systems, many of them based on renewable energies. A wider-scale deployment of DERs raises questions in the design, planning and operation of electricity grids. In particular, the operational paradigms of distribution grids are about to change significantly.

One way proposed for putting small-scale DERs into the heart of an electric power system is through realizing “Microgrids”. The concept of Microgrids proposes methods to allow participation of DERs in main and ancillary services on the level of distribution grids.

To foster research and development in the fields of Microgrids and grid-connected power electronic converters, test beds with adequate functionality are required. Around the world, many test beds have been created to allow experimentation and collection of experiences using full-scale, real equipment and fixed network layouts. However, these test beds are expensive, costly and large, and do not offer a high flexibility for reconfiguration.

Therefore, this thesis proposes, implements and evaluates a Microgrid test bed using the Hardware-in-the-loop approach to simulate the behavior of different types of generation, energy storage and loads in a Microgrid. Identical power electronic converter modules are used to generate the currents, voltages and powers required to imitate the AC-bus grid connection of such grid participants. Software models govern converter control and plant simulation, allowing for a fast and flexible reconfiguration of the entire test bed. This approach heavily cuts down cost, size and weight of test beds and allows a much more flexible and reproducible creation and execution of test scenarios.

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Dedication

*“We did not inherit this world from our parents ...
We are borrowing it from our children.”*

It is my fervent hope that the engineers, scientists, politicians, policy makers and ordinary people of today will dedicate themselves to the creation of a world where children and grandchildren will be left with air they can breathe and water they can drink, where humans and the rest of nature will nurture one another.

In dependence on Robert A. Messenger and Jerry Ventre – Photovoltaic Systems Engineering, Third Edition, CRC Press, 2010

To Heather, who has such an incredible sense for this air we breathe, the water we drink and our neighbors on earth; no matter how tiny or huge.

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Chapter 1

Introduction

1.1 Motivation

1.1.1 Research on Microgrids

The last two decades in the electric power sector have been increasingly dominated by a rising interest in the integration of distributed energy resources (DERs) into electric power systems. Among DERs, many conversion types are based on renewable energy resources, such as photovoltaic energy conversion, wind power, geothermal energy or biomass. Increasing interest in the reduction of greenhouse gas (GHG) emissions and intensified research and innovation in the aforementioned conversion technologies have allowed for a wider-scale deployment, accompanied by declining deployment cost.

The traditional power system is dominated by a limited number of large-scale hydrothermal generation plants connected to transmission grids with customers typically connected to distribution grids. With the large-scale deployment of DERs, fundamental changes in the power system architecture become more relevant the higher the penetration levels of DERs become. DERs offer prominent advantages when placed close to the customer and can be scaled more efficiently in much wider ranges than is possible in the

case of large-scale hydro-thermal plants. As a result, there is an increasing need to integrate these distributed and small-scale resources into electricity grids with a reasonable system efficiency and system cost.

One way proposed for putting small-scale DERs into the heart of an electric power system is through realizing “Microgrids”, a concept first introduced in [1], [2]. The initial definition for a Microgrid was given as: “A Microgrid is a cluster of micro-sources, storage systems and loads which presents itself to the grid as a single entity that can respond to central control signals” [1]. In contrast with the traditional setup of electric power systems, a Microgrid puts generation, storage and loads in potentially identical layers of grids reflecting the common deployment of DER unit in distribution grids. It can be operated in two modes: grid-connected and islanded. The grid-connected mode allows a Microgrid to interact with a main grid by using services of and providing services to the main grid at its point of common coupling (PCC). This is mostly interesting when applying the Microgrid concept to locations with already existing interconnected power systems. In the islanded mode of operation, this exchange of services with a main grid is not possible and a Microgrid has to provide all necessary services for a stable and efficient operation from within itself. Islanded operation can be intentional or unintentional. This capability can help to increase system reliability and resilience. It can also enable a larger-scale deployment of many DER units in stand-alone power systems, as used in remote communities, for example.

In order to ensure proper control of all relevant quantities in a Microgrid, various Microgrid control approaches have been proposed. Most notably, three categories can be defined: centralized control, decentralized control and hierarchical control.

“A fully centralized control relies on the data gathered in a dedicated central controller that performs the required calculations and determines the control actions for all the

units at a single point, requiring extensive communication between the central controller and controlled units. On the other hand, in a fully decentralized control, each unit is controlled by its local controller, which only receives local information and is neither fully aware of system-wide variables nor other controllers' actions" [3].

To mitigate some of these concepts' disadvantages, a combination of the aforementioned approaches has been established: hierarchical control. For Microgrids, three to four control hierarchy levels are commonly defined [4]. *Level zero* is concerned with local control of current and voltage of a single unit. The *primary control* level is realized in each generation unit employing droop-control methods or similar methods to control voltage and frequency in a stable manner at the PCC without relying on signals not locally available. The *secondary control* level can provide frequency and voltage restoration to nominal values, economical operation [3] and resynchronization to grid-connected mode. This level is typically implemented as a central controller. The *tertiary control* level then allows controlling power flow between the Microgrid and other interconnected (Micro-) grids.

The concept of Microgrids has the potential to enable a wide-scale deployment of renewable DERs at high penetration levels from a grid perspective, since it addresses all main operational issues with (renewable) DERs the power industry is facing today.

1.1.2 Test beds in research and development

Test beds are an essential tool in the development of new technical concepts and the refinement of existing methods. A test bed allows to conduct extensive, reproducible and transparent tests on a technical concept in pre-defined environments outside of an environment that could unintentionally cause harm to people or damage to equipment. Test beds can be used in many roles during a research and development process and even

after deployment of the developed technique. It becomes possible to verify a concept at full dynamic order on a system that (closely) replicates real environments and therefore unveils problems that might be hidden due to assumptions and simplifications induced by assumed model simplification. Test beds serve as demonstrators of concepts to technical and non-technical audiences and allow the verification of proper functionality of different concepts in a standardized environment or to verify a proper change of scope in the application of an existing concept. Lastly, test beds have also been used during the operation of a product to reproduce and examine incorrect behaviour in a safe environment observed during the product life cycle. For example, this is a common tool in space missions.

Since the Microgrid concept promotes a grid structure with fundamental differences with respect to the existing grid, with high initial and running cost and potential impact on the reliability of the electric power grid, there have to be extensive, flexible and high-quality test bed environments to advance techniques for the Microgrid environment. High complexity in proposed control architectures (level zero up to tertiary level) require a tool for testing, examination, verification and demonstration across multiple control hierarchies.

1.1.3 Required test environments for the advancement of Microgrids and its components

Matlab/Simulink, PSIM, Spice, PSCAD and PowerWorld are commonly used tools to replicate behaviour of grids at component and system levels in software. These tools allow a fast implementation and reconfiguration of the test environment at very low cost. Therefore, these tools are among the most popular ways to test and support developing

new concepts. However, software tools come with certain limitations. Simulation of components or systems is based on the model representation of the sub-components used in a system. These models are often subject to a trade-off between accurate replication of reality in all relevant environments, model complexity and execution speed. Limitations arise when both, the system complexity and the level of simulation details is high, available computational power is limited, the description of reality with models cannot be accurately achieved enough or too many uncertainties exist. Finally, proving the full viability of a concept becomes easier and more credible the closer the simulation is to a real case.

To mitigate previously mentioned disadvantages of software-based simulation, hardware-based test beds¹ are being used. These simulators allow the replication of test cases in an environment that is exactly the same as or very close to a production environment. Test beds can show the full system dynamics at real-time and high system complexity without being (much) reliant on model representations of reality. Test beds allow verification of basic assumptions in developed concepts and modeling applied in software-based simulations. They can be a natural evolution from software models towards a final product or concept. However, test beds for electricity grids tend to be costly, large in size and weight, and limited in the ability to reconfigure the test-setup. The development of a test bed can bind many resources and take an incomparable long time compared to software-based simulators.

Therefore the goal of this thesis is to develop a test bed usable in the *research on Microgrid systems and Microgrid components* that is:

1. Easily reconfigurable and expandable

¹ From now on just referred to as “test beds”

2. Low in construction and operation cost
3. Able to capture the full system dynamics for Microgrid operation relevant topics from as small as power electronic switching events to as high-level as Microgrid power exchange.

More specifications on this goal, basic assumptions and limitations are given in section 1.4.

1.2 Background information

1.2.1 Hardware-in-the-loop

The hardware-in-the-loop (HIL) concept is relatively new, allowing for fast but close-to-reality test methods by combining software-based simulations with selected hardware-based simulations. The principle is to run real-time simulations in software, where accurate and good models exist, and include a hardware stage into the simulation, where models are inaccurate, hard to obtain or very complicated [5]. A software simulator interfaces a hardware-based device under test (DUT) using digital-to-analog converters and sensors for the return path (Figure 1.1). To test a controller performance, the concept of controller hardware-in-the-loop (CHIL) can be applied. In this case, a controller would be executed in the loop with a software model. More interestingly, to test power hardware equipment together with software models in real-time, power hardware-in-the-loop (PHIL) can be applied. In PHIL, the interface is supplemented with a power amplifier stage to provide the required output power needed to realize quantities from within the software model on the power device under test [6].

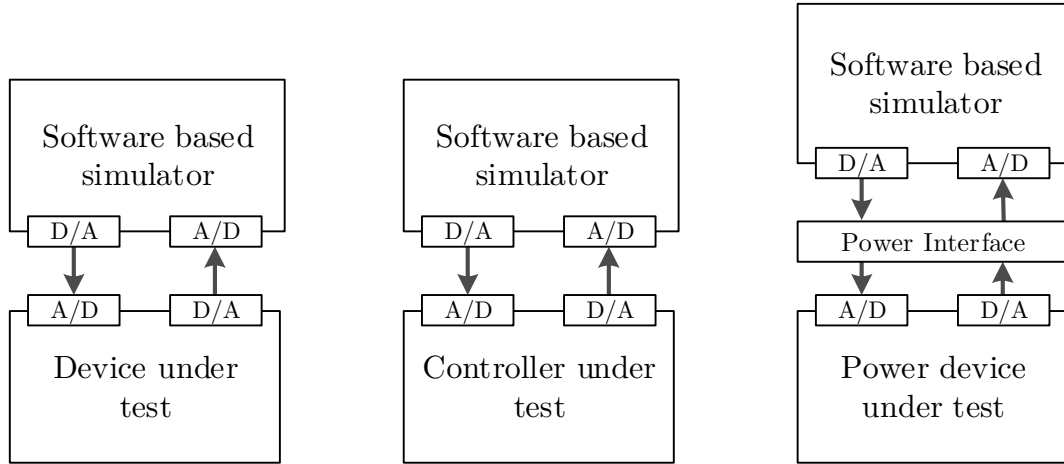


Figure 1.1 General HIL, Controller HIL and Power HIL (left to right) [6]

Special considerations in HIL tests have to be made on the hardware interfacing software and DUT. Digital-to-analog converters and sensors with an infinite bandwidth, resolution, accuracy and output power and zero noise do not exist. Non-ideal sensors and sensor interfaces can introduce errors into the simulation that are significant to the simulation results. Especially in PHIL simulations, the power interface - typically consisting of linear power amplifiers and power sensors - can introduce significant delays into the system, affecting stability and controller configurations [5]. Proper selection of the interfacing signals is therefore critical to the simulation performance.

1.3 Existing test bed approaches

Many Microgrid test beds have already been implemented around the world. Some test beds are designed as research and development tools; others are more focused on demonstration and validation purposes[7]–[18]. Most setups employ commercial products, such as photovoltaic plants, wind turbines, gas generators, battery or flywheel storage systems, fuel cells or diesel generators. These approaches offer the closest simulation of real scenarios in many terms, since no model abstractions are employed. Since all parts of a

Microgrid are realized with components used in production environments, all pending issues either have to be overcome or will appear while implementing such a test bed. Power ratings of the generators used are often in the range of 10s to 100s of kilowatts. For example, British Columbia Institute of Technology's Microgrid test bed employs two 5kW wind turbines, 300kW of photovoltaic models, thermal generation of 250kW and 550kWh of battery storage [19]. This sizing allows good estimations on project cost and specific restrictions with high-voltage, high-power components.

However, the size and cost of these components are remarkable for research and development projects and do not allow for a very wide-spread deployment of these testing facilities. For example, the cost for a Microgrid application project of comparable size has been reported to be at about \$US 15 million [20]. Furthermore, test beds that use real generators for renewable energy sources are dependent on the availability of primary energy, such as solar insolation or wind, which makes these test beds weather-dependent. This heavily impacts the reproducibility of simulations. For all setups using real generators, it is also true that the operating cost of such a test bed is considerable since all the energy that is circulated in the test bed is consumed by dummy loads.

Finally, the network layout used to implement a Microgrid test bed is often fixed ([11]–[14], [17], [18]). While this allows to perform case studies on specific similar grid setups, achieving generalized tests and comparability between different setups is difficult to achieve. For example, this is the case for the CERTS Microgrid test bed installed near Columbus, Ohio, United States. The feeders of this setup incorporate multiple feeder loops to simulate the impedance of long feeders [21].

To overcome the disadvantages of large size, high initial cost, limited flexibility in grid, load and generator configurations and dependence on environmental conditions for reproducibility, a test bed based on the hardware-in-the-loop concept has been proposed

and implemented [22]. This test bed consists of a set of voltage source inverters, a battery bank, resistive loads, a bus bar matrix and a main grid connection. The computation of generator control algorithms and generator dynamics (wind, solar...) is performed in software whereas the grid and the power stage of grid interfaces, based on voltage-source inverters (VSI), is implemented in hardware. This concept adds the flexibility to simulate a Microgrid with a flexible selection of generators, such as diesel generators, photovoltaic plants or wind turbines. Reconfiguration only requires an exchange of software models. This setup widely overcomes the aforementioned disadvantages with conventional Microgrid test beds, adding significant flexibility to the simulation platform and reducing cost. However, this test bed still employs some real components, such as resistive load banks and a battery system. This means that some of the energy circulated in the test bed to simulate a scenario is still consumed and has to be paid for. According to [23], in this setup, it is necessary to select two AC/DC converters to form a back-to-back converter configuration in order to allow active power absorption from the simulated grid. This case would be required when modeling loads, storage-equipped DERs or pure storage devices using VSI modules. Furthermore, no implementation of grid impedance representation has been reported.

1.4 Microgrid test bed goal definition

The goal of this thesis is to develop a flexible, simple, laboratory size research tool to provide a scalable Microgrid hardware simulation for islanded operation.

A finished test bed should provide primary simulation capabilities for:

1. **Grid interface controllers.** “*Component-level scope*”. Various methods of controlling currents, voltages and power injection by a generator exist for many

purposes. A detailed study of these controller topologies should be possible without simplifications.

2. **Management of Microgrid components.** “*System-level scope*”. Secondary and tertiary control levels in a Microgrid are about the management of many participants in a Microgrid. Interfaces for implementing user-defined algorithms should be available.

The simulation of generator characteristics in software models should be as accurate as required to produce correct behavior on the AC terminals of a simulated generator.

Furthermore the following topics are to be considered in the design of this test bed:

1. **Ability to connect other components to the test bed.** This can allow studies on controller design, controller topology compatibility and grid-interfacing power electronic converter design
2. **Ability to combine various grid interfacing mechanisms with different generator models.** Research on Microgrids has stimulated innovations in control algorithms for grid-connected inverters. It is of interest to provide this ability to study technical and economic feasibility of various control algorithms on different kinds of generation.

Compared to the goal definition for a finished test bed, this thesis assumes certain simplifications:

1. All Microgrid generators and loads connect to a single PCC, no network impedances and layouts are considered

2. Grid interface control algorithms assume a balanced grid. Single-phase operation is to be prepared in hardware, but not fully implemented in software and grid interface control topologies

Therefore, this thesis presents a Microgrid test bed platform based on the hardware-in-the-loop concept. It provides four VSI modules (“simulation modules”) of identical design to provide an AC terminal representation of various grid components. These components are a load emulation, a photovoltaic plant emulation and generic storage modules. However, the platform is designed in a way that allows the implementation of a much wider range of plant models and grid interfaces. Each simulation module is rated at 5kVA and standard North American low-voltage distribution system voltages. This test bed reduces the hardware complexity required for the HIL simulation compared to [22], [23], increases flexibility by providing a common DC-bus for all simulation modules and removes all resistive loads and storage batteries through software emulation, which reduces the amount of energy required for a Microgrid simulation.

Software in simulation modules and on a central control computer allows the complete reconfiguration of all major parameters in the Microgrid, including selected generator models and grid interfacing algorithms.

1.5 Thesis organization

In the following chapters, different aspects are presented that are required to design, implement and test the proposed Microgrid test bed.

Chapter 2 introduces key concepts that are required for the realization of this test bed. Some technological choices are made in this chapter, as well.

Chapter 3 deals with the overall system structure of the proposed test bed and defines key requirements for it. In Chapter 4, the focus is on the structure and design of a ‘simulation module’ which represent one Microgrid participant, such as a generator or a load. Chapter 5 presents the control of these simulation modules with respect to their Microgrid AC-bus connection. All control topologies are verified in simulation, with experiments on them following later in Chapter 10.

In Chapter 6 modeling of generators and loads is given that is finally used in the software controlling each simulation module.

The test bed design phase is concluded with computer simulations of the entire test bed on a 24 hour load and generation profile in Chapter 7.

Chapter 8 and Chapter 9 discuss implementation-specific issues in the software used to control the test bed (central control) and single simulation modules. The focus is to give the overall design requirements and approaches.

Finally, Chapter 10 presents experimental results from the actual test bed to verify proper design and implementation.

Chapter 11 and Chapter 12 close this thesis with conclusions and recommendations for future work.

Chapter 2

Background review

2.1 Common converter topologies for low-voltage grid applications

This thesis requires multiple self-commuted power conversions from a single DC-bus to a three-phase AC-bus with bi-directional power flow at line voltage levels. The two most common topologies to achieve this conversion are the two-level voltage source inverter (VSI) and the three-level current-source inverter (CSI) [24].

a. Voltage-source inverters

A voltage-source inverter, as shown in Figure 2.1, is based on unidirectional switching devices with antiparallel diodes. On the DC side, a capacitor buffers an ideally constant DC voltage. At any time, only one switch per leg can be on to avoid a shoot-through and resulting destruction of the switches due to high capacitive discharge currents. Using the concept of sinusoidal PWM (SPWM) or space vector modulation (SVM), three-phase voltages with fundamental sinusoidal components of desired magnitude and frequency (much lower than switching frequency) can be produced at points a, b and c in Figure 2.1 [24], [25]. For grid applications, this fundamental frequency is normally 50Hz or 60Hz.

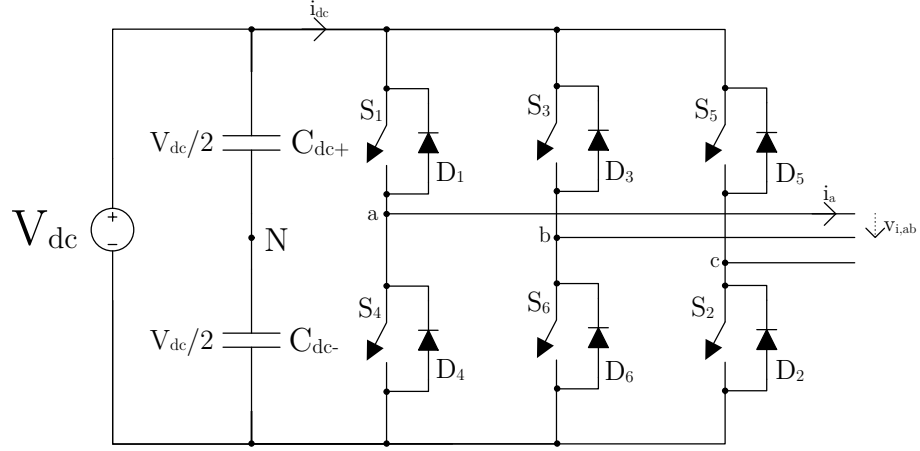


Figure 2.1 Three-Phase voltage-source inverter topology

For SPWM, three control signals are produced:

$$\begin{aligned}
 v_{ctrl,a} &= m_a \sin(\omega t) \\
 v_{ctrl,b} &= m_a \sin\left(\omega t - \frac{2\pi}{3}\right) \\
 v_{ctrl,c} &= m_a \sin\left(\omega t + \frac{2\pi}{3}\right)
 \end{aligned} \tag{2.1}$$

where m_a is the modulation index, defined as $m_a = \frac{\hat{V}_{ctrl}}{V_{tri}}$. The modulation index is in the range of $[0,1]$ for linear modulation with the lowest amount of unwanted components in the frequency spectrum. A PWM signal is generated by comparing a triangular waveform of amplitude \hat{V}_{tri} with the control signals $v_{ctrl,a}$, $v_{ctrl,b}$ and $v_{ctrl,c}$. The resulting fundamental components of output voltages will be

$$\begin{aligned}
 v_{aN_1} &= m_a \frac{V_i}{2} \sin(\omega t) \\
 v_{bN_1} &= m_a \frac{V_i}{2} \sin\left(\omega t - \frac{2\pi}{3}\right) \\
 v_{cN_1} &= m_a \frac{V_i}{2} \sin\left(\omega t + \frac{2\pi}{3}\right)
 \end{aligned} \tag{2.2}$$

Low-pass filters (L, LC or LCL) are then a common tool to reduce the amount of higher order harmonics in the output currents and voltages.

Another technique, SVM is based on the approach to generate typically balanced, three-phase output voltages that are on average equal to the desired output voltage vectors for v_{aN_1} , v_{bN_1} and v_{cN_1} [24]. This technique offers a slight improvement in the trade-off between power quality, switching frequency and switching losses. Hardware support in common microcontrollers is significantly better for (S)PWM methods, however.

Manufacturers, such as Mitsubishi/Powerex and IXYS offer a wide range of integrated 3-phase VSI bridges, called “intelligent power modules” (IPM). These modules not only include the IGBT switches and anti-parallel diodes, but also integrated gate drive circuitry and overloading protection schemes (overcurrent, over-temperature, etc.). This allows a faster development of inverters, offers more reliability in the development process and provides more protection against device destruction which is very valuable in a testing environment that is likely to experience severe overloads, transients and faults during experiments.

b. Current-source inverters

The current-source inverter, as shown in Figure 2.2, is the dual of the voltage-source inverter. The operating principle is that a PWM or SVM scheme controls the flow of the DC-side current between to output terminals of three legs. Therefore a CSI requires a stable and controlled DC-bus current. Each CSI leg is composed of a unidirectional switch and a series blocking diode. A capacitive output filter filters current harmonics to provide a high quality output voltage waveform. According to [24], this configuration is most favorable in medium voltage industrial applications. The major advantage of a CSI is that the output is a controlled current, instead of a controlled voltage. VSIs are

often operated with inner current control loops to provide a controlled output current at their innermost loop. CSIs provide this feature by design, without the introduction of controller delays. Furthermore, AC-side faults are potentially easier to handle because of the limited current that can be provided from the DC side. However, CSIs have higher conduction and switching losses due to series diodes in each leg and a DC-bus current that is always flowing.

There are significantly less 3-phase CSI bridge products available than for VSIs. This often results in the need to build discrete 3-phase CSI bridges which voids all advantages provided through VSI-IPMs. Also, most research and development currently focuses on the use of VSIs due to their wide spread. For these reasons, the CSI is not considered, further.

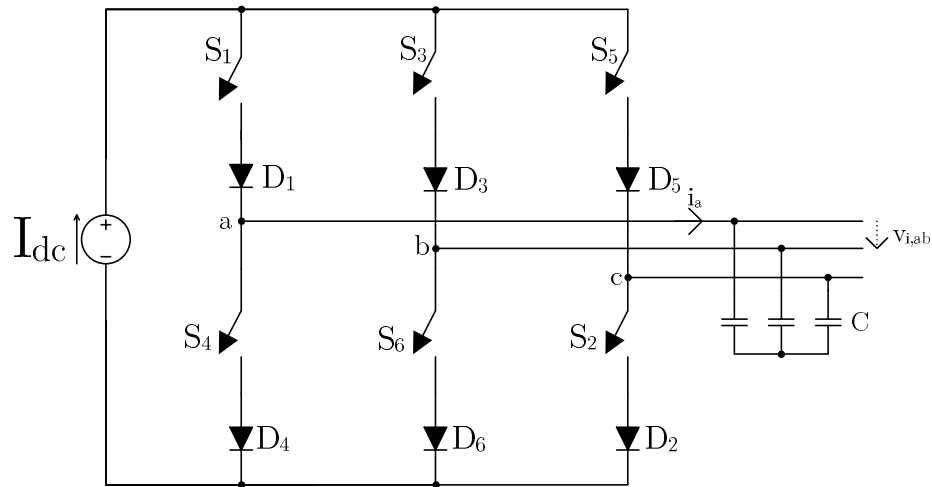


Figure 2.2 Current-source inverter

c. Other topologies

For higher voltage and power levels, multi-level converters have become popular in order to improve the trade-of between power quality, converter size, efficiency and cost. Since for this test-bed conversion efficiency is of lower concern and converter complexity

increases with multi-level converters, the voltages are at lower levels these are not considered further.

2.2 Converter output filter for grid-connected VSI

Power filters are commonly used in the interface between PWM controlled converters and electricity grids in order to reduce the grid injection of current and voltage components at multiples of the converter switching frequency. Three different basic filter topologies are known that are depicted in

Figure 2.3 - Figure 2.5 as single phase representations [26].

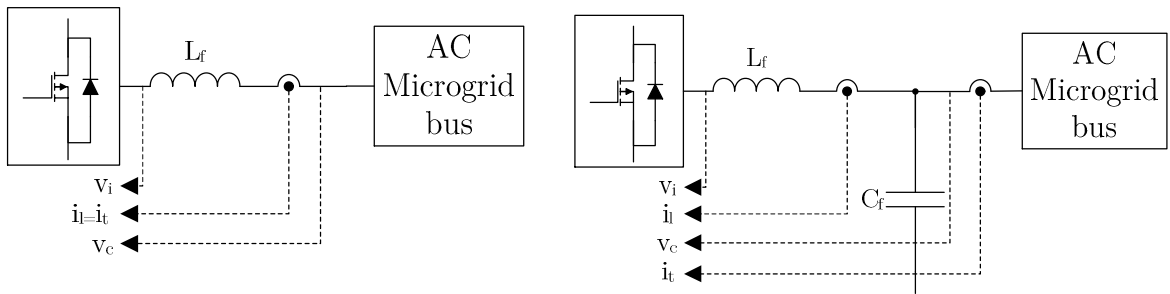


Figure 2.3 L-filter

Figure 2.4 LC-filter

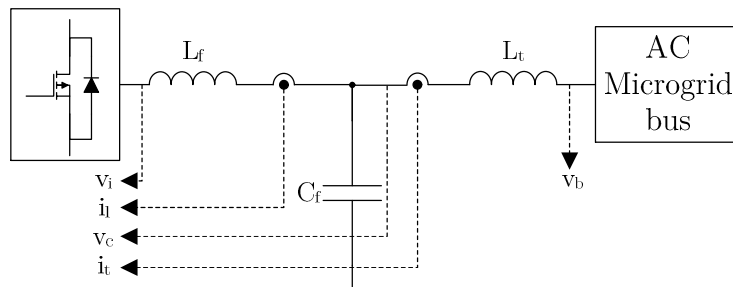


Figure 2.5 LCL-filter

An L-filter is the simplest filter for this application that provides an attenuation of $-20dB/decade$ for current harmonics. A high switching frequency is therefore required in order to keep inductors at a small size and the control dynamics fast.

An LC-filter provides an attenuation of $-40dB/decade$. It is relatively easy to design, but a resonance at $f_{res} = \frac{1}{2\pi} \sqrt{\frac{1}{L_f C_f}}$ can cause waveform distortions. The filter transfer function is

$$F_{LC}(s) = \frac{1}{s^2 L_f C_f + s L_f + 1} \quad (2.3)$$

Real or virtual damping can reduce the resonance effects of this filter ([27]).

The LCL-filter adds another inductor to the filter setup, providing an effective attenuation of $-60dB/decade$. This filter setup allows further improvement of the trade-off between filter component size, control dynamics and switching frequency. Filter design of such filters is often complicated due to the interaction of L_t with other grid impedances that can cause variable resonant frequencies [28]. Often, LC filters indirectly become LCL filters because of the leakage inductance of an isolation transformer that is used to connect an inverter to the grid. Due to the high ripple contents in i_t , transformers are commonly not used as replacements for L_f .

2.3 Control objectives for grid interfacing converters

The generation of v_{ctrl} signals for a VSI is typically done by closed loop control. Depending on the requirements on the role of a VSI in the grid, different control loops can be used. A common classification of converters in AC Microgrids has been provided by [29] and is presented in this section. According to this publication, the role of a power converter can be one of the following three kinds: grid-forming, grid-supporting and grid-following.

2.3.1 Grid-forming converters

The role of a grid-forming power converter is to provide stable frequency and voltage references to the Microgrid, mimicking a slack bus. It can be modeled by a controlled voltage source with a series connection impedance, as shown in Figure 2.6. This role provides one way to operate a Microgrid in islanded mode and perform a resynchronization to grid-connected mode. It must be noted that this role has strong requirements on the active and reactive power capabilities of the used grid interface and generation plant.

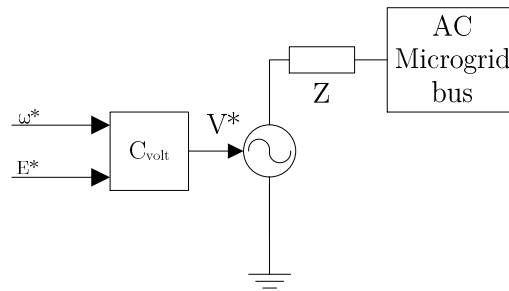


Figure 2.6 Grid-forming controller representation

2.3.2 Grid-feeding / grid-following converters²

A grid-feeding converter can be modeled as a current source with a parallel impedance connected to an AC Microgrid bus, as depicted in Figure 2.7. A controller controls active and reactive power injection into this bus based on local measurements of injected current, bus voltage level and bus frequency. The role of this converter representation is to inject a predetermined amount of active and reactive power into the local bus, without active participation in voltage or frequency control. For example, this is commonly used

² The terms “grid-feeding converter” and “grid-following converter” are used interchangeably in this thesis.

with non-dispatchable generation, such as photovoltaic plants or wind turbines, which controls the conversion of primary energy using maximum power point trackers (MPPT). Grid-feeding converters are not able to form and sustain a Microgrid by themselves. Instead, they are reliant on the existence of a voltage source based converter in the grid. The measurement of the bus frequency is commonly performed using a phase-locked loop (PLL) [29].

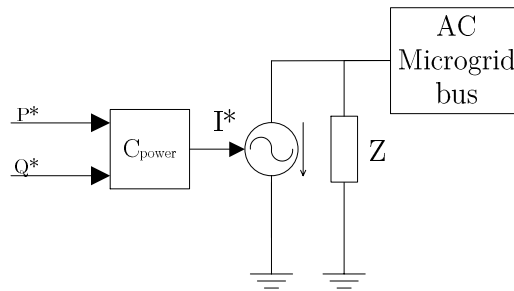


Figure 2.7 Grid-feeding controller representation

2.3.3 Grid-supporting converters

a. Droop control.

Droop control is a common mean to control voltage and frequency in a grid at a decentralized scope for short term coordination and stability. For example, synchronous generators at large hydro-thermal generation stations normally use droop characteristics. These droop characteristics provide a link between active power injection and system frequency as well as reactive power injection and connection voltage level.

All parameters describing the droop curves in

Figure 2.8, especially the slope can be used to define specific operation points for generators and to specify their contribution of active and reactive power under changing

grid conditions. This concept has been adapted for power converters and is discussed in more detail in section 2.7.

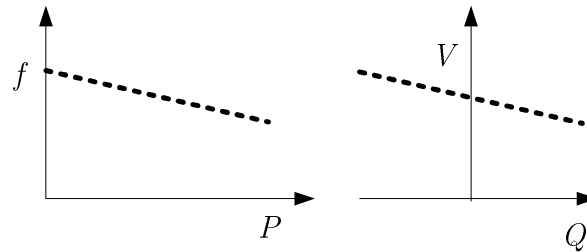


Figure 2.8 Droop curves for P/f and Q/V droop

b. Grid-supporting converters

The grid-supporting converter is among the most investigated controller topology types in recent literature on primary control of Microgrids [30]–[35], since it is a tool to provide the services required by the primary and secondary control layers of Microgrids by means of droop control [3].

Grid-supporting converters support a grid-forming converter and, in certain circumstances, can replace it. Grid-supporting converters are an extension to the representation of a grid-former (Figure 2.10) or a grid-feeder (Figure 2.9), respectively. The goal of grid-supporting converters is to regulate the PCC voltage and frequency close to desired values to support or replace the operation of a grid-former. A voltage-source based droop controller is able to form an islanded grid, while a current-source based droop controller relies on an existing grid to synchronize to, since it uses a PLL to determine the current grid angle and frequency instead of setting it.

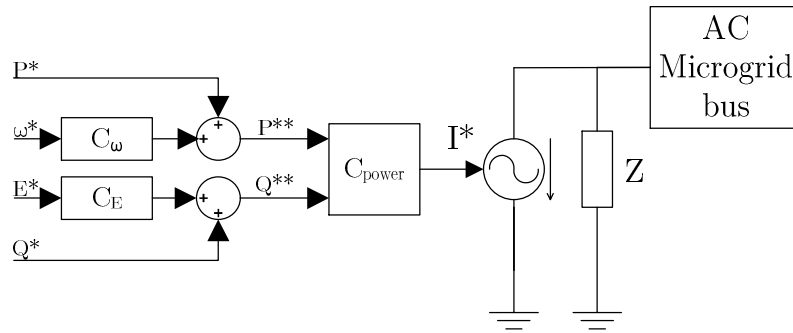


Figure 2.9 Current-source based droop controller representation

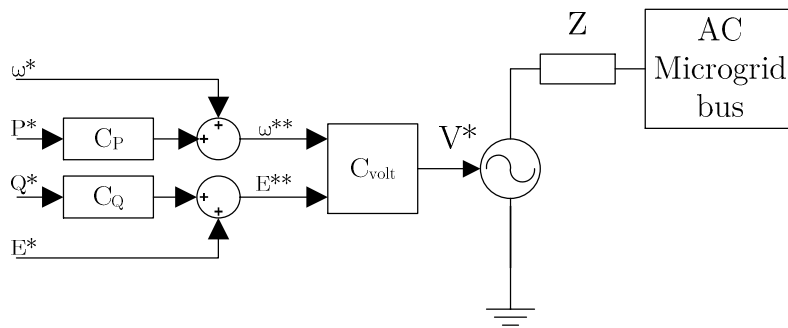


Figure 2.10 Voltage-source based droop controller representation

The following sections will introduce concepts that are needed to implement the controller representations mentioned in section 2.3. Controller implementations itself are presented in Chapter 5.

2.4 Control in the synchronous reference frame

2.4.1 The abc-dq0 transformation

A very established controller concept is the proportional-integral controller (PI controller) because of its simplicity. For DC quantities it offers infinite gain and thus zero steady-state error. Its transfer function in parallel block representation is:

$$G_{PI}(s) = k_p + \frac{k_i}{s} \quad (2.4)$$

Since most quantities in power converters for AC Microgrids (converter duty cycles, connection voltage, injected currents, etc.) are dominantly AC with a frequency of 50Hz or 60Hz, PI controllers cannot offer zero steady-state error when directly applied to the AC waveforms. To overcome this problem, a common solution is to transform these AC quantities to a synchronous reference frame first. This transforms all components of a desired frequency (50Hz or 60Hz, for example) to DC quantities. For these DC quantities, a PI controller will show zero steady-state error.

Transformation to a synchronous reference frame is performed by Park's transformation [36] and a slightly altered abc-dq0 transformation [37]. Park's transformation is magnitude-invariant while the other abc-dq0 transformation is power-invariant. For the purpose of this thesis, park's abc-dq0 and dq0-abc formulations given by equations (2.5) and (2.6) are used.

$$K_{dq0} = \frac{2}{3} \begin{bmatrix} \cos(\theta) & \cos\left(\theta - \frac{2\pi}{3}\right) & \cos\left(\theta + \frac{2\pi}{3}\right) \\ -\sin(\theta) & -\sin\left(\theta - \frac{2\pi}{3}\right) & -\sin\left(\theta + \frac{2\pi}{3}\right) \\ \frac{1}{2} & \frac{1}{2} & \frac{1}{2} \end{bmatrix} \quad (2.5)$$

$$K_{dq0}^{-1} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 1 \\ \cos\left(\theta - \frac{2\pi}{3}\right) & -\sin\left(\theta - \frac{2\pi}{3}\right) & 1 \\ \cos\left(\theta + \frac{2\pi}{3}\right) & -\sin\left(\theta + \frac{2\pi}{3}\right) & 1 \end{bmatrix} \quad (2.6)$$

2.4.2 The dq0 controller block

In order to control AC quantities of a power converter using PI controllers, all relevant measurements, such as output voltages and currents, can be transformed to a synchronous reference frame using equation (2.5). When using the correct reference angle θ at correct angular speed ω , these measured values will become DC quantities for the desired frequency values (ω) at which a PI controller will yield zero-steady state error. This basic setup is represented by the blocks lining up to the right of the signals d^* and q^* in Figure 2.11.

For the zero channel, no PI controller is presented because for this thesis there has been no need to control zero channel values. This is especially true since the Δ -Y transformers used in the final configuration of this Microgrid test bed prevent the existence of a zero component.

The universal dq0 controller block that is used in grid interface controller topologies in this Microgrid test bed is shown below.

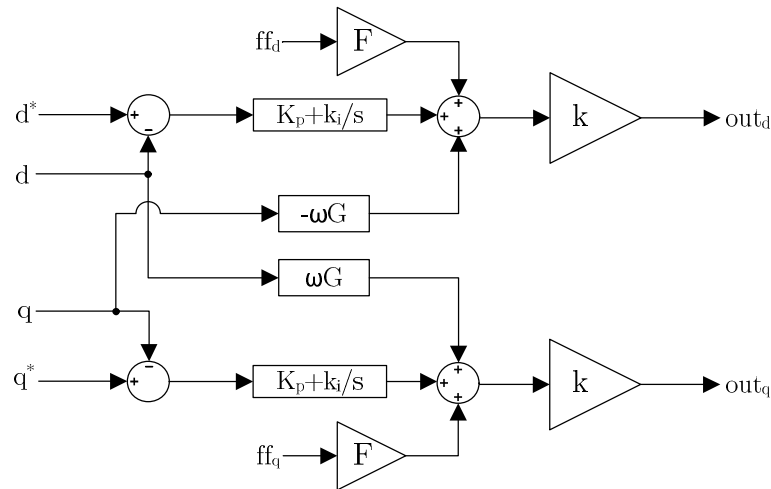


Figure 2.11 dq0 controller block structure

2.4.3 Decoupling of d and q channels

The three-phase LCL filter of Figure 2.12 is a filter structure commonly used for three-phase grid-connected converters. The state equations describing this filter are [31]:

$$L_f \frac{di_{ld}}{dt} = \mathbf{L}_f \boldsymbol{\omega} \mathbf{i}_{lq} + v_{id} - v_{cd} \quad (2.7)$$

$$L_f \frac{di_{lq}}{dt} = -\mathbf{L}_f \boldsymbol{\omega} \mathbf{i}_{ld} + v_{iq} - v_{cq} \quad (2.8)$$

$$C_f \frac{dv_{cd}}{dt} = \mathbf{C}_f \boldsymbol{\omega} \mathbf{v}_{cq} + i_{ld} - i_{td} \quad (2.9)$$

$$C_f \frac{dv_{cq}}{dt} = -\mathbf{C}_f \boldsymbol{\omega} \mathbf{v}_{cd} + i_{lq} - i_{tq} \quad (2.10)$$

$$L_t \frac{di_{td}}{dt} = \mathbf{L}_t \boldsymbol{\omega} \mathbf{i}_{tq} + v_{cd} - v_{bd} \quad (2.11)$$

$$L_t \frac{di_{tq}}{dt} = -\mathbf{L}_t \boldsymbol{\omega} \mathbf{i}_{td} + v_{cq} - v_{bq} \quad (2.12)$$

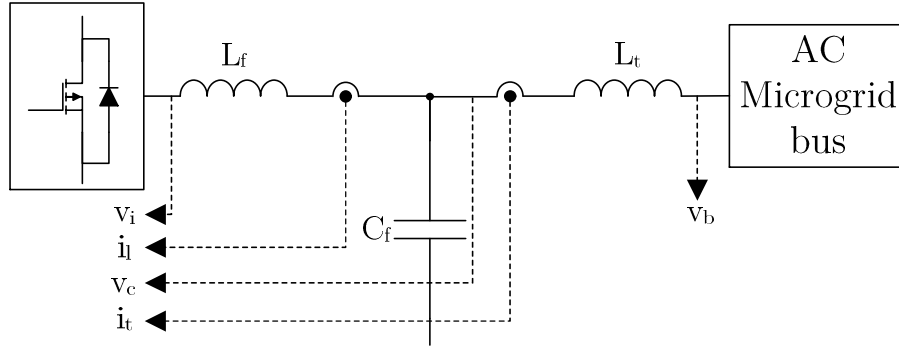


Figure 2.12 Single phase representation of a converter output LCL filter

The bold parts in equations (2.7)-(2.12) represent couplings between the d and q channels, since for a d-channel state equation a term from the q channel appears and

vice versa. Decoupling terms in controllers for synchronous reference frames are commonly added to the controller output to offset this channel coupling. This is apparent in Figure 2.11 where G stands for L_f , C_f or L_t , respectively, in equations (2.7)-(2.12).

2.4.4 Feed-forward terms

In order to improve the converters performance under transient conditions of the connected grid, feed-forward terms can be introduced to the controllers' outputs. Feed-forward terms take away the need of a controller to provide an output that adjusts to an indirectly dependent parameter that can be measured. For a controller that controls the current flow through the filter inductors L_f , the role of feed-forward terms can be described as follows.

Given equations (2.7) and (2.8), the change of current in the filter inductors is a function of v_{id} , v_{iq} and v_{cd} , v_{cq} (neglecting $L_f\omega \times i_{lq}$ and $-L_f\omega \times i_{ld}$ that are irrelevant due to decoupling terms). The means of a current controller to control i_{ld} and i_{lq} are adjusting v_{id} and v_{iq} , respectively. Values of v_{cd} and v_{cq} are dependent on i_{ld} , i_{lq} and the current flow towards the AC Microgrid bus. By adding v_{cd} and v_{cq} to the controllers output the PI controller does not have to react to changes in these variables in order to maintain the desired current flow. A feed-forward gain F ($F = 0 \dots 1$) allows to scale the effect of feed-forward terms.

2.4.5 Controller output limiting

The output of some controllers must be limited to a definable range. Reasons for this include protection, energy limitations within the simulated plant, plant sizing and other hardware requirements:

1. **Protection.** The hardware design of an inverter assumes a certain value for maximum steady state currents. Continuous operation beyond these values could damage the system. For example, it could overheat filter inductors or transformers. However, the system is capable of handling short term transients in excess of these thresholds so that an immediate system shut down is not required.
2. **Simulated plant.** HIL simulation of plants may result in certain limitations of power injected into or withdrawn from the AC bus. For example, this can be necessary when the availability of primary energy is below the actual plant size from time to time.
3. **Plant sizing.** This test bed is supposed to represent a real system when scaled down. To represent different relative sizing of plants, it must be possible to limit output power according to artificially-set power limits.
4. **Other hardware requirements.** The PWM duty cycles generated for the PWM gate signal generation system will determine the mode of operation of the inverter (linear PWM, overmodulation or square-wave mode of operation). To provide capabilities of selecting specific modes, limitation of PWM duty cycles is desirable. This ensures that square-wave mode of operation does not occur in any transient situation.

Active power, reactive power or complex power limits can be required and have to be applied separately for maximum and minimum limits. Discussions of possible control loop topologies in the synchronous reference frame in subsequent sections show that it is often possible to represent active power flow in the d channel and reactive power flow in the q channel. Since $S = \sqrt{P^2 + Q^2}$, complex power can be limited by limiting

$\sqrt{out_d^2 + out_q^2}$ to a pre-specified value. out_d and out_q refer to the d and q channel output before complex power limitation, respectively. These complex channel limits ($limit_{min} < \sqrt{out_d^2 + out_q^2} < limit_{max}$) can be translated into d and q channel limits as outlined in Code listing 1 in appendix C.1. Such a transformation has to be computed at every control loop execution.

An implementation of d and q channel limits has to include possible contributions to out_d and out_q from feed-forward terms, decoupling terms and output scaling k . Anti-windup schemes and/or dynamic curtailment of the integral controller part have to be adapted to this case.

Applying a constant limit to d and/or q channel with synchronous reference frame controller topologies will not introduce additional harmonics into the system in most cases. This is advantageous over stationary frame controller topologies where a simple limiting using constant values of a sinusoidal waveform results in a heavily distorted waveform. Separation of active and reactive power limits is also not as simple to achieve in the stationary reference frame case.

2.5 Control in the stationary reference frame

The abc-dq0 transformation and its inverse are computationally intensive due to many trigonometric functions involved. The computational overhead becomes significant when using fixed-point, low-cost microcontrollers and applying advanced concepts that require multiple transformation pairs. Such advanced concepts can be negative sequence voltage control / unbalanced loading control or harmonic compensation. To overcome these limitations, the concept of proportional + resonant (PR) controllers has been developed

[38]. These controllers are characterized by a proportional gain and a system that resonates at one desired frequency, typically 50Hz or 60Hz, thus providing infinite gain at this frequency. PR controllers are typically used in the $\alpha\beta$ stationary reference frame for three-phase VSI inverters. It has been shown that a PR controller using a controller transfer function as given in equation (2.13) is mathematically equivalent to a synchronous reference frame PI controller implementation [39]. By adding paralleled PR controllers tuned to selected harmonic frequencies ($3f_1, 5f_1, 7f_1$, etc.; f_1 being the fundamental frequency) it is possible to achieve harmonic compensation at low cost. A more commonly implemented transfer function is equation (2.14) due to computational issues and to provide a wider controller bandwidth in case of grid frequency variations. This equation involves a damping term ω_c that limits the controller gain to a finite value, introducing a small steady-state error. Several issues surrounding numerical stability of digital implementations have been addressed in [39], [40]. However, a *computationally fast* and *reliable* method to limit the output of PR controllers without introducing additional harmonics into the system, and to specifically limit active, reactive and complex power is currently not available.

Since this project builds on a floating-point processor with extensions for fast trigonometric function computation, and due to insufficient limiting capabilities of PR controllers, this project mostly focuses on control in the synchronous reference frame using the dq0 controller block structure.

$$G_{ideal}(s) = K_p + \frac{2K_i s}{s^2 + \omega^2} \quad (2.13)$$

$$G_{non-ideal}(s) = K_p + \frac{2K_i \omega_c s}{s^2 + 2\omega_c s + \omega^2} \quad (2.14)$$

2.6 Phase-locked loops for grid application

For some converter roles it is necessary to extract the current angle Θ of the sinusoidal grid voltage. This angle Θ can then be used as reference angle for abc-dq0 transformations or as angle for reference value generation, if control is performed in the natural or stationary reference frame. Various PLL approaches are known and have been widely discussed in literature [29], [41]–[44], such as the synchronous reference frame PLL (SRF PLL), decoupled double synchronous reference frame PLL (DDSRF PLL), and the dual second order general integrator PLL (DSOGI PLL). Due to its simplicity, this thesis focuses on the SRF PLL which has proven to be satisfactory under balanced voltage conditions for three-phase systems [41]. For unbalanced and distorted grid conditions a more complex PLL structure might become desirable.

For three-phase applications an SRF PLL (adapted from [42]) as depicted in Figure 2.13 can provide grid angle Θ and grid frequency ω . The PLL performance mostly depends on the tuning of the integrated PI controller. A frequency bias of $2\pi 60$ rad/s provides a fast convergence at execution start-up.

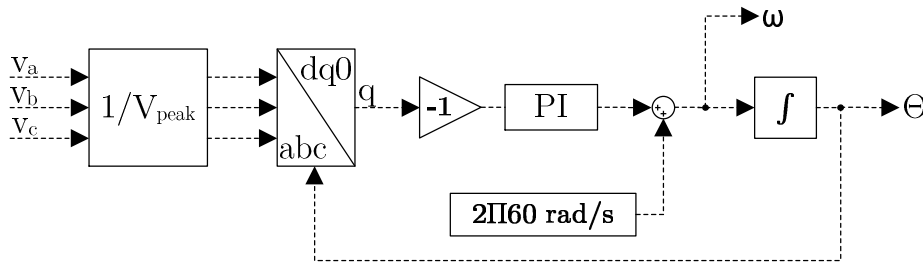


Figure 2.13 Three-phase synchronous reference frame PLL structure

In some cases only one of three voltage signals is available or the grid voltage angle in a single-phase system is to be determined. For this case the three-phase SRF PLL can be adapted using a transport delay and the $\alpha\beta$ -dq0 transformation instead of an abc-

dq0 transformation. This approach is adapted from [44] and given in Figure 2.14. To determine the phase angle of a single sinusoidal voltage v_a , it is interpreted as a signal for the α channel of an $\alpha\beta$ -dq0 transformation. Under undisturbed conditions, the beta channel signal is supposed to be a sinusoidal function of similar shape delayed by 90 degree. This is realized using a transport-delay.

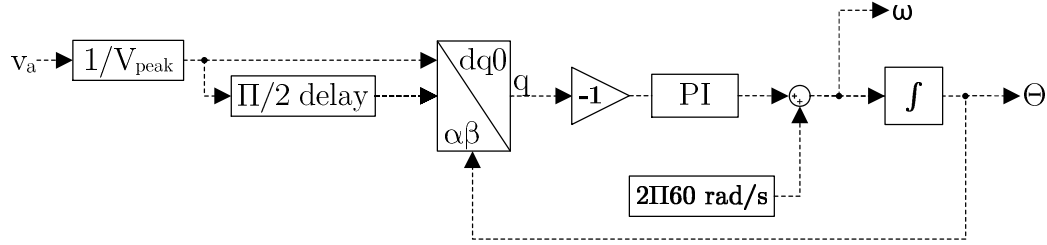


Figure 2.14 Single-phase synchronous reference frame PLL structure

2.7 Voltage-source based droop control

2.7.1 Droop control for grid-supporting converters

Traditional, machine-dominated grid control using P/f and Q/V droop behavior has been adapted in the control of power electronic converters for grid applications. The basic formulation of these droop relationships can either be solved for frequency and voltage or for active and reactive power. Voltage-source based droop controllers require a reference voltage and a reference angle. Therefore, for these controllers the basic droop equations are [29]:

$$f^* = f_0 - k_p(P - P_0) \quad (2.15)$$

$$V^* = V_0 - k_q(Q - Q_0) \quad (2.16)$$

These equations can be used in a droop controller for this converter role where the assumed cascaded controller structure is as given in Figure 2.15 (after [31]).

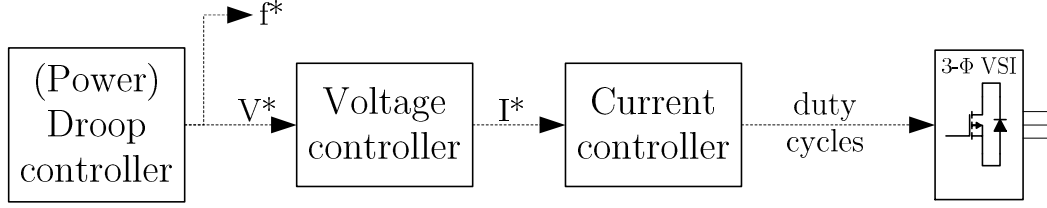


Figure 2.15 General controller structure for voltage-source based droop controlled converters

However, it has been shown that the stability of this droop control for power electronic converters is dependent on the operating point; in fact the system's eigenvalues approach the imaginary axis when output active and reactive power is increased [32]. To improve the droop stability one proposed solution is to introduce derivative terms into the droop equations and schedule the derivative gains based on the current operating point (equations (2.17) and (2.18)) [32]. This publication shows that the droop controller dynamics can be fixed to a certain eigenvalue using gain scheduling, if system parameters of the connection impedance are known. However, it also demonstrates that the variation of scheduled gains is relatively small for a wide range of operation for the example case, which can lead to the assumption that constant derivative gains can provide significant performance improvements for many cases. Further investigation would be required.

$$f^* = f_0 - k_p(P - P_0) - k_{pd} \frac{dP}{dt} \quad (2.17)$$

$$V^* = V_0 - k_q(Q - Q_0) - k_{qd} \frac{dQ}{dt} \quad (2.18)$$

2.7.2 Virtual impedance

Traditional droop control has been implemented with transmission grids in mind which are dominantly inductive. As presented in the previous section, droop control adapted for power electronic converters follows the same assumption. However, in distribution grids this assumption does not always hold as the X/R ratio is commonly smaller. In order to provide more design flexibility for the connection impedance seen by a DG inverter, the concept of virtual impedance has been developed [4], [45]. Additional to the real existing connection impedance Z_C with a given X/R ratio, an additional virtual connection impedance Z_V can be incorporated into the converter control (see Figure 2.16). This concept offers the ability to modify the connection impedance seen by an inverter to any desired value without additional losses or space requirements. Any positive or negative inductive or resistive impedances can be added.

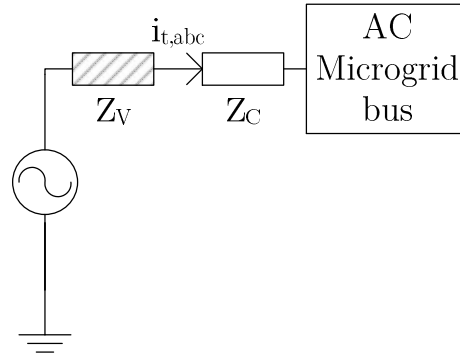


Figure 2.16 Virtual impedance Z_V

This concept is realized through modification of controller set points depending on the injected current $i_{t,abc}$.

For voltage-source based droop controllers the voltage reference V^* can be altered in order to simulate a voltage drop across a virtual impedance. In the dq0 reference frame this can be calculated as:

$$\begin{aligned} v_{pd} &= \sqrt{2}V_c + \omega L_v i_{tq} - R_v i_{td} \\ v_{pq} &= -\omega L_v i_{td} - R_v i_{tq} \end{aligned} \quad (2.19)$$

For current-source based droop controllers a modified power reference can be derived from the initial reference in order to mimic a steady-state power consumption by a virtual impedance:

$$\begin{aligned} P_{R_v} &= R_v I_t^2 = R_v \left(\frac{P^*}{\frac{3}{2} v_{cd} i_{td} \sqrt{2}} \right)^2 \\ Q_{L_v} &= \omega L_v I_t^2 = \omega L_v \left(\frac{Q^*}{-\frac{3}{2} v_{cd} i_{tq} \sqrt{2}} \right)^2 \end{aligned} \quad (2.20)$$

Derivations of equations (2.19) and (2.20) are presented in the appendices A.1 and A.2.

Using this concept, the connection impedance seen by the inverter's droop control can be altered in order to ensure a good performance of given droop controllers. Additionally, virtual impedance can be used during grid synchronization. In this case a high initial virtual impedance that is reduced over time can facilitate a gradual synchronization without overloading the inverter.

2.7.3 Power droop decoupling due to X/R ratio

As stated before, traditional droop mechanisms assume a very high X/R ratio of the grid connection impedance and the grid impedances itself. If this is the case, an active power-frequency droop (P/f droop) is without coupling to a reactive power-voltage droop (Q/V droop). However, if the impedances of a network have a lower X/R ratio, as common in distribution grids, a change in active power injection will also lead to a change of system voltage and a change in reactive power will also lead to a change in the connection impedance's voltage angle. Then, there is a coupling between P/f and Q/V

droops which degrade the droop controller performance, especially for voltage-source based droop controllers [30]. In order to decouple these droops, [30] proposes a transformation matrix based on the X/R ratio:

$$\begin{bmatrix} P' \\ Q' \end{bmatrix} = T \begin{bmatrix} P \\ Q \end{bmatrix} = \begin{bmatrix} \frac{X}{Z} & -\frac{R}{Z} \\ \frac{R}{Z} & \frac{X}{Z} \end{bmatrix} \begin{bmatrix} P \\ Q \end{bmatrix} = \begin{bmatrix} \frac{k}{\sqrt{k^2+1}} & -\frac{1}{\sqrt{k^2+1}} \\ 1 & k \end{bmatrix} \begin{bmatrix} P \\ Q \end{bmatrix} \quad (2.21)$$

where $k = \frac{X}{R}$. The droop equations are then based on P' and Q' instead of the measured P and Q .

This transformation will not change the fact that upon a frequency change, active *and* reactive power injection will change; however, the droop controllers will not see this coupling and therefore show an improved convergence behavior. However, this can only be achieved, if a good estimate of the X/R ratio is available. In comparison to the virtual impedance concept, this approach does not try to alter the connection impedance, but rather tries to adjust droop control to a given impedance.

2.7.4 Negative sequence control

If a voltage-source based droop controller feeds unbalanced loads with a voltage controller in the synchronous references frame for balanced operation, a voltage unbalance becomes apparent. This unbalance can be represented by a desired positive sequence voltage and an unwanted negative sequence component. Therefore, it is desirable to control the negative sequence voltage component at the inverter filter capacitor to zero.

In order to achieve this, the voltage control structure of a synchronous reference frame controller can be duplicated and paralleled. One structure remains as configured to control the amplitude of the desired positive sequence voltage. A second structure aims to control the negative sequence component to zero.

When applying the abc-dq0 transformation on the filter capacitor voltages with $-\omega$ (where ω is the grid frequency), all negative sequence components will become constant values. As PI controllers offer zero steady-state error for constant values only, this concept can be used to regulate negative sequence filter capacitor voltages to zero. This can only be done through supplying the unbalanced load currents requested which will be the controller action taken to achieve the control objective.

[46] introduces this principle. It also shows that the voltage references for a negative sequence voltage controller have to be:

$$\begin{aligned} v_{d,NS}^* &= V_m \cos(2\theta) \\ v_{q,NS}^* &= -V_m \sin(2\theta) \end{aligned} \tag{2.22}$$

where V_m is the desired positive sequence voltage amplitude that is used as reference to $v_{d,PS}^*$ ($v_{q,PS}^* = 0$). This principle is used in the implementation of the voltage-source based droop controller in this thesis and is depicted in more details in Chapter 5.

2.8 Control of direct parallel connected 3-phase inverters

2.8.1 Using ΔY transformers

The traditional solution to connection of three-phase VSIs to electricity grids is using ΔY transformers. Figure 2.17 shows two of such converters connected in parallel on both AC and DC sides (“direct parallel connection”).

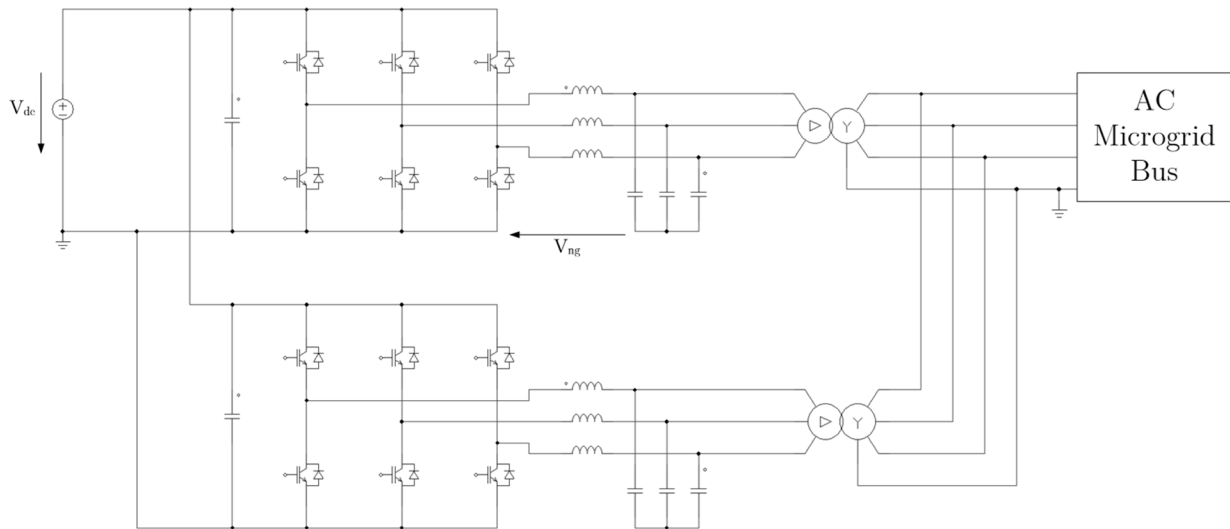


Figure 2.17 Two direct parallel connected 3-phase VSIs using ΔY transformers

There are several advantages using a ΔY transformer in single or multi converter configurations:

- **Isolation.** Isolation between the inverter and grid can provide flexibility in grounding and protection. It also removes any common-mode voltage noise that pulse-width or space-vector modulation might introduce into the AC bus at multiples of the switching frequency [47].

- **No zero sequence current transfer.** Due to the Δ connections on the inverter side, zero sequence currents (ZSC) cannot flow. This makes any control of ZSC unnecessary and simplifies inverter control. In this thesis, a converter structure is proposed that involves direct parallel converter connection. If no ΔY transformer breaks the ZSC path, these currents can circulate freely through AC and DC sides of the inverters.
- **Neutral wire provision.** Due to the Y connection on the grid side, a neutral wire can be provided without implementing it on the inverter side. This reduces the number of inverter legs and filter components required and also reduces control complexity.
- **Voltage boost.** If the connection voltage level is at medium or high-voltage it can be desirable to provide a voltage boost by a transformer so that the inverter can be implemented at lower output voltage levels.

However, transformers also introduce significant disadvantages into a grid connected inverter system. Main disadvantages are:

- **Cost.** As transformer windings are made out of copper, their cost is a significant share of an inverter system total cost. For example, 5kVA transformers for this thesis project have been quoted at around CAD\$ 800. The total material cost of an inverter system has been estimated at CAD\$ 2000-3000, initially. A detailed analysis of the realized system follows in section 4.6.
- **Size and weight.** A 5kVA transformer for this application weigh around 60-70kg making them the heaviest single component in the inverter system. The same applies to the size.

- **Losses.** Transformers have conduction and core losses that reduce the inverter systems efficiency.
- **Magnetization currents.** Transformers consume reactive power in order to magnetize the core. This can cause issues at grid connection, inverter sizing and in inverter control. In addition, the magnetization currents of the three phases for the transformers used in this thesis were unbalanced, so that inverter control has to address this in order to maintain a balanced connection voltage level.
- **Current harmonics.** Due to the core design of common transformers, significant harmonics exist in magnetization currents. This has influence on inverter control and power quality.

2.8.2 Using four converter legs and zero sequence current control

As an effort to overcome aforementioned disadvantages with transformer-based solutions, methods have been investigated to allow for a transformer-less direct parallel operation. Replacing ΔY transformers with filter inductors introduces issues in isolation, grounding, waveform quality and control that have to be addressed in order to successfully implement a transformer-less solution into the test bed.

Figure 2.18 shows a general structure for two four-leg VSIs where the fourth leg provides the neutral wire to the AC Microgrid bus.

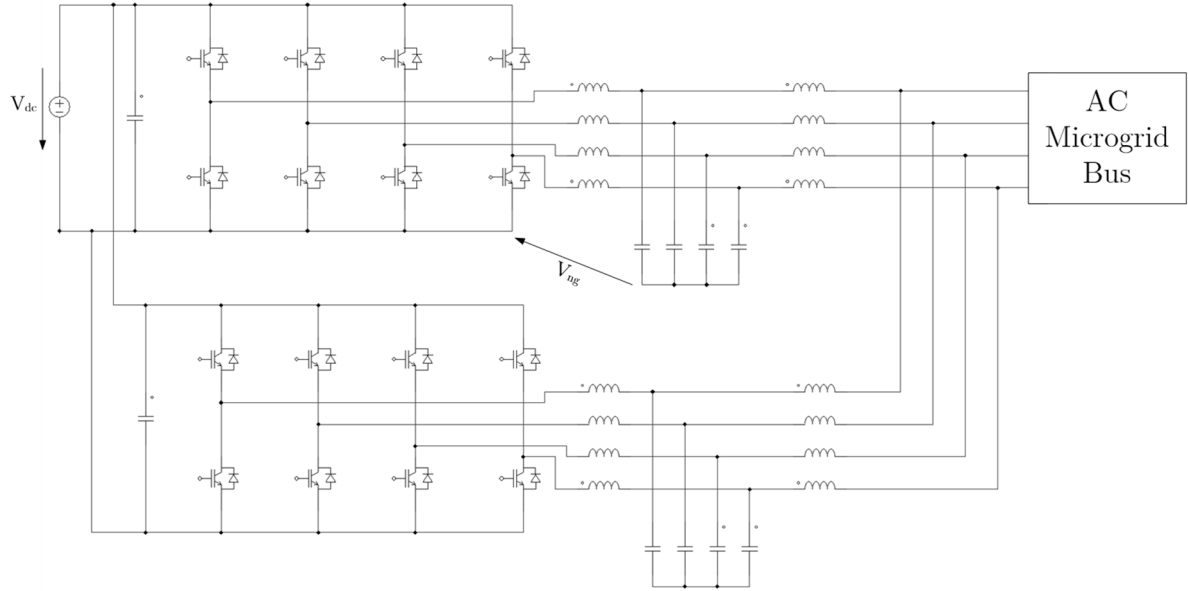


Figure 2.18 Two direct parallel connected 3-phase VSIs with four legs and not transformer

With such a setup, the disadvantages of cost, size and weight, losses, magnetization currents and current harmonics of a transformer-based configuration can be fully or partially overcome. However, other disadvantages are introduced into the system that partially apply to all cases and partially are specific to the chosen solution.

a. Controlling ZSC with pulse-width modulation

In order to control the flow of ZSC between two inverters [48] suggests splitting each of the PWM duty cycles d_a , d_b and d_c into two parts:

$$d_a = d'_a + d'_n \quad (2.23)$$

$$d_b = d'_b + d'_n \quad (2.24)$$

$$d_c = d'_c + d'_n \quad (2.25)$$

Furthermore, $d_n = d'_n$.

Then, d'_n can be used by a PI controller to control the ZSC flowing in or out of one inverter since it changes the average common voltage level of all inverter legs compared to those of another inverter. d'_a , d'_b and d'_c serve as duty cycles to produce sinusoidal waveforms. This approach is a simple and effective approach to keep ZSC currents close to zero. Hardware support by common microcontrollers is very good for pulse-width modulation so that this approach can easily be integrated. However, the system has to be designed in a way that reduces the maximum values for d'_a , d'_b and d'_c so that an additional d'_n values does not force the inverter out of a linear modulation range.

b. Controlling ZSC with space vector modulation

In [49] it is proposed that the zero vector in an SVM is split into two vectors. One vector has a low instantaneous common leg voltage, the other a high as depicted in Figure 2.19. A parameter k allows to alter the ratio of average high and average low common leg voltages which then allows to increase or decrease the ZSC level of one inverter. This is the necessary tool to control ZSC current to zero using a control loop, for example, in the zero channel of a dq0 control block. It has to be noted that with this method the converter has to be designed in such a way that at peak values the active vectors do not use up all the available time in an SVM switching cycle, so that significant control margin for the ZSC controller is still available. This effectively means to increase V_{dc} or to decrease $\hat{V}_{AC,out}$. Also, implementation of an SVM in microcontroller is not supported by hardware, so far. This means that all SVM implementations have to be done in software which adds significant computational constraints to these controllers.

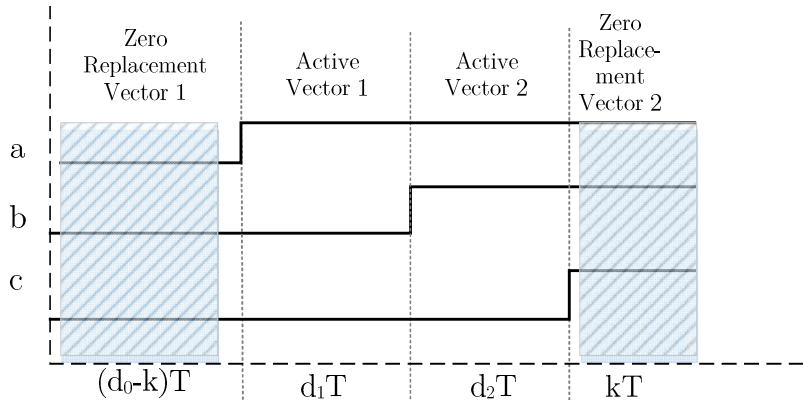


Figure 2.19 ZSC current control based on modified SVM. Depicted: Instantaneous leg voltages between V_{dc} and ground for one switching cycle in a three-leg inverter.

c. Reduction of common-mode voltage noise

With traditional PWM the common-mode voltage V_{ng} (Figure 2.18) changes from minimum to maximum DC-bus voltage at the switching rate (see Figure 2.20). If two unsynchronized inverters are operated in parallel with this scheme, common-mode voltage drops across the filter inductances between all involved inverters will cause high frequency ZSC ripples between these inverters. These high frequency ripples will increase losses in inverter switches and filter inductors. Also, the sizing of filter inductors and requirements on the maximum RMS ratings are influenced by the presence of these ripples.

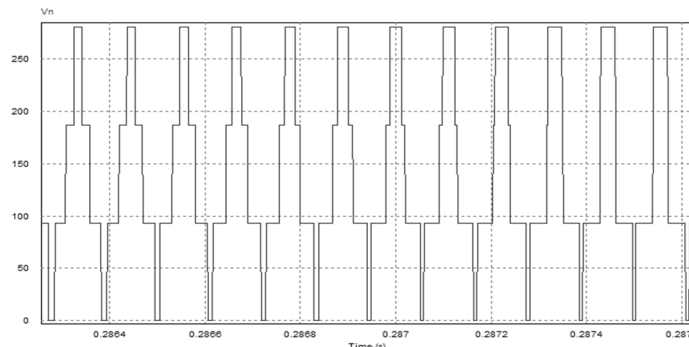


Figure 2.20 V_{ng} for traditional PWM for a single inverter

For PWM inverters, it can be shown that operating under PWM regime, when carrier signals for control of inverter legs A, B and C are phase shifted by $\pm 120^\circ$ with respect to one another, can reduce the high-frequency common-mode voltages as long as the modulation index is below $\widehat{m}_a = \frac{2}{3}$ (a fourth leg is neglected in this case).

Elimination of high-frequency common-mode voltage noise can be achieved with a four-leg inverter as demonstrated in [50]. However, this method does not provide the necessary degree of freedom to control low-frequency zero sequence current that could occur from system imbalances local V_{dc} variations or other transient effects.

For multi-level inverters, which are not considered for this thesis project, multiple approaches exist to reduce or eliminate high-frequency common-mode voltage noise with different trade-offs (for example: [51]–[54]).

d. Assessment of transformer-less methods for *direct* parallel connected inverters

Compared to ΔY transformer based scenarios, using a structure without such a transformer yields some advantages:

- **Lower cost, size and weight.** A transformer is not necessary any more. However, the following additional components have to be added: an inverter leg, two switch drivers and corresponding power supplies, filter components and sensors.
- **No magnetization currents.**
- **Potentially lower level of inductor core saturation.** Due to more design freedoms.

However these methods also come with significant limitations and disadvantages:

- **Higher control complexity.** Modulation scheme and control loops have to be adapted and waveform quality is affected in most scenarios.
- **Increased THD.** High-frequency, common-mode voltage noise increases the noise floor in the system, thus worsens the total harmonic distortion (THD) of output waveforms.
- **More complicated LCL filter design.** High frequency common-mode noise adds constraints onto the inductor design and as a consequence can slow down control loop dynamics.
- **Less flexible grounding.** Depending on the chosen modulation scheme, the average value for V_{ng} is at $\frac{V_{dc}}{2}$ or close to this value. Since there is no isolation transformer, this voltage level is also present at the AC Microgrid bus that has to be able to deal with it. Grounding, EMI design and protection has to take this into account.
- **Less efficient modulation range usage.** For common-mode voltage reduction schemes, a higher DC-bus voltage is required leading to an oversizing of components.

In order to keep the control loop complexity low, allow for a more flexible set of components connected to the AC Microgrid in the future (especially electric machines) and maintain a low THD in the Microgrid voltages this thesis focuses on inverters connected in parallel using ΔY transformers. However, it should be emphasized that both solutions are feasible. A hardware-based comparison in this test bed can be of great interest as future work. Therefore this test bed takes specific requirements of transformer-less designs into account with respect to the overall system structure design to

give room for future expansions. This includes providing sensor and PWM connections for a fourth leg to the microcontroller and allowing an increase in the DC-bus voltage, if required.

Chapter 3

Test bed system structure design

3.1 System component arrangement

Based on the goal definition in section 1.4 the overall system structure shown in Figure 3.1 is proposed in this thesis.

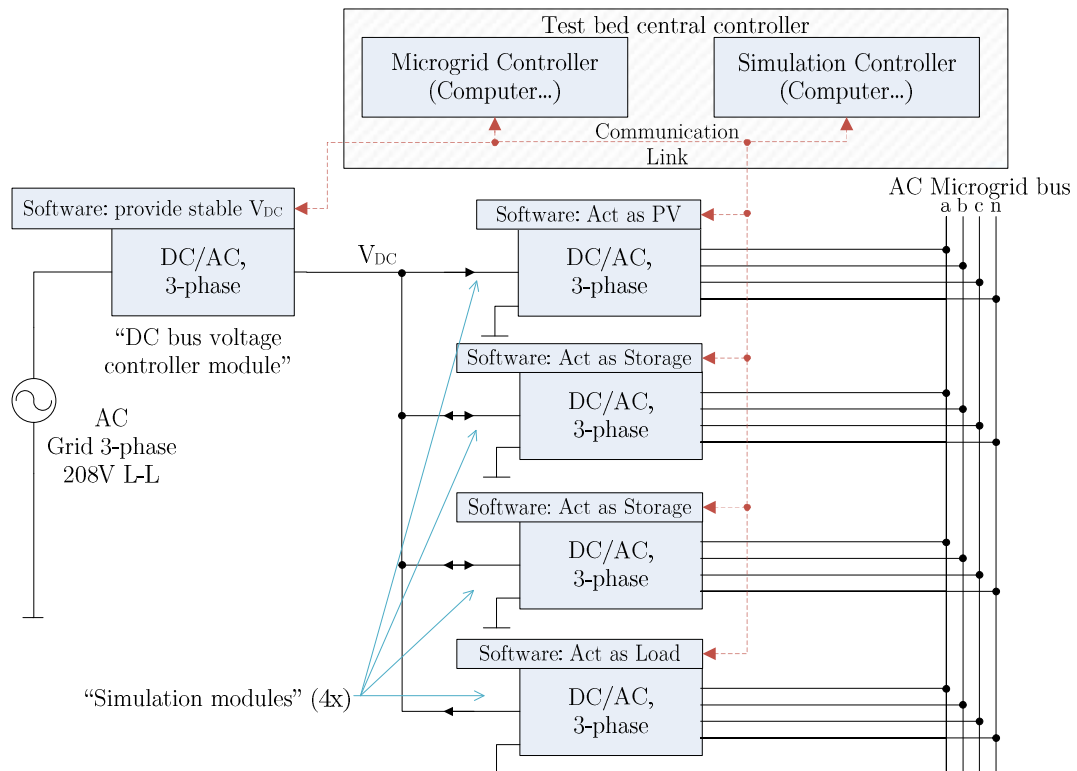


Figure 3.1 Overall Microgrid test bed structure

Subsequently, all major components of this structure are described.

1. **Simulation module.** A simulation module consists of a power electronic converter that converts DC power to AC power and controls output voltage, current, power and/or frequency on the AC side. This converter includes all necessary sensors, filters and protection equipment, as well as processor(s) to provide enough computational capacity. Its firmware allows reconfiguration of a simulation module at runtime to change its behavior on the AC bus side to any desired generator or load characteristic available. This system allows parallelization of a large number of simulation modules. *Simulation modules reproduce desired simulation behavior (of a certain generation plant or load) on their AC side only.*
2. **DC-bus voltage controller.** The “DC-bus voltage controller” is a module derived from the simulation module. Its task is to provide a stable and sufficiently high DC-bus voltage of good quality for all simulation modules. This is the only module that connects to the electricity grid available in the laboratory.
3. **AC Microgrid bus.** As this test bed focuses on the simulation of isolated Microgrids, a grid connection is not necessary at this point. Therefore, this bus is a four wire stand-alone bus. Network impedances, switchgear, LTCs, similar distribution grid equipment and different voltage levels (LV, MV...) are neglected in this thesis as a simplification.
4. **Simulation Controller.** One central software allows to set all parameters that define the environment in which this Microgrid simulation is performed, in order to replicate real-life conditions. Such parameter setting can include component sizing, control loop selection and configuration, plant selection and configuration,

weather data or load demand curves, for example. Furthermore, it allows to record measurement data that is available to simulation modules through their sensor readings. There is only one simulation controller in this test bed.

5. **Microgrid Controller.** Another piece of software allows to run any Microgrid central control algorithms. This can be a controller for secondary and/or tertiary control levels, for example. Microgrid Controller and Simulation Controller are two components in the same software, called *Test bed central controller* (TBCC).
6. **Communication link.** A communication link connects all active elements in the test bed. This link provides communication capabilities for all data exchange required by simulation controller and Microgrid controller. Additionally, it allows direct communication between simulation modules for protection coordination and in case any decentralized Microgrid control algorithms (such as Multi-Agent systems, for example [55], [3]) require communication to neighboring modules.

In this system, the DC-bus does not represent any simulated quantity. Instead, it provides a connection between all modules to let the energy handles by other simulation modules. The DC-bus voltage is controlled to be constant at all times during operation. An advantage of this approach compared to many other Microgrid test beds is that the amount of energy needed for a simulation is far below the energy circulated in the Microgrid. Only losses in the system (such as switching losses) need to be compensated for; all other energy is circulated in the system.

3.1.1 System component sizing

a. Power rating

In order to proceed with more detailed hardware design, specifications on target system component sizing have to be defined. As mentioned in the goal definition, the desired power rating for simulation modules on their AC side is 5kVA. This power rating is chosen, so that this test bed can easily be operated in the laboratory available to this research group.

Standard three-phase power available is 208V_{LL}, 60Hz, 20A. This is equivalent to 7.2kVA. Certain space and weight restrictions apply that discourage the use of AC transformers with higher power ratings that have a significantly higher weights. At the same time, using a power rating of up to 5kVA allows to perform almost full-scale simulations for small DG plants, such as residential photovoltaic stations. It is also high enough so that components from categories commonly used in real power products can be used. This is especially true for the IGBT switches, filter components and distribution transformers, so that their specific advantages and disadvantages become apparent.

The DC-bus voltage controller module is derived from a simulation module. Assuming a simulation module converter efficiency of 90% at full load, each simulation module will require the compensation of 500W on the DC-bus. If the DC-bus voltage controller module is also sized for 5kVA it can supply nine to ten simulation modules at full load. This is considered sufficient for the scope of this thesis and the near foreseeable future.

3.1.2 AC Microgrid voltage

Using standard voltages increases compatibility with potential extensions of this Microgrid with external components, brings test results closer to real cases and allows the selection of standard components available on the market.

For this reason, an AC Microgrid voltage of $120V_{Ln}/208V_{LL}$ is chosen which results in a maximum simulation module AC current of $13.89A_{rms}$ at full load. Any higher voltage level results in very low currents, but equipment for higher voltages is typically used in applications with higher power levels than 5kVA.

3.1.3 DC-bus voltage

For three-phase voltage source inverters the following relationship between AC and DC voltages exists [25]:

$$\hat{V}_{A_{oa}} = m_a \frac{V_{dc}}{2} \quad (3.1)$$

where $\hat{V}_{A_{oa}}$ is the peak value of the fundamental component of the phase-to-neutral voltage. Consequently, the minimum DC voltage required to produce a sinusoidal output voltage (line-to-neutral) V_a (RMS) is ($m_a = 1$):

$$V_{dc,min} = 2\sqrt{2}V_a \quad (3.2)$$

The maximum required steady-state value for V_a is dependent on the system voltage, filter component size and maximum power rating. Assuming a VSI with an LCL filter,

filter inductors of size 1.5mH and filter capacitors of size 50 μ F values and an AC Microgrid voltage amplitude of 126V³ the maximum inverter voltage magnitude V_a is 139.5V. Please refer to appendix A.3 on page 204 for a derivation.

According to equation (3.2) the minimum DC-bus voltage becomes: 394.6V. In order to provide controllers with some more margin for harmonics, unbalances, noise and transients before leaving the linear mode of operation, a *DC-bus voltage of 450V has been chosen*. This results in a maximum expected modulation index $m_{a,max} = \frac{394.6V}{450V} = 0.88$. If there are extensions to the test bed that require a maximum modulation index m_a of $\frac{2}{3}$, for example, to reduce common mode voltage noise in direct parallel connected three-phase, four-leg VSIs, then the DC-bus voltage should be raised to about 600V. This will have implications on applied protection measures.

3.1.4 Communication link

The purpose of the communication link is to enable *system configuration, coordination and measurement transmission*. High-speed system control loops in Microgrids are commonly not implemented across communication links to avoid limiting reliability due to limitations of the communication link reliability [3]. Therefore the requirements on this communication link are:

- Simple command transmission. For example, a bus structure as network topology does not require packet routing compared to a star, tree or hybrid network arrangements common with standards such as Ethernet.

³ Upper value of common distribution grid voltages, ANSI C84.1.

- Easy and computationally fast to implement in a target microcontroller platform
- Medium average data transfer rate for measurement data transfer in real-time
- No special requirements on delay or jitter
- Robust electrical design regarding EMI

Out of the communication interfaces that are commonly available on considered microcontroller platforms, the CAN bus has been chosen.

The CAN bus is a vehicular bus system designed for high EMI environments. It offers a shared network bandwidth of 1Mbit/s, has built-in prioritization mechanisms for better congestion management and routing is not required. Considering a sensor measurement data transmission frequency of 1 kHz (16 data points per 60Hz cycle) with a single 32-bit float value per measurement and assuming a CAN packet header size of 64-bit⁴, about 9-10 measurements can be transmitted in parallel on the bus:

$$\frac{1 \frac{Mbit}{s}}{(32bit + 64bit) \times 1kHz} = 10.41\bar{6} \quad (3.3)$$

Faster bus systems offer a higher transmission speed; however, they also increase the computational burden on microcontroller systems because of the higher bandwidth itself and because most of the faster communication systems come with a more complex protocol stack (e.g., including routing as necessary with Ethernet).

⁴ For example, refer to http://en.wikipedia.org/wiki/CAN_bus#Extended_frame_format (accessed 29-05-2015)

Chapter 4

Simulation module hardware design

This chapter describes the design and implementation of fundamental and auxiliary components of a simulation module. Additionally, a DC-bus voltage controller module design and implementation is derived from the simulation module design and simulations on it are presented. Simulation results for simulation modules using this hardware design are presented in Chapter 5 together with closed-loop control applied to it.

4.1 Simulation module structure

As mentioned in previous chapters, the goal is to provide a balanced three-phase AC bus with preparation for optional single-phase operation on hardware level. Also, as elaborated in section 2.8, the use of ΔY transformers is preferred over transformer-less direct paralleling of inverters in this thesis. Section 2.1 has given reasons why the use of (two-level) voltage-source inverters is more desirable over using current-source inverter as the default topology.

Using an LC(L) filter provides advantageous filtering quality and system performance over simple L filters and ensures a smooth voltage waveform in the absence of an external grid or electrical machines. Since isolation transformers are to be used, the resulting filter automatically becomes an LCL filter.

In order to suppress any reactive power exchange between filter capacitor, isolation transformer and AC Microgrid when a simulation module is inactive, and to provide means of disconnection for protection purposes, a controllable breaker is included in the simulation module structure. The breaker housing can also contain components to limit transformer inrush currents and AC Microgrid voltage surges.

The resulting simulation module structure is shown in Figure 4.1.

First, some fundamental simulation results on this hardware topology are presented. Next, details about component selection and sizing are given.

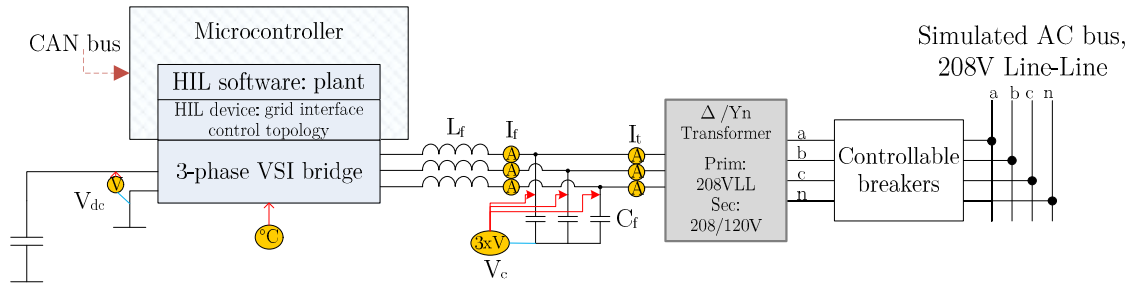


Figure 4.1 Simulation module structure

4.2 Power circuit design

This section describes the design of all component parts of the high-power circuit for a simulation module. Due to the fact that this is a test bed for research and development purposes, a high safety factor is used for components that suffer destruction from very short-term overloads.

After all components of a certain sub-circuit have been introduced, a schematic of this particular sub-circuit is presented.

4.2.1 Power switches

For a 120V, 14A rating, the highest expected peak voltages and currents are:

- Voltage: DC-bus voltage = 450V. To give room for transients and protection coordination, 500-550V should be considered. Assuming a safety factor of 2 requires a switch voltage rating of about 1kV.
- Current: Highest expected steady-state peak current: $14A \times \sqrt{2} = 19.8A$. Including a safety factor of 2 results in a voltage rating of about 40A.

The selection of switching frequency in this case is based on the fact that this is a lab test bed and inverter efficiency is not of primary concern. Therefore, a switching frequency outside of the human audible range has been chosen: 20.4 kHz⁵. Selected switches have to be able to provide turn-on and turn-off times suitable for this frequency.

In the product range defined above the most suitable transistor technology is IGBTs. IGBT bridges with integrated gate drivers and overload protection are available on the market (“intelligent power module” or “IPM”). These modules improve reliability and reduce development time due to their high grade of integration. The IPM closest to defined requirements at reasonable price is the *Powerex/Mitsubishi PM50CL1A120* (key ratings: 1.2kV, 50A steady-state, 20 kHz). In order to supply isolated low voltage power

⁵ The method employed to calculate RMS values requires the control loop execution frequency to be an integer multiple of the grid frequency for best performance. The switching frequency is also an integer multiple of the control loop frequency, hence: 20.4 kHz.

to the integrated gate drivers, an application development board has to be added (*Powerex BP7B-LS*). An overload feedback line is available to signal an overload and turn-off condition to a controlling microcontroller. A schematic of this IPM circuit is given in appendix B.1 on page 216.

In order to suppress local oscillations on the DC-bus, a small $2\mu\text{F}$ snubber capacitor (film) has been added on the DC-bus and 4.7nF snubber capacitors are added on each AC leg from ground to leg mid-point. For overvoltage protection, varistors with a typical varistor voltage of 550-650V are connected between each input and output port and DC ground of the IPM. Local buffer capacitors ($100\mu\text{F}$) provide local DC voltage support during transients.

For temperature control, a fan is mounted onto the heat sink for forced air cooling. This fan is operated by a PWM controllable driver stage on the microcontroller board. An NTC resistor-based voltage sensor allows to determine the current IPM temperature for fan speed control. Based on

$$R_{NTC}(T) = R_s e^{B\left(\frac{1}{T+273.16K} - \frac{1}{T_s+273.16K}\right)} \quad (4.1)$$

using the circuit of Figure 4.2, V_{out} can be determined to be

$$V_{out}(T) = 3.3V \frac{R_p}{R_p + R_{NTC}(T)} \quad (4.2)$$

This voltage can then be converted through an analog-to-digital converter (ADC) to read the IPM temperature by the microcontroller.

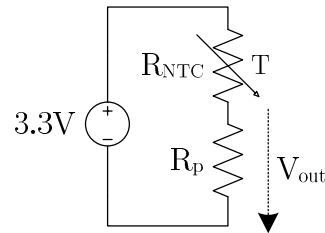


Figure 4.2 NTC temperature sensing circuit

Figure 4.3 shows a picture of the entire IPM setup.

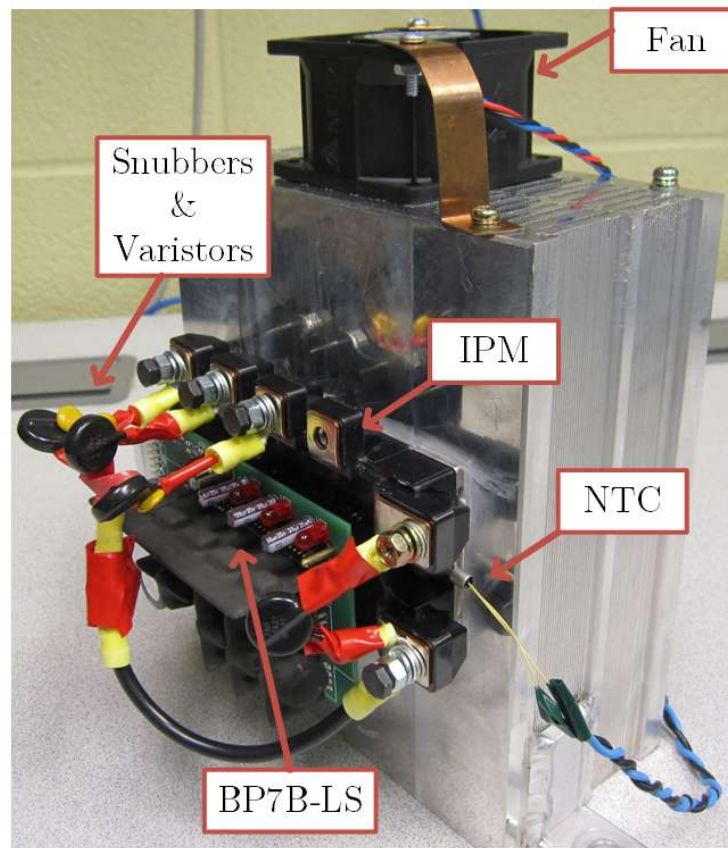


Figure 4.3 Implementation IGBTs, IGBT drivers and thermal management

If the DC-bus voltage is to be raised to 600V, circuit protection and power cable isolation have to be changed. Other components (see Figure B.1) are rated to this voltage.

4.2.2 Controllable breakers

As shown in Figure 4.1 controllable AC breakers allow disconnecting a simulation module from the AC Microgrid bus. In order to prepare the hardware for single-phase operation, each phase has an independently controlled breaker mechanism.

Controllable AC breakers on the market are very expensive and do not exactly match all requirements in a simple fashion. Therefore a custom AC breaker box has been developed for this project.

This AC breaker box consists of four relays, protection and inrush current limiting circuitry, an AC breaker board that controls and drives these relays and a manual override switch with programmable status indication LED. If required in future applications, this box can also house three AC voltage sensors to sense the AC Microgrid bus voltage. An inside view of this box is given in Figure 4.4.

The AC breaker power circuit is based on four relays (30A maximum) for the three phases and neutral. In order to enable relay operation under inductive loads without destruction, 200V varistors have been added on both sides. Inrush current limiters (20A, 5 Ω at room temperature) limit inrush currents at transformer connection to an existing AC Microgrid. A schematic is given in the appendix in Figure B.2 on page 217.

The AC breaker board includes a 12V relay driver stage (isolated from control power), a 3.3V microcontroller interface and an override switch with programmable status indication. All power is provided through the microcontroller. The microcontroller interface is based on a 74HC595 shift register that allows to serially set 8 bits. A feedback line to the microcontroller indicating the override switch state is added (0V: override switch activated, turn off all relays; 3.3V: override switch not activated, microcontroller controlled operation). All input lines are filtered using RC low pass filters ($f_c = 4kHz$) to

be immune against possible noise from high-voltage EMI due to IGBT switching transients. Pull-down / pull-up resistors are added to all inputs to define a safe off-state whenever the control cable to the AC breaker box is removed or is loose. A schematic is given in the Figure B.3 on page 218. The shift register pin assignment is given in appendix B.2.1 on page 219. A bill of materials (BOM) is given in appendix D.1 on page 240.

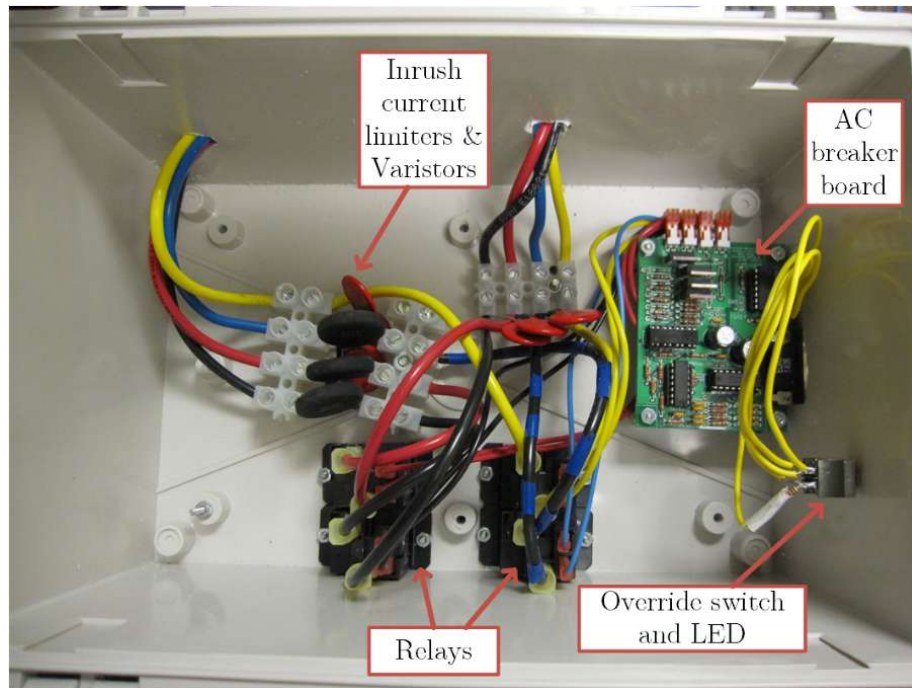


Figure 4.4 AC breaker box (inside view)

4.2.3 Converter output filter

As obtained from simulations, the target filter component sizes were about $1mH$ for the filter inductors L_f and $50\mu F$ for the filter capacitors C_f . Therefore, the resulting resonant frequency of the $L_f - C_f$ combination is at 711Hz. Initial simulations have indicated good voltage waveforms and reasonable controller speed. All simulations and experiments are based on these parameters. A second filter design based on a resonant

frequency of 2250Hz⁶ was implemented in the fourth simulation module; however, in-depth evaluations constitute a future work item.

The design procedure for a filter inductor is described in appendix B.5.

4.3 Control circuit design

This section describes considerations for low voltage circuits necessary to realize feedback control for a simulation module power converter, to interface with test bed central control and to provide a simple human-machine interface on board.

4.3.1 Sensors

As shown earlier in Figure 4.1, eleven sensors are required for one simulation module. In detail, these are:

1. 1 voltage sensor (for DC bus voltage V_{dc} . PWM generation, protection coordination, operational flow control)
2. 1 temperature sensor (for temperature. Fan speed control and over temperature protection)
3. 6 AC current sensors (for three-phase current $i_{L_{abc}}$ and $i_{t_{abc}}$. Control and protection)
4. 3AC voltage sensors (for three-phase voltages $v_{c_{abc}}$. Control and protection)

The DC bus voltage sensing circuit has been developed in [56] and was adapted to measure 0-550V. Based on the LEM LV20-P voltage transducer an isolated output signal

⁶ One decade below PWM switching frequency. $C_f = 10\mu F$; $L_f = 0.5mH$

of 0-3V is derived. The temperature sensing circuit has been discussed before. For three-phase voltage and current measurements, a three-phase version of the sensing circuit used for measuring the DC bus voltage has been designed.

An equivalent circuit of a single-phase voltage or current sensor is shown in Figure 4.5. The full schematic diagram of a three-phase sensor board (voltage or current) is given in appendix B.3 on page 220. BOMs are available in Appendix D starting at page 242.

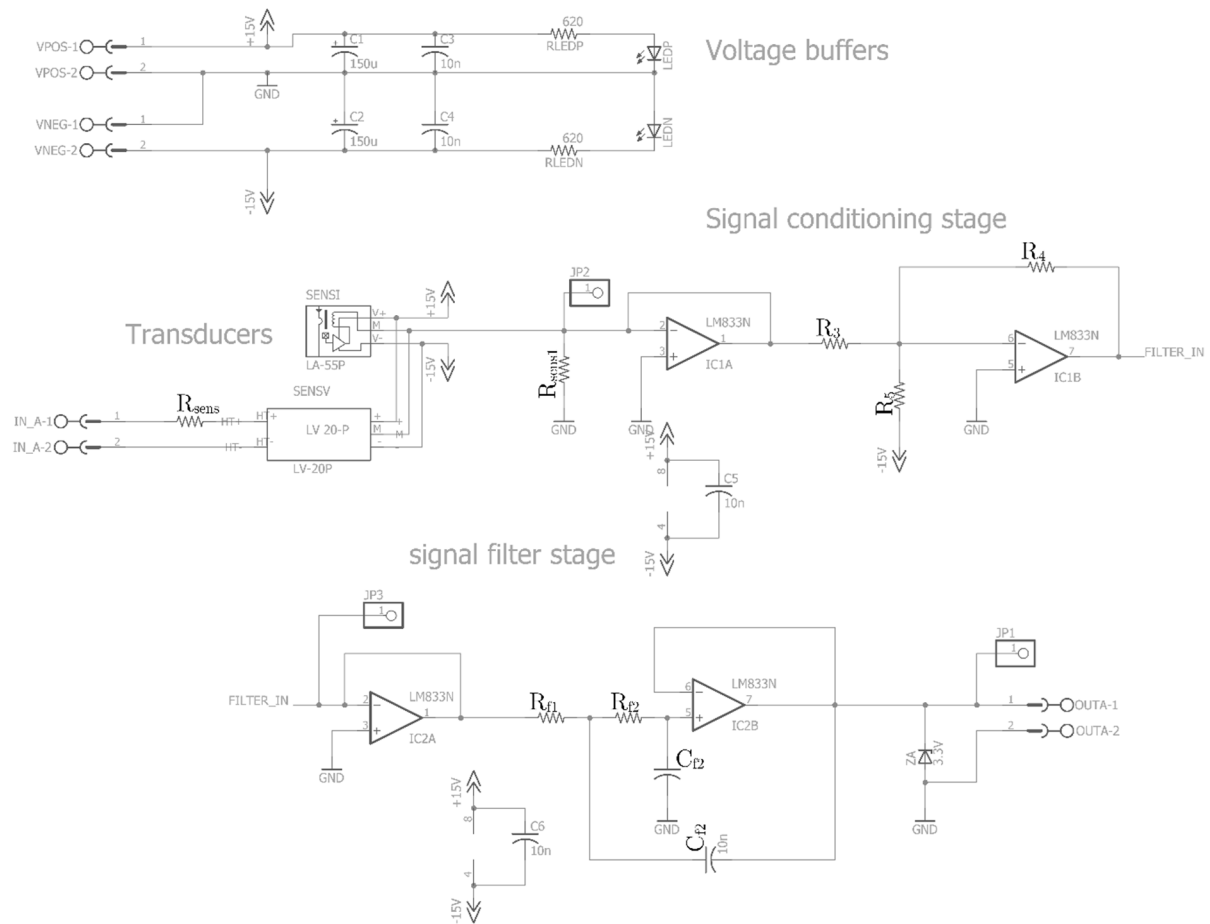


Figure 4.5 Single-phase stage of three-phase sensor board

The signal conditioning stage is based on an inverting summer circuit. Its output voltage is:

$$V_{out} = 15 \frac{R_4}{R_5} - \frac{R_4}{R_3} V_{in} \quad (4.3)$$

The signal filter stage forms a second order active filter using the Sallen Key circuit topology [57]. Depending on the intended use, either a voltage or a current transducer is used. The transfer function of this circuit neglecting non-ideal effects of the operational amplifier is:

$$H(s) = \frac{1}{1 + C_{f2}(R_{f1} + R_{f2})s + C_{f1}C_{f2}R_{f1}R_{f2}s^2} \quad (4.4)$$

A sensor calibration method was used to generate accurate interpretation functions on the microcontroller to reconstruct measured voltages and currents from ADC number readings (range: $0 \dots 2^{resolution} - 1 = 0 \dots 2^{12} - 1$): sensor gains are measured before sensors are put into the circuit and sensor signal offset voltages are measured at test bed turn-on when all power signals are at equilibrium. Figure 4.6 shows the two three-phase sensor boards that are used in this Microgrid test bed. Component values to realize the different types of sensors are presented in appendix B.3.1 on page 221.

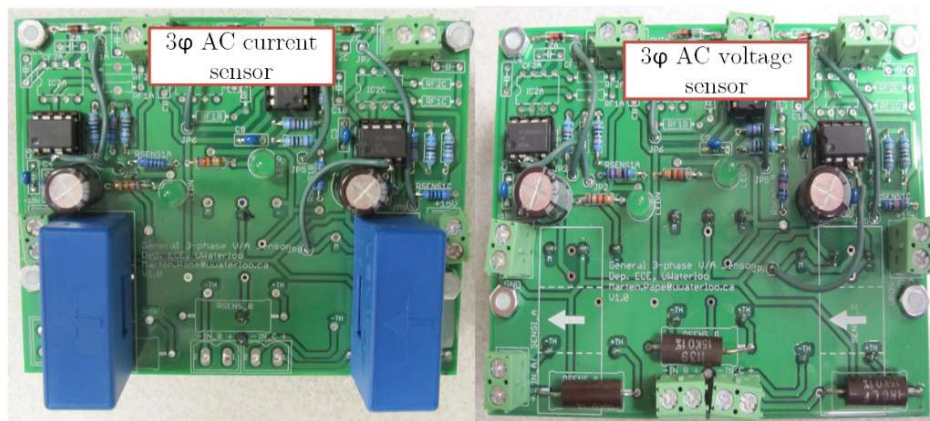


Figure 4.6 AC sensor implementations. Some transducers on bottom side of PCB.

4.3.2 Simulation module control unit (SMCU) – Microcontroller

Controlling the operation of a simulation module can be achieved using microcontrollers. For this application the Texas Instruments TMS320F28377D microcontroller has been found to provide sufficient computational power. The project heavily relies on functionality of this controller:

- 2x 200MHz CPU (+2x200MHz Coprocessor, “CLA”)
- Hardware support: floating-point calculations and for trigonometric functions
- 204kB (SA)RAM, 1MB Flash memory
- Up to 24 12-bit ADC channels, up to 3.5Msamples/s
- Up to 24 PWM channels with independent control
- 2 CAN modules and many general purpose IO ports (GPIO)

In addition to this microcontroller, which can be obtained integrated into a developer board⁷, an adapter board has been designed. Together, the two boards are referred to as “Simulation module control unit” (SMCU). The “microcontroller adapter board” has been developed to provide various signal electronic interfacing between the microcontroller and other circuits. A realization is shown in Figure 4.7.

Microcontroller adapter board requirements:

- PWM output drive and level shifting for 2x4 PWM signals
- IPM fault signal feedback line level shifting and filtering

⁷ Texas Instruments; Manufacturer part number: TMDXDOCK28377D

- ADC sensor signal input filtering and conditioning. CPU load measurement circuitry
- Startup switches output drive and level shifting (see section 4.4.2c)
- CAN bus level adjustment, CAN bus address selection switches
- User buttons, indication LEDs, 2x20 character dot matrix display (I²C bus)
- AC breaker control interface
- Fan control and output drive (12V, PWM)
- For debugging support: Digital-to-analog converter output pins, oscilloscope sync pin

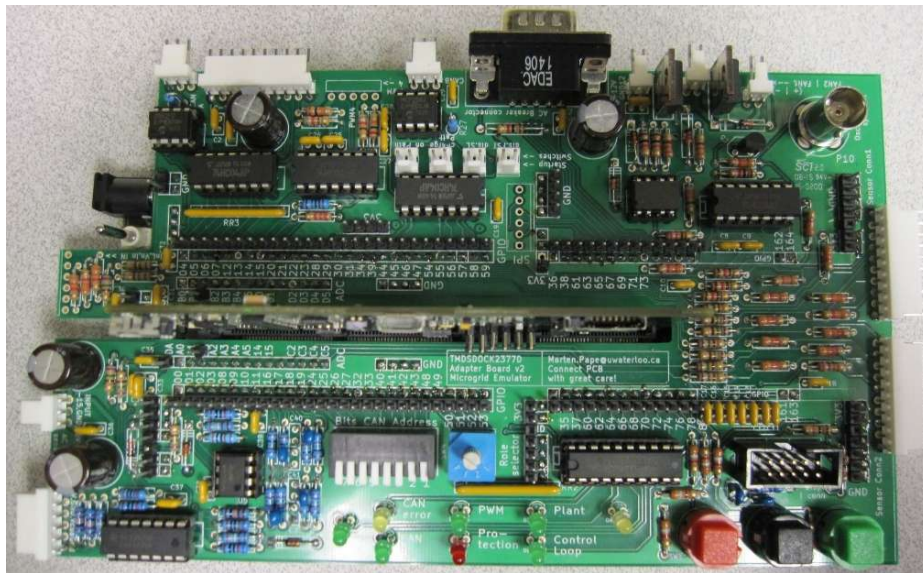


Figure 4.7 Microcontroller adapter board developed for TMS320F28377D experimenter kit. Experimenter kit underneath adapter board.

A full schematic diagram of this board is given in appendix B.4 (p.222). The BOM can be found in appendix D.5 on page 246.

4.3.3 Low voltage power supply

In order to supply all components in the test bed, the following low voltage supplies are required and have been installed:

- $+5V \rightarrow GND_1$ (SMCU, +3.3V generation, sensors)
- $\pm 15V \rightarrow GND_1$ (sensors)
- $+12V \rightarrow GND_2$ (fan power supply, AC breaker relay driver)
- $+24V \rightarrow GND_3$ (PWM gate driver)

GND_1, GND_2 and GND_3 are isolated from one another to prevent excessive noise present on some low voltage rails disturbing others, especially sensor supply voltages.

4.4 Derivation of a DC-bus voltage controller module

4.4.1 Requirements

The primary objective of the DC-bus voltage controller module is to provide a stable and low-in-distortion DC-bus voltage. The desired DC-bus voltage is 450V and the power rating is 5kVA on the AC side. This will allow this module to compensate for power losses of 9-10 simulation modules, as stated before.

Furthermore, this module should provide isolation between its AC and DC terminals, so that the grounding of the DC bus is independent of converter topology and modulation scheme restrictions. A good distortion factor and displacement power factor are desirable.

4.4.2 Module structure

a. General

In order to reuse as many software and hardware components between the simulation module and the DC-bus voltage controller module, the structure is kept very similar to that of a simulation module. The DC voltage that can be obtained from a three-phase full-wave diode rectifier bridge is $1.35V_{LL} = 1.35 \times 208.8V = 280.8V$ on average [25]. Since this is not sufficient, an active rectifier has to be used, such as a three-phase voltage-source converter. An alternative arrangement that can be used is a three-phase full-wave diode rectifier together with a DC/DC boost converter. However, this topology does not allow bidirectional power flow, that is advantageous for control speed in light load transient conditions and the distortion factor is significantly below 1. Therefore, a three-phase voltage-source converter in rectification mode of operation has been chosen. In the following, this converter is referred to as VSI since its structure is identical to those used in simulation modules.

The structure of the DC-bus voltage controller module using a three-phase VSI is shown in Figure 4.8.

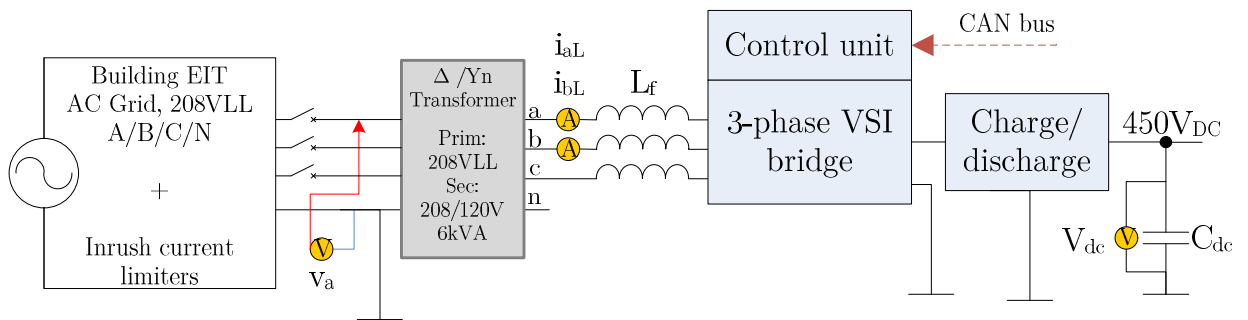


Figure 4.8 DC-bus voltage controller module structure.

A three-phase transformer provides the required isolation. Additional filter inductors with a better high frequency behavior than the transformer iron core have been added to filter current harmonics at switching frequency.

A large film capacitor C_{dc} on the DC-bus provides a buffer and allows regulating V_{dc} through controlling the incoming current. While three-phase voltages are available to the VSI, its minimum average output voltage will be the diode-bridge rectification voltage of 280.8V. Since C_{dc} is comparably large (to buffer DC side transients), this can result in excessive inrush currents. Furthermore, powering down the DC-bus while the DC-bus voltage controller module is grid-connected is favorable from a safety and protection point of view. To facilitate slow capacitor charging and discharging, a charge/discharge circuit is added on the DC-bus. It is discussed later in detail.

b. Simplifications

For this module, it is sufficient to control a *balanced* active power flow on the AC side in order to control V_{dc} . This power flow can be controlled through controlling the AC-side current flow. Using the assumption of balanced AC currents, it is possible to omit one of three current sensors. Assuming:

$$i_{aL} + i_{bL} + i_{cL} = 0 \quad (4.5)$$

The ab-dq transformation is:

$$\begin{bmatrix} i_{dL} \\ i_{qL} \end{bmatrix} = \sqrt{\frac{2}{3}} \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} \sqrt{\frac{3}{2}} & 0 \\ \frac{\sqrt{2}}{2} & \sqrt{2} \end{bmatrix} \begin{bmatrix} i_{aL} \\ i_{bL} \end{bmatrix} \quad (4.6)$$

The system angle θ can be obtained using a single-phase PLL on v_a taking the ΔY transformer phase shift of $+30^\circ$ into account. Since no AC voltage control is necessary, two out of three voltage sensors can be eliminated. The voltage sensor is placed before the

transformer so that voltage drops across the transformer under transient load situations do not affect the system angle generation.

The power filter is an L filter instead of LCL because the generation of a clean voltage is also not required in this case. This also simplifies control loop topologies due to the non-existent reactive power exchange of LC filter elements.

c. DC-bus charge/discharge circuit

The DC-bus charge/discharge circuit provides slow charging of C_{dc} , slow discharging of C_{dc} , fast discharging of C_{dc} and a low series impedance state during normal operation. To realize this, a circuit as depicted in Figure 4.9 is used.

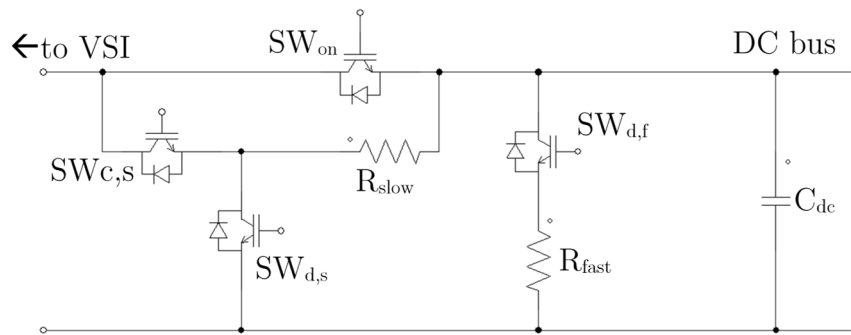


Figure 4.9 DC-bus charge/discharge circuit

For each operational mode, only one IGBT is turned on while all others are off.

- Capacitor charging: $SW_{c,s}$ on
- Slow capacitor discharging (default method): $SW_{d,s}$ on
- Fast capacitor discharging (emergency shutdown only): $SW_{d,f}$
- Normal operation: SW_{on} on
- DC-bus off = isolated from VSI: *all* IGBTs off.

Each IGBT is protected from over-voltages through 550V varistors across the emitter and collector terminals. These varistors also limit the voltage across C_{dc} , R_{slow} and R_{fast} .

The sizing of R_{slow} and R_{fast} is outlined in appendix A.4 on page 206 ff. This is critical for a proper DC-bus protection coordination among all simulation modules and the DC-bus voltage controller module. The DC-bus protection coordination is discussed in further detail in A.4 on page 206. Component sizes are given in appendix B.1.1 on page 217.

4.4.3 Control of DC-bus voltage

Using DQ0 control, a two stage cascaded control loop can be used to control the DC-bus voltage while having a sinusoidal AC input current in phase with the AC voltage (*power factor correction*). Based on control concepts and blocks introduced in Chapter 2, the control loop topology for the DC-bus voltage controller module is given in Figure 4.10.

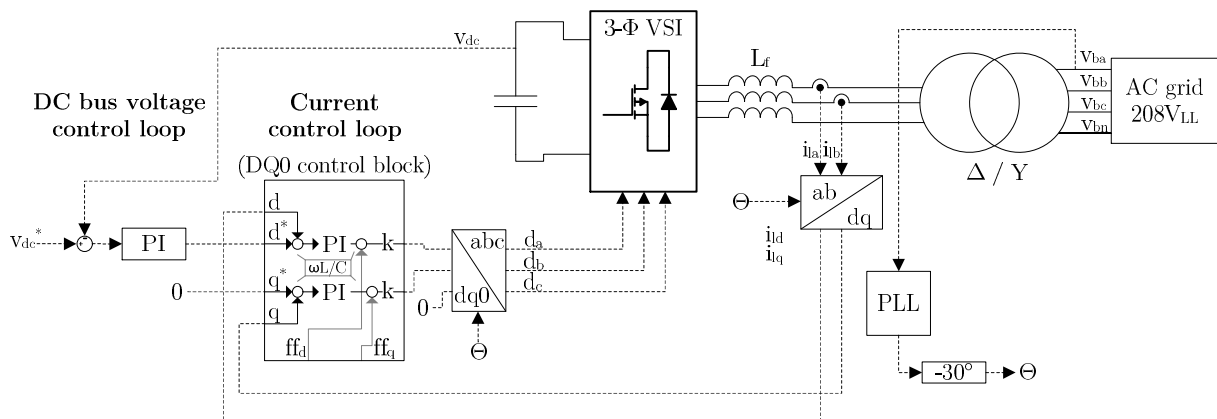


Figure 4.10 Control loop topology of DC-bus voltage controller module

Table 4.1 Default parameterization of module's control loops

Parameter	Description	Default value	Unit
$k_{p,vdc}$	Proportional gain of PI voltage controller	2	$\frac{A}{V}$
$k_{i,vdc}$	Integral gain of power PI voltage controller	650	$\frac{A}{V \times s}$
$k_{p,cur}$	Proportional gain of current PI controllers (d & q)	0.02	$450 \frac{V}{A}$
$k_{i,cur}$	Integral gain of current PI controllers (d & q)	1.0	$450 \frac{V}{As}$
I_{max}	Maximum peak AC current	30	A
I_{min}	Minimum peak AC current	-30	A
$V_{dc,max}$	Maximum commanded V_{dc}	470	V
$V_{dc,min}$	Minimum commanded V_{dc}	280	V

During a start-up cycle of the DC-bus voltage controller module, the following steps have to be performed for a smooth charging of the DC-bus capacitor:

1. Activate slow capacitor charging path while PWM and control loop are deactivated (assuming manual AC breakers being closed).
2. Once V_{dc} has almost reached the rectification voltage of 280.8V, activate PWM and control loop execution and select the normal operation path of the charge/discharge circuit. $V_{dc}^* = 280V$ with a ramp up to 450V.
3. Once $V_{dc}^* = 450V$, the start-up sequence is completed.

During a shutdown cycle of the DC-bus voltage controller module, the following steps have to be performed for a smooth discharging of the DC-bus capacitor and transition to a safe state:

1. Shut down all simulation modules

2. Ramp down V_{dc}^* from 450V to 280V.
3. When V_{dc}^* has reached 280V: select slow discharging path of charge/discharge circuit and disable PWM and control loop execution.
4. The shutdown sequence is completed when V_{dc} is below 5V.

For a faster emergency shutdown, ramping can be omitted and the fast discharging resistor path can be chosen. Details are outlined in appendix A.4.

4.4.4 Simulation results

In this section simulation results for this module are presented and discussed. The simulations have been performed with PSIM 9.1.3, incorporating non-ideal effects for C_{dc} , all IGBTs and the filter inductors.

The first simulation case models a full operating cycle of the DC-bus voltage controller module: start-up sequence, no-load operation, load steps to positive and negative maximum power and a shutdown sequence. V_{dc} , the DC-bus power loading P_{dc} (simulation input) and the resulting AC bus active power flow are shown in Figure 4.11. It can be seen that the system is capable of producing a stable V_{dc} that does not vary significantly even under step changes of 100% rated power.

Figure 4.12 zooms in on V_{dc} to show the waveform quality of the produced DC-bus voltage. As it can be seen, the maximum expected DC-bus voltage variation is $\pm 15V$ due to load changes. The no load V_{dc} ripple is about 1.2V ($0.27\%V_{dc}$) and at full load it increases to 2.4V ($0.54\%V_{dc}$). The low ripple guarantees that simulation module AC waveforms will not be impacted by the DC-bus voltage quality. For V_{dc} transients, simulation modules use their V_{dc} sensor to remove resulting effects from output waveforms by using control measures.

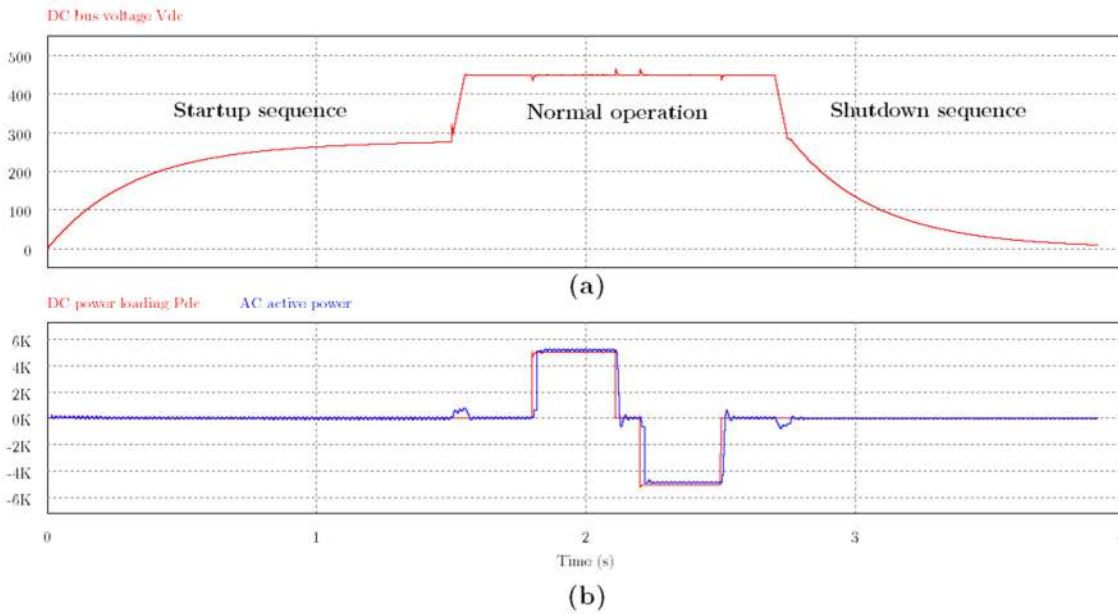


Figure 4.11 DC-bus voltage controller module simulation: (a) V_{dc} under full operation cycle; (b) AC power (blue) and DC power (red)

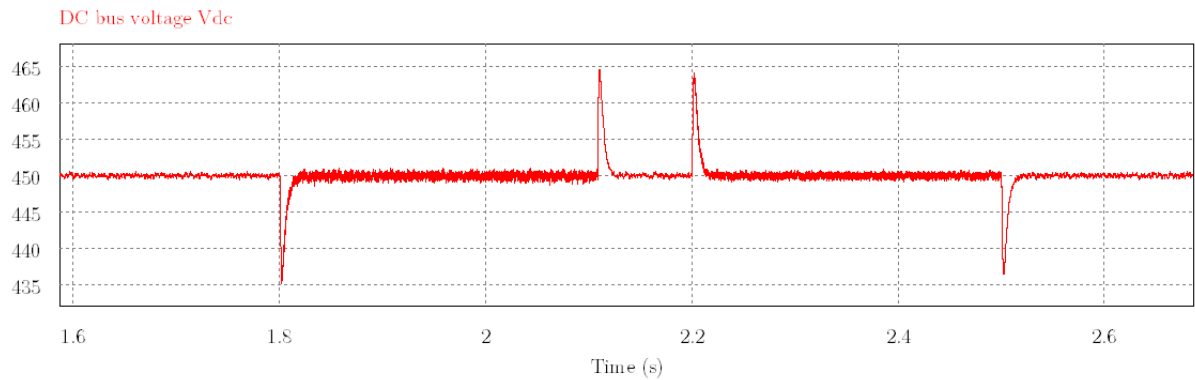


Figure 4.12 V_{dc} ripple and transients under no load and full load

The DC-bus voltage controller module feeds a system of simulation modules that are at different locations within the test bed setup. As a consequence, inductances and resistances in the connecting wires could result in voltage waveform quality deterioration and controller instabilities or unwanted oscillations. To confirm proper operation, the DC-bus has been simulated with ten simulation modules connected. Two simulation

modules share one local buffer capacitor ($200\mu F$) and are connected to the next pair of simulation modules through a 60cm AWG10 wire ($R = 2m\Omega, L = 0.4\mu H$). Simulation module 10 is performing load steps as presented before all other simulation modules are inactive. Figure 4.13 shows V_{dc} at the DC-bus voltage controller module and at the furthestmost simulation module (10). All other conditions are identical to those in Figure 4.12. It can easily be seen that the influence of the DC-bus network is insignificant to the V_{dc} waveform quality and that the voltage controller still performs as expected.

Figure 4.14 shows the waveform quality of the AC currents. It demonstrates the sinusoidal current waveform ($THD \approx 8\%$) and high reaction speed of the current controller.

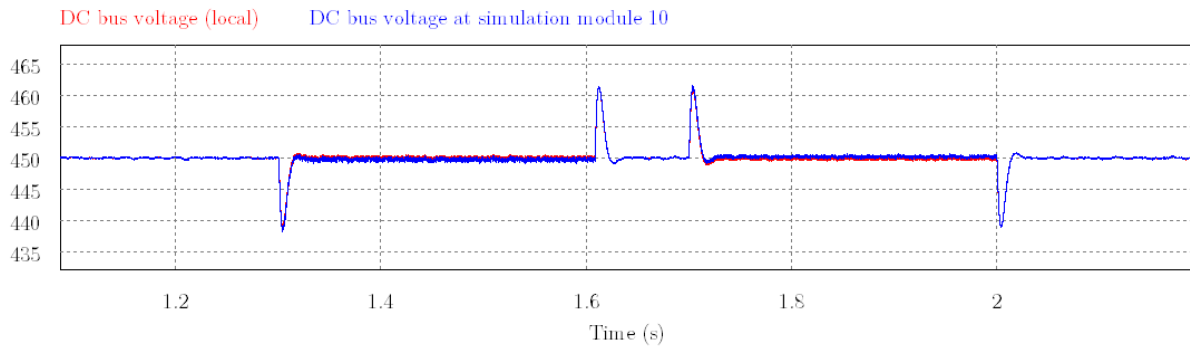


Figure 4.13 V_{dc} ripple and transients at simulation module 10 (blue) and at DC-bus voltage controller module (red, mostly hidden)

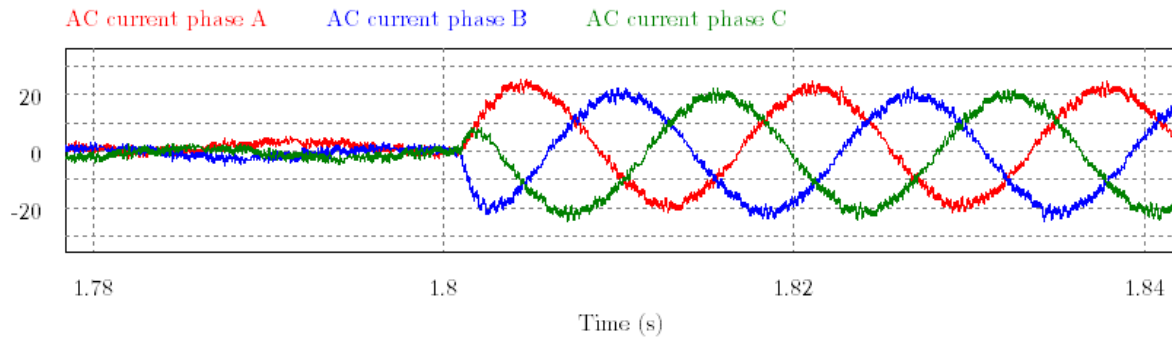


Figure 4.14 AC currents when DC-side load goes through a step change from 0% to 100% power rating

4.5 Mechanical setup of Microgrid test bed

For the test bed, an old IT server rack has been obtained. This rack was chosen to house all converters, except the transformers for weight reasons. On the bottom level, the DC-bus voltage controller module is placed together with all low voltage supplies. Above that, shelves out of perforated steel and a reinforced frame are used to hold two simulation module converters each. As shown in Figure 4.3 (p. 57), the air flow in the heat sinks is vertical with fans on top. To avoid hot air flow from a lower level simulation module directly into the heat sink of a module above, even and odd shelf levels have a different layout that avoids placing heat sinks exactly over top of one another. The mechanical drawing of such a shelf is given in Figure 4.15. All transformers are placed on the floor next to the rack to spread the total weight.

All measures in inch.
Drawing not to scale.

Shelf layout (Top view)

Explanation of “k”:
Multiple shelves are to be produced. The position of the two cut-outs has to be shifted to the left by 2.5in for every other shelf.
Hence:
K=0in
for shelf #1,3,5,...
K=2.5in
for shelf #2,4,6,...

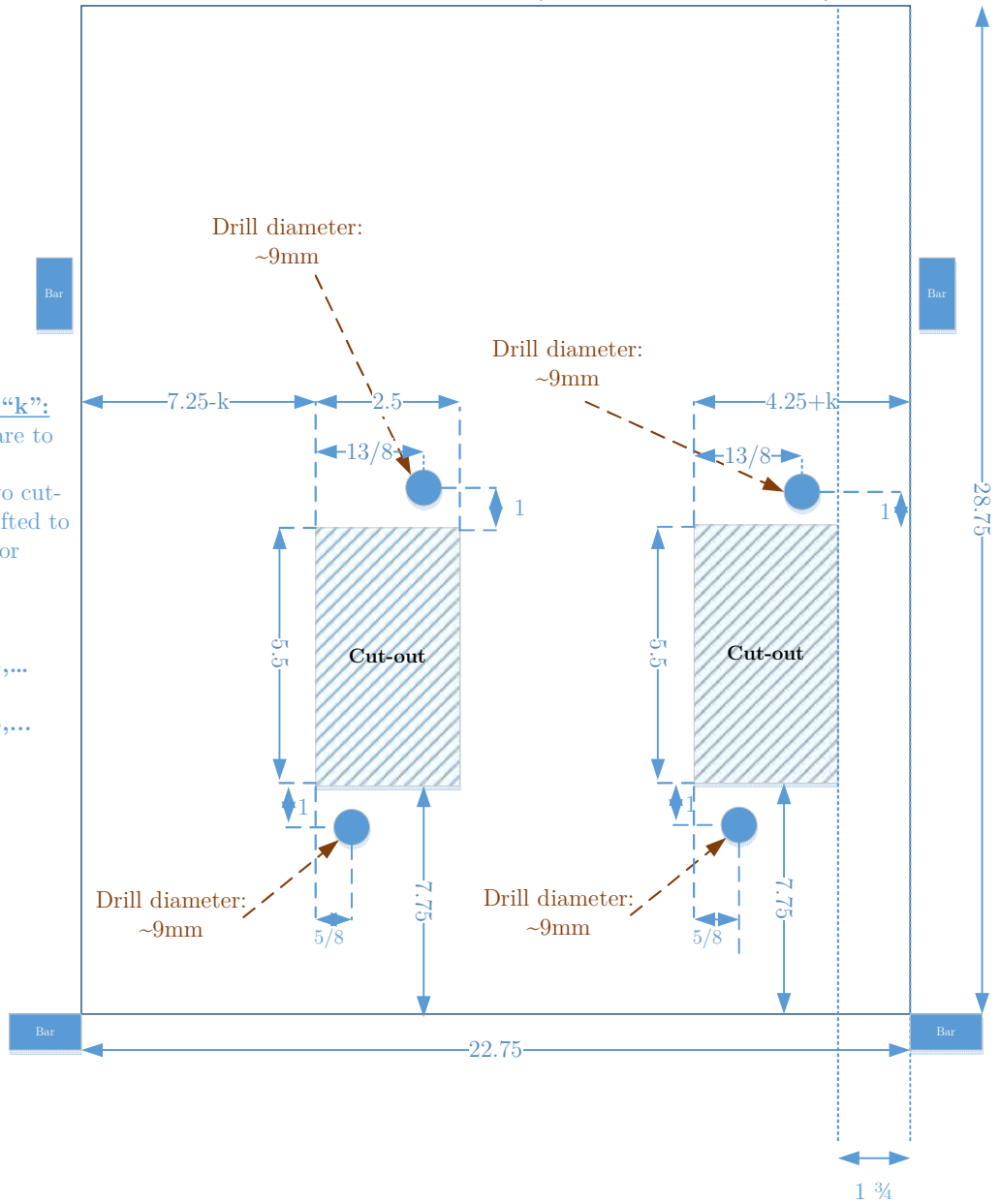


Figure 4.15 Mechanical layout of a shelf for two simulation module converters

4.6 Cost analysis

The hardware cost to build this test bed as designed has been analyzed. The following tables give an overview over the cost of all different component groups. BOMs of important sub components are given in Appendix D. Table 4.2 summarizes all cost and shows that the hardware cost for this test bed is approximately 12,600 CAD. This excludes development cost, such as part replacements or redesigns. It also excludes the cost for low-voltage and power cables. This cost would have to be determined in a more standardized production environment, where exact lengths and paths of cables are determined. Further details can be found in appendix D.6.

Table 4.2 Total Microgrid test bed cost (incl. DC-bus voltage controller module and four simulation modules)

Component	Price in CAD (approximated)
Test bed housing	1569
1x DC-bus voltage controller module	2332
4x Simulation module	8732
<u>Total cost test bed hardware</u>	<u>12633</u>

4.7 Design summary

This chapter has presented the design of a simulation module and a DC-bus voltage controller module. Analytical design aspects have been presented together with implementation aspects and simulations. Since no detailed definition of control loop topologies for simulation module has been discussed yet, a full simulation module functionality can only be established and presented once this gap is closed in later chapters.

Chapter 5

Grid interfacing control topologies

This chapter presents all grid interfacing control topologies implemented for this test bed. These control topologies realize the grid-forming, grid-following and grid-supporting converter roles as described in section 2.3. Since all control topologies are supposed to be exchangeable, the formulation of these controllers has been performed with a focus on similarities in structure and parameterization.

The control topology for the DC-bus voltage controller module has a special role. It is not a grid interfacing control topology for simulation modules. However, its structure has enough similarities to other control topologies to use a common set of parameters for its configuration. Especially, this comes into play in the implementation of this control topology in the SMCU firmware.

5.1 Interface definition for grid interfacing control topologies (Hardware-in-the-loop interface).

5.1.1 Energy conversion system to grid interface

In order to define grid interfacing control topologies that are well suited for this Microgrid test bed, its interfaces to other blocks have to be well defined to allow replication of real scenarios.

Grid interfacing controller topologies are one piece in a bigger system. In real world, an electricity generation system can be regarded as a system composed of three main blocks: *energy conversion system, grid interface and system control* (see Figure 5.1).

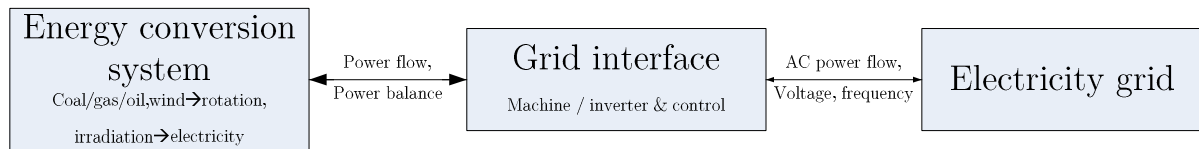


Figure 5.1 Generalization of plant structures

An *energy conversion system* converts primary energy to a form of energy that can be processed by the grid interface. For example, this can be a combustion process of coal, oil or natural gas that transforms energy chemically stored in coal, oil or gas into mechanical energy driving a shaft, or a flywheel energy storage system that exchanges energy through a rotating shaft. An energy conversion system can also be dominantly in an electric domain, such as photovoltaic plants, where photovoltaic cells convert irradiation from the sun into electric energy that is then processed using an MPPT tracker or a battery energy storage system where batteries are charged and discharged using a power electronic converter.

A *grid interface* picks up the energy made available from the energy conversion system and transforms it into a form of electricity that the grid can accept and/or requires. For hydro-thermal generation this can mostly be seen as the electric machine that generates electricity out of rotational energy provided by the energy conversion system. The interface between these two systems is mostly defined by the well-known swing equation, relating the *power* flows between energy conversion system and grid interface (generator). For mechanical storage systems, an electric machine realizes bidirectional power flow following the same principle. Similarly for a photovoltaic plant, the grid interface is formed by the VSI that converts energy coming from the MPPT converter into a form of electricity that is acceptable or required by the grid. For a photovoltaic plant, often the voltage on the inverter DC-bus, buffered by a capacitor, is an expression for power flow balance. If the DC-bus voltage is above the nominal value, more power is to be injected in the grid; less if the voltage is below nominal value [42]. The same structure can apply to power electronic interfaced storage systems, such as battery energy storage systems.

The decision on whether the grid interface or the energy conversion system governs the *short term* energy production reference point depends on the role of the plant in the electricity system. Typically, grid-following plants operate using an MPPT scheme which clearly puts the active power command to the energy conversion system (example: photovoltaic systems, wind turbines). For systems operated in a droop fashion (hydro-thermal plants, Diesel generators, hydro stations, grid-supporting converters in Microgrids...) the grid interface or the coupling between energy conversion system and grid interface determines the (re)active power command. For example, active power/frequency droop is a consequence of the mechanical system in hydro-thermal plants described by the swing equation. For grid-supporting converters in Microgrids, the control loop mimics

these droops and therefore decides on the active and reactive power injection, whereas the energy conversion system has to follow this command.

Certain restrictions in the short term and long term maximum and minimum active, reactive and complex power injected by the grid interface exist, based on limitations of plant sizing, long term or short term availability of primary energy, ramping constraints and other limitations. For example, an energy conversion system has a maximum power output, which is determined by its size, but also by the availability of primary energy flow (coal, oil, gas, irradiation, wind, water flow...). Sometimes the grid interface's rating is lower than the power rating of the energy conversion system (often the case for photovoltaic plants). Lastly, the ramping of hydro-thermal generators can cause short term limitations of available energy.

From these thoughts, a definition for the coupling of an energy conversion system model and a grid interfacing control topology can be derived. **The two main aspects in this coupling for the most common generation systems are: active power balance⁸ and limitations of electrical energy flow.**

Hence, these two aspects are treated as the Hardware-in-the-loop interface from the HIL software model (plant models⁹) to the hardware-based device under test (grid interface control topology, VSI and AC Microgrid).

The feedback from the device under test to the software model, such as measured power injection, voltage levels, current flow and system frequency,

⁸ Often, reactive power is a by-product within the grid interface, not of important concern for the energy conversion system and therefore not an important part of the interface definition.

⁹ This thesis uses the term "plant model" when referring to the HIL software model implementation of an energy conversion system.

is provided through sensor measurements. The entire HIL interface is shown in Figure 5.2.

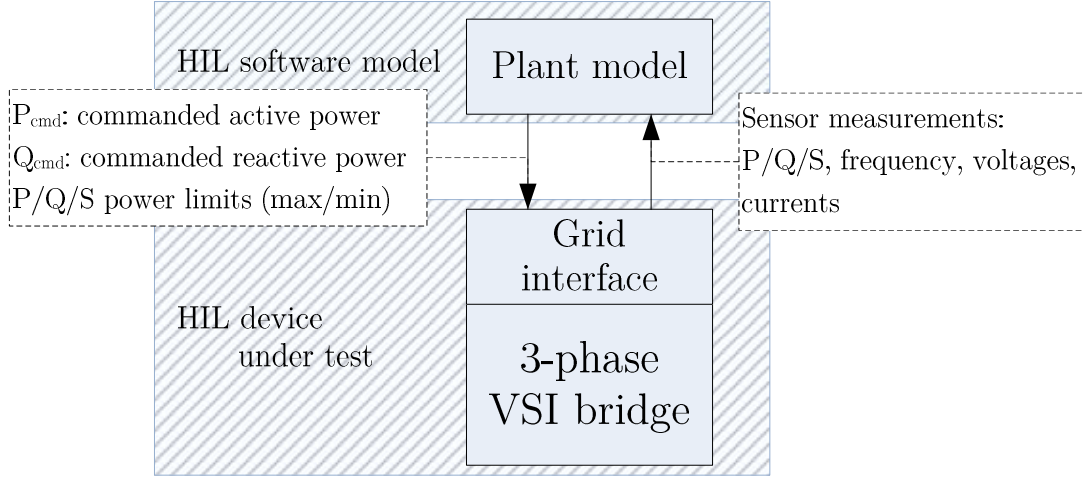


Figure 5.2 Definition of HIL interface between plant model and grid interface

Detailed parameter explanation:

- P_{cmd} . This parameter can be set through a plant model to inform a grid interfacing control topology to inject P_{cmd} amount of active power into the grid. A grid-feeding grid interface will follow this active power command. A droop controlled grid interface generates and implements this command by itself and ignores this HIL interface parameter. To ensure power balance in the generation system, power limits are required.
- Q_{cmd} . Similarly to P_{cmd} , Q_{cmd} is provided. For almost all plant models, reactive power is not part of the plant model. One exception is the model of a ZIP load, which contains governing equations for a reactive power set-point.
- **P/Q/S limits.** Limits have various necessities from within the plant model and the grid interface. In some cases – as outlined before – the energy conversion system cannot deliver a certain amount of active power as requested by a

grid interface (e.g., droop controlled). In this case, the grid interface power output has to be limited temporarily to restore power balance.

5.1.2 Software interface: control topology to inverter

All grid interface control topologies have to output a quantity that can be handled by the VSI. In this case, duty cycles can be used to generate the PWM pulses to turn on and turn off the IGBTs at the right time instants. Therefore, all grid interface control topologies in the abc or dq0 reference frame output three-phase duty cycles in.

5.2 Grid following controller

5.2.1 Control objective

The control objective of a grid-following converter is to inject/withdraw a certain amount of active and/or reactive power into/from the grid to which it is connected [29], [42]. The reference value for this control topology can be formulated in terms of either power or current. Current references indirectly translate to injected/withdrawn power (P_{cmd}, Q_{cmd}) through the connection point voltage.

5.2.2 Common use

Grid-following control topologies can commonly be used to realize the grid interface of MPPT controlled plants or to mimic a load to the grid. For both types, it is known how much power has to be injected or absorbed from the grid.

5.2.3 Realization

In order to implement a grid-following converter that is based on a current-source, a control loop structure as given in Figure 5.3 can be used. Essentially, it makes use of a dq0 controller block (section 2.4.2) to provide a high performance current controller. This controller is cascaded to an outer power controller that only consists of two PI controllers for active and reactive power control. To synchronize to an existing grid, the grid-following converter retrieves the grid voltage angle using a three-phase PLL block as presented in section 2.6. This controller implementation has been adapted from concepts in [31], [42]. It allows accurate control of four-quadrant power exchange at the point of the $i_{t\ abc}$ current sensors. The power exchange will be equivalent to power flow at the PCC (Figure 5.3: “AC Microgrid bus”) except for magnetization currents of connection transformers (if existent) and reactive power consumption due to connection inductances L_t .

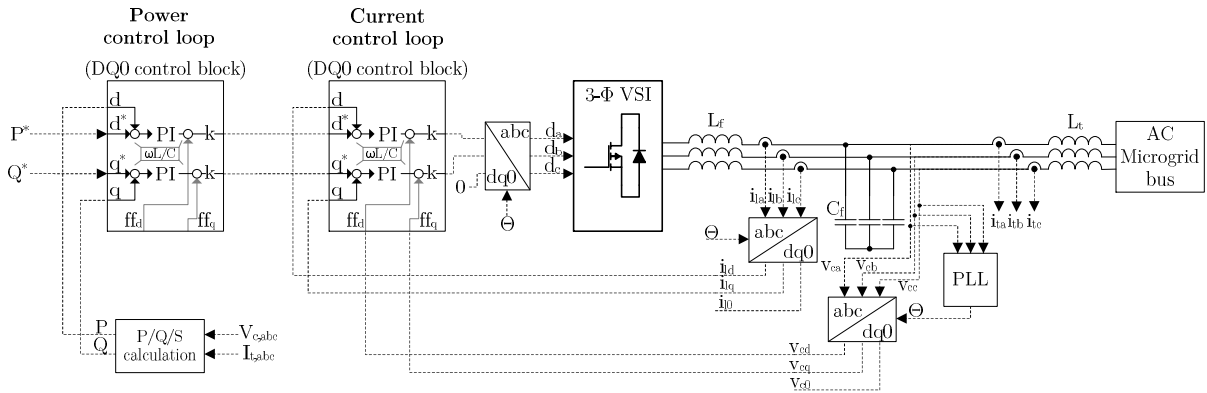


Figure 5.3 Control loop structure using dq0 PI controllers for grid-following converters

The calculation of active, reactive and complex power is performed in the natural reference frame [58]:

$$P = v_{ca}i_{ta} + v_{cb}i_{tb} + v_{cc}i_{tc} \quad (5.1)$$

$$Q = \frac{1}{\sqrt{3}}((v_{ca} - v_{cb})i_{tc} + (v_{cb} - v_{cc})i_a + (v_{cc} - v_{ca})i_{tb}) \quad (5.2)$$

$$S = \sqrt{P^2 + Q^2} \quad (5.3)$$

Power limiting capabilities are provided at the output of the power controller through limiting the commanded current levels. The maximum power limit realizations are given in equations (5.4)-(5.6). Minimum limits are realized similarly.

$$I_{d,max} = \frac{P_{max}}{3\sqrt{2}V_{c,rms}} \quad (5.4)$$

$$I_{q,max} = \frac{Q_{max}}{3\sqrt{2}V_{c,rms}} \quad (5.5)$$

$$I_{max} = \frac{S_{max}}{3\sqrt{2}V_{c,rms}} \quad (5.6)$$

Available parameters and their default values are shown in Table 5.1.

Table 5.1 Parameters of grid-following converter control loop topology

Parameter	Description	Default value	Unit
$k_{p,pwr}$	Proportional gain of PI power controllers (d & q)	0.003	$\frac{A}{W}$ or $\frac{A}{VAr}$
$k_{i,pwr}$	Integral gain of power PI controllers (d & q)	1.8	$\frac{A}{W \times s}$ or $\frac{A}{VAr \times s}$
$k_{p,cur}$	Proportional gain of current PI controllers (d & q)	9	$\frac{V}{A}$
$k_{i,cur}$	Integral gain of current PI controllers (d & q)	200 ¹⁰	$\frac{V}{As}$
F_{cur}	Feed-forward gain for current controllers (0..1)	1.0	-
L_{CC}	Filter inductance value L_f for decoupling in current controller	1.15mH	H
$en_{CC,cur}$	Enable decoupling in current controller	<i>True</i>	<i>Boolean</i>
S_{max}	Maximum injected complex power (3 levels available ¹¹)	5000	VA
S_{min}	Minimum injected complex power (3 levels available)	-5000	VA
P_{max}	Maximum injected active power (3 levels available)	5000	W
P_{min}	Minimum injected active power (3 levels available)	-5000	W
Q_{max}	Maximum injected reactive power (3 levels available)	5000	VAr
Q_{min}	Minimum injected reactive power (3 levels available)	-5000	VAr

¹⁰ 200 for cases with a weak grid. For grids with higher voltage stability, using values up to $900 \frac{V}{As}$ is possible. Similarly $k_{p,cur}$ can be increased from 9 to $13.5 \frac{V}{A}$.

¹¹ In this case ‘levels’ refers to the ability to accept three different power limits and to only apply the strictest.

5.2.4 Simulation results

a. Simulation setup

In a PSIM simulation, the grid-following converter has been connected to a stiff grid with 120V, 60Hz. Power reference steps have been given to the module to demonstrate its ability for fast and reliable four-quadrant operation as given in Table 5.2.

Table 5.2 Simulation load power references

Time [s]	Active power reference [W]	Reactive power reference [VAr]
0	0	0
0.2	+5000	0
0.3	-5000	0
0.4	0	0
0.5	0	+5000
0.6	0	-5000
0.7	0	0

b. Results

Figure 5.4 shows the resulting active and reactive power injections by the grid following converter module. It can be seen that a fast, stable and smooth power control is possible in all four quadrants. Within about 8-12ms the reference power value can be reached. The current waveforms at the reference step at time $t=0.2$ are shown in Figure 5.5. The THD of these currents is at about 1.8%.

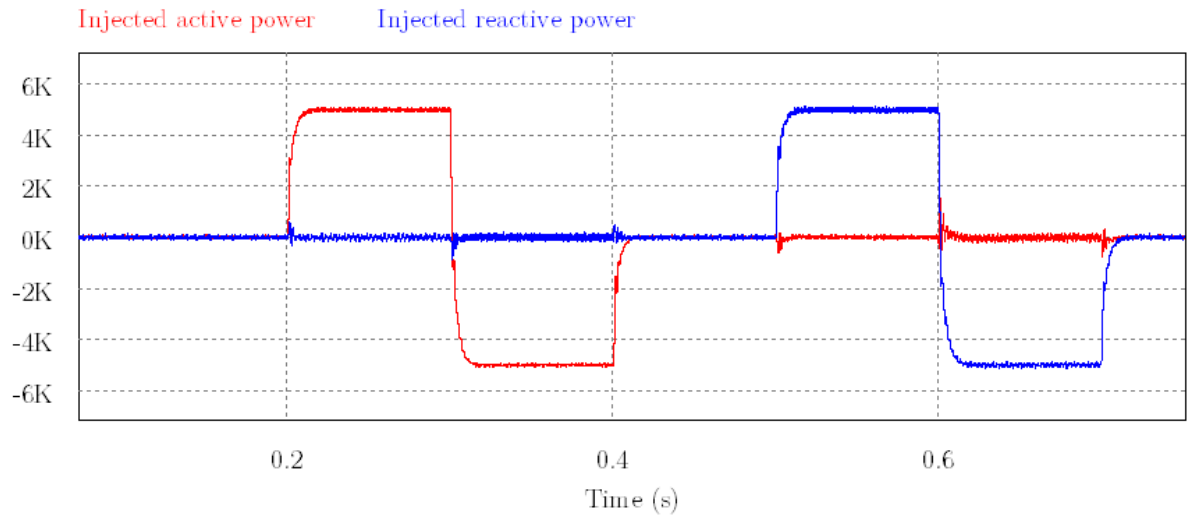


Figure 5.4 Active and reactive power injection upon power reference steps for P & Q

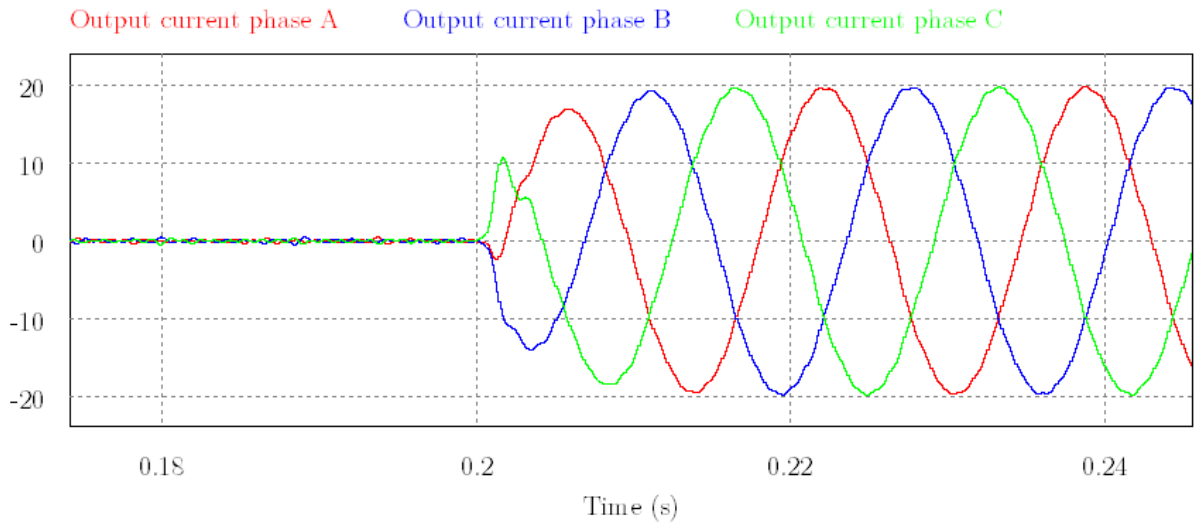


Figure 5.5 Output currents $i_{t,abc}$ upon a reference step from $P=0W$ to $P=5000W$ ($Q=0$)

5.3 Voltage-source based droop controller

5.3.1 Control objective

The control objective of a voltage-source based droop controller is to form or support a Microgrid. In order to be able to form such a grid, an active and direct control of the connection voltage and frequency is required. When working together with other droop controlled converters, this control topology employs a drooping scheme in order to share active and reactive power in a decentralized control organization. This control topology is part of the primary level of Microgrid control.

5.3.2 Common use

A voltage-source based droop controller can commonly be used with dispatchable generators and appropriately sized storage systems. Limitation of output power without adjustment of droop gains is difficult to achieve with this control topology. Therefore, its use with MPPT controlled plants is less feasible.

5.3.3 Realization

The voltage-source based droop controller employs a three stage cascaded control structure [29], [31]. Figure 5.6 shows a base version for balanced load currents. An inner current control loop ensures a good current waveform, fast transients and decoupling of d and q channels. An outer voltage control loop allows to control the converter filter capacitor voltage (v_c) to a pre-determined level. The droop controller provides a definition of the reference voltage, reference frequency and system angle using the droop equations presented in section 2.7.1.

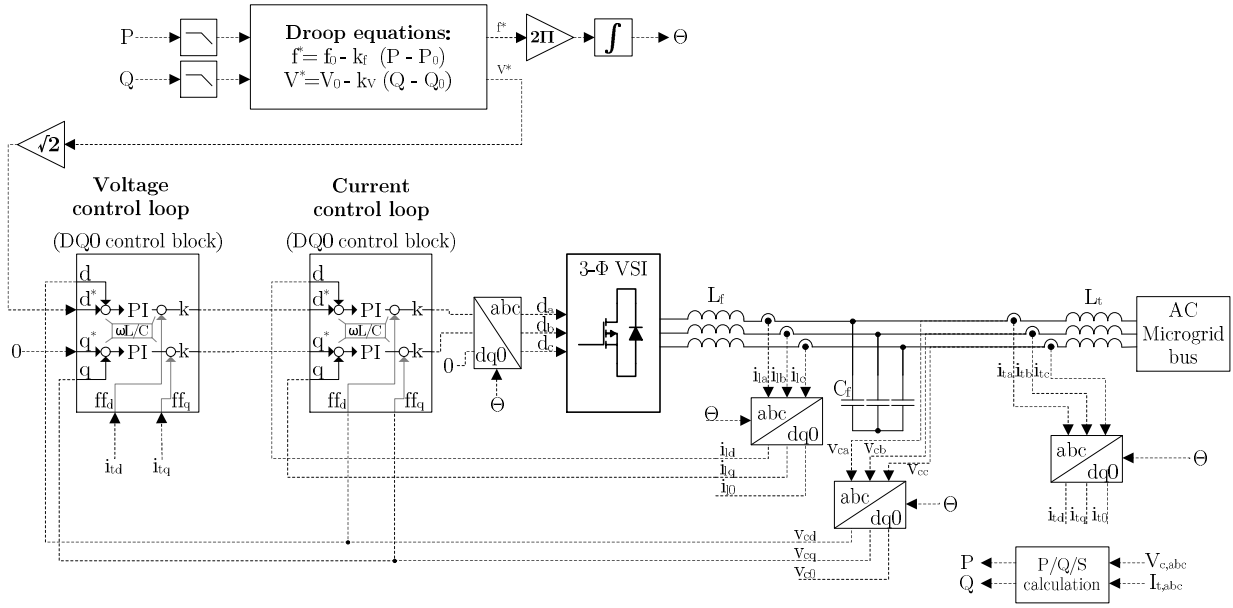


Figure 5.6 Basic voltage-source based droop controller implementation

Transformer magnetization currents of common distribution transformers can be unbalanced and contain harmonics. For this reason the voltage control loop has been extended by using a negative sequence controller as introduced in section 2.7.4. It can be activated upon request to provide balanced output voltages under the presence of unbalanced load currents.

To provide better droop controller transient performance and easier grid synchronization, the concepts of virtual impedance, power droop decoupling (sections 2.7.2 and 2.7.3) and derivative droop gains (section 2.7.1) have been made available in this topology. The final controller implementation is shown in Figure 5.7. Configuration parameters with default parameters are shown in Table 5.3.

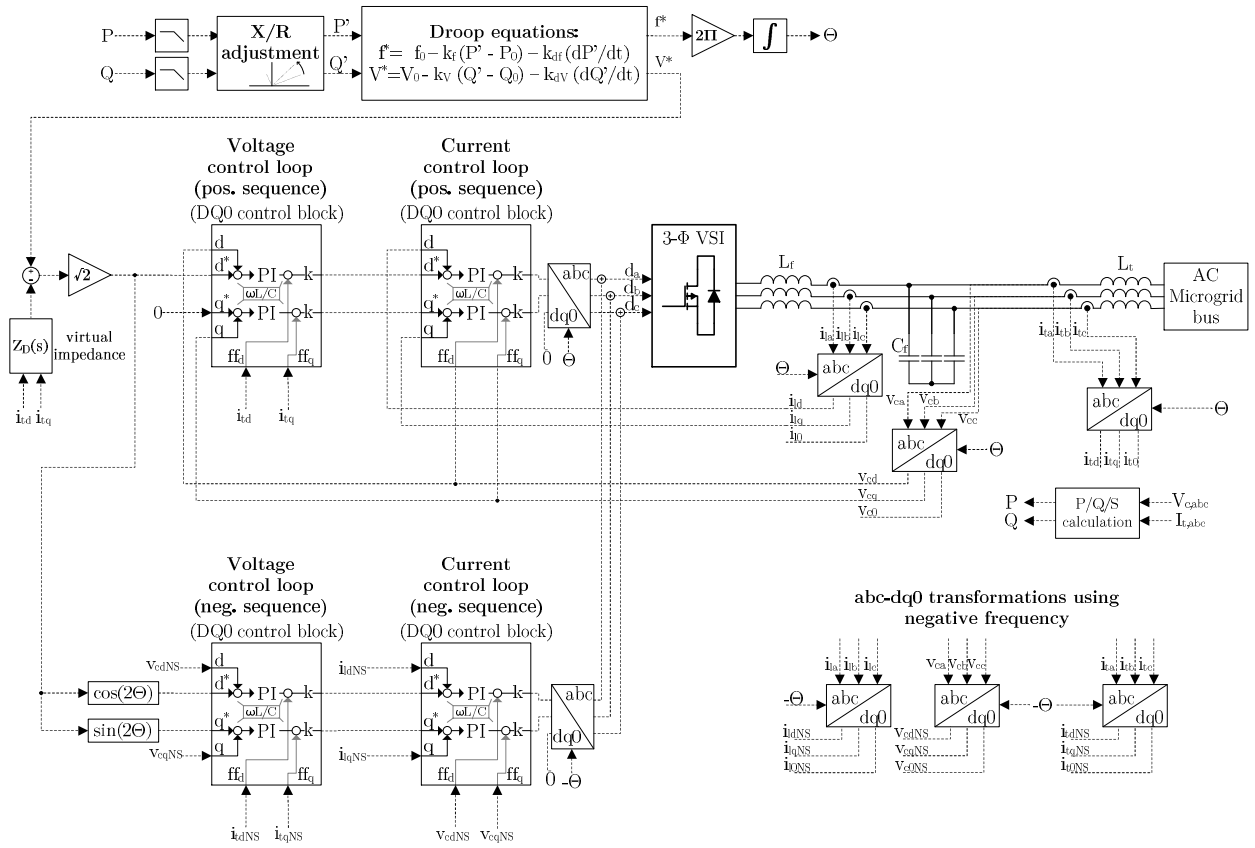


Figure 5.7 Complete voltage-source based droop controller implementation

Table 5.3 Parameters of voltage-source based droop controller topology

Parameter	Description	Default value	Unit
$k_{f,droop}$	Proportional gain of P'/f droop controller	0.00004	$\frac{Hz}{W}$
$k_{v,droop}$	Proportional gain of Q'/V droop controller	0.0002	$\frac{V}{VA_r}$
$k_{df,droop}$	Derivative gain of P'/f droop controller	0.02	$\frac{Hz \times s}{W}$
$k_{dv,droop}$	Derivative gain of Q'/V droop controller	0.01	$\frac{Vs}{VA_r}$
f_0	Nominal system frequency	60	Hz
V_0	Nominal system voltage (RMS)	120	V_{rms}

P_0	Nominal active power injection	0	W
Q_0	Nominal reactive power injection	0	VAr
$\frac{X}{R}$	Assumed X/R ratio	500	-
L_v	Virtual output inductance	0.003	H
R_v	Virtual output resistance	0	Ω
$k_{p,volt}$	Proportional gain of voltage PI controllers (d & q)	0.4	$\frac{A}{V}$
$k_{i,volt}$	Integral gain of voltage PI controllers (d & q)	25.0	$\frac{A}{Vs}$
F_{volt}	Feed-forward gain for voltage controllers (0...1)	0.0	-
C_{CC}	Filter capacitance value C_f for decoupling in voltage controller	50 μ F	F
$en_{CC,volt}$	Enable decoupling in voltage controller	<i>True</i>	<i>Boolean</i>
$en_{NS,ctrl}$	Enable negative sequence voltage controller	<i>False</i>	
$k_{p,cur}$	Proportional gain of current PI controllers (d & q)	13.5	$\frac{V}{A}$
$k_{i,cur}$	Integral gain of current PI controllers (d & q)	675	$\frac{V}{As}$
F_{cur}	Feed-forward gain for current controllers (0...1)	1.0	-
L_{CC}	Filter inductance value L_f for decoupling in current controller	1.15mH	H
$en_{CC,cur}$	Enable decoupling in current controller	<i>True</i>	<i>Boolean</i>
S_{max}	Maximum injected complex power (3 levels available ¹²)	5000	VA
S_{min}	Minimum injected complex power (3 levels available)	-5000	VA
P_{max}	Maximum injected active power (3 levels available)	5000	W
P_{min}	Minimum injected active power (3 levels available)	-5000	W
Q_{max}	Maximum injected reactive power (3 levels available)	5000	VAr
Q_{min}	Minimum injected reactive power (3 levels available)	-5000	VAr

¹² In this case ‘levels’ refers to the ability to accept three different power limits and to only apply the strictest.

5.3.4 Simulation results

a. Simulation setup - Stand-alone operation

One simulation module with a voltage-source based droop controller has been simulated with a PQ load, in order to evaluate the stability. The simulation setup is given in Figure 5.8.

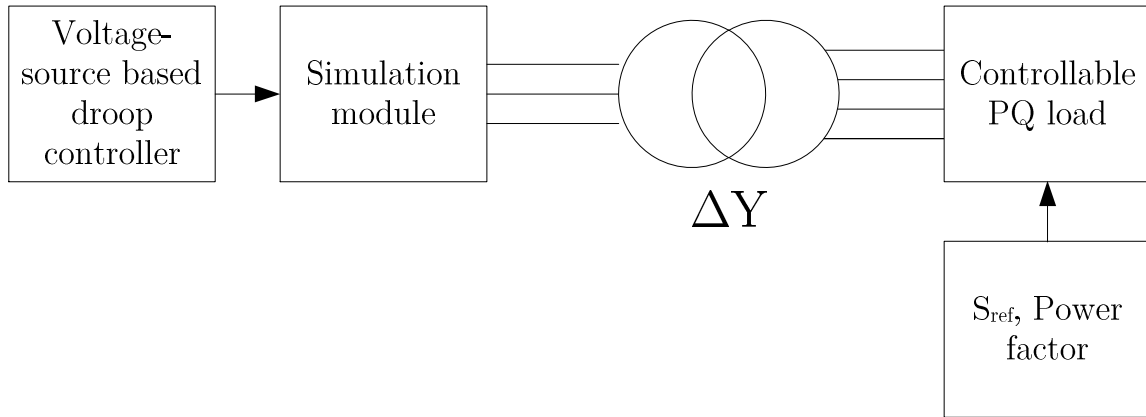


Figure 5.8 Voltage-source based droop controller: stand-alone simulation block structure

The PQ load changes its demand according to Table 5.4.

Table 5.4 PQ load schedule

Time [s]	Complex power reference [VA]	Power factor reference
1	0	1
1.35	2500	1
1.7	5000	1
1.8	5000	0.9
1.9	2500	0.9
2.2	0	0.9

The implementation of this voltage-source based droop controller in simulation is equivalent to the descriptions in section 5.3.3 except that no negative sequence control loop is present, since an accurate and fast model of the non-linear, unbalanced transformer magnetization currents is difficult to achieve in circuit simulators. The functionality of the negative sequence control loop is demonstrated in the experimental implementation in section 10.2.1c.

b. Stand-alone operation

At first, in Figure 5.9, it is demonstrated that the voltage-source based droop controller is capable of forming a stand-alone grid with a clean grid voltage and good voltage stability during transients. Figure 5.10 displays power balances between generation and load, as well as transient behavior upon load requests for the entire rated power range at power factor 1 and 0.9. It can be seen that the power demand is supplied. The constant reactive power offset between inverter injection and PQ load results from the transformer magnetization that the inverter constantly has to provide. This value is about 800VAr at nominal grid frequency and has been determined experimentally based on the chosen transformer.

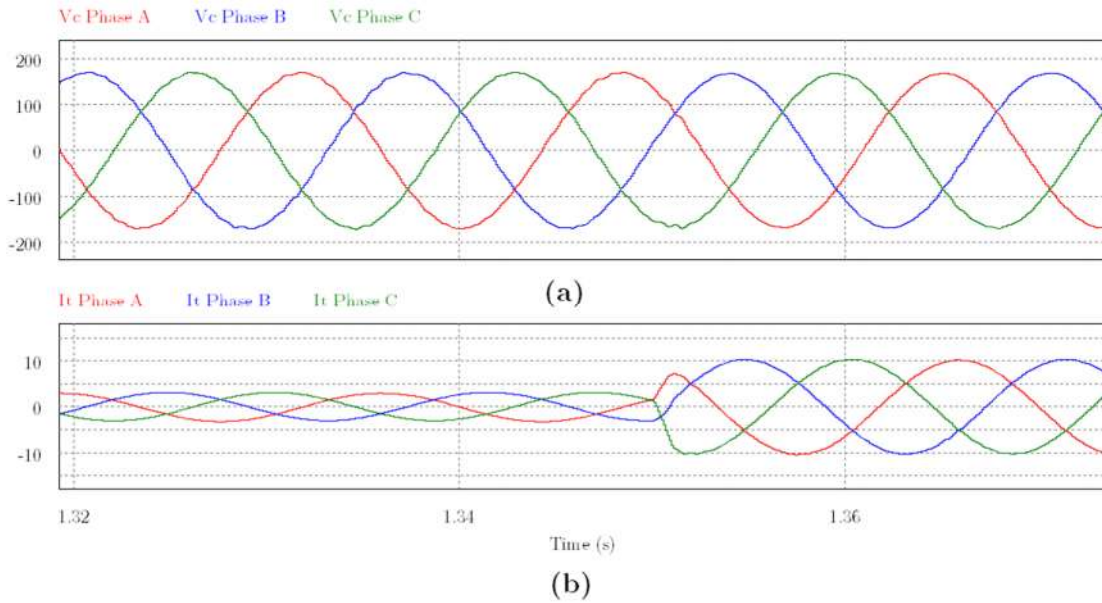


Figure 5.9 Inverter capacitor voltages (a) and output currents (b) when PQ load step change from 0W to 2500W is performed

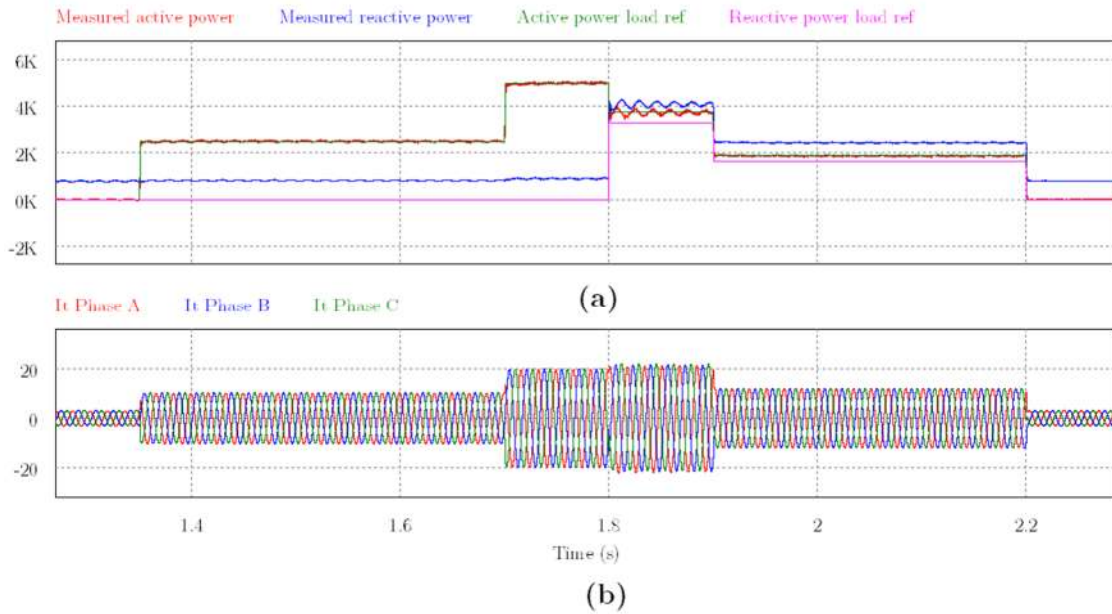


Figure 5.10 Inverter output power and PQ power load references (a) and inverter output currents (b) for entire load schedule

c. Simulation setup – Power sharing

In extension to the previous simulation, a second simulation module is connected in parallel with the first module, resulting in the simulation structure shown in Figure 5.11. The PQ load now operates with twice the complex power references given in Table 5.4 and the same power factor values.

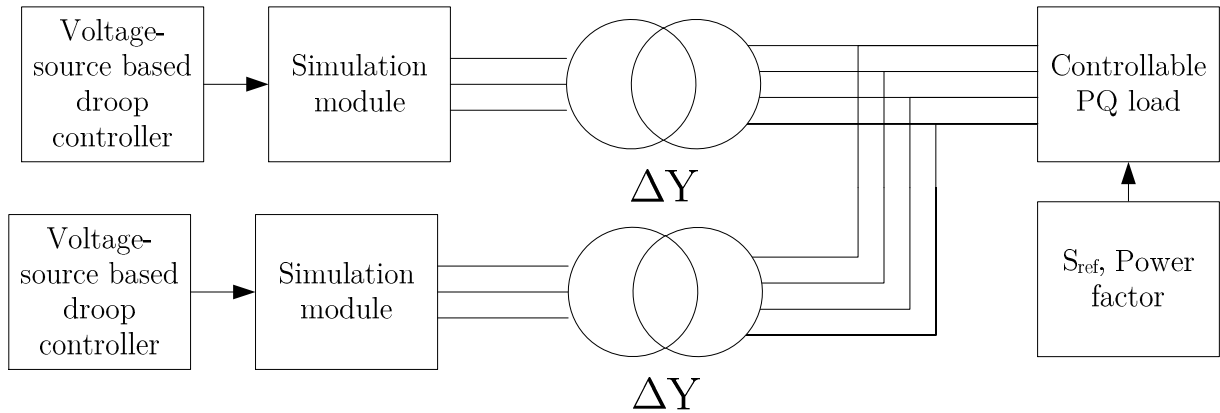


Figure 5.11 Voltage-source based droop controller: power sharing simulation block structure

In this scenario, varying system frequency and connection voltages should indicate the decentralized power sharing that is typical to droop controllers.

d. Power sharing

Figure 5.12 shows power injections and transformer currents (Δ side) for both simulation modules, as well as the system frequency and load RMS voltage level. The simulation demonstrates a stable and fast load sharing between the two modules.

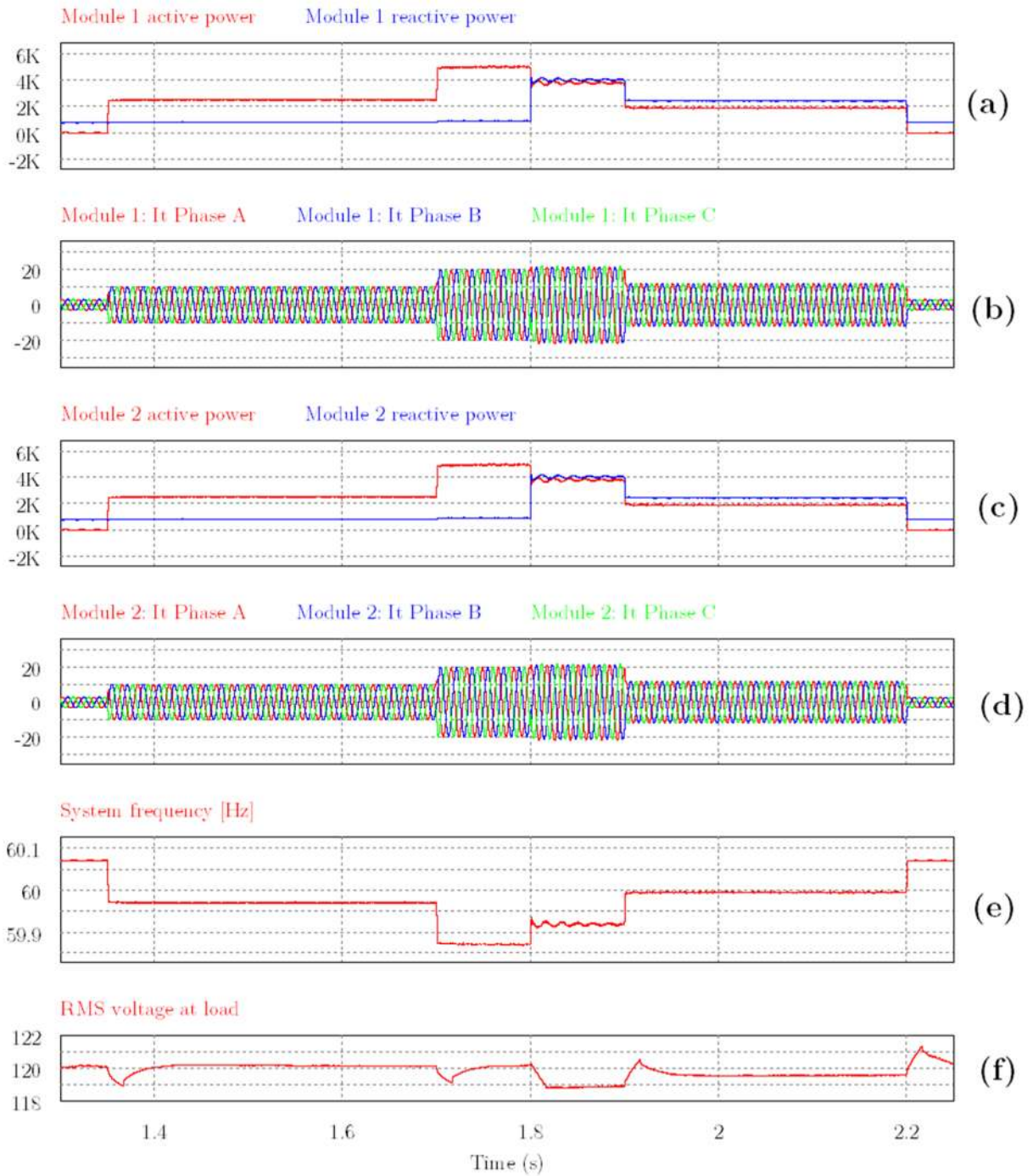


Figure 5.12 Power sharing between two voltage-source droop controllers under varying load conditions

5.4 Current-source based droop controller

5.4.1 Control objective

The control objective of a current-source based droop controller is to support an existing grid-connected or islanded Microgrid. This converter role is not able to form a grid by itself. When working together with other droop controlled converters, this control topology employs a drooping scheme in order to share active and reactive power in a decentralized control organization. This control topology is part of the primary level of Microgrid control.

5.4.2 Common use

A current-source based droop controller can commonly be used with dispatchable generators and well sized storage systems. Limitation of output power without adjustment of droop gains is easily realizable with this control topology. Therefore, its use with MPPT controlled plants is feasible, neglecting possible restrictions from the energy conversion system or higher level grid control issues. With slight adjustments, this topology can also be used for power curtailment scenarios under exceptional grid conditions (for example, during excessive system frequencies [59]).

5.4.3 Realization

The current-source based droop controller employs a three stage cascaded control structure [29] as shown in Figure 5.13. It is an extension to the grid-following converter control implementation. An inner current control loop ensures a good current waveform, fast transients and decoupling of d and q channels. An outer power control loop ensures

the injection of active and reactive power as determined by the third controller stage. This third stage implemented the droop equations, solved for P and Q as reference values. System voltage and system frequency are inputs to this droop controller. System angle and frequency are determined by a three-phase PLL. Filter on voltage and frequency provide smooth signals, when the detection mechanisms are noisy.

Configuration parameters of this control topology with default parameters are given in

Table 5.5.

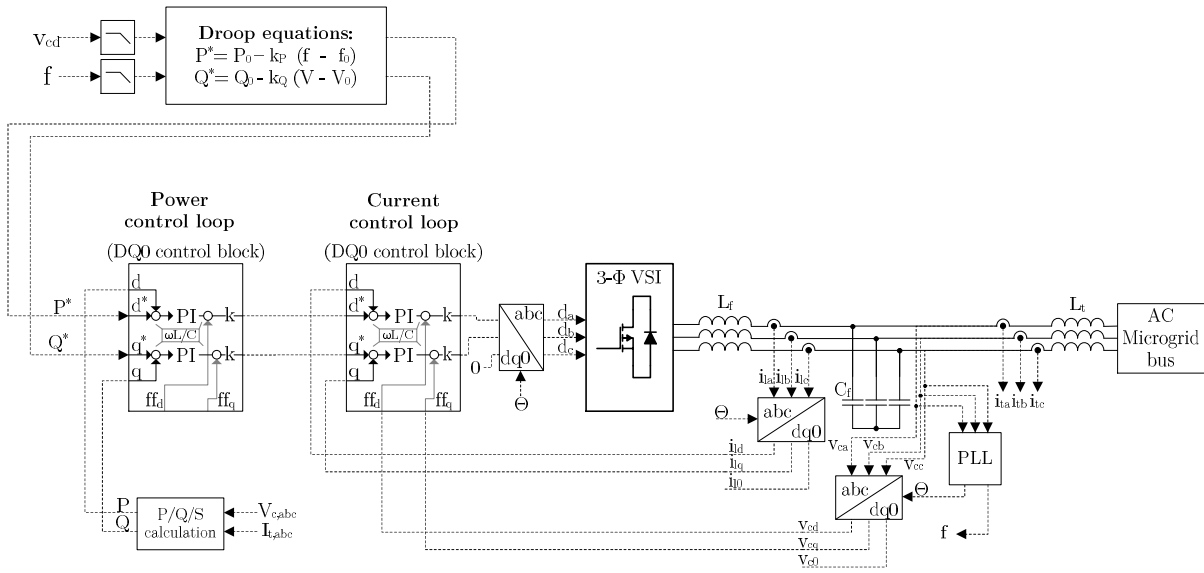


Figure 5.13 Current-source based droop controller implementation

Table 5.5 Parameters of current-source based droop controller topology

Parameter	Description	Default value	Unit
$k_{f,droop}$	Proportional gain of P/f droop controller	10000	$\frac{W}{Hz}$
$k_{v,droop}$	Proportional gain of Q/V droop controller	50	$\frac{VAr}{V}$
f_0	Nominal system frequency	60	Hz
V_0	Nominal system voltage (RMS)	120	V_{rms}
P_0	Nominal active power injection	0	W
Q_0	Nominal reactive power injection	0	VAr
L_v	Virtual output inductance	0	H
R_v	Virtual output resistance	0	Ω
$k_{p,pwr}$	Proportional gain of power PI controllers (P & Q)	0.003	$\frac{A}{W}$ or $\frac{A}{VAr}$
$k_{i,pwr}$	Integral gain of power PI controllers (P & Q)	1.8	$\frac{A}{Ws}$ or $\frac{A}{VAr s}$
$k_{p,cur}$	Proportional gain of current PI controllers (d & q)	9	$\frac{V}{A}$
$k_{i,cur}$	Integral gain of current PI controllers (d & q)	200	$\frac{V}{As}$
F_{cur}	Feed-forward gain for current controllers (0...1)	1.0	-
L_{CC}	Filter inductance L_f for decoupling in current controller	1.15mH	H
$en_{CC,cur}$	Enable decoupling in current controller	<i>True</i>	<i>Boolean</i>
S_{max}	Maximum injected complex power (3 levels available ¹³)	5000	VA
S_{min}	Minimum injected complex power (3 levels available)	-5000	VA
P_{max}	Maximum injected active power (3 levels available)	5000	W
P_{min}	Minimum injected active power (3 levels available)	-5000	W
Q_{max}	Maximum injected reactive power (3 levels available)	5000	VAr
Q_{min}	Minimum injected reactive power (3 levels available)	-5000	VAr

¹³ In this case ‘levels’ refers to the ability to accept three different power limits and to only apply the strictest.

5.4.4 Simulation results

a. Simulation setup

Because the current-source based droop controller cannot form a grid by itself, it has been simulated in cooperation with a voltage-source based droop controller as shown in Figure 5.14.

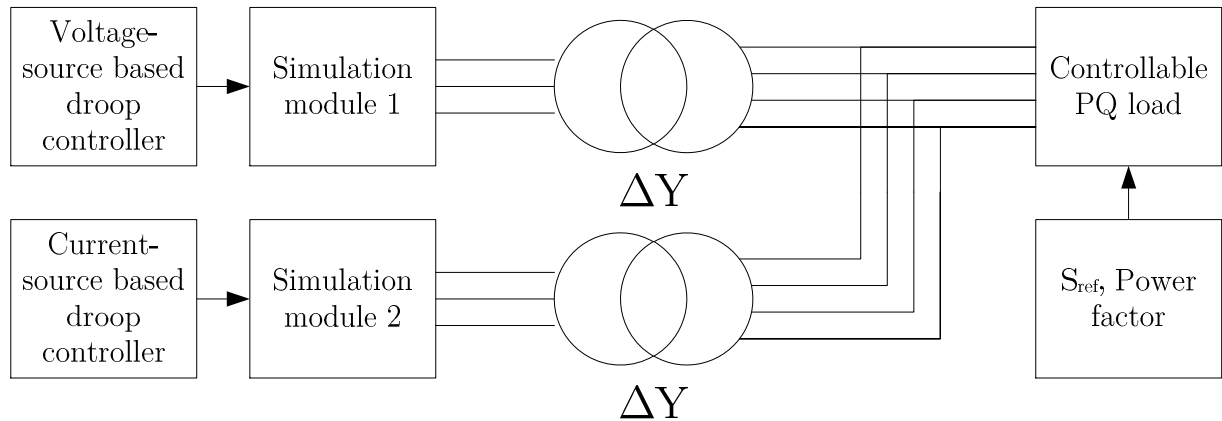


Figure 5.14 Simulation configuration

The load profile applied is included in the simulation results (Figure 5.15).

b. Results

In the results it becomes apparent, that the two droop controllers share the load power. However, the reaction speeds of the two droop control methods are different, because the current-source based droop controller has to strongly filter the connection voltage and frequency for its droop controller. Improved frequency and voltage detection methods are advantageous for this kind of droop controller resulting in a faster response during transients.

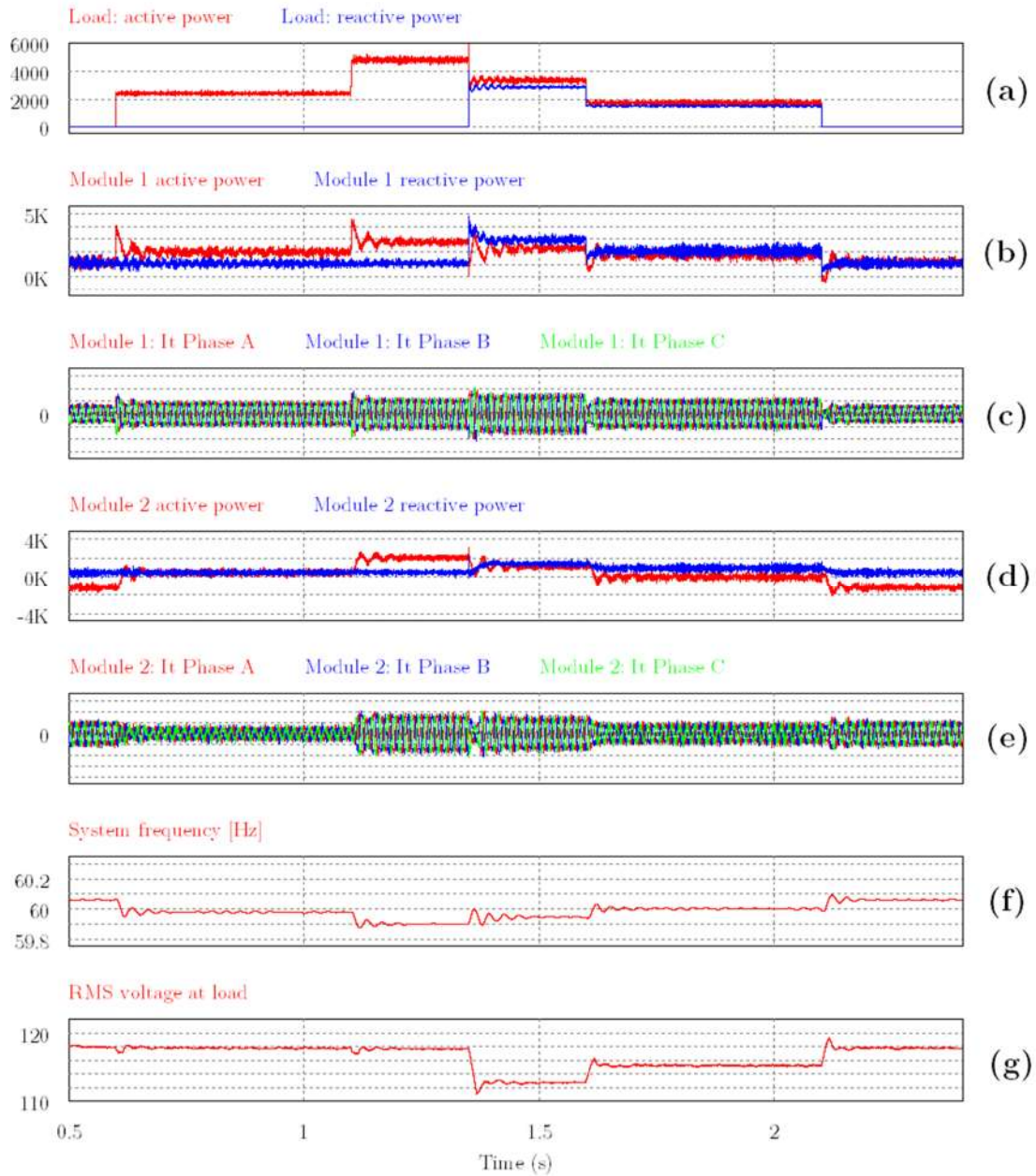


Figure 5.15 System parameters in a simulation with one voltage-source based droop controller (module 2) and one current-source based droop controller (module 1) in parallel

Chapter 6

Load and generation plant model- ling

6.1 Introduction

This chapter describes modeling of loads and energy conversion systems (plant modeling). Software-based real-time simulation of loads and generation plants generates input parameters to the hardware implemented part of the HIL Microgrid test bed. As mentioned in the previous chapter, plant models connect with grid interfacing control topologies using a standardized set of parameters and variables:

- Active & reactive power command
- Active, reactive & complex power limit
- Sensor measurements

The following sections describe the model design of a “ZIP load”, a photovoltaic plant and a generic storage system.

6.2 Load model

The goal of this model is to define a generic model for a three-phase balanced and linear load connected to distribution systems. The most common way to model these loads is including three types of loads: constant impedance, constant current and constant power w.r.t. to voltage variations. This model is called “ZIP load”.

The ZIP load equations are [60]:

$$P_{cmd} = P_0 \left[Z_P \left(\frac{V}{V_0} \right)^2 + I_P \frac{V}{V_0} + P_P \right] \quad (6.1)$$

$$Q_{cmd} = Q_0 \left[Z_Q \left(\frac{V}{V_0} \right)^2 + I_Q \frac{V}{V_0} + P_Q \right] \quad (6.2)$$

where P_0 and Q_0 are the active and reactive load power at nominal voltage level V_0 , V is the actual connection voltage and $Z_P, I_P, P_P, Z_Q, I_Q, P_Q$ are the ZIP load parameters for constant impedance (Z_P, Z_Q), constant current (I_P, I_Q) and constant power (P_P, P_Q) for active and reactive power. The ZIP load parameters allow the definition of load power behavior under changing voltage levels. Common ZIP parameters for different scenarios are reported in [60], [61]. The ZIP load does not characterize any non-linear loads.

This ZIP load model can be used to obtain reference command powers for the grid-following control topology. Power limitations can be introduced to reflect the sizing of a particular load. P_0 and Q_0 can be used to realize a time dependent load profile.

6.3 Photovoltaic plant model

6.3.1 Objective and simplifications

The objective for a photovoltaic plant model is to replicate a common PV plant for the Microgrid test bed HIL software simulation using a real-time model representation. It is based on the central inverter PV plant configuration [62] that is common for residential PV plants. A central inverter is based on one array of $n \times m$ PV modules, blocking diodes, a single DC/DC MPPT converter and a single inverter. (Multi-)String inverters are used to improve the central inverter performance under partial shading or other unbalanced operating conditions. Since the solution of a PV cell model is computationally expensive, this PV plant model has been based on a single PV cell model representing the entire array. This results in balanced operating conditions among the entire array (solar insolation, temperature, dirt and parameter variances). For such a configuration, there is no difference between a central and (multi-)string inverter configuration.

Compatibility with the grid-following control topology is required due to MPPT operation, but compatibility with the current-source based droop control topology is also possible.

6.3.2 Model structure

The PV plant model in Figure 6.1 consists of components that are also commonly used in real PV plants.

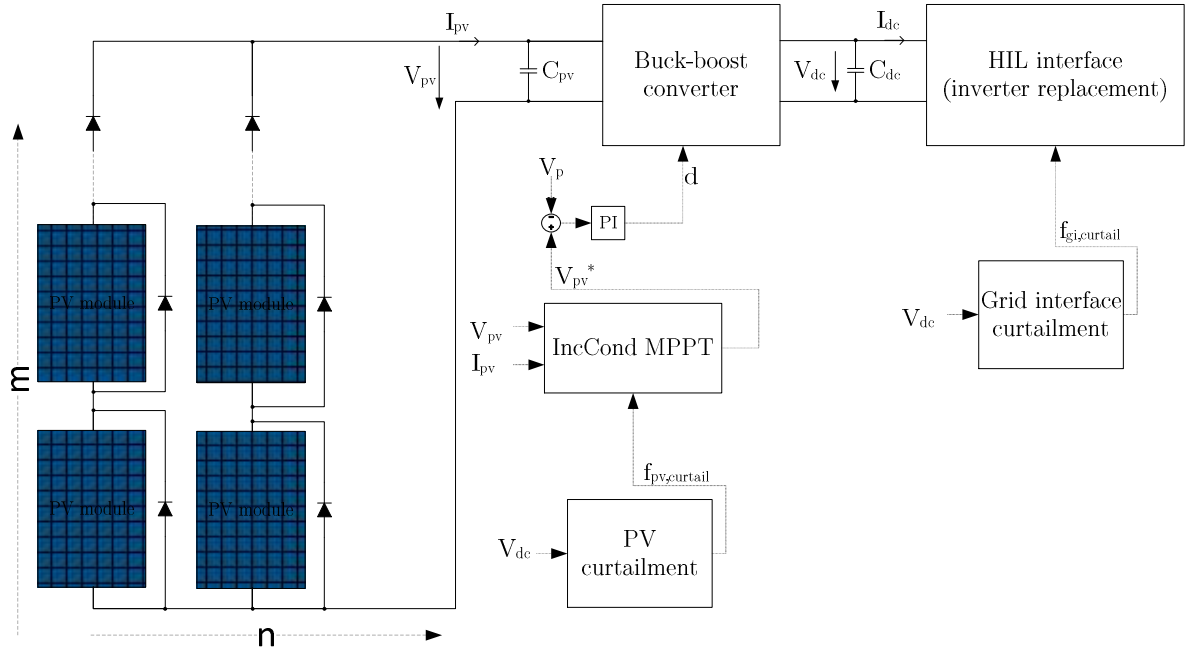


Figure 6.1 Structure of the PV plant implemented

Common components are:

- **PV array.** A PV array consists of $n \times m$ PV modules. As mentioned before, this plant model uses one scaled PV cell model to represent the array. Ideal blocking diodes are taken into account.
- **MPPT converter.** In order to allow maximum power extraction, a DC/DC converter sets the maximum power condition for the PV array. Depending on the system configuration, buck, boost or buck-boost converters are common. To provide a high flexibility, a buck-boost converter is assumed here. However, given an appropriate mathematical model, buck or boost converters could also be used. The buck-boost converter is represented using an averaged converter model for discontinuous and continuous conduction modes of operation including non-ideal parameters, such as inductor ESR.

- **MPPT tracking algorithm.** A common hill-climbing method is the incremental conductance algorithm, offering convergence to a local maximum power point (MPP). It offers zero oscillations at steady-state if configured correctly.
- **Grid inverter.** Typically, a single- or three-phase inverter converts the output power of the MPPT converter into AC power. The control objective of this inverter is to regulate the DC-bus voltage V_{dc} to a certain value by increasing or decreasing the AC power output. *To accomplish this, in this test bed, a switch from the HIL software model to the HIL device under test has to be performed.* For this reason, the *grid inverter is represented by an ‘HIL grid interface’ on the software model side.* The HIL grid interface links the software model with real hardware by imitating the grid inverter behavior in both domains.
- **Curtailement.** Depending on the plant size, grid codes, grid interfacing controller requirements or current operating conditions, curtailment of PV output power or power injection into the grid can become necessary. Therefore algorithms to limit PV power production or grid power injection to restore V_{dc} close to nominal values is implemented.

It has to be noted that *the DC-bus voltage V_{dc} of this photovoltaic model is a numeric model parameter and has no relation to the DC-bus realized in the test bed* (Figure 3.1 on page 46)! In the test bed hardware, only the Microgrid *AC bus* is part of a generator/load simulation.

6.3.3 Mathematical formulation

a. PV array

The PV array is represented by a single-diode PV cell model and a reverse blocking diode. Nomenclature for the following model is given in Table 6.1.

Table 6.1 Nomenclature for PV cell model

Parameter	PV module definitions
N_s	Number of cells in series
N_{ms}	Number of modules in series
N_{mp}	Number of modules in parallel
G_0	standard light intensity in $\frac{W}{m^2}$
T_{ref}	Module reference temperature in Kelvin
R_s	Series resistance in Ohm
R_p	Parallel resistance in Ohm
I_{scn}	Nominal short circuit current
I_{0n}	Nominal diode saturation current at T_{ref}
E_g	Band energy in eV
a	Ideality factor
k_I	Short circuit/temperature coefficient in $\frac{A}{K}$
V_{oc}	Open circuit voltage in V
V_{mp}	Voltage at MPP in V
I_{mp}	Current at MPP in A
T_s	Temperature of module surroundings in Kelvin
$NOCT$	Nominal operating temperature in degree Celsius
G	Light intensity on module in $\frac{W}{m^2}$
q	electron charge in C

k	Boltzmann constant in $\frac{J}{K}$
T	Module temperature in K
I_{pv}	Photovoltaic current (current of current source in 2 diode model) in A
I_0	Temperature specific reverse diode saturation current in A
V_{term}	Terminal voltage of PV module in V
I_{term}	Terminal current of PV module in A
<i>tolerance</i>	Maximum error that is allowed to regard a solution as converged
Parameter	Bypass diode Db definitions
a_{Db}	Ideality factor of Db
I_{0db}	Reverse saturation current of Db

The cell output current can be expressed as a function of the terminal voltage [63]:

$$\begin{aligned}
 I_{term} = I_{pvc} - I_0 \left[e^{\left(\frac{V_{term} + R_s I_{term}}{V_t a} \right)} - 1 \right] - \frac{V_{term} + R_s I_{term}}{R_p} \\
 - I_{0Db} \left(e^{\frac{-V_{term}}{\frac{kT a_{Db}}{q}}} - 1 \right)
 \end{aligned} \tag{6.3}$$

With

$$V_t = \frac{kT}{q} N_{sc} \tag{6.4}$$

$$I_{pvc} = (I_{pv,n} + K_I \Delta T) \frac{G}{G_n} \tag{6.5}$$

$$I_0 = I_{0,n} \left(\frac{T_n}{T} \right)^3 e^{\left[\frac{qE_g}{ak} \left(\frac{1}{T_n} - \frac{1}{T} \right) \right]} \tag{6.6}$$

$$I_{0,n} = \frac{I_{sc,n}}{V_{oc,n} e^{aV_{t,n}} - 1} \quad (6.7)$$

For an ideal PV module under equal irradiation and temperature conditions:

$$I_{term,array} = N_{mp} I_{term} \quad (6.8)$$

$$V_{term,array} = N_{ms} V_{term} \quad (6.9)$$

Since equation (6.3) is a transcendental equation, no direct analytical solution exists. Based on [64], computationally fast methods to evaluate this equation are of Newton-Raphson and the Lambert-W function.

Newton-Raphson solutions can be obtained faster, if using Halley's update method, precomputed fixed values (PFV) or model parallelization. This thesis employs an implementation based on the Newton-Raphson scheme with precomputed fixed values.

PFV aims at simplifying all computations by replacing multiple mathematical operations on constant values with one constant value that is only computed once per model life time, once per model execution or once per Newton-Raphson iteration.

The solution of a PV cell model using Newton-Raphson without PFV implemented in C++ on a 3GHz Intel Core i5 processor took $1.37\mu s$ to compute, on average (45000 samples taken). Using Newton-Raphson with PFV accelerated the solution to $1.025\mu s$, on average (-25%). This execution time is likely sufficient for a PV plant execution window of $100\mu s$ per model computation on the chosen microcontroller. As mentioned before, further optimizations can increase computational speed so that multiple cell models for partial shading simulations could be implemented, if desired (e.g., using [65]).

Model verification. To verify the proper function of this PV module model, the computational results obtained from a C++ implementation using Newton-Raphson with PFV have been compared to the PSIM model of a PV module. Module parameters

for the Bosch c-SI M2453BB module have been taken from [63], [66], [67]. Figure 6.2 shows that the results match very well.

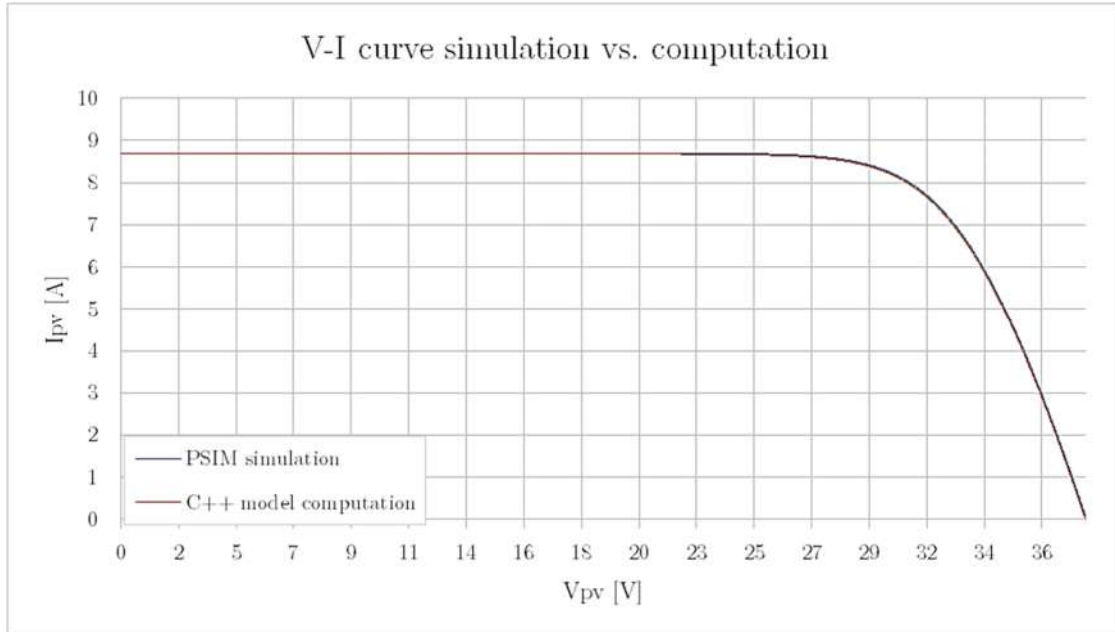


Figure 6.2 PV model simulation in PSIM vs. computation in implemented C++ model for Bosch c-SI M2453BB PV module

b. MPPT converter model

The average model of a buck-boost converter has to be valid for discontinuous and continuous conduction modes of operation. The inclusion of non-ideal parameters in circuit components allows to model a lossy converter and to obtain system dynamics accordingly. Nomenclature and a derivation for the model equations presented here are available in appendix A.5 on page 210 ff.

The state equations of the average model based on Figure A.6 are:

$$L \frac{di_l}{dt} = i_l[-R_L - d_1(R_{C1} + R_{Son}) - d_2(R_{C2} + R_{Don})] + d_1 V_{C1} - d_2 V_{C2} + d_1 i_{in} R_{C1} + d_2 i_{out} R_{C2} - d_1 V_{Son} - d_2 V_{Don} \quad (6.10)$$

$$C_1 \frac{dv_{c1}}{dt} = i_{in} - d_1 i_L \quad (6.11)$$

$$C_2 \frac{dv_{c2}}{dt} = d_2 i_L - i_{out} \quad (6.12)$$

The converter input and output voltages can be given as:

$$v_{in} = v_{C1} + (i_{in} - d_1 i_L) R_{C1} \quad (6.13)$$

$$v_{out} = v_{C2} + (d_2 i_L - i_{out}) R_{C2} \quad (6.14)$$

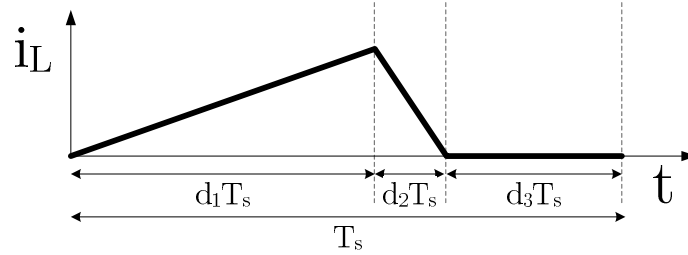


Figure 6.3 Definition of d_1 , d_2 and d_3 at the example of discontinuous inductor current

The duty cycles d_2 and d_3 indirectly describe the mode of operation (see illustration in Figure 6.3) and are defined as follows, assuming $d_1 = d =$ model input parameter:

$$d_{2,max} = 1 - d_1 \quad (6.15)$$

$$d_2 = \begin{cases} \frac{d_1(v_{c1} - v_s - \bar{i}_L(R_{C1} + R_S + R_L))}{v_{out}} - \Delta I_L|_{T_s} \frac{L f_s}{v_{out}} & d_2 \leq d_{2,max} \\ d_{2,max} & otherwise \end{cases} \quad (6.16)$$

$$d_3 = \begin{cases} 1 - d_1 - d_2 & d_2 < 1 - d_1 \\ 0 & otherwise \end{cases} \quad (6.17)$$

Also, due to continuous PWM activity, there is a minimum average inductor current. A lower inductor current may not be allowed by the model

$$I_{L,min} = d_1 \frac{(v_{c1} - v_s - \bar{i}_L(R_{C1} + R_S + R_L))}{2f_s L} (d_1 + d_2) \quad (6.18)$$

$$i_L = \begin{cases} i_L & i_L \geq i_{L,min} \\ i_{L,min} & otherwise \end{cases} \quad (6.19)$$

For verification purposes, the average model has been compared with a standard circuit simulator. In the test scenario, the input voltage is constant at 100V. The load current I_{out} is 1.1A during the first 2500 data points (2.5 seconds) and 8A afterwards. At time 5s (data point 5000) a duty cycle step change from 0.5 to 0.55 is performed, resulting in a higher output voltage. In Figure 6.4 it can be seen that the average model matches the circuit simulation quite well; however, slight differences during the first 2500 data points (DCM) are apparent. In future work, the model accuracy during DCM has to be improved to better represent this state of operation that is mostly common during start-up, some transient and low PV output situations.

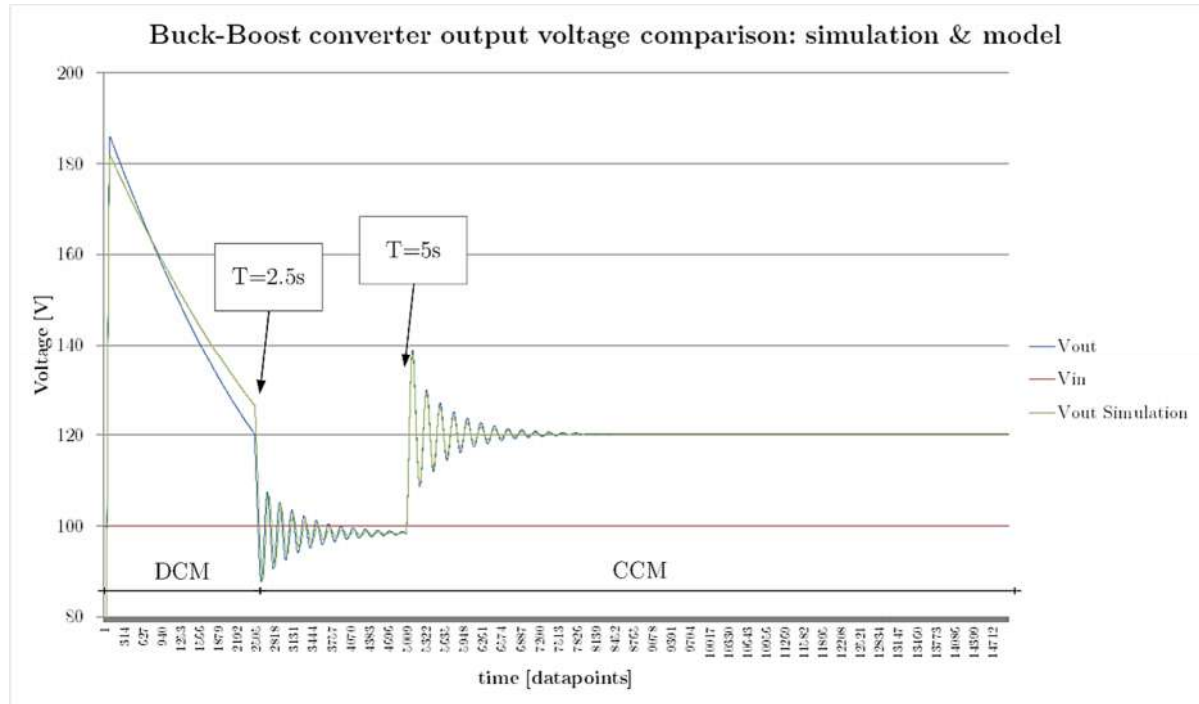


Figure 6.4 Comparison of average model with circuit simulation. Blue: V_{out} average model; red: V_{in} ; Green: V_{out} PSIM simulation

c. MPPT algorithm

The chosen MPPT algorithm is “incremental conductance” which is a hill climbing algorithm. Generally, this algorithm uses three equations to determine the current location on the P-V plot for a photovoltaic array [68]:

$$\frac{dI}{dV} = -\frac{I}{V} \quad \text{at MPP} \quad (6.20)$$

$$\frac{dI}{dV} > -\frac{I}{V} \quad \text{left of MPP} \quad (6.21)$$

$$\frac{dI}{dV} < -\frac{I}{V} \quad \text{right of MPP} \quad (6.22)$$

Based on these decisions, the MPPT reference voltage is incremented or decremented using a fixed step size.

d. HIL grid interface / grid inverter model

The HIL grid interface, shown in Figure 6.5, generates a reference AC power injection based on the DC-bus voltage of the PV plant model. It uses a PI controller and determines the DC output current in the PV plant model based on the measured AC power injection into the AC Microgrid.

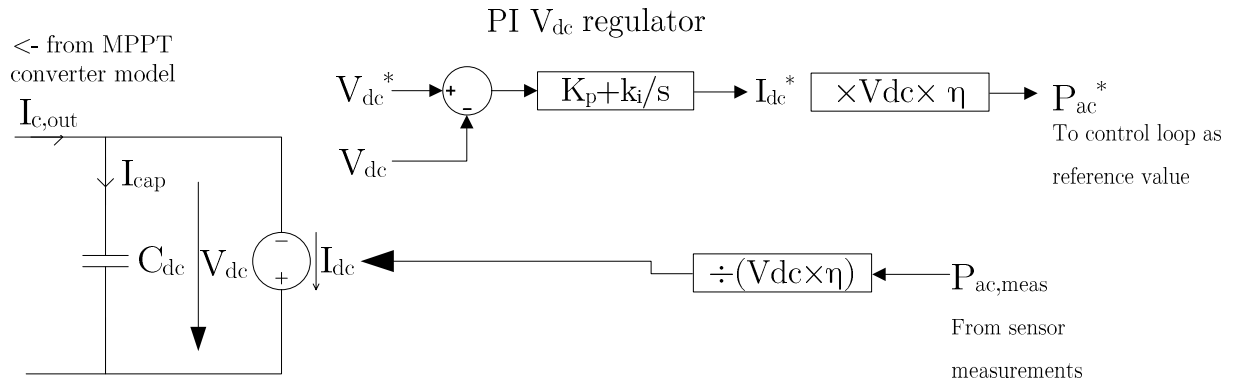


Figure 6.5 HIL grid interface block structure

e. PV power curtailment

If the PV array output power is higher than the power absorbed from the model DC-bus by the HIL grid interface (for inverter sizing reasons, for example), V_{dc} will rise above its nominal value. In this case, the PV array power output has to be limited.

PV curtailment is activated when an upper V_{dc} threshold $V_{dc,thres,hi}$ is surpassed. A PI controller will then be used to regulate the error $V_{dc,thres,hi} - V_{dc} > 0$ to zero. In order to do so, the MPPT algorithm can be overridden and operated based on an input reference voltage offset $f_{pv,curtail}$ (compare to Figure 6.1 on page 106). With PV curtailment active, the MPPT algorithm will keep its internal reference voltage constant and will output the sum of this internal reference voltage and $f_{pv,curtail}$. This allows to increase V_{pv} beyond the MPP so that the PV output power is reduced.

f. Grid interface curtailment

In situations where a grid interface control topology decides on the injected active power (droop control, for example) it is possible that there is less PV output power available than injected by the grid interface. In this case the model DC-bus voltage will fall below the nominal value. To avoid V_{dc} collapse, a lower threshold $V_{dc,thres,lo}$ has been defined, below which a PI controller will temporarily introduce an active power output limit on the grid interface to restore V_{dc} to at least $V_{dc,thres,lo}$. Thus, every grid interface control topology must implement active power limits that can be changed at runtime by a plant model.

6.3.4 Implementation

The implementation on the F28377D microcontroller mostly uses a dedicated CPU core (CPU2) to compute the model at control loop speed (10.2 kHz). The entire PV plant model can be computed within about $19\mu s$ out of about $100\mu s$ available. This clearly indicates that there is enough room for future expansions, such as to incorporate multiple PV cell computations per PV plant computation. More details on general implementation and experimental results are given in subsequent chapters.

6.4 Storage plant model

6.4.1 Objective

The objective of this plant is to provide a very simple representation of a generic energy storage system (ESS). Most available storage technologies, such as batteries (Li-Ion,

Lead Acid...), compressed air energy storage, flywheel and pumped hydro, have characteristics that can be generalized in their technical description to a certain extent. Some very common characteristics describing the storage functionality of energy storage systems are:

- Maximum and minimum power output in all system states
- Maximum power ramping rate at all system states
- Maximum and minimum energy that can be stored
- Minimum uptimes, minimum downtimes
- Storage system efficiency (during charging, storage and discharging)
- Change of amount of energy stored and storable over time
- Influences on internal parameters from the environment, such as temperature

This thesis limits the modeling of a storage plant to a formulation that takes into account the maximum and minimum power output in various system states and the amount of energy that can be stored, only. All other parameters are neglected at this stage.

6.4.2 Mathematical formulation

The nomenclature for the formulation of the storage plant model is given in Table 6.2. Positive active power P_{ac} refers to an active power flow from the simulation module to the Microgrid.

Table 6.2 Nomenclature for storage plant model

Parameter	PV module definitions
W_S	Energy stored in the ESS
W_{cap}	Maximum amount of energy that can be stored in this ESS
P_{ac}	Active power injected into the Microgrid on the AC side
P_{dc}	Power flowing from storage system to VSI
η_{VSI}	Assumed efficiency of VSI
SOC	State-of-charge
$P_{max,in}$	Maximum active power that can be absorbed by the ESS
$P_{max,out}$	Maximum active power that can be delivered by the ESS
$dP_{low} = \left. \frac{dP_{max}}{dSOC} \right _{SOC\ low} > 0$	Slope of maximum power limit (absorption and delivery) in $\frac{W}{\%SOC}$ starting at 0W/0%SOC. This is valid until $P_{max,in}$ or $P_{max,out}$ apply, respectively
$dP_{high} = \left. \frac{dP_{min}}{dSOC} \right _{SOC\ high} < 0$	Slope of maximum power limit (absorption and delivery) in $\frac{W}{\%SOC}$ ending at 0W/100%SOC. This is valid until $P_{max,in}$ or $P_{max,out}$ apply, respectively.
r_{sim}	Simulation speed ratio: simulation time speed / real world time speed. Can be used to perform experiments that are run at other speeds than real-time speed.

The amount of energy stored in the ESS related to its current maximum capacity can be expressed as state-of-charge:

$$SOC = \frac{W_S}{W_{cap}} \quad (6.23)$$

While energy is flowing from storage system to AC grid the stored energy W_S is changing according to:

$$W_S = \int -\frac{P_{ac}}{\eta_{VSI}} r_{sim} dt \quad (6.24)$$

While energy is flowing from the AC grid to the storage system the stored energy W_s is changing according to:

$$W_s = \int -P_{ac}\eta_{VSI}r_{sim}dt \quad (6.25)$$

Power limiting is defined in relation to SOC as shown in Figure 6.6 and Table 6.2 (using $P_{max,in}$, $P_{max,out}$, dP_{low} , dP_{high}). In order to allow a slow recovery from 0%SOC or 100%SOC, the maximum charging power at 0%SOC and the maximum discharging power at 100% are held at $10\% \times P_{max,in}$ or $10\% \times P_{max,out}$ respectively. This ensures that when the SOC has reached 0% or 100%, the storage is able to go back to an SOC range between 0% and 100%.

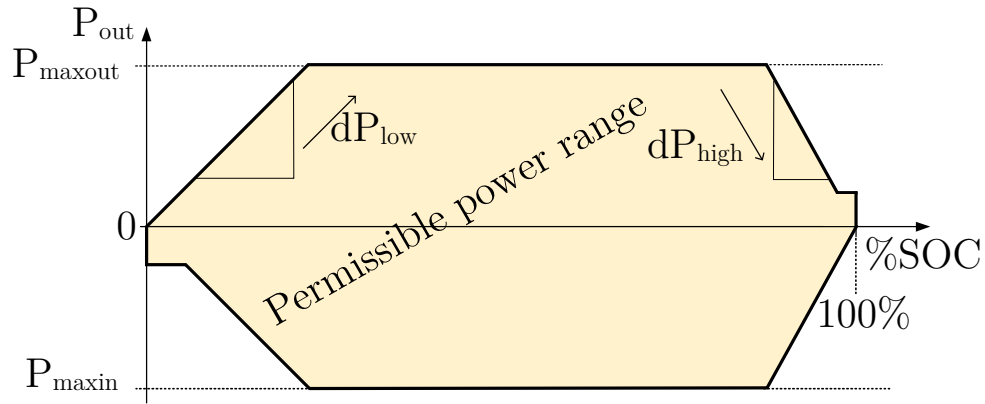


Figure 6.6 Basic operation principle of generic storage plant

This storage plant is typically used together with a voltage-source based droop controller to provide stable grid support or to form a grid. It is the responsibility of the system designer and the grid interface to handle ESS power or energy limitations appropriately.

Storage system technology-specific models of a technology-specific storage plant have been left for future work.

Chapter 7

Test bed simulation using a circuit simulator

So far, single components of this test bed have been tested in stand-alone operation or together with some test bed components. The final goal of this thesis is to provide a simulation of a 24-profile with four grid participants which requires simultaneous use of all developed components. One simulation module represents a load to the grid, two other simulation modules ensure a stable grid (storage plants) and the fourth simulation module represents an intermittent DG: a photovoltaic plant. 24-hour profiles for load and PV have been derived. The entire setup has been simulated using the simulation software PSIM, version 9.1.3.

7.1 Simulation setup

The following modules are included in the simulation:

Table 7.1 Test bed simulation configuration

Module	Grid interface control topology	Plant simulation
Load	Grid-following converter	ZIP Load
Photovoltaic	Grid-following converter	Photovoltaic plant
Storage (large)	Voltage-source based droop controller	Storage plant
Storage (small)	Voltage-source based droop controller	Storage plant
DC-bus voltage controller module	n/a	n/a

All modules work with a maximum power of 5kVA. The simulation configuration is shown in Figure 7.1.

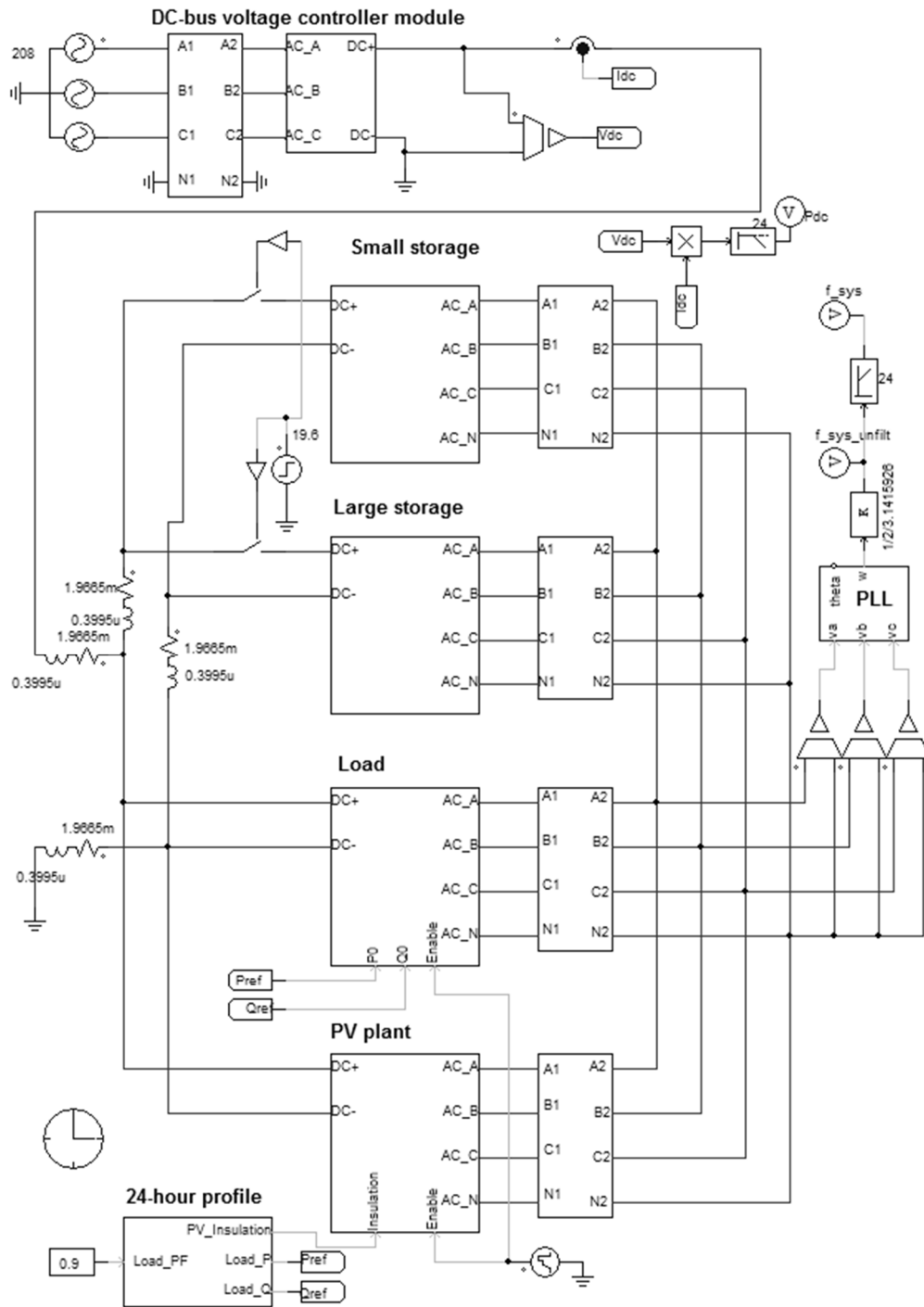


Figure 7.1 PSIM test bed system simulation – main page

7.2 Load and solar insolation profiles

A common load profile for remote communities in Canada [69] has been adapted to obtain a load profile for this simulation. The peak load is 1500VA with an assumed power factor of 0.9. The total energy consumed during one day is 21.4 kWh.

The solar irradiation profile has been derived from data of the University of Waterloo's weather station¹⁴. A summer day with shading pattern was July 15th, 2014. The PV plant is sized such that its peak PV power output for the given profile is close to 5kW. The VSI efficiency is assumed to be constant: 95% (the actual VSI efficiency is a function of output power and will have to be determined in the future).

Both profiles are depicted in Figure 7.2.

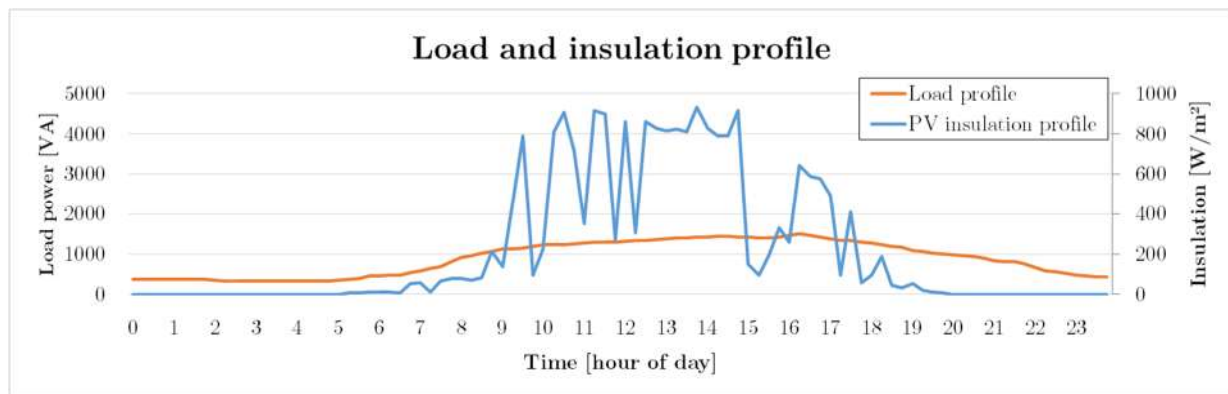


Figure 7.2 Solar insolation and load profile for 24 hours

7.3 Results

The results of the simulation described above are shown in Figure 7.3. The PV plant follows the insolation profile in its active power injection during this day. It does not

¹⁴ <http://weather.uwaterloo.ca>

inject or absorb any reactive power. The load absorbs power from the grid according to its load profile with a constant power factor of 0.9. Both storage systems share the burden of matching demand with generation. Due to the droop gain configuration, the smaller storage system participates with only half of the energy the large storage system handles. Together, the two storage systems form a droop controlled, islanded grid. Due to the absence of secondary control, the system state can easily be read from system frequency and RMS voltage. The storage models of the large and small units have been sized for 20 kWh and 10 kWh, respectively. Since the storage modules share the load proportionally to their sizing their SOC values are very close for the entire time (Figure 7.5). The initial SOC was set to 45%.

The DC-bus voltage controller module compensates for all inverter losses in the test bed. For the simple switching loss modeling applied, according to the simulation, this means that a maximum power of about 240W needs to be replaced by this module in order to keep the test bed operational.

The photovoltaic plant model power outputs of PV array and AC terminals are given in Figure 7.4. It shows that the output power of the PV array matches the power injected to the AC grid. An assumed VSI efficiency of 95% provides the link between these two quantities. The PV plant virtual DC-bus voltage remains constant at its nominal value of 450V for the entire profile indicating a perfect power balance between production and grid power injection.

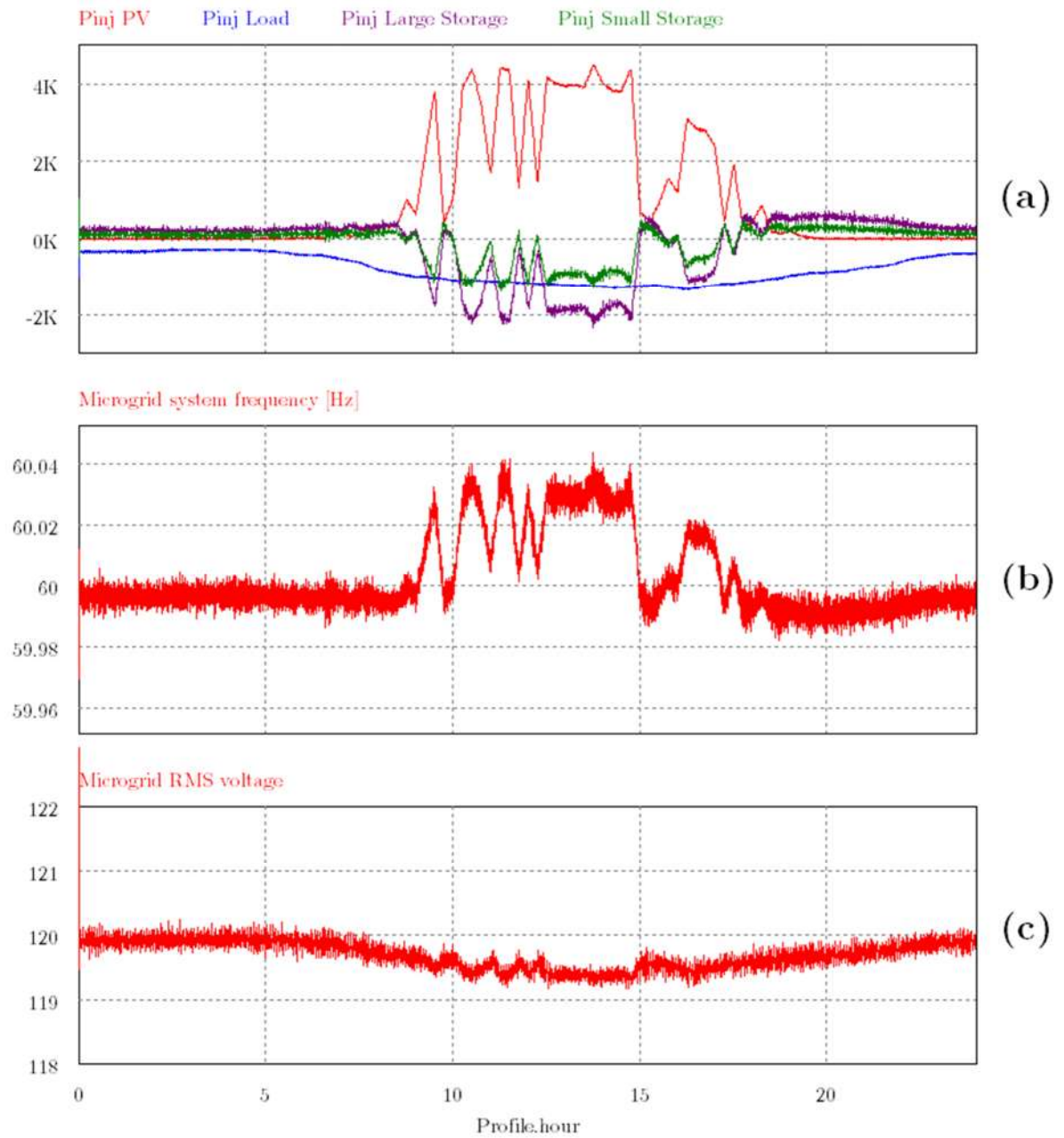


Figure 7.3 Active power injections into grid (a), Microgrid system frequency (b) and Microgrid RMS voltage (c) over the 24-hour profile

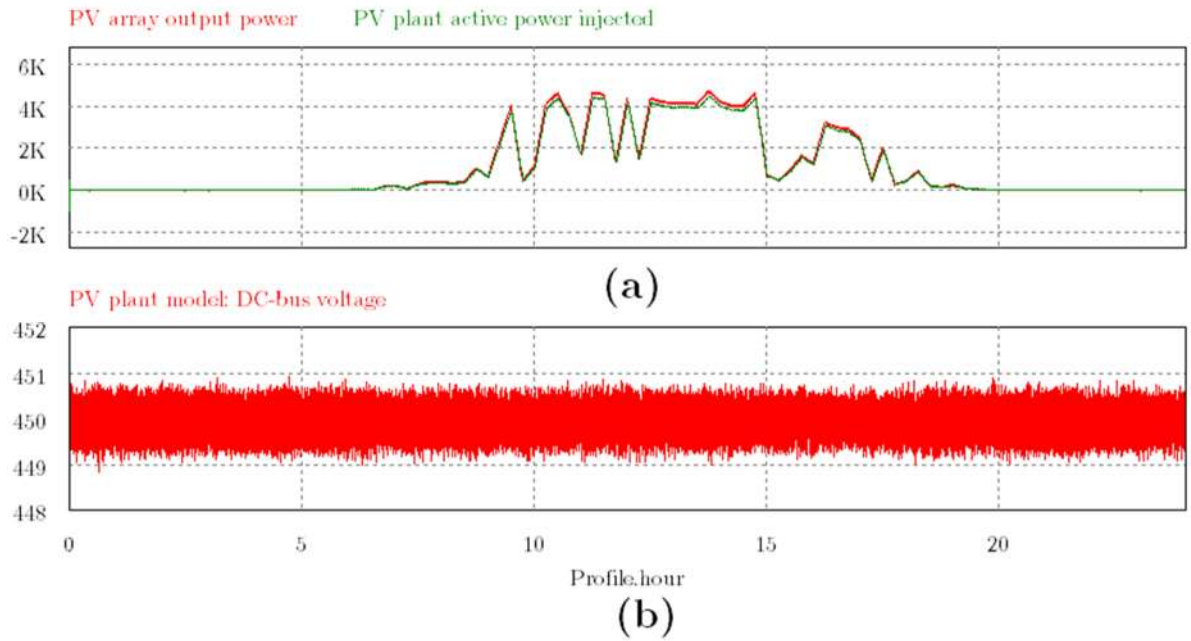


Figure 7.4 PV plant model internal variables: power flow (a) and V_{dc} (b)

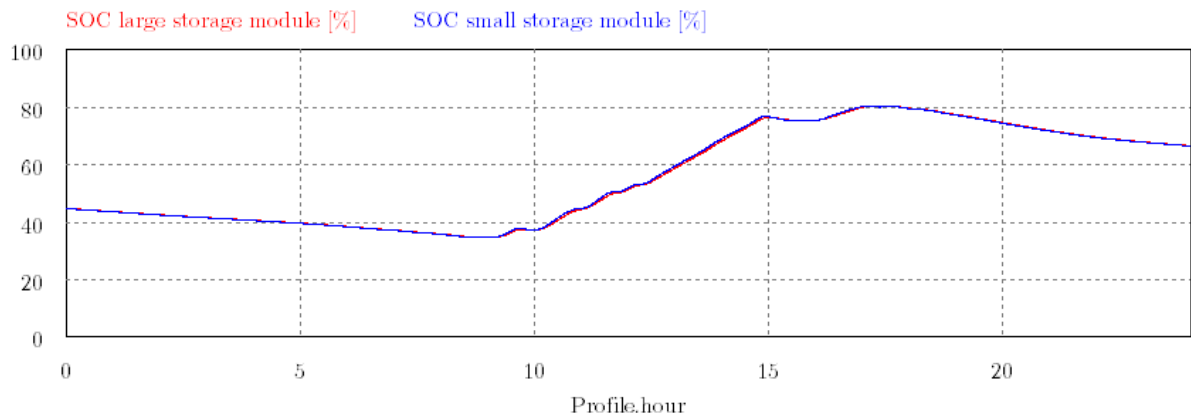


Figure 7.5 SOC of both storage plants

Chapter 8

Software design for simulation

module firmware

This chapter describes and documents the design of firmware that is used in the SMCU microcontroller to control all functionalities of a single simulation module or the DC-bus voltage controller.

8.1 Design goals and design principles

The goals of this software design have been:

- Primary goal: Design of one software stack for all possible roles
- Secondary goal: Runtime efficiency

In order to achieve the primary goal, some principles have to be taken into account. The code written for this firmware has to be *reusable* for different roles. To achieve this, *modularity* of realized functionality is a very practical tool. Modularity means, that similar functionality in different software parts or roles is to be *abstracted* and grouped in a single object, so that it fulfills all possible purposes without significant special adjustment to each of them. Also, *well defined and general object interfaces* in software are

required to exactly define the relationship with other software objects in one program. To further support flexibility of use of different software objects within the firmware, *loose coupling* of objects should be applied, so that workflows can easily be altered, objects replaced or the execution sequence can be changed. Loose coupling refers to the reduction of specific information about the other object and reduction of information exchange between two objects across their software interfaces in order to reduce direct interdependencies. This overall leads to a high grade of flexibility between different software modules that ease exchangeability, cleanliness of code and reconfigurability.

In order to achieve the secondary goal, the implications of using advanced software tools and concepts on the runtime of an embedded system have to be constantly reviewed. For example, some programming language concepts, such as multiple inheritance can have a negative impact on runtime efficiency¹⁵. Design choices, specifically for scheduling and memory management have to take system restrictions into account. At the same time, choosing powerful software tools can reduce the chance for chaotic code and workflows because the design ideas have a stronger and more visual representation in code.

Since modularity of code and abstraction of functionality are of high importance, the use of a programming language with object-oriented programming capabilities is preferable. Out of the tools available for the selected Microcontroller (assembler, C, C++), C++ is the only object-oriented language. Thus, this is the chosen platform.

¹⁵ Compare to:

http://processors.wiki.ti.com/index.php/Overview_of_C%2B%2B_Support_in_TI_Compilers

8.2 Review: software design patterns

Design patterns are a tool to solve a commonly occurring programming or program design problem [70]. Common problems are abstracted and solved by a single suggested design approach. Software patterns can have different scopes, such as design for an entire application structure (example: Model-View-Controller) or more detailed scopes to apply to individual collaborations. All design patterns in this section are taken from [70] where the reader can find a more detailed explanation of them.

Simple and basic patterns, such as the interrupt pattern, debouncing pattern or the polling pattern are not discussed here, but are a substantial part of the firmware.

8.2.1 Hardware proxy pattern

The hardware proxy pattern describes using a data structure, such as a struct or class, to encapsulate the functionality of a certain hardware. By doing so, the functionality of the underlying hardware access is exposed to the entire code and access is coordinated. Hardware can be memory, communication links, ADCs, buttons, PWM or other peripherals available to the CPU. By using this pattern, access to a certain hardware subsystem is bundled in one data structure so that extension or replacement of the hardware or hardware proxy code can easily be done without requiring a lot of changes in the rest of the code.

8.2.2 Hardware adapter pattern

The hardware adapter pattern describes designing code that translates between two interfaces with different requirements, where one is related to hardware. If the hardware

interface offers one way of accessing the hardware functionality, but the remaining program flow needs a different set of information or the set of services differs, the hardware adapter pattern can be used to translate between these two interfaces. In fact, it is quite useful to integrate the hardware adapter pattern into the class that employs the hardware proxy pattern. Then, such a class becomes access provider to hardware and translates between the interface that the microcontroller manufacturer has defined for a certain peripheral module and the requirements of the remaining program flow.

In the realized firmware, all hardware related classes realize a combination of the hardware proxy and hardware adapter pattern. These classes are: `AcBreakerController`, `AddressReader`, `ADCController`, `CanControl`, `DacGeneration`, `FanController`, `IpcFuncs.h/cpp`, `MeasDataStorage`, `PWMGeneration`, `InputButtonAdapter`, `DisplayController`, `LedIndicator` and `StartupSwitchesAdapter`. Details about all classes mentioned are given in later sections.

8.2.3 Observer pattern

The observer pattern describes a scheme to notify program modules about changes in another program module. There are many ways to communicate a change from a server (information provider) to a client (requires knowledge about changes in information). Avoiding the server to know a priori which program code requires knowledge of change of information and actively notifying this client code offers two distinct advantages:

- Client modules can be designed before or after the server has been implemented. Client modules can be exchanged, added or removed at any time without changing the server, even at runtime. The server does not have to know about the nature or structure of its clients. All the server focuses on, is the data it provides to clients. This leads to loose coupling between program modules.

- Actively notifying clients about changes makes it unnecessary that clients constantly poll for server information changes. This makes the program code faster and eases program synchronization, since times of changes of program states are well defined

The observer pattern realizes these two distinct advantages. A server (provider of information) exposes three fundamental functions:

- *Subscribe to change notifications* for a specific event. The client can call this function at any time to hand over a function handle that will be called when the event occurs
- *Unsubscribe from change notifications* for a specific event. The client can ask to remove a function handle provided before.
- *Notify all clients*. If the server information has changed or a generic event happens that this pattern realizes, all client functions are executed by provided handle that have been made known to the server by its clients.

A function handle in C/C++ typically is a pointer to a notification function within the client and an optional object pointer, if the receiving data structure is a class object (“`this`” pointer).

Subscriptions are maintained using dynamical lists. This adds much flexibility to the software.

The observer pattern has become a very popular programming pattern in interfacing different classes and program modules. Frameworks, such as .NET or the Java Runtime Environment include the observer pattern as the default way to notify program modules about changes. In this firmware the observer pattern is realized through the classes `EventProvider`, `EventProviderLight` and `EventProviderUltraLight`.

8.2.4 Asynchronous, single-event receptor state machine

A state machine is a mechanism to manage different states that a program can be in during its lifetime. For example, states could be: “start-up”, “waiting for communication link connection”, “idle”, “operation and shutdown”. Each state represents a set of behaviors that the program will show while it is in that state. Each state is different to another in some way. Upon certain events occurring, a transition from one state to the other can be initiated. Events can be “finished starting up”, “connection timeout” or “button pressed”, for example. It is state-dependent which event will cause a transition, as well as, to which new state the transition will occur. Transitions can be conditional, which means that they can be rejected if a certain condition does (not) apply. In that case, no state change happens. A state machine must have an entrance state (“default pseudostate”) and an exit state (“final pseudostate”). These two states define the state machine’s behavior at its the beginning and end of life.

State machines can be nested. A single state can be the entrance point to another state machine that is only operational while the outer state machine is in this state. For example, a state “waiting for communication link connection” can be composed of a nested state machine with sub states “Communication link start up”, “Link scan”, “Sending welcome message” and “Waiting for participants”.

Events that trigger state changes can come from inside the state machine (for transitional states, for example) or from outside the state machine (for example, a button has been pressed or a communication packet has arrived). Therefore, each state machine has to define possible events. It also has to provide an event receptor routine that all program modules can use to notify the state machine about an event occurring. A state machine has a single-event receptor, where one single function allows dropping off any possible kind of events with the state machine, while a multi-event receptor defines functions for

every event available. The consumption of an event (and possible resulting state changes) can be implemented synchronously or asynchronously. If the state machine is synchronous, the state change is performed directly within the execution context of the code that threw the event. Events are consumed directly, however concurrency and data integrity has to be given special focus, since events could be delivered from different thread contexts. An asynchronous state machine puts an event queue between the event receptor and the event consumption. Therefore, state transitions can happen in a separate, selected thread, which eases thread-safety related issues. Only the event receptor executes in all possible thread contexts and has to be thread-safe.

Implementing an asynchronous single-event receptor state machine can be done in many ways. One well-structured method is discussed next.

The state machine is separated into one large module to process incoming events and determine state changes and into many small sub routines. For each state, an `enterState()` and an `exitState()` routine is provided. Upon entering a certain state, the state's enter function is called by the event processing module. Similarly, the exit function of a state is called, when a certain state is about to be left. This allows to set up or clean up code representing characteristics of a particular state. For example, while in "communication set up" code to start up the communication link can be activated in `'enterCommSetup()'`. When leaving this state, this code can be deactivated and cleaned up using a `'exitCommSetup()'` function.

This way of implementing a state machine makes changes in available states, transitions and transition conditions significantly easier than using a single, large `switch()-case` structure. In the realized firmware, the classes `DcAcOperationController` and `AcDcOperationController` realize the described state machine.

8.3 Performance considerations

In order to ensure a fast computation of program tasks, a few considerations on hardware and software have to be taken.

Regarding hardware, some microcontrollers offer hardware acceleration for some computations. For the TMS320F28377D microcontroller in particular, these are:

- **32-bit floating-point unit.** IEEE 754 floating-point arithmetic is supported in hardware which means that additions, multiplications and other operations execute within a few cycles.
- **Trigonometric math unit.** An accelerator for functions, such as sine, cosine, tangent or $1/x$ in floating point makes the computation of these functions a matter of a few cycles instead of 100 to 1000 when computed in software. However, certain conditions and settings have to be set, in order to be able to use them (e.g., compiler optimizations).
- **RAM memory.** This microcontroller features volatile (RAM) memory and non-volatile (Flash) memory. While all program code has to be stored in non-volatile memory, so that it does not get lost after a power down, flash memory requires more than one CPU cycle to read data from it (such as program code, for example). Therefore, runtime critical code must be copied over to volatile RAM memory at execution start and run from there to profit from maximum performance. This is done for all runtime critical code only, since the amount of volatile memory is limited.

In software, the design of program flow has a significant impact on runtime speed, as well as programming language features and program code optimizations. Certain programming language features of C++ are known to create an overhead compared to when using C. To avoid this overhead, alternative solutions have to be found or the impact of this overhead has to be controlled.

Specifically, polymorphism, virtual base classes and dynamic casts cause a performance penalty. Exceptions support and runtime type information (RTTI) cause a severe performance penalty, once enabled in the compiler¹⁶. Dynamic memory allocation can introduce penalties and reduce deterministic execution behavior, if used excessively. To overcome problems due to dynamic memory allocation, a manual heap management has been applied in the context of polymorphism¹⁷. The use of polymorphism itself has been limited, so that it is only used where it clearly improves the design of software.

8.4 Code portability

In order to avoid duplicate code for different platforms realizing the same functionality, the firmware code has been written to be applicable in different scenarios and different platforms. At first, one firmware can be used on a simulation module and a DC-bus voltage controller module despite small differences in hardware. All commonalities to both application cases have been generalized and only distinct differences require special classes or clauses.

A second case is the use of the CAN protocol stack both, on the microcontroller and in a Desktop software (Test bed central controller). The CAN protocol stack has been

¹⁶ http://processors.wiki.ti.com/index.php/Overview_of_C%2B%2B_Support_in_TI_Compilers

¹⁷ <http://www.barrgroup.com/Embedded-Systems/How-To/Polymorphism-No-Heap-Memory>

written in a way that the same code can be used in and interfaced the to microcontroller firmware and C++ software for Windows Desktop PCs. In order to use this code on the Windows Desktop, other framework components, such as components for event notification and scheduling have to be compatible for both platforms as well (`EventProvider` and `TaskScheduler`).

8.5 Implementation

The following sections outline the most important components of Figure 8.1.

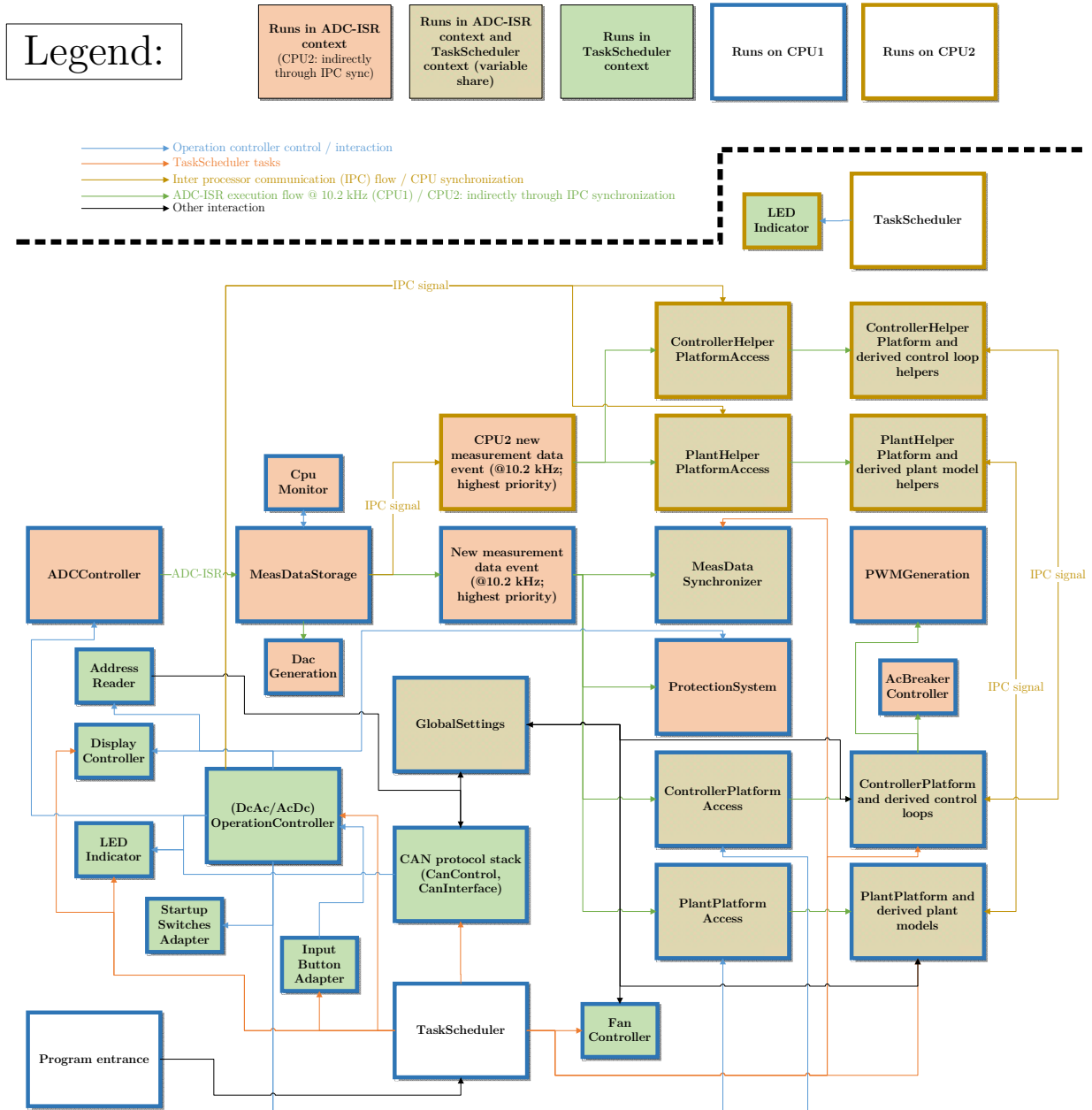


Figure 8.1 Program structure of SMCU firmware

8.5.1 Hardware abstraction

All peripherals of the microcontroller used are abstracted using the hardware proxy and hardware adapter pattern, as mentioned before. This ensures that only a capsuled code component of code has raw access to a certain peripheral only.

8.5.2 Scheduling

The SMCU firmware uses a hybrid scheduling environment. Control loops and some parts of plant models require a strict real-time, high priority execution at a fixed frequency (10.2 kHz), which has been selected to be half of the PWM switching frequency (20.4 kHz). This high priority execution environment is implemented using an interrupt service routine (ISR) of the ADC module. This ISR interrupts any other code execution (such as `TaskScheduler` tasks; see below) as soon as the interrupt is triggered. Then, an environment for all time critical code execution is started and run to completion. This is described in more detail in section 8.5.3.

Other code is not as critical and does not require a strict real-time execution (such as status LED indication). Often, it is practical to have an execution scheme based on a fixed time pattern (execute every n milliseconds). To avoid the overhead that comes with preemptive scheduling systems, a simple cooperative scheme has been implemented in a class called `TaskScheduler` (see Figure 8.2).

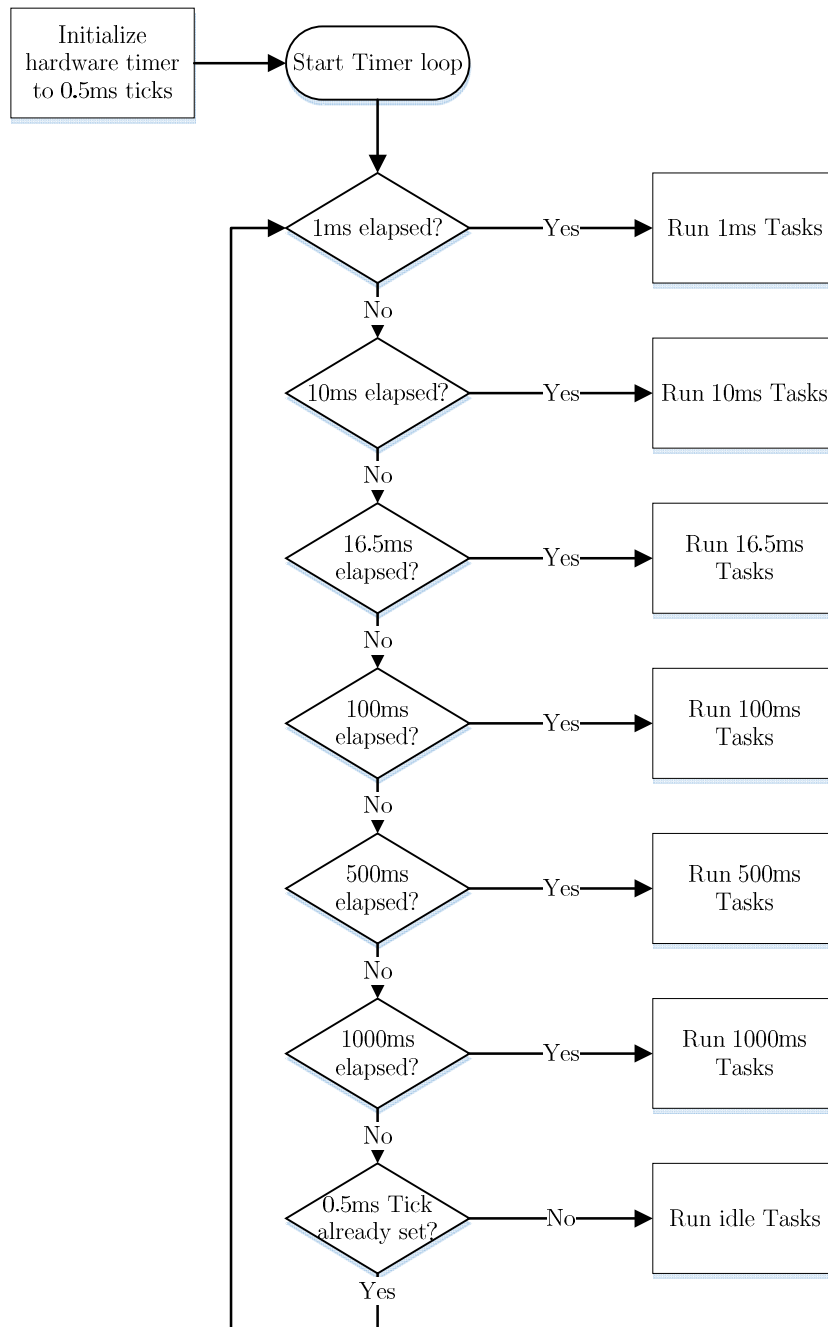


Figure 8.2 Scheduling of non-critical tasks. Realized by TaskScheduler

8.5.3 Example: Control loop execution program flow

Every $\frac{1}{10400 \text{ Hz}}$ an interrupt from the ADC system (“ADC-ISR”) interrupts general code execution. This interrupt signals that ADC sensor readings have been finished and data is ready for conversion to its SI unit representation. This conversion is performed in the ADC-ISR at first and results are saved in a class called `MeasurementDataStorage`. This class holds all raw measurements in their physical meaning (voltage, current, temperature), but it also holds derived values, such as power and voltages and currents in other reference frames (dq0). After data conversion, an event is triggered to which any interested module can subscribe at any time. All subscribed modules are executed one after another, according to requested execution priority of this event. Typical subscribed modules in this setup are: PLL, control loops (“`ControllerPlatform`”), plant models (“`PlantPlatform`”), the protection system and the CPU1-CPU2 synchronization system code (using inter-processor communication, IPC). This flow is illustrated in Figure 8.3.

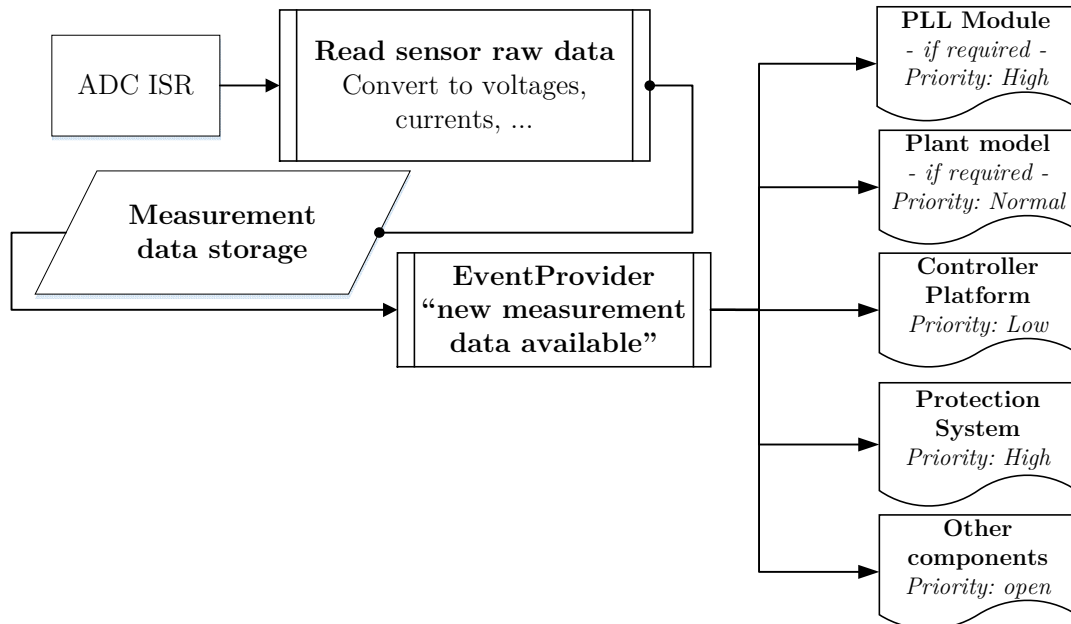


Figure 8.3 Work flow ADC-ISR critical code execution

8.5.4 Program state management

“Operation controllers” are realized as asynchronous, single-event receptor state machines. They control the states the SMCU firmware can be in. The general states the firmware can be in are:

- **Power On.** This is the initial state during with the system boot-up is performed.
- **Initialization.** During this state, all modules within the firmware are initialized and activated
- **Converter off.** The simulation module or DC-bus voltage controller module is idling. All high-power circuits are off.
- **Converter startup.** The simulation module or DC-bus voltage controller module is going through the process of setting up and synchronizing high-voltage functionality and control loops. For simulation modules, this state is mostly dominated by actions of the configured control loop topology.
- **Converter running.** The simulation module or DC-bus voltage controller module is operating its high-voltage circuit using all available components, such as PWM, sensors, control loops and plant models.
- **Converter shutdown.** The simulation module or DC-bus voltage controller module is going through the process of shutting down high-voltage functionality and control loops. For simulation modules, this state is mostly dominated by actions of the configured control loop topology.
- **Emergency shutdown.** The simulation module or DC-bus voltage controller module is going through the process shutting down high-voltage functionality

and control loops as fast as possible to prevent damage to equipment in case a failure was detected or a manual trigger was set (button). For simulation modules, this state is mostly dominated by actions of the configured control loop topology.

There is one operation controller for simulation modules (“DcAcOperationController”, Figure 8.4) and one for the DC-bus voltage controller module (“AcDcOperationController”, Figure 8.5).

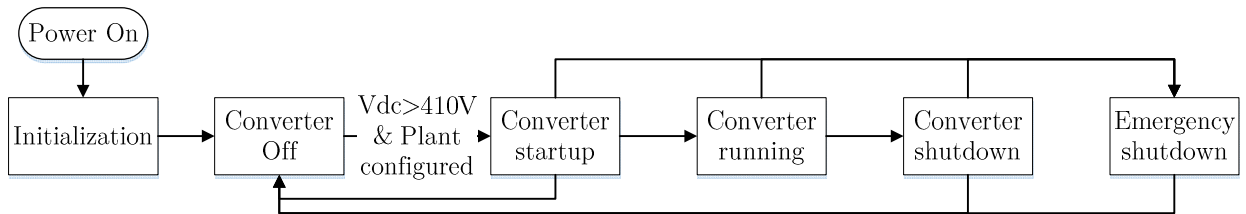


Figure 8.4 DcAcOperationController states for simulation module

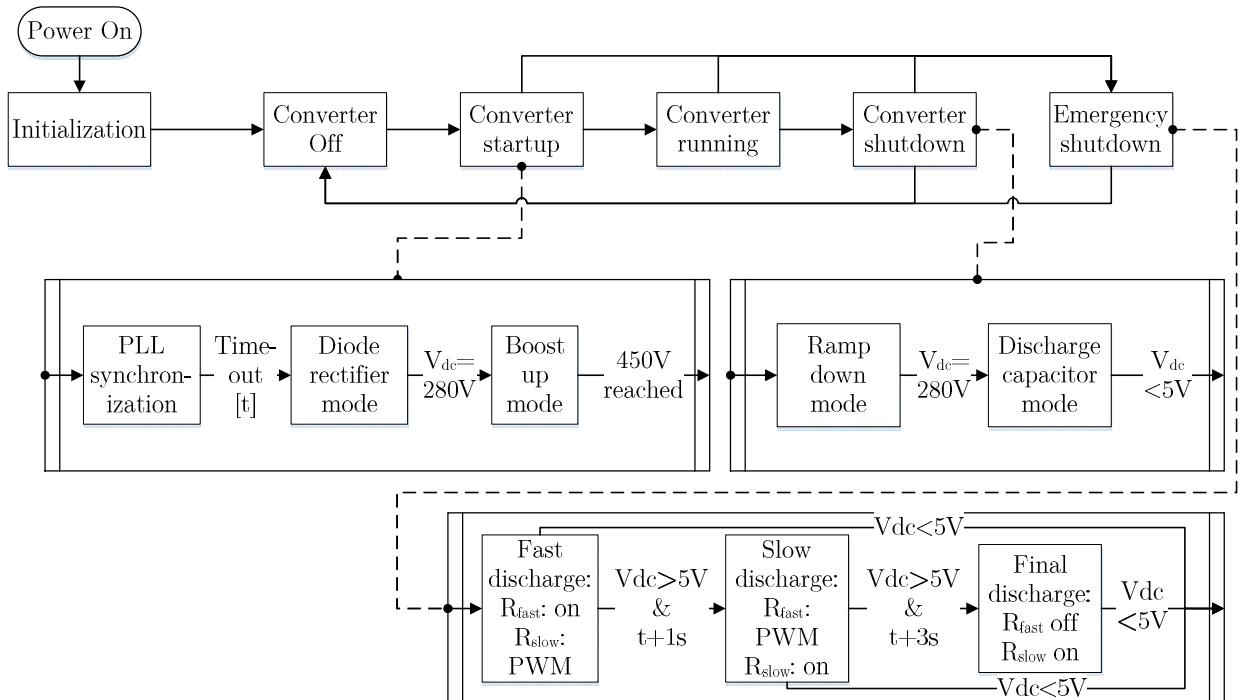


Figure 8.5 AcDcOperationController states for DC-bus voltage controller module

8.5.5 Global settings storage

Almost all settings that are of the interest of more than one module are managed through a central settings provider, called “GlobalSettings”. GlobalSettings that defines the behavior of the firmware. Each setting comes with a default value, a get function, a set function and an EventProvider (Observer pattern) so that modules relying on this setting receive change notifications. In particular, interfacing control loop topologies to plant models and the Desktop software through the CAN communication link is done using GlobalSettings. All possible options that a specific control loop can have, must be matched to a generalized set of settings; no settings aside from that are allowed. This ensures that important framework functionality is implemented within the control loop and interfacing with other components is simple. The following settings categories are available:

- **ADC settings.** Configuration of sensor measurements and data conversion (sensor calibration data, for example)
- **DC-bus voltage controller module settings.** (“ACDC settings”). Settings specific to this module, such as the nominal DC-bus voltage
- **CAN settings.** Settings specific to the CAN communication system on this microcontroller.
- **Fan settings.** Allows to define fan behavior based on IPM temperature
- **Protection system settings.** Allows to define thresholds for protection system actions
- **PWM settings.** Settings that are specific to the PWM generation, such as the switching frequency and dead times.

- **Controller settings.** `ControllerOperationalSettings` allow the modification of current power set-points. These are: commanded active and reactive power injection and the definition of default droop parameters f_0 , V_0 , P_0 and Q_0 . `ControllerParameterSettings` allow a definition of all controller specific parameters, such as controller gains, feed forwards or decoupling terms.

8.5.6 Controller and plant model abstraction

For both, control loop implementations and plant model implementations, an abstract base class has been defined (`ControllerPlatform` and `PlantPlatform`). These base classes form the foundations for each specific implementation. The functionality defined in the abstraction layer defines the interface to other program components. This functionality is:

- Control loop / plant model initialization and de-initialization
- Enabling / Disabling of control loop / plant model
- Execution of the “Converter startup”, “Converter shutdown” and “Emergency shutdown” states (control loop only; simulation module only)
- Interface to PWM generation module

By default, all computations for all program modules are performed on CPU1. If control loops or plant models have increased computational requirements (for example: PV plant), interfaces are available to also execute code asynchronously on CPU2 and synchronize input and output data using inter-processor communication (IPC). Extensions to also use the coprocessors CLA1 and CLA2 are possible.

a. Controller implementation blocks

To make the implementation of a specific control loop topology easier, faster and more flexible, certain fundamental controller blocks have been programmed that can be combined in many ways. Specifically these blocks are: P controller, PI controller, DQ0 controller with d/q channel and complex output limits, DQ0 controller with d/q channel output limits only, single-phase PLL, three-phase PLL and $abc \leftrightarrow \alpha\beta \leftrightarrow dq0$ transformations. Some blocks are replications of others, but with less functionality for computation speed reasons (for example, the P controller can be regarded as a special case of a PI controller).

A P(I) controller supports limiting through integrator resets and output value limitation in addition to its core PI functionality as shown in Figure 8.6. External offsets can be taken into account during a control step computation and are included in this limiting. External offsets are required to realize feed-forward and decoupling terms in dq0 controllers. Also, the output can be scaled by an output scaling factor, which is included in output limiting, as well.

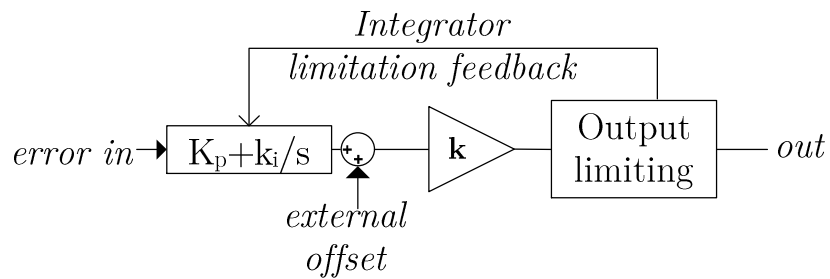


Figure 8.6 PI controller implementation with output limitation, scaling and external offset

DQ0 controller blocks are also available in two realizations to speed up computation where complex output limiting is not required. The DQ0 controller block structure has been reviewed in Chapter 2.

8.5.7 Software-based circuit protection

Since this test bed is developed for research and development, frequent overloading situations and controller instabilities are likely to happen. In order to protect the system from severe hardware damage, a multi-layered protection scheme has been developed. The hardware-based protection - as the last barrier - has already been introduced. Software-based protection acts before that.

While a simulation module or DC-bus voltage controller module is in the “Converter startup”, “Converter running”, “Converter shutdown” or “Emergency Shutdown” state, the `ProtectionSystem` checks at a rate of 10.2 kHz whether any sensor reading is beyond pre-defined thresholds. Also, the IPM can signal an overloading and action by its hardware-based protection mechanism, which also provides an emergency condition trigger for the `ProtectionSystem`. Thresholds for sensor value checks are set to trigger a little bit before any hardware-based protection comes into action or device specifications are exceeded. It also assists with protection coordination, where necessary (for example: DC-bus protection coordination with DC-bus voltage controller module; detect loss of V_{dc} ; see appendix A.4.1). In case of a detected overloading situation, two different actions can be taken: “emergency module shutdown” (EMS) and “emergency system shutdown” (ESS). Some overloading, such as exceeding currents only stress hardware of a single (simulation) module, thus only this module is to be turned off as fast as possible. Other situations, such as exceeding voltages can reflect back to other (simulation) modules. In this case, it is better to turn off the entire experiment (ESS) to avoid cascading overload situations.

Since `ProtectionSystem` actions always result in the interruption of a running experiment, it is desirable to design control loops in such a way, that protection actions are

impossible or unlikely, provided the control loops are stable at any given time. Each implemented control loop topology features controller output limits that ensure that the maximum commanded values are within the long term operational limits of the underlying hardware (for example, the maximum commanded current of a power controller can be limited to a safe value not exceeding filter inductor current ratings). These limits are additional to any other controller limits mentioned at other places in this thesis.

In conclusion, the protection of a simulation module is a multi-stage mechanism. The innermost method is limitation of controller outputs, followed by software protection and finally hardware protection.

8.5.8 Additional software components

In addition to the framework components described so far and the CAN communication link, various helper components have been created to facilitate a proper module operation. These components are described in appendix C.1 on page 234.

8.5.9 CAN communication

a. Requirements

As described in section 3.1.4, the purpose of the CAN communication link is to enable system configuration, coordination and measurement data transmission. More specifically, the following communication needs exist:

- Configuration of test bed modules (control loops, plant models, sizing, operational state...) at any time
- Signaling of status information and coordination of `ProtectionSystems`

- Detection of available simulation modules, DC-bus voltage controller module(s) and desktop computer software (Test bed central controller)
- Transmission of data streams (local measurements) on a subscription basis.

b. Implementation

The CAN communication link software stack has been designed with the goal to be usable on the TI C2000 microcontrollers (including the model used) and C++ for Windows Desktop for as many parts as possible. In order to do so, a platform specific (and hardware dependent) part (hardware abstraction layer, HAL) and a portable platform-shared part of the protocol stack has been defined.

c. Platform specific code

The HAL interfaces to the CAN interface available to a specific system. For the TMS320F28377D microcontroller, it is the TI CAN module, type 1, for the TMS320F28335 it is the TI eCAN module type 0 and for Windows Desktop, a USB-CAN adapter has been acquired¹⁸. All of these CAN modules provide a platform specific software access to their control registers. The HAL (`CanControl`) that is individual to each CAN module type abstracts from this. Towards the unified platform shared CAN protocol stack, it exhibits an interface to send and receive CAN messages. These CAN messages are a standardized data structure (`CanMessage`). Prioritization of selected CAN messages, error handling and (de-) initialization of the underlying CAN module are also included here.

d. Platform shared code

The platform shared part of this CAN protocol stack, “`CanInterface`” realizes tasks to interface all other program parts with the HAL `CanControl`, as well as, control of CAN

¹⁸ Kvaser Leaf Light HS v2

operational states (off, initialization, operation...). On top of the HAL's capability to send messages, `CanInterface` realizes the following general functionality:

- Provide communication in a dialog style (question-response-acknowledgment)
- Connection handling. Verify the presence of other communication partners and detect new ones. Manage a list of available communication partners
- Multi-Packet message handling for payload data of up to 128bit per `CanMessage`
- Realization of data streams with subscription capabilities for measurement data transfer at selectable data rates.
- Command specific, event based program interface for incoming CAN messages.

Through the implementation of this protocol stack, it is possible to communicate between all simulation modules, the DC-bus voltage controller module and a Windows Desktop software regarding all available configuration parameters, protection coordination, connection handling and measurement data transmission.

8.6 Summary

This chapter has explained the principles (software patterns), the structure and implementation aspects of the simulation module control unit (SMCU) firmware. With this knowledge, the reader should be able to have a general understanding about the software, so that functionality can be assessed and work on the source code can happen with a high-level structure in mind. The most important piece of information for this purpose should be Figure 8.1. This firmware makes use of many concepts that facilitate fast mutation of roles, run-time efficiency and simple platform portability, where needed.

Chapter 9

Software design: Test bed central controller

9.1 Design goals

The goal for the test bed central controller (TBCC) software is to provide a tool as Windows Desktop application to manage all high level functionalities of all simulation modules and DC-bus voltage controller module, record measurements from these modules as needed and prepare a framework for Microgrid central controller implementations.

In further detail, the required functionalities are:

1. Configuration of simulation modules in terms of grid interface selection and configuration, plant model selection and configuration and operational state control (start, stop...)
2. Operational state control of DC-bus voltage controller module
3. Recording and plotting of selected measurements that are available to simulation modules through their sensors

4. Well defined program interfaces that a Microgrid central controller implementation code can attach to
5. Automated execution of all functionalities mentioned above with the option of timed execution. This allows to realize load profiles, solar insolation profiles and similar functionalities over an artificial simulation time.

9.2 Design patterns

Since the TBCC includes a user interface, it is important to define, how user interface and underlying data are handled. With the presence of a communication bus, many processes become asynchronous and include significant delays due to communication dialogs.

One of the most popular ways to organize program logic and user interfaces is the Model-View-Controller (MVC) design pattern [71]. It is based on the principle to separate a program with a user interface into three parts:

- **Model.** The model manages the behavior and data of the application domain, responds to requests for information about its state (usually from the view), and responds to instructions to change state (usually from the controller).
- **View.** The view manages the display of information.
- **Controller.** The controller interprets the mouse and keyboard inputs from the user, informing the model and/or the view to change as appropriate.” [71]

MVC has been implemented and interpreted in many ways. For the TBCC software, the controller role has been integrated into the model and the view: the view listens to

data change events and updates its display of information accordingly. The model provides ‘data has changed’ events that the view can listen to and methods for the view to trigger a data change in the model after user input.

9.3 Architectural choices

9.3.1 Programming language and framework

The CAN protocol stack has been written in C++ due to requirements on the microcontroller platform. In order to reuse this code in the TBCC, the highest flexibility can be obtained by using C++, as well. However, implementing user interfaces in C++ can be laborious, compared to other languages and frameworks. The Microsoft .NET platform provides tools for a faster and cleaner user interface implementation. Typical .NET languages are VB.NET and C#. Furthermore, C++/CLI has been developed as a .NET language that allows combining classical, non-.NET C++ code with the managed .NET code output of C#. In the course of this project, it has shown that a combination of C++, C++/CLI and C# results in a much more elegant software stack than using other interfacing software, such as SWIG¹⁹, for this application.

Therefore, the TBCC has been realized in C++/CLI for the model (except the CAN protocol stack, which remains in C++ and is part of the model) and in C# for the view.

9.3.2 Program module interfacing

The platform shared code of the CAN protocol stack is written for the execution realized on the microcontroller (mostly using `TaskScheduler`). Therefore, this entire environment

¹⁹ <http://www.swig.org>

is also included in the TBCC realization of the CAN protocol stack. A wrapper to this protocol stack (“CanProtocolInterface”) interfaces this native code to the .NET classes of the model and adds initialization and finalization of the entire environment. A diagram with focus on the CAN protocol stack inclusion is given in Figure 9.1.

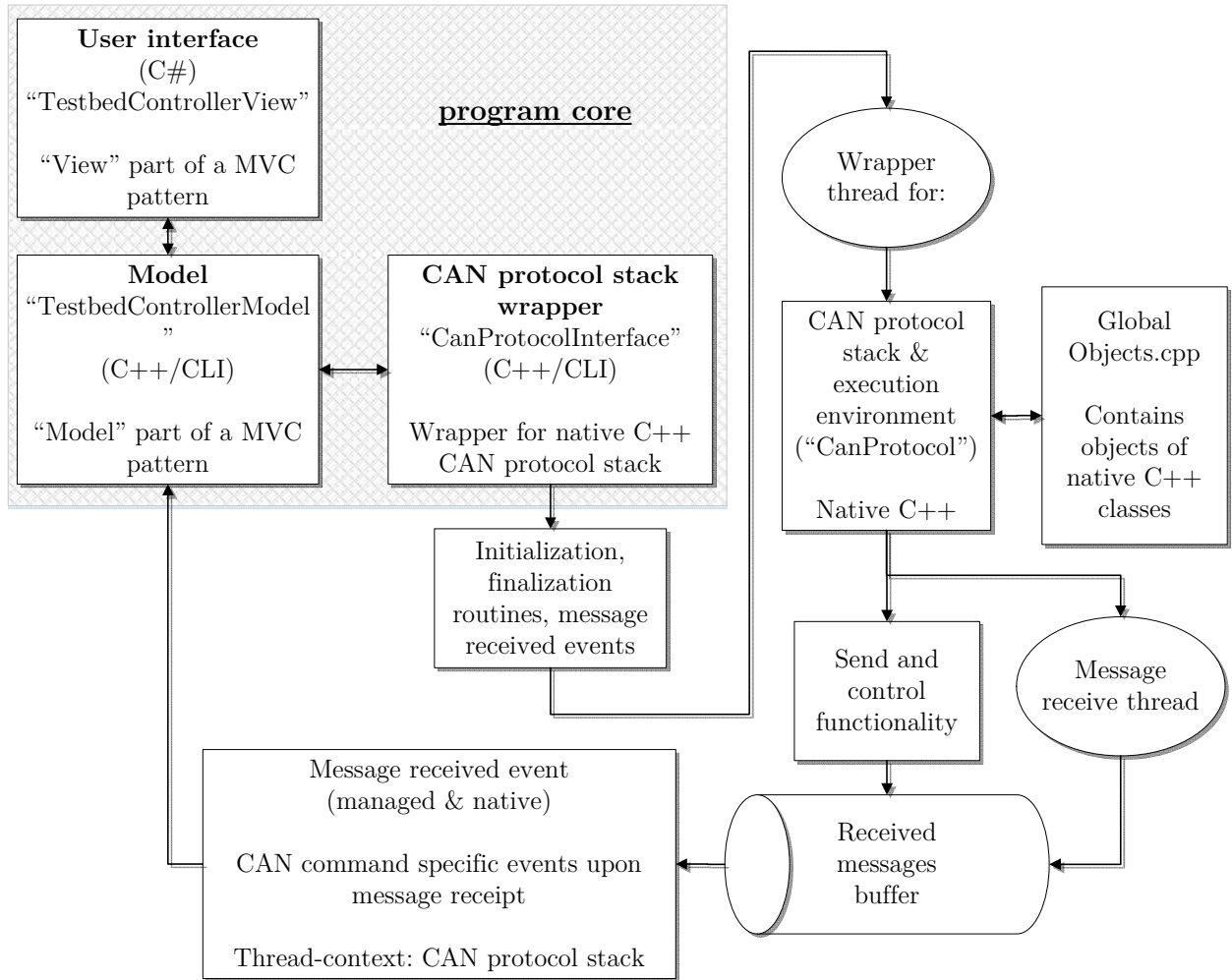


Figure 9.1 TBCC software structure with focus on CAN protocol stack

9.3.3 Threading model

Using multiple threads for a user interface (UI) application can have the advantage that the user interface does not freeze during long computations on program data. It can also improve reaction speed for such long computations, if this is required.

Since the CAN protocol stack requires its own thread anyway, the TBCC software already comes with two threads: the user interface thread (default) and the CAN protocol stack. Aside from other local and independent computations in separate threads, these are the only program-defined threads in this software.

The user interface thread executes all the code related to user interfaces and all the code that results from user inputs to user interfaces. Data updates from the model have to be synchronized to the user interface thread before updating the user interface (.NET Windows Forms requirement).

The CAN protocol stack operates around the second most common source of input and output to the TBCC software, i.e. the communication link. It handles all messages received by `CanControl` and initiates model changes and events, if required.

This has two consequences: most of the model code runs in the CAN protocol stack code and the model has to be thread-safe for most parts.

In order to ensure thread-safe execution of the TBCC, some measures have to be taken. To access any UI element, the code has to be executed in the same thread that created that element. For this reason, all accesses to UI elements are passed through the UI thread's message queue to be executed by this thread using .NET delegates (by the `UIThread` extension method). In the model, critical sections (`Monitor`, locks) are heavily used to serialize multiple access to the Model. Where data is not to be reused, data copies are created before a thread-context switch is performed to avoid multiple same-

time access to the same data base that could potentially result in data corruption otherwise. Queues can also be used to move data between threads. This is used between the CAN protocol stack thread and a thread used to read CAN messages from the USB-CAN adapter (inside `CanControl`).

9.4 Implementation overview: Model

A block structure of the model is shown in Figure 9.2. Its components are discussed in the following sections.

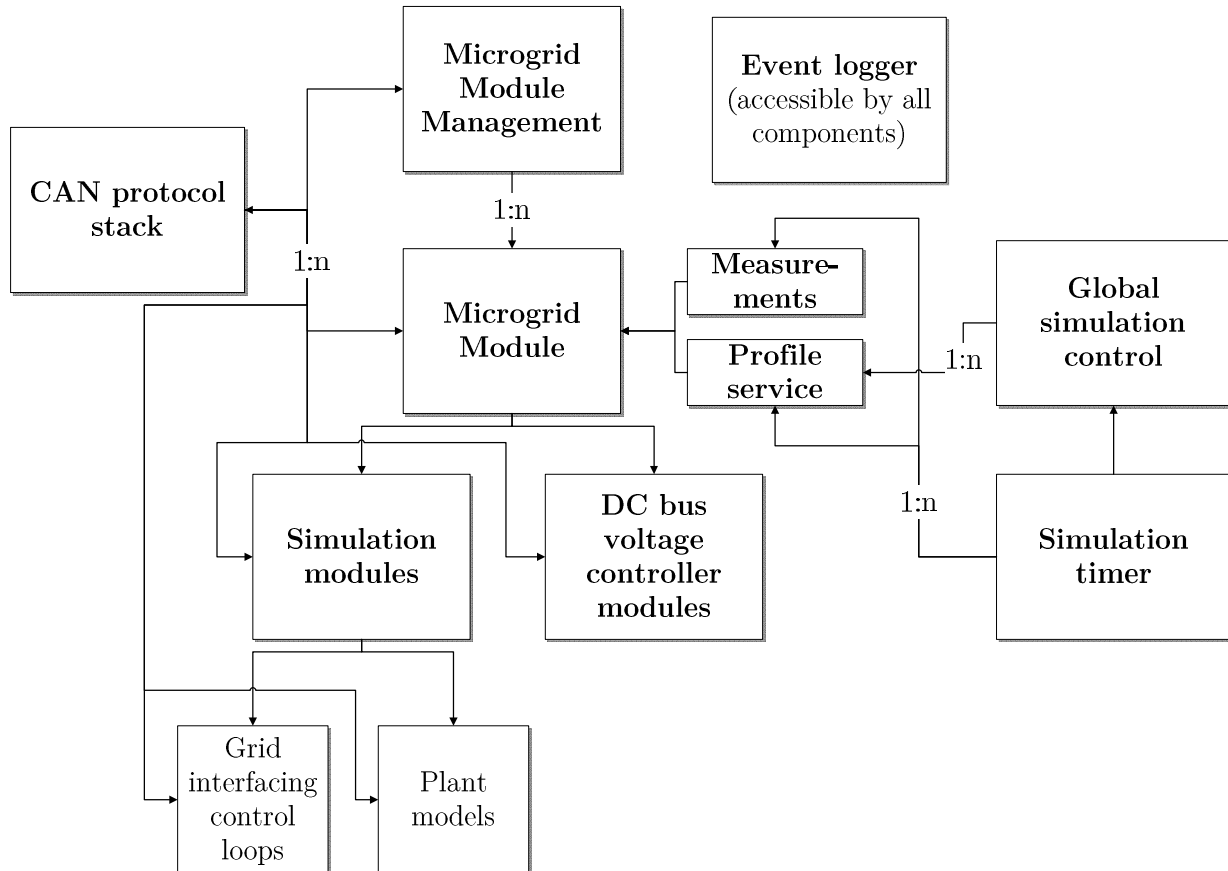


Figure 9.2 TBCC ‘model’ block structure

9.4.1 CAN communication

CAN communication is realized as outlined in Figure 9.1 based on the CAN protocol stack written in C++. CAN messages can be created and consumed in any component of the model, but are not accessible by the view. Any data changes resulting from CAN communication can trigger events that the view listens to. The CAN protocol was designed to ensure that any communication results in a state-less TBCC software or low number of states necessary in the TBCC, so that during a connection loss no undefined states can occur. When a (re-) connection happens, all states present have to be synchronized with the current states in the respective SMCU. The SMCU states have priority over any states known to the TBCC. States that have to be synchronized are the current operation controller state, for example.

9.4.2 MicrogridModule, SimulationModule, DcBusModule

A model representation of simulation modules and DC-bus voltage controller modules is realized in the classes `MicrogridModule`, `SimulationModule` and `DcBusModule`. `MicrogridModule` contains all code representing functionality that is common to both module types: Operation control (start/stop, emergency shutdown and status), protection system control and local measurements. Most of this functionality is a code interface to the SMCU functionality, provided through the CAN communication link.

`SimulationModule` is a class derived from `MicrogridModule` and adds simulation module specific functionality: grid interfacing control loops, plant models and the profile service²⁰.

²⁰ See section 9.4.7

`DcBusModule` is also derived from `MicrogridModule`, but only adds minor functionality.

9.4.3 Microgrid module management

All modules online or inactive are listed in `MicrogridModuleManagement` until manually remove from this list. All modules that have been seen on the CAN bus at least once during the current TBCC runtime are either online or inactive. Modules that are not online and have never been detected on the CAN bus during the current TBCC runtime are not listed.

`MicrogridModuleManagement` provides dynamic access to all `MicrogridModules` available and notifies model and view components about changes in the list.

9.4.4 Grid interfacing control loops

All grid interfacing control loops are parameterized using a standardized set of floating point parameters (35 parameters). An abstract base class, `ControlLoopModel`, allows storing, updating, setting and getting these parameters using a dictionary class. Data change events are provided for other model and view components. Specific control loop implementations (derived from `ControlLoopModel`), such as the `ControlLoopModelVsBasedDroop`, only have to define which of the 35 parameters are used for this control topology. Every other functionality is available through the base class `ControlLoopModel`.

Please note that all parameter names (must) have matching naming with profile service commands (see section 9.4.7).

9.4.5 Plant models

Similarly to `ControlLoopModel`, an abstract plant model class `PlantModel` exists. It supports storing, updating, setting and getting a set of floating point parameters, as well. However, there is no pre-defined number of parameters. This is, because different plant models cannot be standardized as much as different grid interface control topologies. For this reason, derived classes representing an actual plant model implementation (`PlantModelPV`, for example) must define all possible parameters that are to be handled by `PlantModel`. If plant models have internal variables that can be valuable as a measurement signal (for example, the DC-bus voltage in a PV plant software model), these measurement signals have to be made available using the measurements system described next. `PlantModel` does not offer any functionality for this.

Please note that all parameter names must have matching naming with profile service commands (see section 9.4.7).

9.4.6 Measurements

Certain variables in a DC-bus voltage controller module or simulation module can be of interest on a continuous basis. Mostly, these are local measurements by the `MicrogridModule` (voltages, currents, power, temperature...). Also, variables inside plant models can be of interest, as mentioned before.

All these ‘measurements’ can be transported to the TBCC through CAN for recording in RAM and/or disk, plotting and later analysis. Each `MicrogridModule` has an object of class `MeasurementsRecorder`. This class keeps track of all measurements that a `MicrogridModule` is currently subscribed to. Each single measurement is represented by a `MeasurementStream`. These `MeasurementStreams` record received data in RAM by default

and write it to disk in batches, if activated. `MeasurementStream` is the class that communicates through CAN, while `MeasurementsRecorder` itself does not. Every `MeasurementStream` can receive measurements at the rate of 1 Hz, 10 Hz, 100 Hz or 1 kHz. It is possible to transmit up to about 9 single value measurements at 1 kHz over the CAN bus simultaneously. However, at exceedingly high constant CAN bus load, some measurements are deactivated automatically in order to not overload the CAN bus.

9.4.7 Profile service, command file execution

One important component of a Simulation Controller role is to define the environment for a simulation scenario. Environment can be expressed as weather, load, irradiation, wind speed and direction, temperature and other factors that vary *over time*. It is impractical to change all these parameters by hand at the right time in order to replicate a correct profile of these parameters with the correct slope.

For this reason, the `ProfileService` defines an automatic execution of commands (`ProfileCommand`) based on an artificial simulation time managed by `SimulationTimer`. All `ProfileCommands` can be loaded from a file with a defined file format based on comma-separated values. The execution of commands is accurate down to one second of simulation time and 0.1 second of simulation time for programmable ramping of values.

The `SimulationTimer` allows the creation of an artificial time for the entire experiment. The timer can be started, paused and reset. The timer speed can be adjusted, so that an experiment does not have to take as long as the time it is supposed to represent. Each `MeasurementStream` also records the simulation time so that plotting and analysis is possible against real time and simulation time.

`ProfileCommands` can be executed by four components: `MicrogridModules`, `ControlLoopModel`, `PlantModel` and `MeasurementRecorder` to change internal state. Control loop and plant model configuration by the TBCC view generates `ProfileCommands` in order to change a matching parameter. `ProfileCommand` and configuration parameter are matched by their string name representation. For this reason, parameter names in control loop topologies/plant models must match the `ProfileCommand` name definition for each of these.

9.4.8 Global simulation controller

The `GlobalSimulationController` groups all `ProfileService` objects (each `SimulationModule` has one) and provides global control over the automatic execution of timed commands. The automatic execution is expressed by five states (Figure 9.3): (1) simulation inactive, (2) simulation start preparation, (3) simulation active, (4) simulation paused and (5) simulation shutdown.

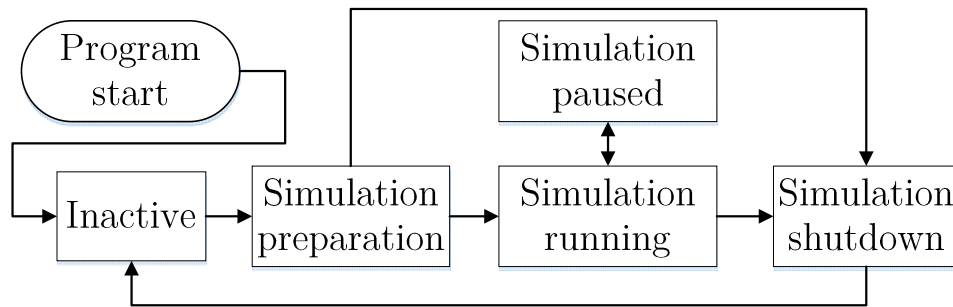


Figure 9.3 States of global simulation control

(1) is the default state in which no action is taken. As soon as all profiles and the simulation time is set up, the global simulation can be started which triggers a change to state (2). In state (2), all modules are prepared for simulation. For each participating simulation module, a set of `PreparationCommands` (special `ProfileCommands` for simulation

preparation) can be defined that bring this simulation module into the desired state before simulation starts. For simulation modules, this has to include the command to start up the converter. DC-bus voltage controller module(s) are started automatically and no `ProfileCommands` or `PreparationCommands` can be executed on them. The initialization happens for all participating modules in a serial manner, which can be defined prior to global simulation start. In case of an emergency shutdown, the global simulation can be aborted. State (3) represents a running simulation. During this state, the `SimulationTimer` starts at its initial time with configured speed. All `ProfileServices` listen to this `SimulationTimer` time and execute commands at the execution time defined in each `ProfileCommand`. At any time during a running simulation, it is possible to pause a simulation (4), which means that the `SimulationTimer` is paused. The current state of the test bed is preserved. Finally, during simulation shutdown (5), all modules are shut down in reversed preparation order.

GlobalSimulationControl controls the operation of `SimulationTimer`.

9.4.9 Logging

Using `NLog`²¹, logging capabilities are available to all program modules in model and view. Logging can be used for debugging and event tracing. Logging information is saved to the application folder and visualized in text fields within the application. It is possible to set filters to determine the grade of severity of logging information to be logged, such as ‘debug’, ‘info’, ‘warn’, ‘error’ and ‘fatal’.

²¹ <http://www.nlog-project.org>

9.5 Implementation overview: View

This section briefly describes the ‘view’ part of the TBCC software that is completely implemented in C#. A block structure is shown in Figure 9.4. Screenshots of main user interface components are given in appendix C.3.

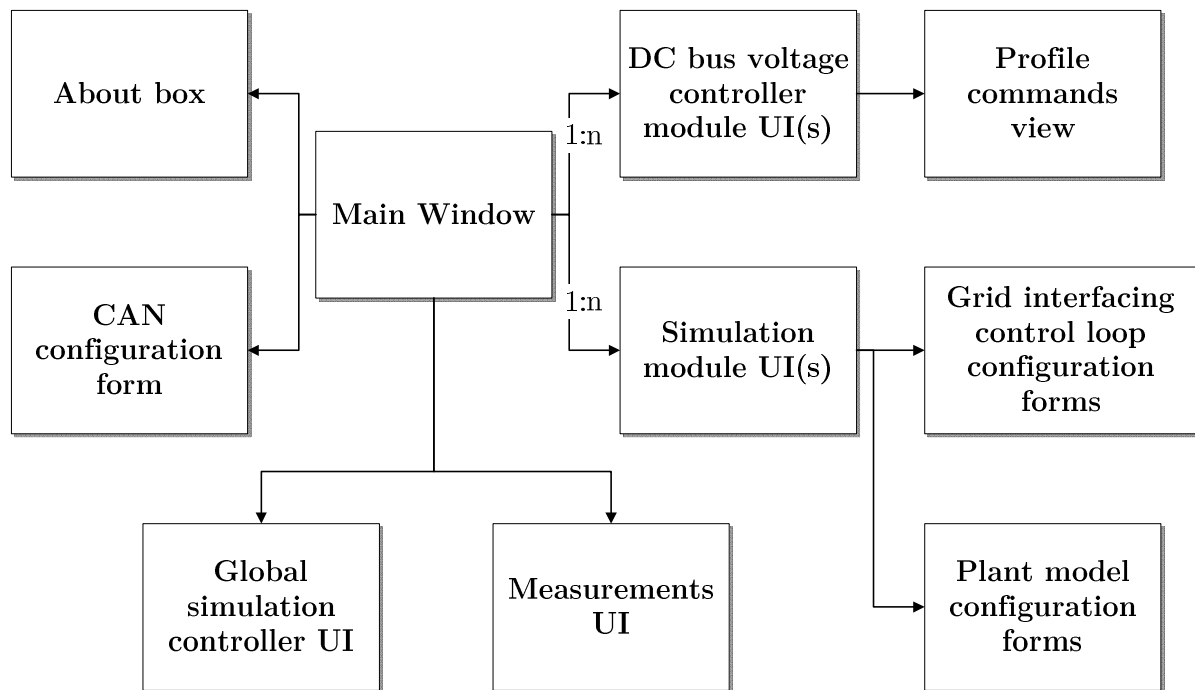


Figure 9.4 TBCC ‘view’ block structure

9.5.1 Main window

The main window serves as host window to many data displays that are mostly programmed in external components (“user controls”). These user controls can be added to the main window in tabs as needed. Common user controls are for user interface (UI) representation of the global simulation control, measurements, DC-bus voltage controller module(s) and simulation modules. The view does not manage any program states nor

does it hold core information. It rather takes all information from the model and transforms it into a form required for display to the user. The view is as low in states as possible. The interface to the model is realized through event listeners (.NET delegates) for data flow from model to view and as model method calls for data flow from view to model.

As simulation modules and DC-bus voltage controller module are detected on the (activated) CAN bus, more and more tabs for these modules are added right to the “App log” tab.

9.5.2 SimulationModule, DcBusModule, control loops, plant models

Each simulation module and DC-bus voltage controller module is represented by a `SimulationModuleUI` and `DcBusModuleUI`, respectively. The `SimulationModuleUI` allows the control of:

- Simulation module operational state and protection system
- Profile commands to be applied to this module and activation for global simulation control
- Grid interfacing control loop configuration
- Plant model configuration
- Selection of a set of compatible grid interfacing control loops and plant models
- Log output for this module

The `DcBusModuleUI` allows the control of:

- DC-bus voltage controller module operational state and protection system
- Activation for global simulation control
- Log output for this module

9.5.3 Measurements, plotting

Another user control summarizes all `MeasurementsRecorders` and `MeasurementStreams` of all `MicrogridModules` in one UI. It allows controlling the measurements taken and the folder for recordings on the hard disk. Each of the active measurements can be displayed in plots with real-time updates.

9.5.4 Global simulation control

The `GlobalSimulationControlUI` heavily interfaces with `GlobalSimulationControl` (model component) and exposes all of `GlobalSimulationControl`'s functionalities to the user. It also allows to control the simulation time.

9.6 Interfacing a secondary controller algorithm

In this thesis, no elements of a Microgrid central controller (for secondary or tertiary control) have been implemented. However, through the TBCC model, all necessary information is available:

- Available sensor data of all modules can be obtained using `MeasurementsRecorders`
- Any supported action, such as setting droop controller set-points can be performed by invoking the appropriate methods in the TBCC model.

For example, in order to change the droop controller set-points of a specific simulation module, the droop controller parameters of a simulation module's `ControlLoopModel` (specifically: `ControlLoopModelVsBasedDroop`) have to be changed in the `ControlLoop` field of that simulation module. These changes will be communicated through CAN and will be applied to the SMCU firmware.

9.7 Summary

The test bed central controller (TBCC) is a Windows Desktop application that realizes the role as a simulation controller and provides interfaces for a future Microgrid central controller. It provides a human machine interface to all significant functionalities of the DC-bus voltage controller module and simulation modules. It allows recording any available sensor measurement to RAM, disk and/or plots and to automate all available functionalities using script files on an artificial time base. The TBCC software structure has been highlighted, especially in Figure 9.1, Figure 9.2 and Figure 9.4. With this overview and knowledge about the design principles applied, assessment and in-depth work with the source code should be fast and simple.

Chapter 10

Experimental results

This chapter presents measurements taken from the implemented test bed. Different testing scenarios demonstrate the proper functionality of individual components and of the entire test bed. For this reason, the first tests demonstrate functionality of individual grid-interfacing control loops and plant models. After that, measurements from the DC-bus voltage controller module are presented. This chapter concludes with experimental recordings from a scenario comparable to the one described in Chapter 7 running an islanded grid with load, storages and photovoltaic plant on a 24 hour profile.

Please note: if not stated differently, all power flows given in this chapter for simulation modules are positive when power flows from the simulation module to the Microgrid bus. This means, that a load to the grid has a dominantly negative power flow.

10.1 Grid-following converter

The grid following converter provides the ability to absorb or inject active and/or reactive power from/to an existing grid. For that, a stable voltage and frequency has to be present. The grid-following converter has been tested when connected to the laboratory electricity grid in order to demonstrate maximum dynamic performance, as shown in Figure 10.1. During the development, it has been found that the voltage-source based

droop controller topology is unable to maintain a stable grid voltage²² during the most extreme transients caused by the fast reaction of the grid-following converter. For stable operation with the voltage-source based droop controller, the grid-following converter’s current controller integral gain has been slightly reduced.

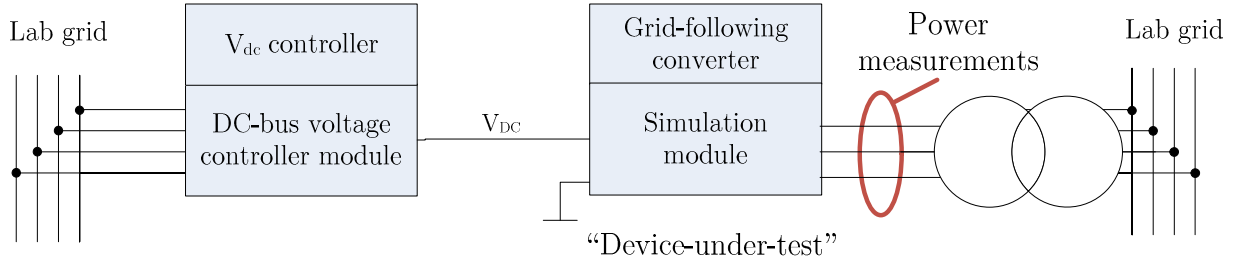


Figure 10.1 Grid-following converter test configuration

To verify stable and high-quality performance, the grid-following converter has been tested at steady state with power references in all four quadrants for 0%, 25%, 50% and 100% load (Figure 10.3). After that, the dynamic performance has been tested through an active power reference step from 0% to 100% rating (Figure 10.2).

In these recordings, it can be seen that the grid-following control topology is able to generate high quality current waveforms for the entire operating range. Some high-frequency harmonics can be noted in the transformer currents (green). The frequency matches the power filter resonance frequency quite well, indicating small system resonances in the grid connection. In the filter inductor currents (i_{Labc}), which are directly controlled by a current controller, the resonances are not apparent. The difference between transformer and inductor currents in all measurements is caused by the filter capacitor reactive power contribution. This becomes most apparent in the first graph in Figure 10.3. The red capacitor voltage waveform is very noisy due to the measurement

²² Here, ‘not stable’ means that the test bed’s protection system is activated due to short-term transients

setup. The location of the measurement points was very close to the filter inductors that are a major source of EMI emissions.

Figure 10.2 proves a fast dynamic performance of the grid-following controller. It is able to follow a step response within 1-2ms.

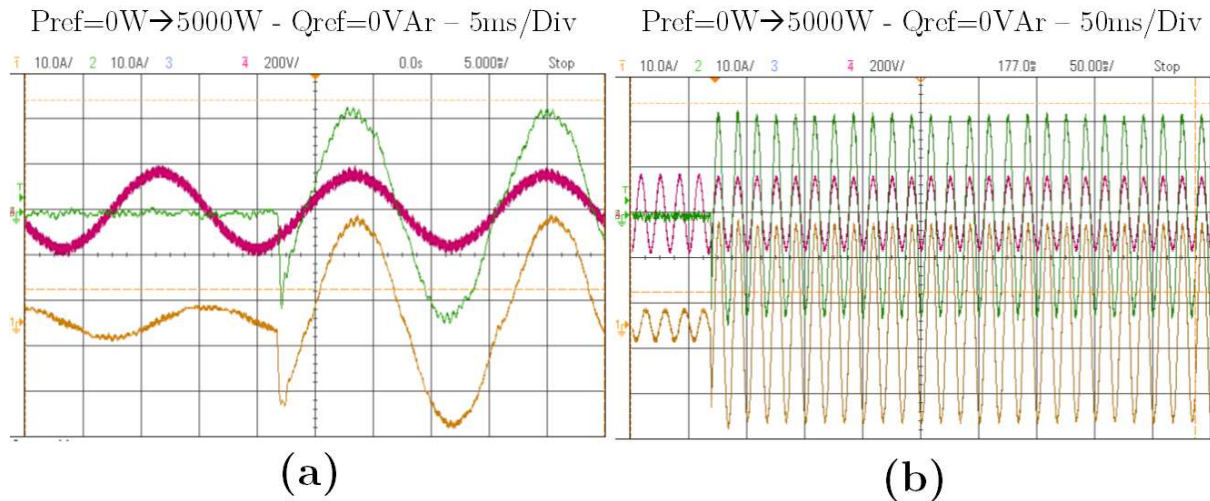


Figure 10.2 Grid-following control topology: transient performance

(active power reference step change from 0W to 5000W). Green: transformer current (10A/div); Yellow: filter inductor current (10A/div); red: filter capacitor voltage (200V/div). All graphs show phase A. Time scales: (a) 5ms/div; (b) 50ms/div

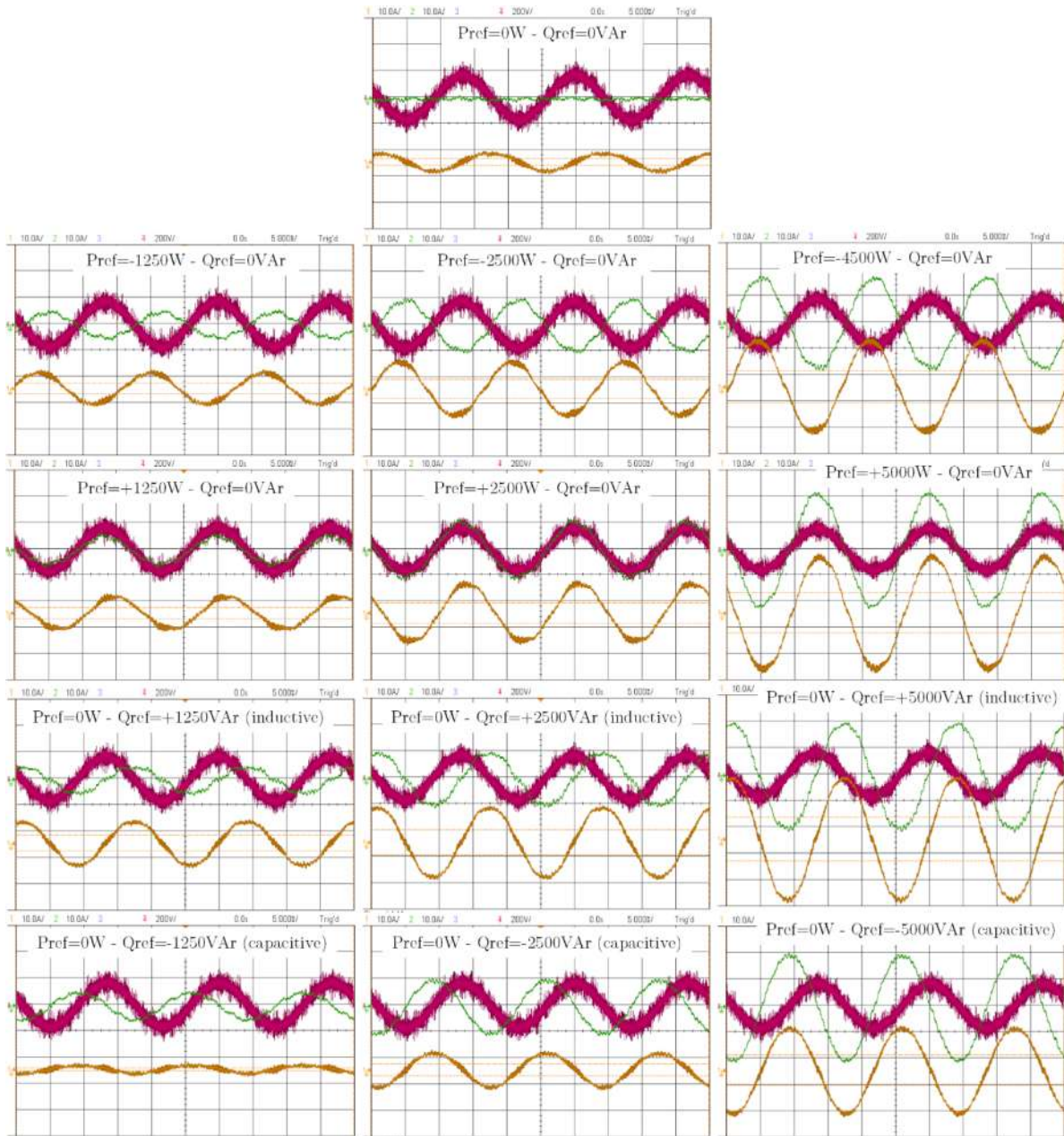


Figure 10.3 Grid-following control topology: steady state, four quadrant performance.

Green: transformer current (10A/div); Yellow: filter inductor current (10A/div); red: filter capacitor voltage (200V/div). All graphs show phase A.

10.2 Grid-supporting converter

10.2.1 Voltage-source based droop controller

The voltage-source based droop controller can be used to realize the grid-former and the grid-supporting converter roles. Its main functionality is to define a stable grid voltage and frequency, stand-alone or in cooperation with other grid-supporting converters. Up to its power rating, it has to be able to keep the grid voltage at a stable level and the voltage waveform's harmonic contents low. The generation of balanced voltages is highly desired.

In order to demonstrate the performance of the implemented voltage-source based droop controller, the following three test cases have been run on the Microgrid test bed:

1. One simulation module with voltage-source based droop controller as grid interface together with one simulation module using the ZIP load and grid-following converter. A time-varying load is applied.
2. Two simulation modules with voltage-source based droop controllers as grid interfaces together with one simulation module using the ZIP load and grid-following converter. A time-varying load is applied.
3. One simulation module with voltage-source based droop controller as grid interface and no other connected simulation modules. The negative sequence control loop is activated and deactivated to demonstrate its functionality.

a. **Test case 1: Single droop controller with load connected**

To show that the voltage-source based droop controller is able to define a good voltage waveform under typical loading conditions, a load was operated with power references

as given in Table 10.1. Based on the given load profile, Figure 10.4 shows transformer currents and dynamic response of the voltage-source based droop controller. In Figure 10.6(a)-(d) it can be seen that the droop controller module is able to maintain steady voltage levels, varies voltages w.r.t. the reactive power demand and supplies any load demand present within its limits. Any differences between supplied and consumed power are due to the two transformers that are between both simulation modules, as well as inrush current limiters in AC breaker boxes that initially consume more energy in order to heat up after an increase in power flow. The inrush current limiters cause a change of power injection of the droop controlled module during load power steady-state, as can be seen in Figure 10.6(c) during seconds four to eight.

The system frequency has been determined using the load module PLL. This PLL introduces strong transient oscillations for a few seconds, as can be seen. However, the level the detected frequency converges to, becomes apparent, which corresponds to the expectations of droop control. After second 12, the load module was turned off and disconnected. Therefore the system frequency variable remains in an undefined state after second 12.

A difference compared to the simulated case in section 5.3.4 is that the maximum load power is 4kVA instead of 5kVA. The reason for this is, that transformer magnetization losses and reactive power consumption cause the droop controlled module to supply more complex power than the load module consumes. A load power of 5kVA would exceed the simulation module ratings and cause a voltage collapse.

Table 10.1 Load power references for test case 1

Time [s]	Complex power reference [VA]	Power factor reference
0	0	1
2	2000	1
4	4000	1
6	4000	0.9
8	2000	0.9
10	0	0.9

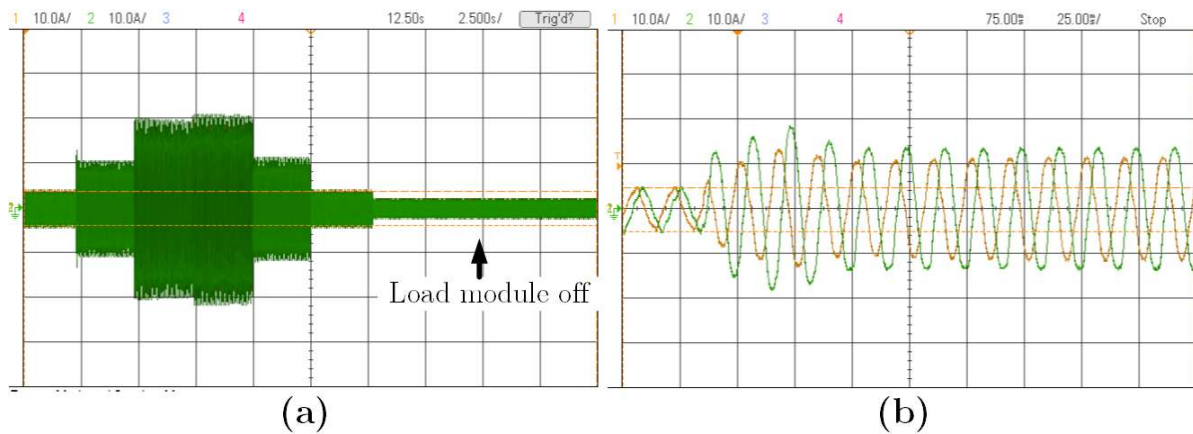


Figure 10.4 (a) $i_{t,ab}$ for droop controlled module during load profile defined for test case 1.

During the last segment, the load was turned off and disconnected. Currents: 10A/div; time: 2.5s/div. (b) $i_{t,ab}$ for droop controlled module during a load step from 0W to 2500W. Currents: 10A/div; time: 25ms/div

b. Test case 2: Two droop controllers with load connected

When droop controllers operate in parallel, the power droop scheme is supposed to determine short-term active and reactive power sharing in order to provide a stable local

voltage and frequency. In this experiment, a load profile with 2.5 kVA and 5 kVA step changes has been used, instead of 2 kVA and 4 kVA before (Table 10.1). This became possible through the addition of a second droop module so that enough capacity is available to supply the load and losses. Figure 10.6(e)-(h) shows power flows and voltages for this test case and demonstrates that a decentralized and fast power sharing is accomplished. The average between all three phases of the capacitor voltages of a module is displayed, so that the overall voltage levels, instead of voltage imbalances, become apparent. To the droop controller, the overall voltage levels are of interest, only. In the aforementioned figure, it can be seen that the droop module voltages remain fairly constant during active power-only operation and deviate as soon as a reactive power command is added. The load module was turned off and disconnected at second 12, so that displayed voltage and frequency measurements for after that are invalid for this module. The frequency was detected using the load module PLL, so that previous comments on frequency transients apply. Figure 10.5(a) shows transformer currents of one droop module during the load cycle, confirming the module dynamics and current/power injection levels.

Figure 10.5(b) shows the voltages produced by droop module 2 during a load step change from 0W to 5000W. There, it can be seen that the droop controllers are capable of restoring the voltage to nominal levels within about four to seven cycles.

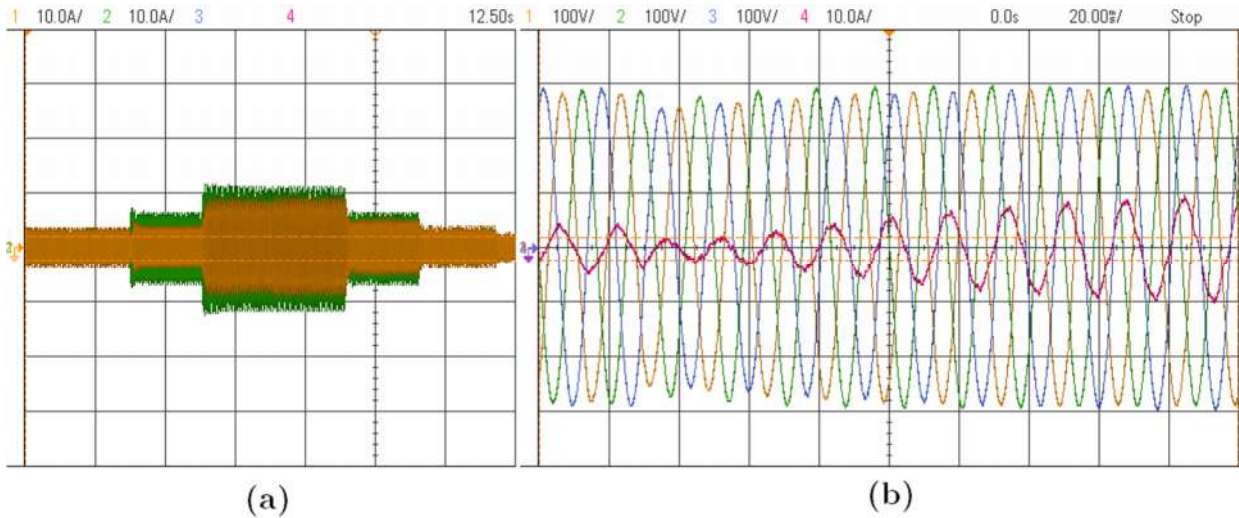


Figure 10.5 Two voltage-source droop controlled modules in operation.

(a) Droop module 2 transformer currents, phase A (yellow) and B (green). Currents: 10A/div; time: 2.5/div. (b) Droop module 2 capacitor line-to-line voltages ($v_{c,ab}$: yellow, $v_{c,bc}$: green, $v_{c,ca}$: blue) and phase A transformer current (red) during load module step change from 0W to 5000W. Voltages: 100V/div; currents: 10A/div; time: 20ms/div

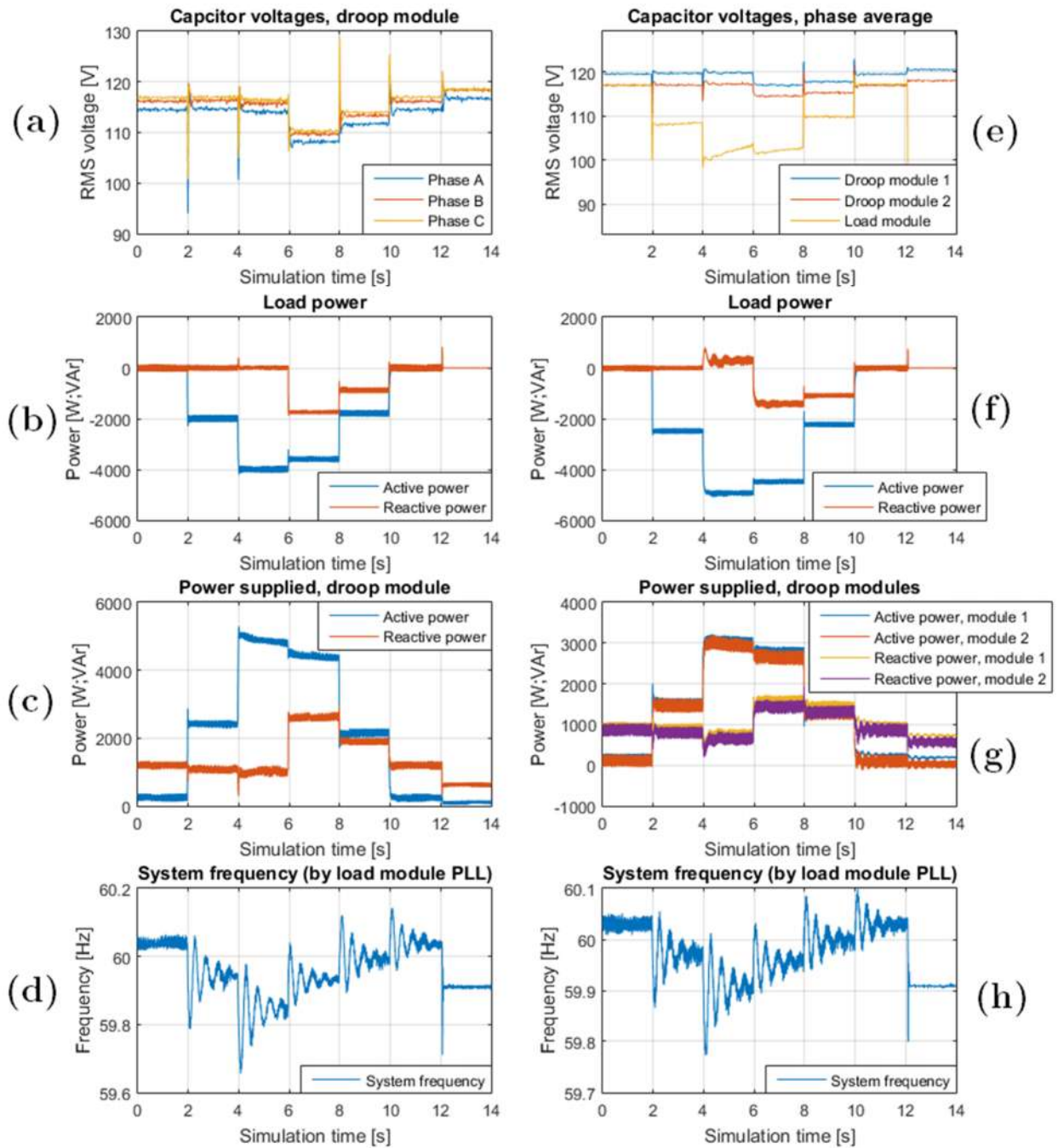


Figure 10.6 (a)-(d): test case 1. (e)-(h): test case 2

c. Negative-sequence controller for balanced voltages

Due to unbalanced loading of the voltage-source based droop controller (for example, due to unbalanced, non-linear transformer magnetization currents), the module's capacitor voltages can become highly unbalanced and as a result, the Microgrid voltages are unbalanced, as well.

Without the negative-sequence control loop extension (NSCL), a simulation module with a voltage-source based control topology at no load typically produces unbalanced Microgrid bus voltages. By measurement, these Microgrid bus phase-to-neutral RMS voltages have been determined (NSCL off \rightarrow NSCL on) :

- **Phase A:** 121.5V \rightarrow 119.1V
- **Phase B:** 120.4V \rightarrow 119.1V
- **Phase C:** 115.1V \rightarrow 118.8V

The line-to-line capacitor voltages for both cases are shown in Figure 10.7 that also demonstrates that the voltages become balanced and the waveforms remain of good quality.

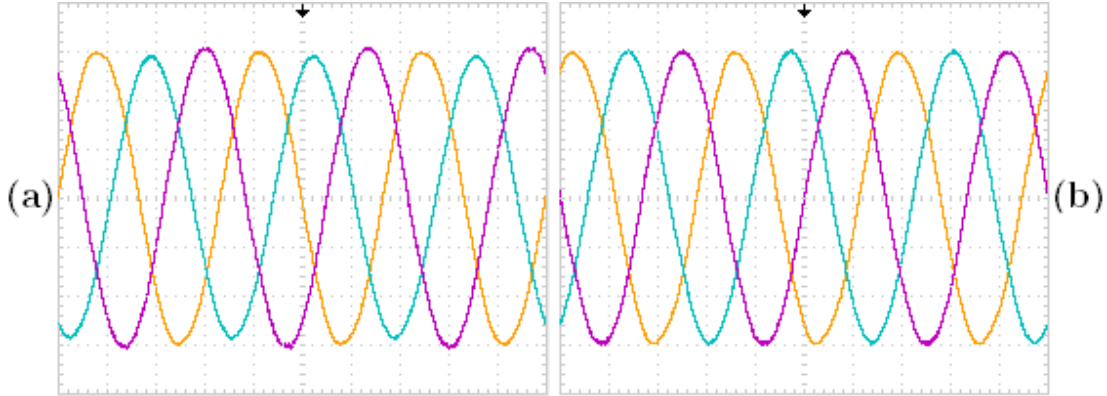


Figure 10.7 Voltage-source based droop controller voltages.

$v_{c,ab}$ (red), $v_{c,bc}$ (yellow), $v_{c,ca}$ (blue). (a) no negative-sequence controller. (b) with negative-sequence controller. Voltages: 100V/div, time: 5ms/div.

10.2.2 Current-source based droop controller

A current-source based droop controller also uses a power droop scheme to support voltage and frequency. However, it is not capable of forming an islanded grid. Therefore, the test setup for a current-source based droop controller follows the test setup from section 5.4.4. There, a current-source based droop controller is connected in parallel with a voltage-source based droop controller and one load module (grid-following converter and ZIP load model, 100% constant power). The 5kVA_{max} load profile shown in Table 5.4 (p.92) has been used for the load module. Results of this test case are shown in Figure 10.9. There, it can be seen that both droop controlled modules share the power demand by varying system frequency and voltage. The slower reaction speeds, due to

voltage and frequency filtering in the current-source based droop control topology, introduce short term power oscillations between both droop controllers upon fast load changes. These transients are stronger than in the case of two paralleled voltage-source based droop controllers. Some additional high-frequency oscillations during second 4 and 8 (Figure 10.9) are introduced, because the load module operates at its maximum output power and power limiting algorithms are activated. These algorithms determine the maximum current to be injected based on the system voltage. Any noise in the detected system voltage amplifies noise in the power limit set-points. Figure 10.8 shows the transformer currents that the voltage-source based droop controller injects into the system during the load profiles. The current transients after a load change are clearly visible.

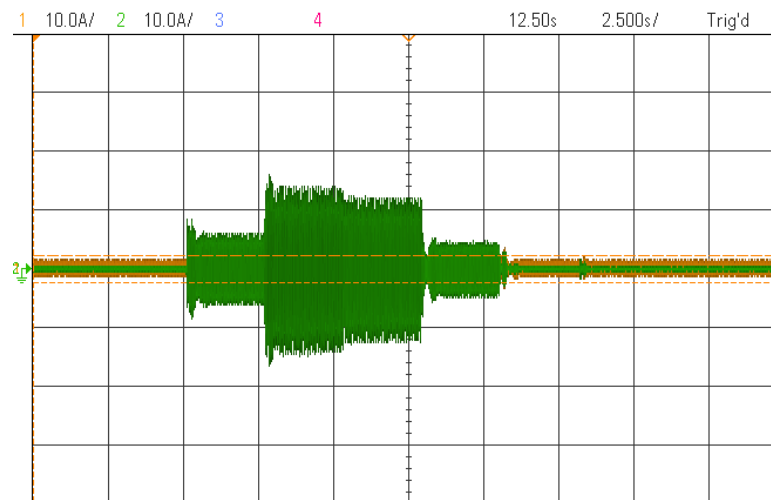


Figure 10.8 : $i_{t,ab}$ for voltage-source based droop controlled module during load profile defined for test case 1.

During the last segment, the load was turned off and disconnected. Currents:
10A/div; time: 2.5s/div.

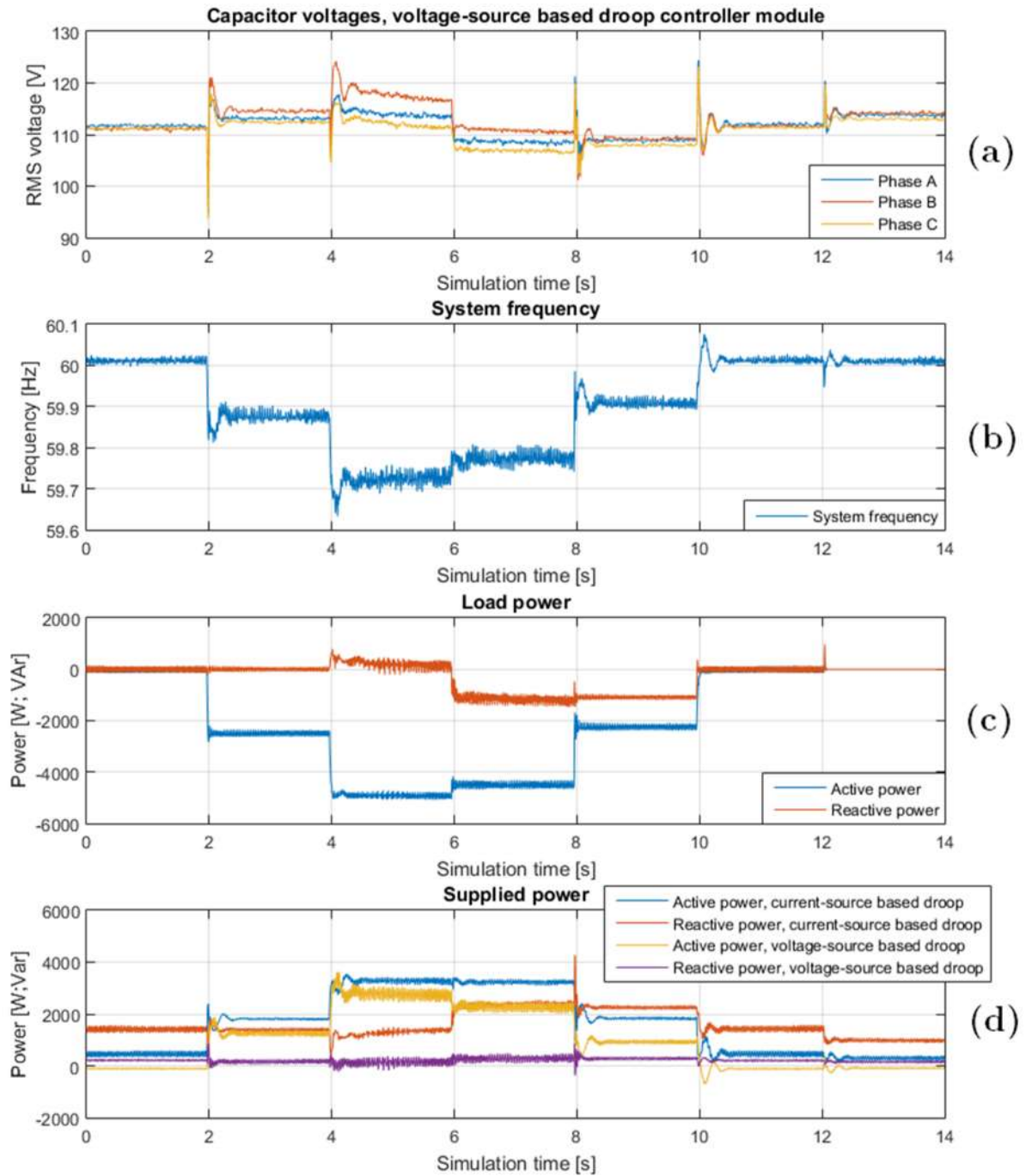


Figure 10.9 System voltage (a), frequency (b) and power flow (c)-(d) in a current-source based droop and voltage-source based droop controlled islanded test grid

10.3 Load plant model

The load plant model generates power references for a grid-following converter based on a nominal power and the connection voltage. It allows to imitate a combination of constant-impedance, constant-current and constant-power loads that are balanced, three-phase linear loads.

To test the proper functionality of this model, a voltage-source based droop controller has been used to form a grid to which the load module was connected. The voltage and frequency droop scheme was deactivated so that a constant voltage and frequency have been maintained. The reference voltage changed between 120V and 100V every 5 seconds to see the response of the load module to it.

The load module configuration changed over time according to Table 10.2.

Table 10.2 ZIP load configuration for presented test case

Time [s]	ZIP load configuration	$P_0; Q_0$
0...12	100% constant power	$P_0 = 2500W; Q_0 = 0VAr$
12...22	100% constant current	$P_0 = 2500W; Q_0 = 0VAr$
22...32	100% constant impedance	$P_0 = 2500W; Q_0 = 0VAr$
32...42	100% constant power	$P_0 = 0W; Q_0 = 2500VAr$
42...52	100% constant current	$P_0 = 0W; Q_0 = 2500VAr$
52...70	100% constant impedance	$P_0 = 0W; Q_0 = 2500VAr$

Figure 10.10 presents recordings from the described test case. It can be seen that despite local voltage variations caused by the connection transformers, the ZIP load

module is able to operate with constant power, constant current and constant impedance, even under severely changing connection voltages. This holds for both active and reactive power.

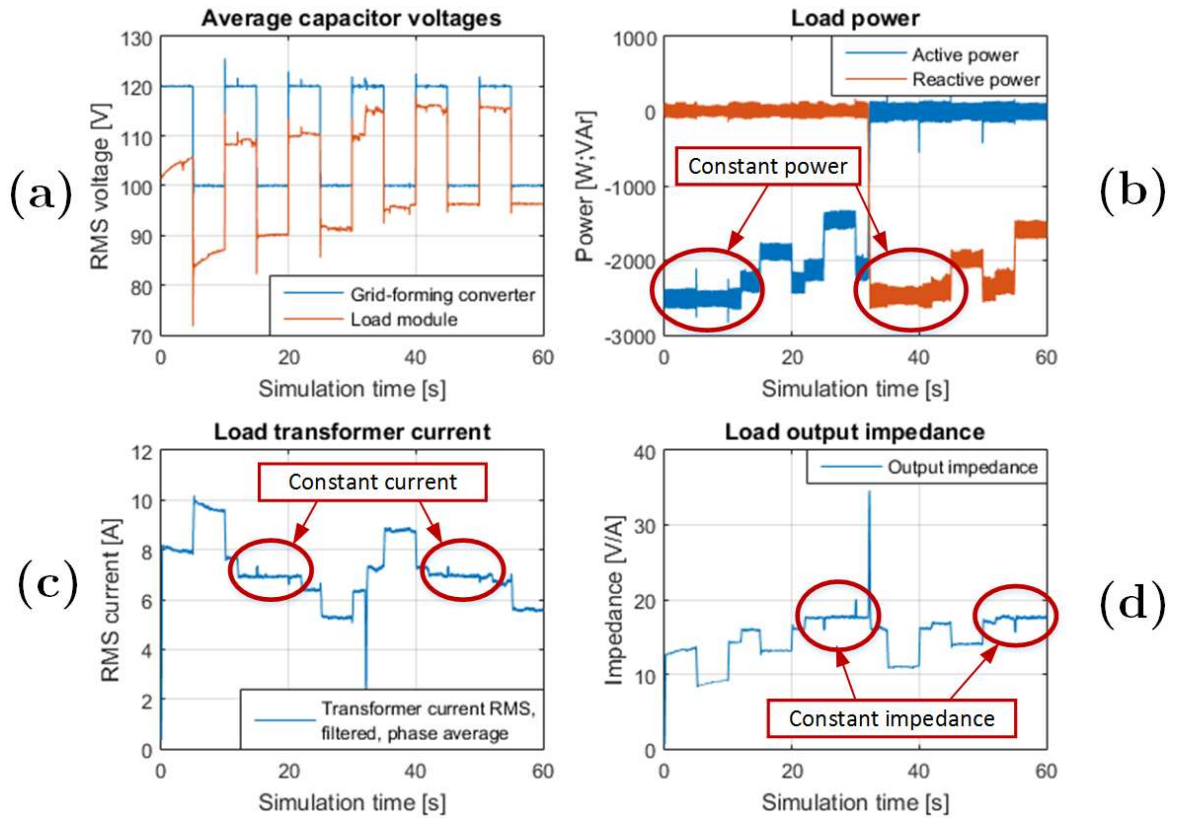


Figure 10.10 Load plant model performance.

Module voltages (a), load module power (b), current (c) and output impedance (d) during constant-power, constant-current and constant-impedance operation for active and reactive power

10.4 Photovoltaic plant model

The photovoltaic plant model is a software model executed at real-time and linked to the converter hardware using the HIL interface definition. To demonstrate the internal performance of the photovoltaic plant, a test setup with two simulation modules has been used. One simulation module defines the grid frequency and voltage using the voltage-source based droop controller and the other module uses a grid-following control topology together with the PV plant model. Key parameters of the PV model are listed in Table 10.3. These parameters correspond to the default configuration for this plant model. Measurement results for two test cases are presented here:

- Case 1: Normal operation with steps in the solar insolation values
- Case 2: Operation with PV curtailment in effect

Table 10.3 PV model key parameters

Parameter	Value
PV array: 4x4 CanadianSolar CS6X-P 315W _{peak}	
Maximum PV array output at standard light intensity	5040W
Nominal virtual DC-bus voltage	400V
Upper DC-bus voltage threshold for PV curtailment	430V
Lower DC-bus voltage threshold for grid interface curtailment	370V
Assumed VSI efficiency	95%

10.4.1 Normal PV model operation

To demonstrate feasible PV array and MPPT tracker operation, Figure 10.11 shows the response of the PV array system to a solar insolation step change from $50 \text{ W}/\text{m}^2$ to

$300 \text{ W}/\text{m}^2$. It can be seen that MPPT tracker and converter adjust quickly to the changed input and operate steadily at the new operating point. The virtual DC-bus voltage is quickly regulated to its nominal value after the solar insolation step change by the virtual DC bus control loop in the HIL grid interface component.

Figure 10.12 shows a similar scenario and demonstrates, that the actual injected active power in the Microgrid follows the model variables well. A larger drop in the virtual DC-bus voltage occurs when the solar insolation drops back to $50 \text{ W}/\text{m}^2$ because the minimum allowed AC output power was set to 0W . This takes away means from the virtual DC bus controller for fast voltage restoration at low output power.

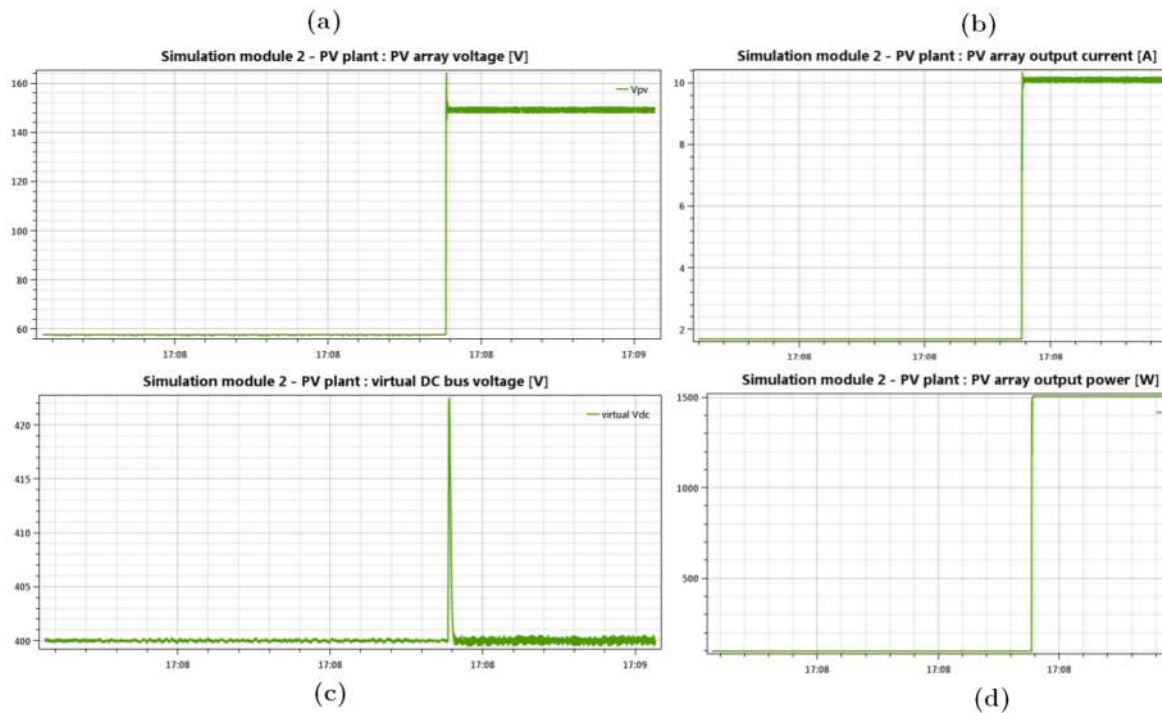


Figure 10.11 PV plant model variables at a solar insolation step change from $50 \text{ W}/\text{m}^2$ to $300 \text{ W}/\text{m}^2$.

PV array voltage (a), PV array output current (b), Virtual DC bus voltage (c) and PV array output power (d). Total time: 20 seconds, based on graphs (a) and (c).

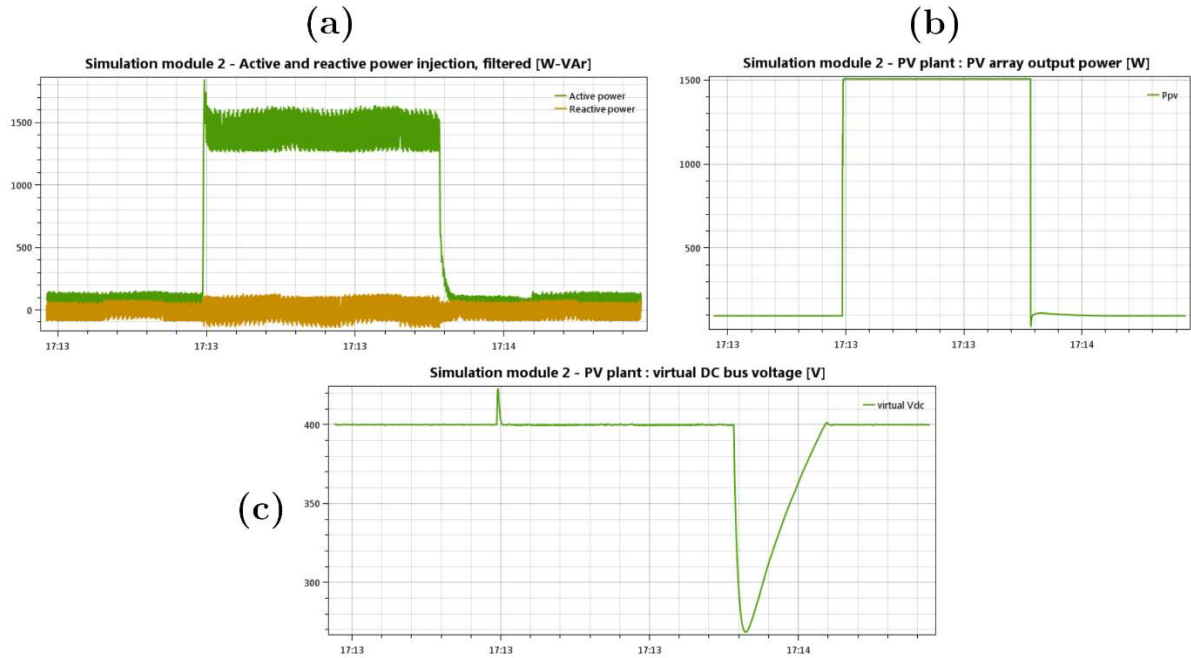


Figure 10.12 Solar insolation step from $50 \text{ W}/m^2$ to $300 \text{ W}/m^2$ and back.

Active (green) and reactive (yellow) power measured in the AC system (a); PV array output power (b); virtual DC bus voltage (c). Total time: 20s

10.4.2 Operation with PV curtailment

In some scenarios, it can be required to limit the PV output power. To test this functionality, solar insolation steps changes between $50 \text{ W}/m^2$ and $600 \text{ W}/m^2$ have been performed with the given system. At first, the AC power limit P_{max} was at 5000W. This ensures that no PV curtailment is necessary as less than 5000W are outputted at $600 \text{ W}/m^2$ (Figure 10.13). Here, the MPPT curtailment factor remains at zero, except for a short transient period during which the large input step change results in a virtual DC-bus voltage that is temporarily above 430V.

After that, P_{max} has been set to 2000W (Figure 10.14). This could mimic an inverter sized smaller than the maximum available PV output. As a result, PV power curtailment is necessary to keep the AC output power at 2000W or below. During the phase of $600 W/m^2$ insolation, the PV curtailment factor becomes non-zero, which means that the PV module voltage is increased beyond its MPP voltage to reduce PV array power output. A PV curtailment factor of 0.02 means, that the current PV array reference voltage is increased by 2% of the PV array open-circuit voltage, compared to the last voltage reference when under MPPT operation. Accordingly, the AC system active power is limited to about 2000W on average²³. As soon as the solar insolation reduces to $50 W/m^2$ again, the PV curtailment factor returns to zero, which means that MPPT operation is resumed.

The wide noise band of injected AC power results from unbalanced and non-linear transformer magnetization currents of the simulation module interfacing transformer.

²³ The accuracy of power limiters requires some future work, as the injected active power is slightly above 2000W. This is functionality is realized in the grid-interfacing control loops and not the PV model.

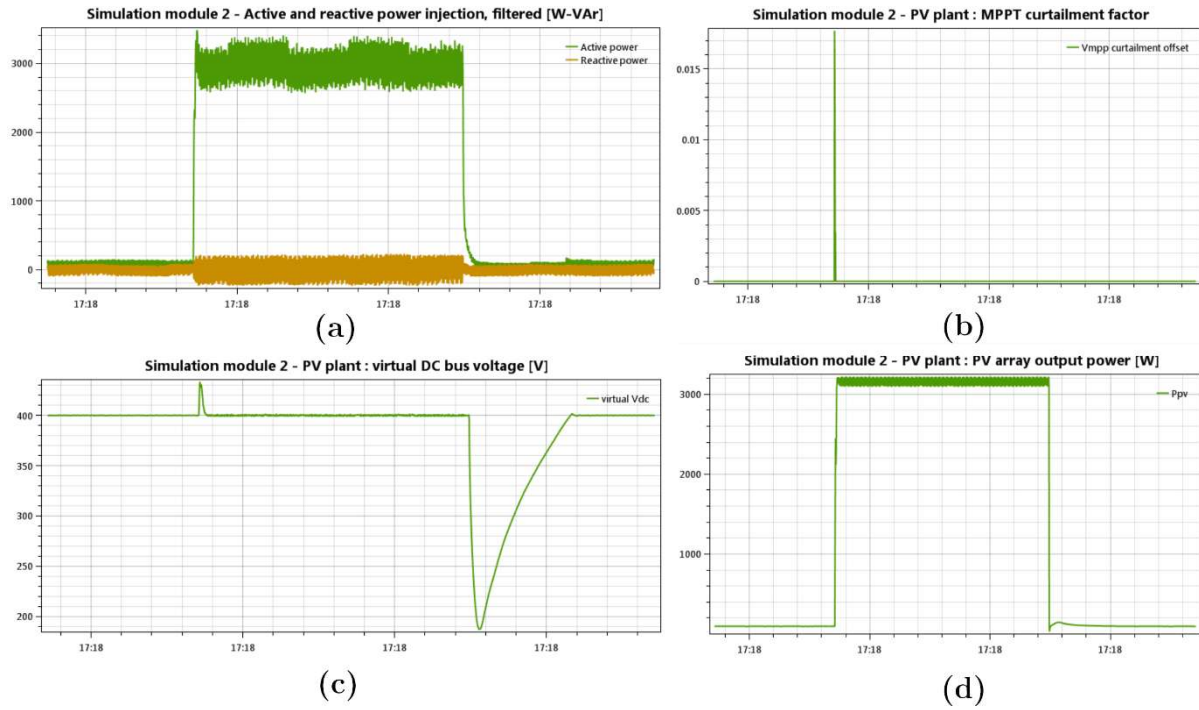


Figure 10.13 Solar insolation step change from 50 W/m^2 to 600 W/m^2 and back.

$$P_{max} = 5000\text{W}.$$

No PV curtailment. Active and reactive power into grid (a); MPPT curtailment factor (b); virtual DC bus voltage (c); PV array output (d). Total time displayed: 20s

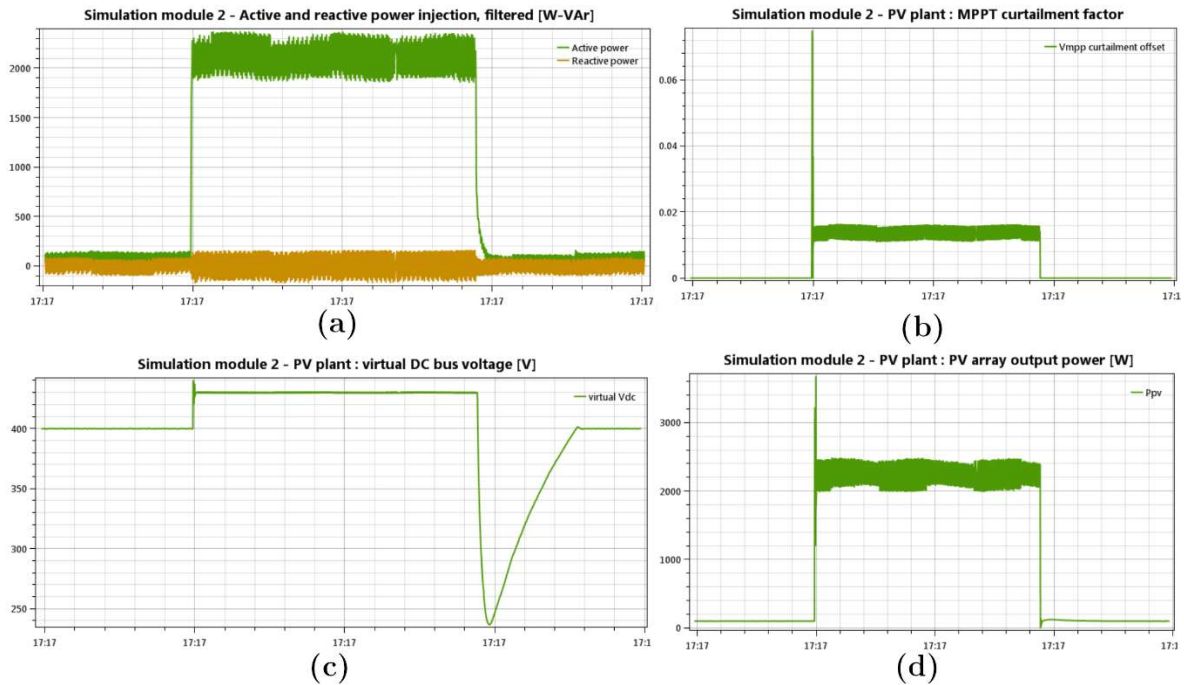


Figure 10.14 Solar insolation step change from 50 W/m^2 to 600 W/m^2 and back.

$$P_{max} = 2000W.$$

Hence, PV curtailment is in effect. Active and reactive power into grid (a); MPPT curtailment factor (b); virtual DC bus voltage (c); PV array output (d). Total time displayed: 20s.

10.5 DC-bus voltage controller module

The DC-bus voltage controller module's primary objective is to control the test bed's DC-bus voltage to 450V. In order to do so, it connects to the laboratory's electricity grid to exchange up to 5kW of power. To demonstrate the proper operation of this module, the test setup of Figure 10.1 was used again. In this case, the grid-following converter presented a load to the DC bus.

Using this configuration, measurements showing the DC-bus voltage quality and regulation are presented. Additionally, a startup and shut down cycle is presented.

In Figure 10.15 it can be seen that the DC-bus voltage ripples remain below $2V_{peak-peak}$ under all loading conditions in this test setup. This corresponds to a maximum ripple of $0.44\%V_{dc,nom}$ and can be considered sufficient for simulation modules.

During changing DC bus loading conditions, the DC-bus voltage naturally deviates more. To test this, a load power step change from 0W to 5000W was performed. This means, that the load module injects about 5000W into the DC bus leading to a temporary rise of the DC-bus voltage. The result in Figure 10.16 shows that the DC-bus voltage controller module is capable of restoring the DC-bus voltage to its normal value within about 10ms. The voltage deviation clearly remains within the defined permissible protection range²⁴ without triggering any protection action. The voltage deviation is about $2.3\%V_{dc,nom}$, thus still reasonable for a temporary event.

Figure 10.17 shows a full cycle of the DC-bus voltage controller module, including startup, normal operation (unloaded) and shutdown.

²⁴ 410V...490V as defined in appendix A.4.1

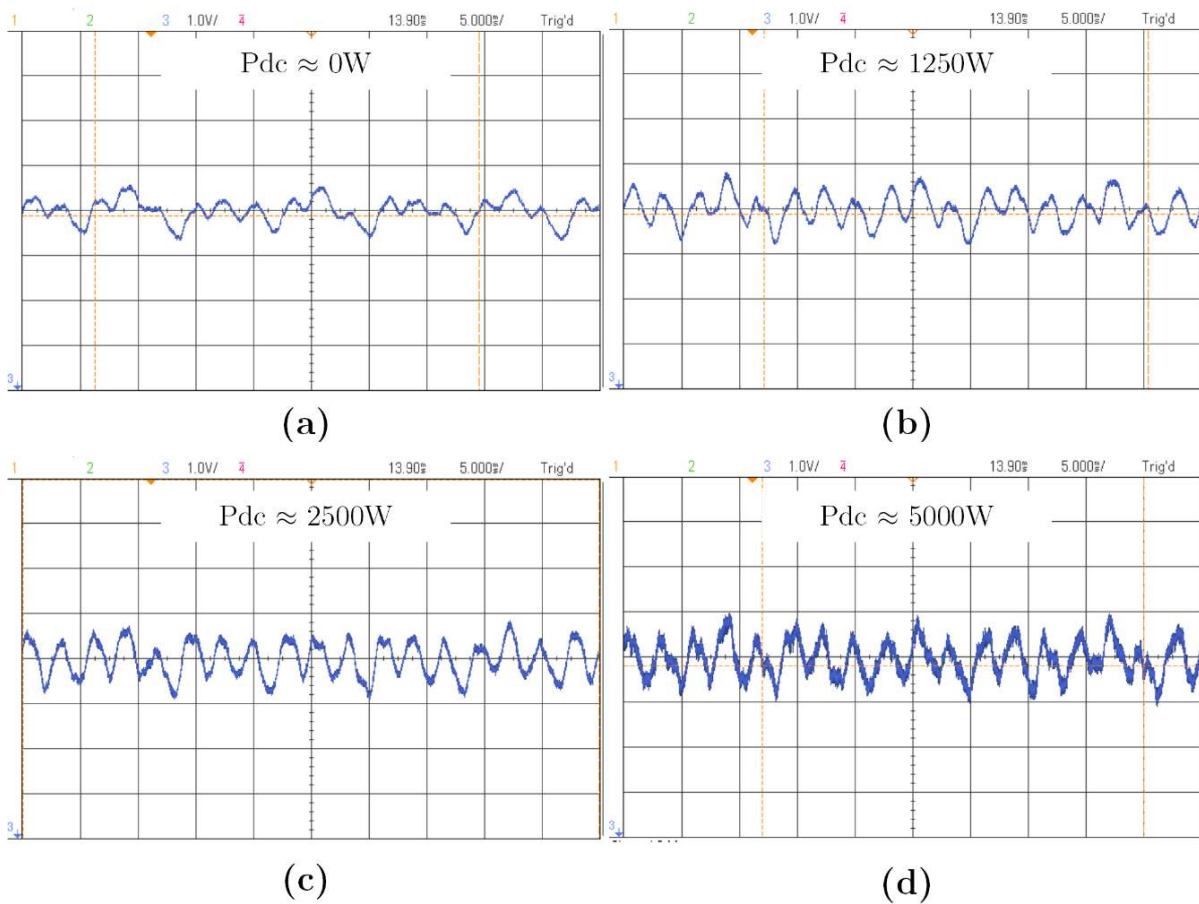


Figure 10.15 DC-bus voltage ripples under different loading conditions.

$V_{dc} = 450V$. Voltage resolution: 1V/div. Time: 5ms/div

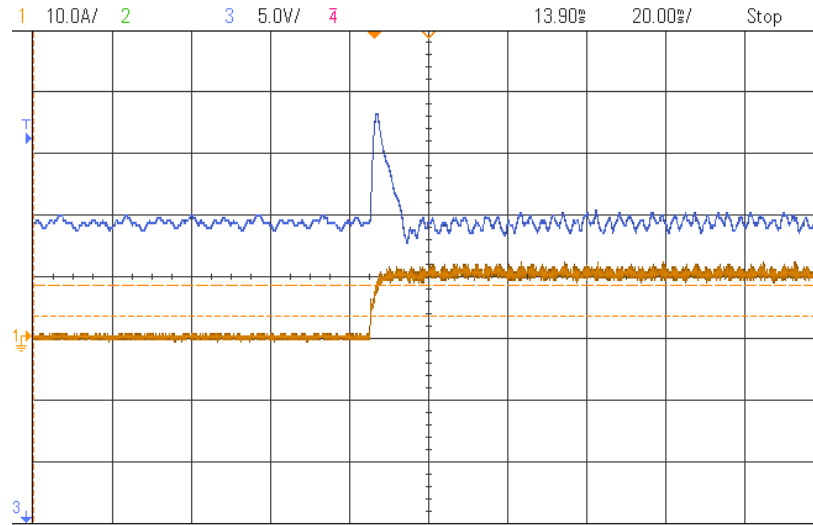


Figure 10.16 DC-bus voltage (blue) during load power step change (0W to 5000W). Yellow: DC current into DC-bus voltage controller module. Voltage: 5V/div, current: 10A/div, time: 20ms/div.

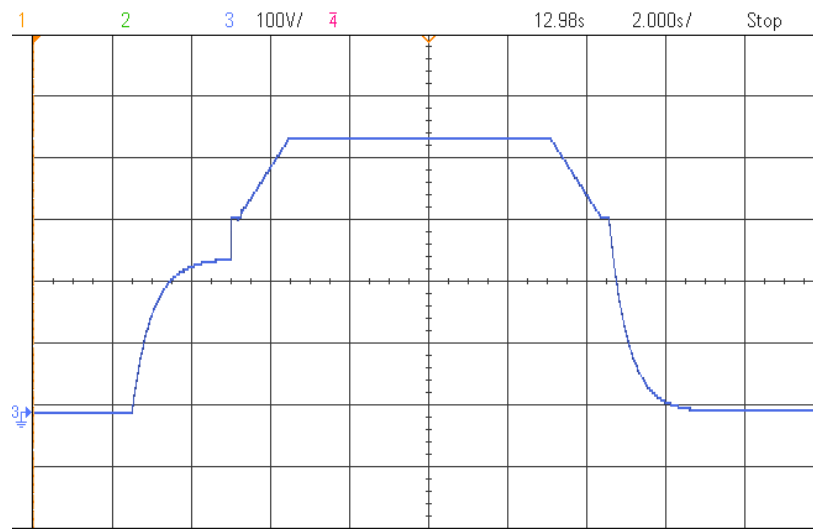


Figure 10.17 DC-bus voltage during full operating cycle:

Off, slow capacitor charging through diode rectifier mode of operation, PWM-based V_{dc} linear ramp-up, normal operation at 450V, PWM-based V_{dc} linear ramp-down, slow capacitor discharging and off. Voltage: 100V/div, time: 2s/div

10.6 Simulation of a 24-hour grid scenario on the test bed

The final test case presented in this thesis combines all components into one experiment. The case that was simulated in Chapter 7 has been reproduced on the implemented test bed. All input parameters and module configurations were the same, except for the storage module droop gains²⁵. TPS-files²⁶ have been created, so that module configuration, measurements collection, solar insolation and load profile were set automatically. The simulation has been executed with 20x real-time speed, so that a 24 hour profile was completed in 72 minutes. All measurements were recorded by hardware and software created in this thesis. MATLAB was used to plot the measurements taken.

The overall results are shown in Figure 10.18. There, the active and reactive power flows resemble the behavior of all four modules with their characteristic role. The photovoltaic plant injects active power dependent on the current solar insolation. The load plant withdraws power according to its load profile at power factor 0.9. The two storage modules compensate for any power differences and power losses in the system (for example, transformer magnetization). As the PV plant was configured to operate at unity power factor, it does not supply any reactive power to the load demand. Only the storage modules have to supply this demand. Accordingly, the voltage level shows significantly less change due to the PV active power output variations than the system frequency.

²⁵ Large storage module: 0.00003 for P/f droop; 0.0001 for Q/V droop. Small storage module: 0.00004 for P/f droop; 0.0002 for Q/V droop.

²⁶ The TPS file format describes `ProfileCommands` in a file that can be used by `GlobalSimulationControl`

However, the fact that there is some change indicates a coupling between the active and reactive power droops due to a finite X/R ratio of the AC system.

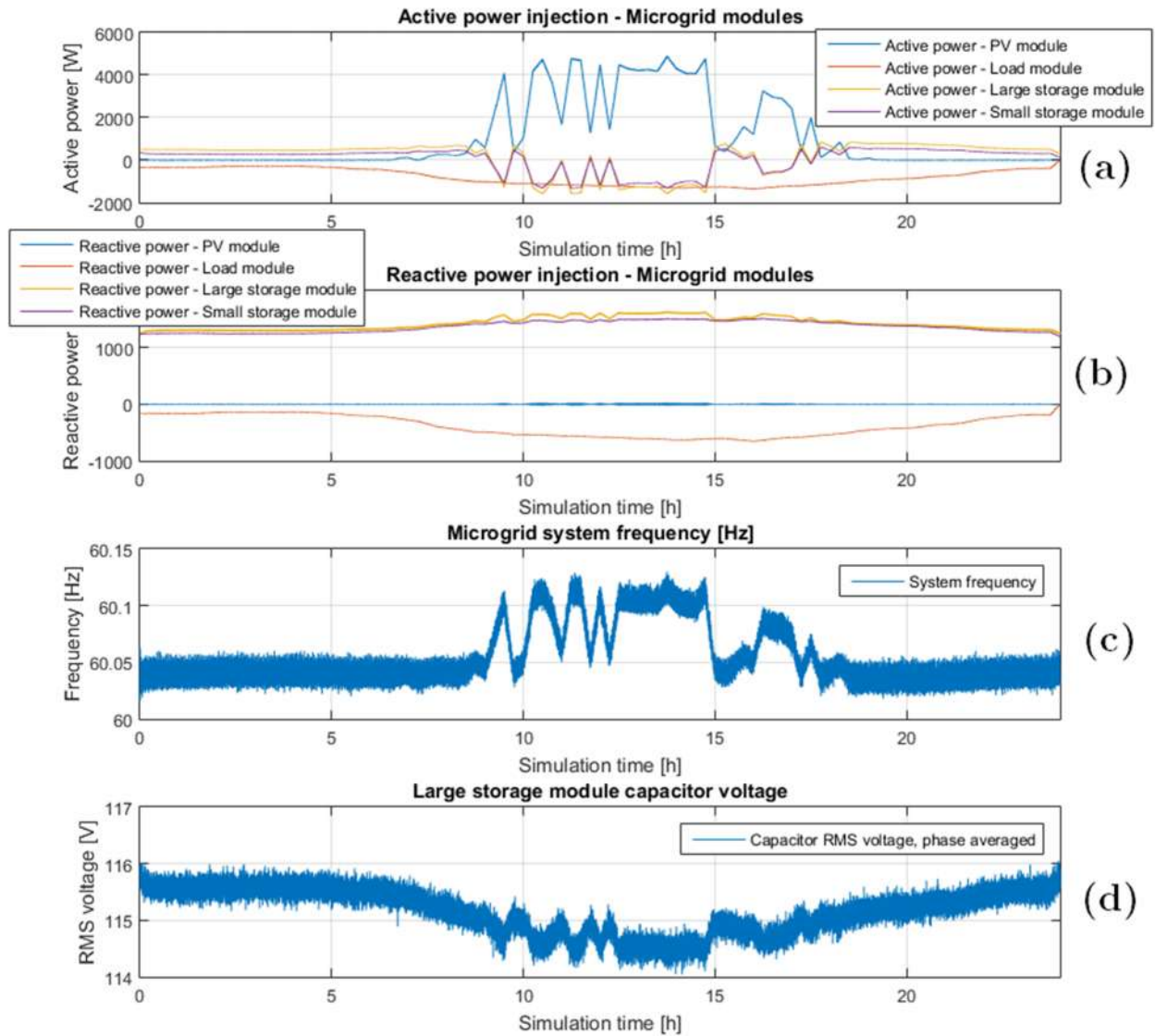


Figure 10.18 24-hour simulation: power flows.

Active (a) and reactive (b) power; and system frequency (c) and voltages (d). Positive power: generation, negative power: consumption

Key internal variables from the photovoltaic plant are shown in Figure 10.19. The virtual DC-bus voltage remains at its nominal value starting after the moment when the solar irradiation becomes greater than $0 \text{ W}/\text{m}^2$ and the PV plant DC bus has been charged (see Figure 10.20 for more details). After 8:00pm, the sun has set and PV output power reaches zero. This deactivates the PV model computation²⁷. The MPPT tracker and converter adjust the PV array voltage continuously in order to extract maximum power at all times when the solar insolation is above $0 \text{ W}/\text{m}^2$.

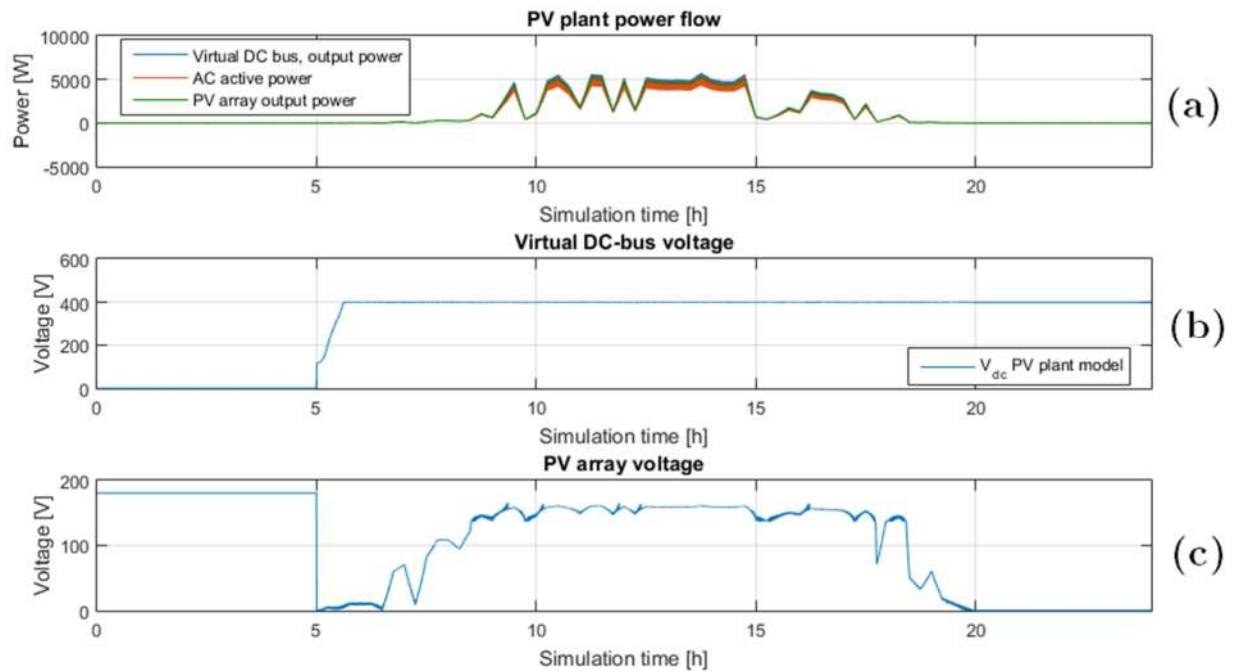


Figure 10.19 24-hour simulation: PV plant variables

²⁷ The DC-bus voltage remains at about 400V after 8:00pm, because no shutdown mechanism or capacitor self-discharge process has been modeled.

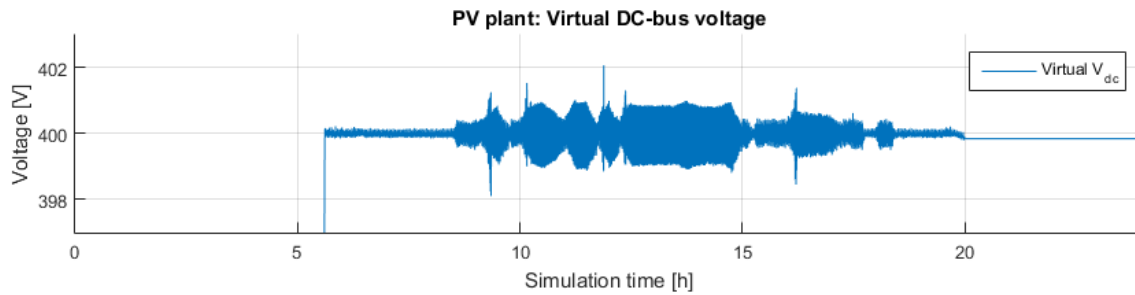


Figure 10.20 24-hour simulation: PV plant DC-bus voltage (zoom)

Based on the storage module capacities of 20 kWh and 10 kWh, their SOC changes during the course of the day (Figure 10.21). Until the PV plant provides enough active power to the grid, both storage modules are discharged to supply energy to the load and feed all network losses. The PV plant rapidly charges both storages in the middle of the day; however, it is necessary to balance out fluctuations around 10:00am and 4:00pm where the load demand is temporarily higher than the supply of energy by the PV plant. Compared to the simulation in Chapter 7, the SOC falls lower in the experiment. The reason for this is the transformer magnetization losses that are at about 150W per simulation module.

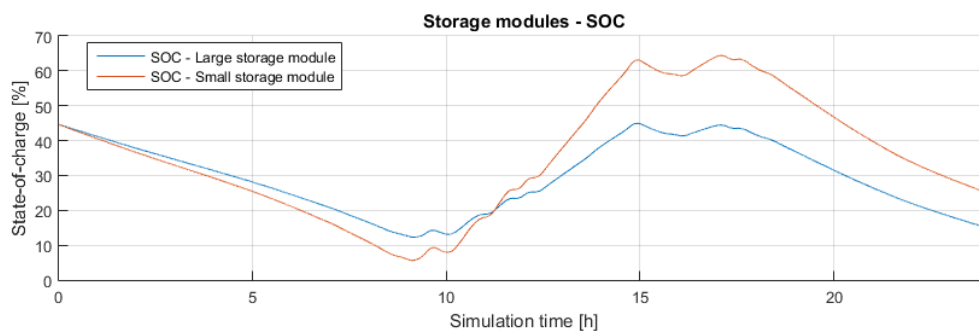


Figure 10.21 24-hour simulation: storage module SOC during the day

10.7 Summary

This chapter presented experimental results on a component scale and a test bed scale to verify correct behavior of the components and the system designed. Many simulation results presented in Chapter 5, Chapter 6 and Chapter 7 have been reproduced on the test bed and implementation-specific aspects have been integrated into the presented data. While some future work is necessary in detailed aspects, this chapter has demonstrated that this test bed is capable of replicating islanded Microgrid scenarios. The available range of variables and parameters allows the investigation of detailed questions at the same time as bigger picture aspects. Simulating a 24 hour profile took 72 minutes on this test bed, while a PSIM simulation of this scenario in Chapter 7 required 52 hours. Important functionalities on this test bed can be automated and more test infrastructure can be added, so that more complex scenarios with more dynamic change over time can easily be executed without further increase in simulation execution time.

Chapter 11

Conclusions

This thesis has described the design, implementation and evaluation of a Microgrid test bed with focus on islanded Microgrids.

In Chapter 1, the motivation for Microgrid research and the need for Microgrid test beds have been discussed. Common test bed approaches have been reviewed. It has been shown that, by consequently using the Hardware-in-the-loop approach, Microgrid test beds can be realized with significantly increased flexibility at much lower costs. Derived from this statement, a research objective has been formulated, that includes expectations and simplifications for this thesis project.

In Chapter 2, most necessary technologies and concepts for this test bed have been reviewed, such as the voltage-source inverter, Microgrid converter roles (grid-former, grid-supporter and grid-feeder), followed by control concepts in the synchronous reference frame, droop control, PLLs and control of direct parallel connected three-phase, four-leg VSIs without an AC transformer.

In Chapter 3, the research objective in Chapter 1 has been elaborated further. The idea of a Hardware-in-the-loop Microgrid test bed has been substantiated with a more detailed system structure and a concrete definition of requirements on all major system components, such as voltage and power levels.

Chapter 4 contains the hardware design process for a simulation module. Simulation module components are described in terms of their functionality and design goals. A DC-bus voltage controller module was derived from the simulation module hardware and simulations on it have been presented, as well. For this module, the control topology has also been discussed. This topology realizes a three-phase, power factor corrected rectifier to control the DC-bus voltage. Information on necessary mechanical design has been given. A cost analysis confirmed the superior cost reduction of this test bed compared to full-scale real Microgrid test implementations.

In Chapter 5, the control loop implementations for the Microgrid converter roles ‘grid-former’, ‘grid-supporter’ (voltage-source and current-source based) and ‘grid-feeder’ / ‘grid-follower’ have been presented along with the definition of the Hardware-in-the-loop interface for this test bed. The implementations make heavy use of control concepts in synchronous reference frame (dq0) and include advanced concepts for unbalanced load currents and improvements in droop control. All control loops have been formulated in a standardized way so that the change of converter roles can be achieved easily and quickly.

Chapter 6 dealt with the formulation and implementation of real-time software models for plant simulation. Plants realized are a three-phase, balanced and linear ZIP load, a comprehensive photovoltaic plant and a generic storage plant. This chapter concludes the hardware, model and control design.

In Chapter 8 and Chapter 9, the implementation of software for a simulation module and the test bed central controller has been discussed. Relevant design approaches and major functionalities have been outlined to provide a good understanding of the software developed for this test bed. All software components are designed with a high level of

modularity and flexibility. This enables fast reconfiguration of this test bed to many different test scenarios through software.

In Chapter 7, PSIM simulations of this test bed have been presented in order to define a reference case for real test bed experiments presented in Chapter 10. The PSIM simulations took about 52 hours to simulate a test bed operation of about 20 seconds, representing 24 hour simulation time. The experimental system operates in real time. This strongly highlights the limitations of a computer based circuit simulation system and the advantage of a real test bed, when the level of details and complexity is very high.

The experimental results in Chapter 10 were presented in a similar manner as simulations beforehand. The experiments have demonstrated the feasibility of this test bed approach and outlined in details the performance each key component in this test bed is able to achieve. The chapter closes with the demonstration of a 24 hour run of a small islanded Microgrid.

During this thesis project a Microgrid test bed has been developed that is a valuable tool in research and development for Microgrids and power system concepts involving DERs. Various research concepts have already been implemented together. The feasibility and compatibility assessment of many techniques in Microgrids can easily be realized with the current state of this test bed. This test bed can supports work on inverters for grid applications, Microgrid control and general distribution grid management.

Chapter 12

Future work

Various major fields of possible future work have been identified during this project:

- **Single-phase operation.** So far, only three-phase devices have been developed and tested. However, distribution grids have a significant number of single-phase units installed. Current simulation modules can be operated in single-phase mode through changes in control, or single-phase simulation modules could be developed to reduce cost per module for these modules.
- **Unbalanced and non-linear load currents.** The current test bed mostly assumes balanced current flows. As in real systems, load currents can be unbalanced and contain harmonics. Various problems around the sharing of those currents arise and have not completely been addressed in this thesis.
- **Distribution grid elements.** The current test bed AC grid consists of a single point of common coupling. Real distribution grids have long feeders with significant line impedances, protection gear, capacitors, transformers, load tap changers and different voltages and network layouts. A realistic and flexible representation of most this gear is necessary to bring this test bed even closer to real application cases.

- **Grid connection.** This test bed has been developed for islanded Microgrid research. However, Microgrids can also be operated in grid-connected mode, which can reveal different issues. It can be desirable to introduce a mechanism to connect this Microgrid to a strong grid or even split the test bed in several Microgrids. This allows to include a tertiary Microgrid control level as well.
- **More plant models, more grid interfaces.** Significant grid participants that are not represented in this test bed are hydro-thermal generation, internal combustion engine based generators, wind turbines and hydroelectric plants. Some of these plants also require a grid interface model representing electric machines.
- **Secondary Microgrid control.** This thesis includes an interface for secondary Microgrid control, but does not implement any approaches. It might be desirable to further expand this interface and implement various secondary control approaches.

Further minor fields of future work can be:

- Inclusion of transformer-less simulation modules
- Photovoltaic plants with partial shading simulation capabilities
- Improvements of average Buck-Boost converter model in discontinuous conduction mode of operation
- Improved sensor signal transmission (current signaling for improved noise immunity)
- Improved power limiting capability of grid-interfacing control loops (accuracy and dynamic performance)

Appendix A

Derivations

A.1 Virtual impedance in synchronous reference frame for voltage-source based droop controllers

Figure A.1 depicts a single-line diagram of the CL-filter part of a three-phase VSI with LCL filter. The capacitors are represented by the controlled voltage source v_c and the connection impedance represents the second filter inductance L by its inductance L_t and its equivalent series resistance R_t . A virtual impedance $Z_v = R_v + j\omega L_v$ is added in series.

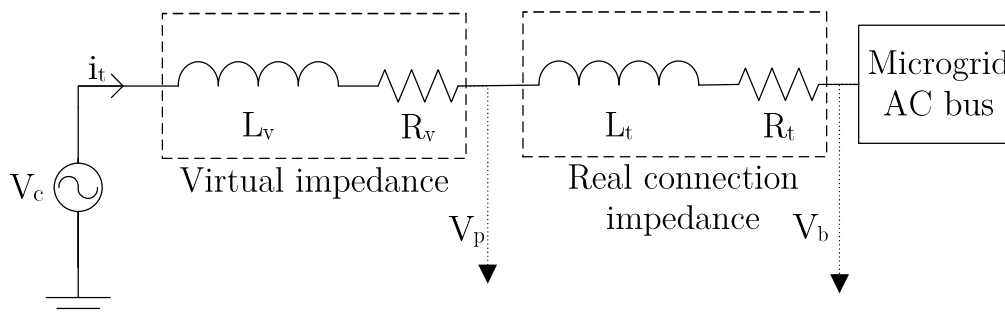


Figure A.1 Converter output circuit with virtual impedance

Typically, in voltage-source based droop controllers the capacitor voltage v_c is controlled by a voltage control loop in synchronous reference frame receiving voltage references of $v_{cd}^* = \sqrt{2} V_{c,rms}$ and $v_{cq}^* = 0$. If the (virtual) voltage drop across the virtual impedance Z_v is to be accounted for, the voltage control loop has to receive references representing V_p instead of V_c . Therefore, the voltage drop across the virtual impedance v_{Z_v} is calculated in order to compute $v_p = v_c - v_{Z_v}$.

Assuming a system at frequency ω one can write:

$$\vec{V}_p = \vec{V}_c - \vec{V}_{L_v} - \vec{V}_{R_v} \quad (\text{A.1})$$

$$\vec{V}_p = \vec{V}_c - j\omega L \vec{I}_t - R \vec{I}_t \quad (\text{A.2})$$

Defining $\vec{V}_c = V_c \angle 0^\circ$:

$$V_p \angle \theta_{V_p} = V_c \angle 0^\circ - \omega L_v I_t \angle (\theta_{I_t} + 90^\circ) - R_v I_t \angle \theta_{I_t} \quad (\text{A.3})$$

Separating into real and imaginary part:

$$\begin{aligned} \text{Re}(\vec{V}_p) &= V_c - \omega L_v I_t \cos(\theta_{I_t} + 90^\circ) - R_v I_t \cos(\theta_{I_t}) \\ \text{Im}(\vec{V}_p) &= -\omega L_v I_t \sin(\theta_{I_t} + 90^\circ) - R_v I_t \sin(\theta_{I_t}) \end{aligned} \quad (\text{A.4})$$

Replace 90° offsets with sin/cos function and multiply by $\sqrt{2}$:

$$\begin{aligned} \sqrt{2} \text{Re}(\vec{V}_p) &= \sqrt{2} V_c + \omega L_v \sqrt{2} I_t \sin(\theta_{I_t}) - R_v \sqrt{2} I_t \cos(\theta_{I_t}) \\ \sqrt{2} \text{Im}(\vec{V}_p) &= -\omega L_v \sqrt{2} I_t \cos(\theta_{I_t}) - R_v \sqrt{2} I_t \sin(\theta_{I_t}) \end{aligned} \quad (\text{A.5})$$

W.r.t selected reference angle and system frequency ω , the current i_t can be expressed in the dq0 reference frame as follows:

$$i_{td} = \sqrt{2} I_t \cos(\theta_{I_t}) \quad (\text{A.6})$$

$$i_{tq} = \sqrt{2} I_t \sin(\theta_{I_t}) \quad (\text{A.7})$$

$I_{t0} = 0$ due to the use of ΔY transformers as connection impedance in this thesis.

Also note that for the given system:

$$\begin{aligned} \sqrt{2} \text{Re}(\vec{V}_p) &= v_{pd} \\ \sqrt{2} \text{Im}(\vec{V}_p) &= v_{pq} \end{aligned} \quad (\text{A.8})$$

Using equations (A.6), (A.7) and (A.8) in (A.5) gives:

$$\begin{aligned} v_{pd} &= \sqrt{2}V_c + \omega L_v i_{tq} - R_v i_{td} \\ v_{pq} &= -\omega L_v i_{td} - R_v i_{tq} \end{aligned} \quad (\text{A.9})$$

v_{pd} and v_{pq} can be used as reference values for the voltage controller instead of v_{cd}^*/v_{cq}^* in order to implement a virtual impedance.

A.2 Virtual impedance in synchronous reference frame for current-source based droop controllers

A grid-following converter or a current-source based grid-supporting converter control the amount of active and reactive power injected into the grid. The exact point of power control is typically after the filter capacitor, so that, following Figure A.1, for $v_{cq} = 0$ the injected active and reactive power become:

$$P_{inj} = \frac{3}{2} v_{cd} i_{td} \quad (\text{A.10})$$

$$Q_{inj} = -\frac{3}{2} v_{cd} i_{tq} \quad (\text{A.11})$$

Power consumption by $Z_t = R_t + j\omega L_t$ are usually not accounted for.

However, in order to account for the effect of a virtual impedance in a grid-following converter based controller structure, the reference power can be adjusted accordingly. Then, the new reference power becomes

$$P^* = P_{inj}^* - P_{R_v} \quad (\text{A.12})$$

$$Q^* = Q_{inj}^* - Q_{L_v} \quad (\text{A.13})$$

Where P_{inj}^*/Q_{inj}^* are power controller references without virtual impedance and P^*/Q^* are power controller references with included virtual impedance effects.

The power consumption by the virtual impedance Z_v can be calculated for sinusoidal currents based on initial power references and the current capacitor voltage in the dq0 reference frame:

$$P_{R_v} = R_v I_t^2 = R_v \left(\frac{P^*}{\frac{3}{2} v_{cd} i_{td} \sqrt{2}} \right)^2 \quad (\text{A.14})$$

$$Q_{L_v} = \omega L_v I_t^2 = \omega L_v \left(\frac{Q^*}{-\frac{3}{2} v_{cd} i_{tq} \sqrt{2}} \right)^2 \quad (\text{A.15})$$

A.3 Derivation of maximum expected fundamental VSI RMS output voltage

In order to obtain the minimum value for the VSI DC-bus voltage required, the maximum voltage to be produced by the VSI has to be known. This voltage V_a is dependent on the AC Microgrid voltage, the power flow and the voltage drops across inductive filter components.

In the circuit of Figure A.2, the following relationships can be established:

$$\vec{V}_a = j\omega L_f \vec{I}_L + j\omega L_t \vec{I}_t + \vec{V}_b \quad (\text{A.16})$$

$$\vec{I}_L = \vec{I}_c + \vec{I}_t \quad (\text{A.17})$$

$$\vec{I}_t = \frac{P_{out}}{3\vec{V}_b} - j \frac{Q_{out}}{3\vec{V}_b} \quad (\text{A.18})$$

$$V_c = \frac{\vec{I}_c}{j\omega C_f} = j\omega L_t \vec{I}_t + \vec{V}_b \quad (\text{A.19})$$

Therefore \vec{V}_a becomes:

$$\vec{V}_a = j\omega L_f (\vec{I}_c + \vec{I}_t) + j\omega L_t \vec{I}_t + \vec{V}_b \quad (\text{A.20})$$

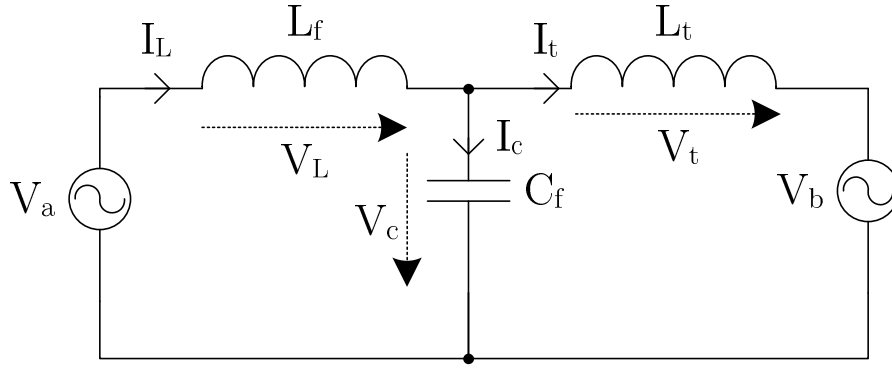
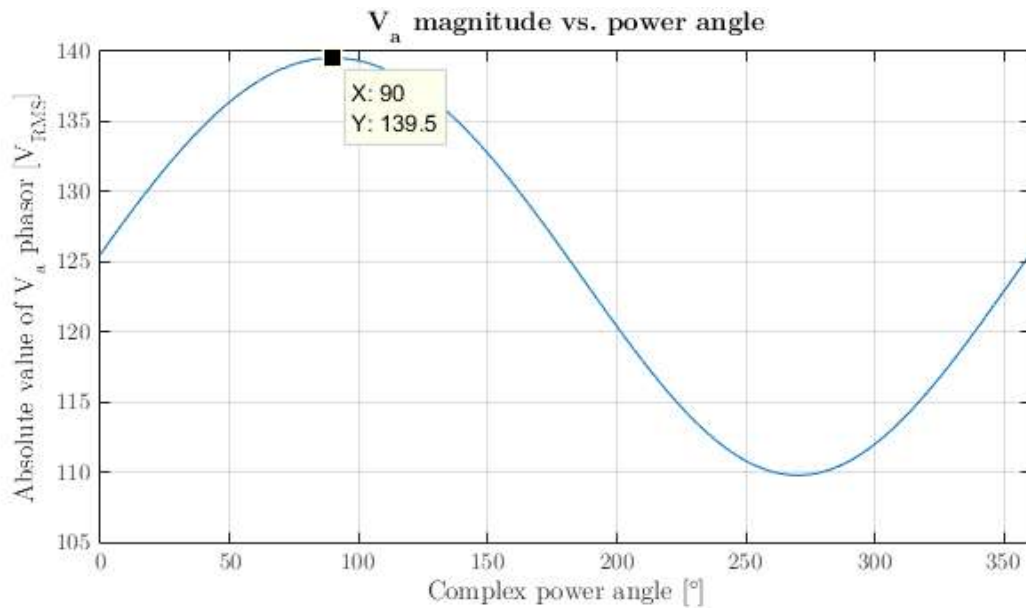


Figure A.2 VSI output filter circuit connected to AC Microgrid, single-line diagram.

Using the values from Table A.1 required \vec{V}_a for a complex power angle $\phi = 0 \dots 360^\circ$ and a complex power injection $S = 5000VA$ has been plotted in Figure A.3. The maximum value occurs at $\phi = 90^\circ$ and is $\vec{V}_{a,max} = 139.5V$.

Table A.1 Parameters for $\vec{V}_{a,max}$ estimation

Parameter	Value
L_f	1.5mH
C_f	50 μ F
L_t	1.5mH
S	5000 VA
\vec{V}_b	126V $\angle 0^\circ$

Figure A.3 Required V_a for different complex power injections

A.4 DC-bus protection coordination and sizing

Figure A.4 depicts the high-voltage part of the DC-bus charge/discharge circuit.

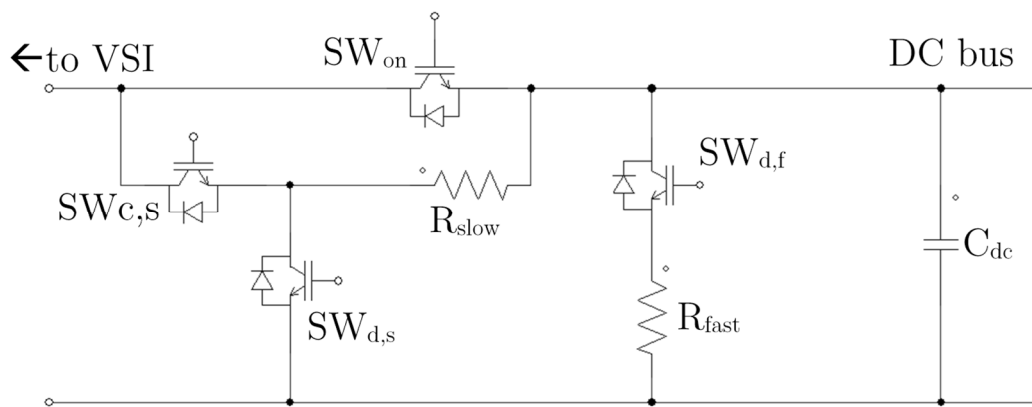


Figure A.4 DC-bus charge/discharge circuit

The purpose of the circuit shown above is to:

1. Provide isolation from the AC bus when DC-bus voltage controller module is off
2. Slow charging of C_{dc} during the diode rectifier mode of operation of the startup process
3. Discharging of C_{dc} from diode rectifier voltage ($280.8V_{dc}$) to a safe voltage during shutdown
4. Reliable and fast discharging of C_{dc} from full operating voltage (450V) under load conditions during the emergency shutdown procedure

In order to fulfill purpose 3. and 4. some circuit design has to be done. There are different limiting factors for this design that are explained next.

At first, R_{slow} should be large enough to limit the inrush current due to C_{dc} charging through the diode rectifier mode of operation to currents within the module rating and switch rating ($\rightarrow 13.88A_{rms}$ per phase).

Next, R_{slow} should also be sized in a way that its power rating is not exceeded during a normal charging or discharging process. Also, a steady-state operation at $V_{dc} = 280.8V$ should not harm the resistor. Such a situation could arise, if there is another power source on the DC-bus that did not properly shut down. For an islanded Microgrid, this case will not happen, however, if, for some reason, the Microgrid AC bus is connected to a grid, simulation module VSIs can potentially work as diode rectifiers and hold the DC-bus at 280.8V. Such a scenario has already been used to test the grid-following converter performance with grid connection.

Lastly, R_{fast} has to be sized in a way such that its peak power rating for a certain time span is not exceeded if an emergency shutdown procedure under load conditions is initiated.

A power rating that offers a good trade-off between size, cost and power rating is offered by a certain set of chassis-mount wire wound resistors with a rating of 150W. In order not to exceed the power rating for R_{slow} , this resistor has to be:

$$R_{slow} \geq \frac{280.8V^2}{150W} = 526\Omega \quad (12.1)$$

Therefore the chosen resistor for R_{slow} is 680 Ω , 150W.

A.4.1 DC-bus protection: anti-islanding on the DC-bus

Simulation modules are typically controlled by an inner current control loop for their AC bus. This means that simulation modules also exhibit behavior similar to a current source or sink to the DC-bus. In case of an uncoordinated²⁸ emergency shutdown by the DC-bus voltage controller module, a scenario comparable to islanding of DGs in distribution grids can arise. If the DC-bus voltage controller module initiates an emergency shutdown, it deactivates its VSI and switches to a path through a discharge resistor. This resistor will conduct a certain current with the goal to reduce the voltage of C_{dc} . If there are enough simulation modules on the DC-bus that feed the bus with current due to their current state of operation, there is a small chance that for a short period of time, these simulation modules will replace the charge on C_{dc} that has been absorbed

²⁸ Uncoordinated: Simulation modules do not know about the intention for an emergency shutdown of the DC-bus voltage controller module that it initiates by itself.

by V_{dc} or overcompensate. This can lead to severe overvoltages within milliseconds (as illustrated in Figure A.5), leading to ruptures of R_{slow} , varistors and finally IGBTs connected to the DC-bus.

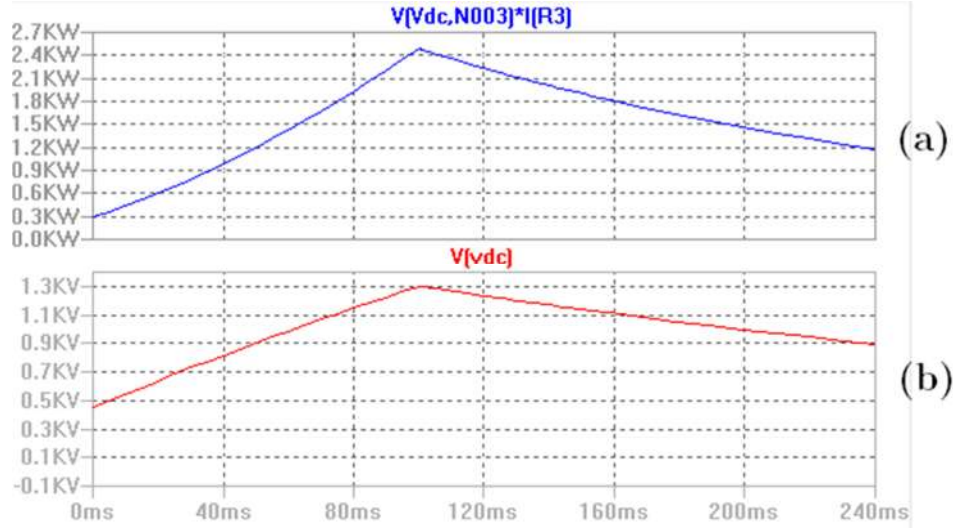


Figure A.5 R_{slow} power consumption (a) and V_{dc} overshoot (b)

due to a simulation module feeding in at 6A for 100ms (=50% loading of one simulation module)

In order to protect from this scenario, a comprehensive DC-bus protection scheme has been designed, inspired by anti-islanding methods for DGs.

First of all, each simulation module senses the DC-bus voltage. If a lower or higher threshold is exceeded, a simulation module immediately turns off. This shortens possible islanding time on the DC-bus. Also, R_{fast} has been introduced. The purpose of this resistor is, to efficiently lower the DC-bus voltage under common scenarios (\rightarrow lower resistance than R_{slow}) and to provide means of introducing perturbations on V_{dc} that

will quickly lead to the V_{dc} thresholds being crossed. A slow PWM scheme between R_{fast} and R_{slow} in different patterns can be employed to facilitate this.

Depending on the size of R_{fast} there is a window of operational conditions remaining during which an islanding of simulation modules on the DC-bus cannot be detected by simulation modules within 100ms.

The V_{dc} thresholds have been set to $V_{dc,low} = 410V$ and $V_{dc,high} = 490V$ to account for expected temporary transients during normal operation. The capacitor will hold the voltage within the thresholds, if simulation modules inject a total current to the bus of $I_{inj} = \frac{410V \dots 490V}{R_{fast}}$. In order to move this “non-detectable zone” to currents that are unexpectedly high, R_{fast} has to be small. At the same time, a small resistance will result in high peak power losses, increasing the size and cost of this resistor. A resistor R_{slow} of 33Ω , $250W$ and short term overloadability of 2500% for one second has been chosen.

This sizing ensures, that V_{dc} thresholds will only not be crossed, if the injected DC-bus current is between 13.1A and 14.8A, which is beyond the current rating of one simulation module ($\frac{5000W}{450V} = 11.11A$). For this non-detectable zone, the CAN bus has to timely communicate an emergency shutdown of all simulation modules.

A.5 Derivation of average model for buck-boost converter

In this section an average model for a buck-boost converter is derived. This model averages over one switching period T_s and models discontinuous and continuous conduction mode of operation (DCM / CCM). Furthermore, non-ideal parameters of all components are taken into account.

Figure A.6 defines all common currents and voltages in the buck-boost converter circuit used next. *In addition to that*, voltages across each component are defined as $v_{\langle component-name \rangle}$ with its direction following the current direction. Capacitors are modeled as ideal capacitor with a series resistance $R_{\langle capacitor name \rangle}$, equivalently for the inductor L . Switch and diode are modeled as an ideal voltage source V_S and V_D and series resistance R_S and R_D , when conducting in order to include voltage drops and conduction losses.

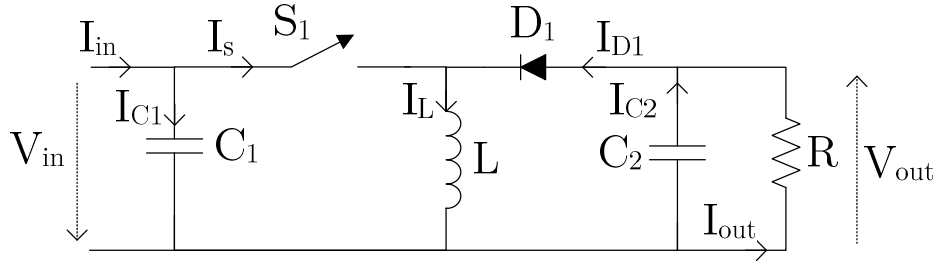


Figure A.6 Basic (ideal) circuit of a buck-boost converter

In order to derive differential equations describing the converter, three different states are examined:

1. S1 on and D1 not conducting
2. S2 off and D2 conducting
3. S1 off and D2 not conducting (only in DCM)

Description for converter during state 1:

$$L \frac{di_L}{dt} = i_L(-R_{C1} - R_S - R_L) + v_{C1} + i_{in} R_{C1} - V_S \quad (\text{A.21})$$

$$C_1 \frac{dv_{C1}}{dt} = i_{in} - i_L \quad (\text{A.22})$$

$$C_2 \frac{dv_{C2}}{dt} = -i_{out} \quad (\text{A.23})$$

$$v_{in} = v_{C1} + (i_{in} - i_L)R_{C1} \quad (\text{A.24})$$

$$v_{out} = v_{C2} - i_{out}R_{C2} \quad (\text{A.25})$$

Description for converter during state 2:

$$L \frac{di_L}{dt} = i_L(-R_L - R_{C2} - R_D) + v_{C2} + i_{out}R_{C2} - V_D \quad (\text{A.26})$$

$$C_1 \frac{dv_{C1}}{dt} = i_{in} \quad (\text{A.27})$$

$$C_2 \frac{dv_{C2}}{dt} = i_L - i_{out} \quad (\text{A.28})$$

$$v_{in} = v_{C1} + i_{in}R_{C1} \quad (\text{A.29})$$

$$v_{out} = v_{C2} + (i_L - i_{out})R_{C2} \quad (\text{A.30})$$

Description for converter during state 3:

$$L \frac{di_L}{dt} = 0 \quad (\text{A.31})$$

$$C_1 \frac{dv_{C1}}{dt} = i_{in} \quad (\text{A.32})$$

$$C_2 \frac{dv_{C2}}{dt} = -i_{out} \quad (\text{A.33})$$

$$v_{in} = v_{C1} + i_{in}R_{C1} \quad (\text{A.34})$$

$$v_{out} = v_{C2} - i_{out}R_{C2} \quad (\text{A.35})$$

The length of state 1 is d_1T_s and of state 2 and 3 are d_2T_s and d_3T_s , respectively (compare to Figure A.7).

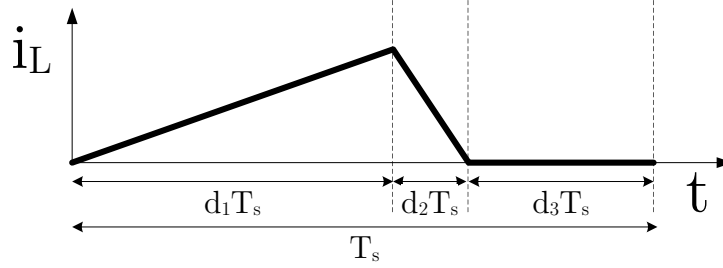


Figure A.7 Definition of d_1 , d_2 and d_3 (example: inductor current discontinuous)

If the converter is in CCM, d_3 is zero, $d_2 = 1 - d_1$ and d_1 is the duty ratio as commonly known. If the converter is in DCM, then there has to be a definition for d_2 and d_3 . This is derived later.

Now, let's average the states 1, 2 and 3 accordingly to their time of presence during once switching cycle T_s . By adding the differential equations with their respective duty cycle and adding those up component for component, an averaged model for the buck-boost converter can be obtained:

$$L \frac{di_L}{dt} = i_L[-R_L - d_1(R_{C1} + R_{Son}) - d_2(R_{C2} + R_{Don})] + d_1V_{C1} - d_2V_{C2} + d_1i_{in}R_{C1} + d_2i_{out}R_{C2} - d_1V_{Son} - d_2V_{Don} \quad (\text{A.36})$$

$$C_1 \frac{dv_{c1}}{dt} = i_{in} - d_1i_L \quad (\text{A.37})$$

$$C_2 \frac{dv_{c2}}{dt} = d_2i_L - i_{out} \quad (\text{A.38})$$

$$v_{in} = v_{C1} + (i_{in} - d_1i_L)R_{C1} \quad (\text{A.39})$$

$$v_{out} = v_{C2} + (d_2 i_L - i_{out}) R_{C2} \quad (\text{A.40})$$

$d_1 = d$ is an input parameter, thus given. d_2 is a dependent parameter. In order to find an approximated general formulation for d_2 the rise of inductor current during state 1 (ΔI_{L1}) can be compared to state 2 (ΔI_{L2}). Here, voltage drops across parasitic components are approximated using the average inductor current:

$$\Delta I_{L1} - \Delta I_{L2} = \Delta I_L |_{T_s} \quad (\text{A.41})$$

$$\frac{d_1(v_{c1} - v_s - \bar{i}_L(R_{C1} + R_S + R_L))}{Lf_s} - \frac{d_2(v_{c2} - v_D - \bar{i}_L(R_{C2} + R_D + R_L))}{Lf_s} = \Delta I_L |_{T_s} \quad (\text{A.42})$$

Where $\Delta I_L |_{T_s}$ is the change of inductor current during one switching period. For a small enough sampling time an actual implementation can use $\Delta I_L |_{T_s}$ from the last iteration without introducing a large error, when the system dynamics are assumed slow compared to the sampling frequency f_{sample} . Then, (A.42) can be solved for d_2 :

$$d_2 = \frac{d_1(v_{c1} - v_s - \bar{i}_L(R_{C1} + R_S + R_L))}{v_{out}} - \Delta I_L |_{T_s} \frac{Lf_s}{v_{out}} \quad (\text{A.43})$$

This equation is only valid, if $d_2 \leq 1 - d_1$ ensuring that the sum of duty cycles is not larger than one.

d_3 can then be derived from d_2 :

$$d_3 = \begin{cases} 1 - d_1 - d_2 & d_2 < 1 - d_1 \\ 0 & otherwise \end{cases} \quad (\text{A.44})$$

During DCM, there is a minimum value for the average inductor current $\bar{i}_{L,min}$ due to the rise of i_L in state 1 (compare to Figure A.7). The inductor current is minimal when the converter is in DCM at steady-state. To find an analytical expression for $\bar{i}_{L,min}$

the average current during steady-state DCM operation can be described using the inductor current average during state 1, 2 and 3:

$$\bar{i}_{L1}d_1 + \bar{i}_{L2}d_2 + \bar{i}_{L3}d_3 = \bar{i}_{L,min} \quad (\text{A.45})$$

With reference to Figure A.7:

$$\frac{\Delta I_L}{2}d_1T_s + \frac{\Delta I_L}{2}d_2T_s + 0 = \bar{i}_{L,min} \quad (\text{A.46})$$

Where $\Delta I_L = \frac{(v_{e1} - v_s - \bar{i}_L(R_{C1} + R_S + R_L))}{2f_s L}$. Solved for $\bar{i}_{L,min}$:

$$\bar{i}_{L,min} = d_1 \frac{v_{in}}{2f_s L} (d_1 + d_2) \quad (\text{A.47})$$

Appendix B

Schematics and hardware design

B.1 IPM circuit

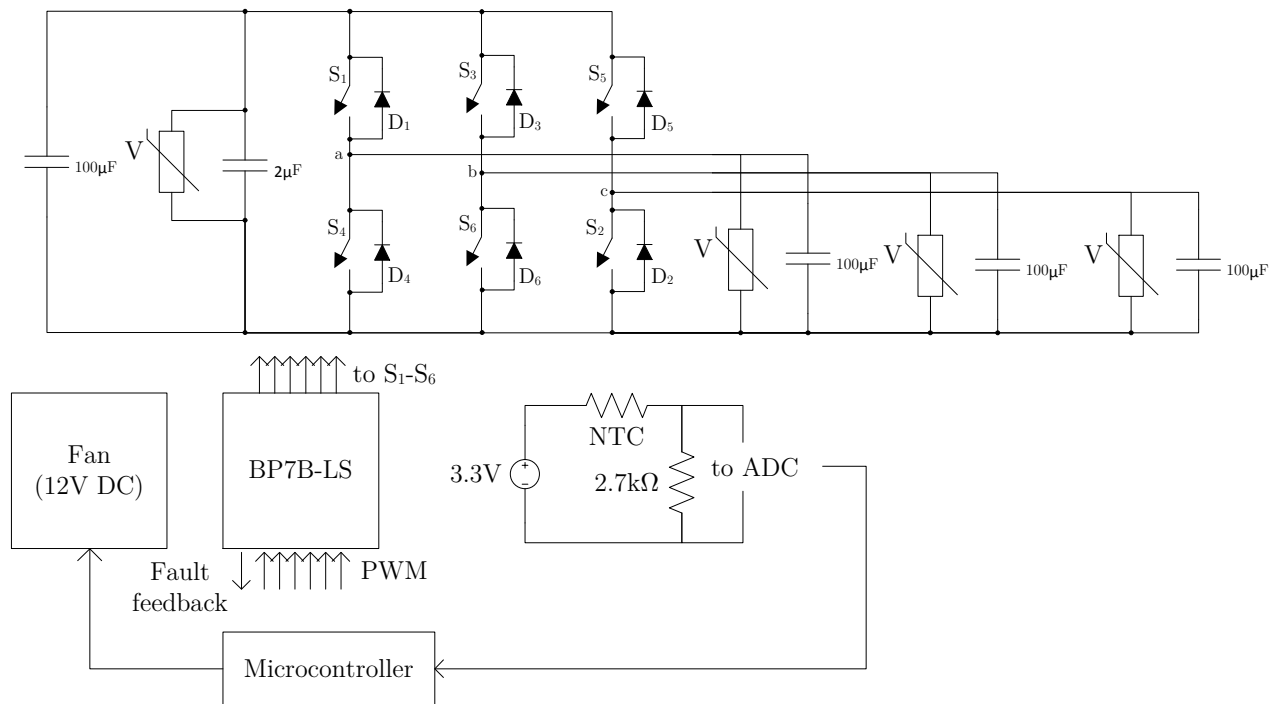


Figure B.1 IPM circuit with snubbers, protection, temperature sensor, fan and PWM control

B.1.1 Component values

Table B.1 Power circuit component values

Component	Value
L_f	$1.15mH, 20\hat{A} @ 60Hz + 2\hat{A} @ 20kHz$
C_{dc}	$550\mu F, 900V$ (film)
Transformer	$208\Delta/120Yn, 6kVA$
IGBT (IPM)	1200V, 50A Mitsubishi PM50CL1A120
IGBT (charge/discharge circuit)	1200V, 48A IXYS IXGR24N120C3D1
R_{slow}	$680\Omega, 150W$
R_{fast}	$33\Omega, 250W$

B.2 AC breaker

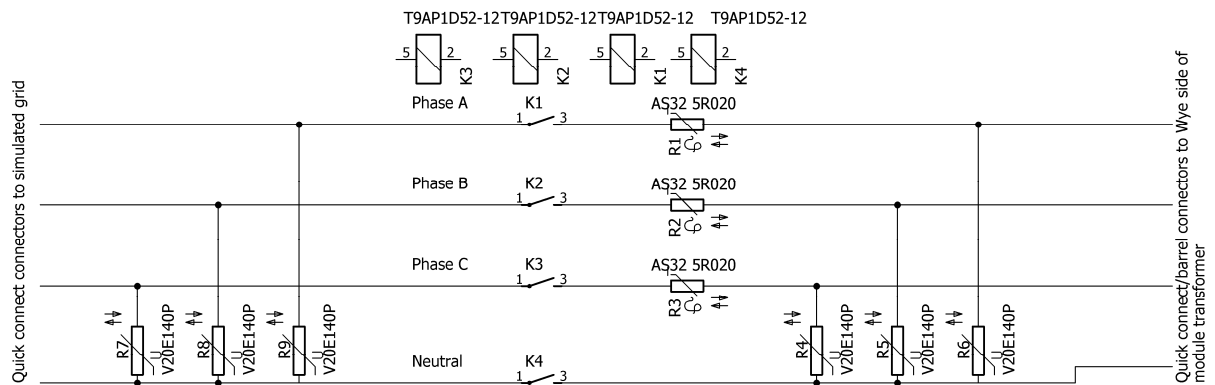


Figure B.2 AC breaker power circuit schematic

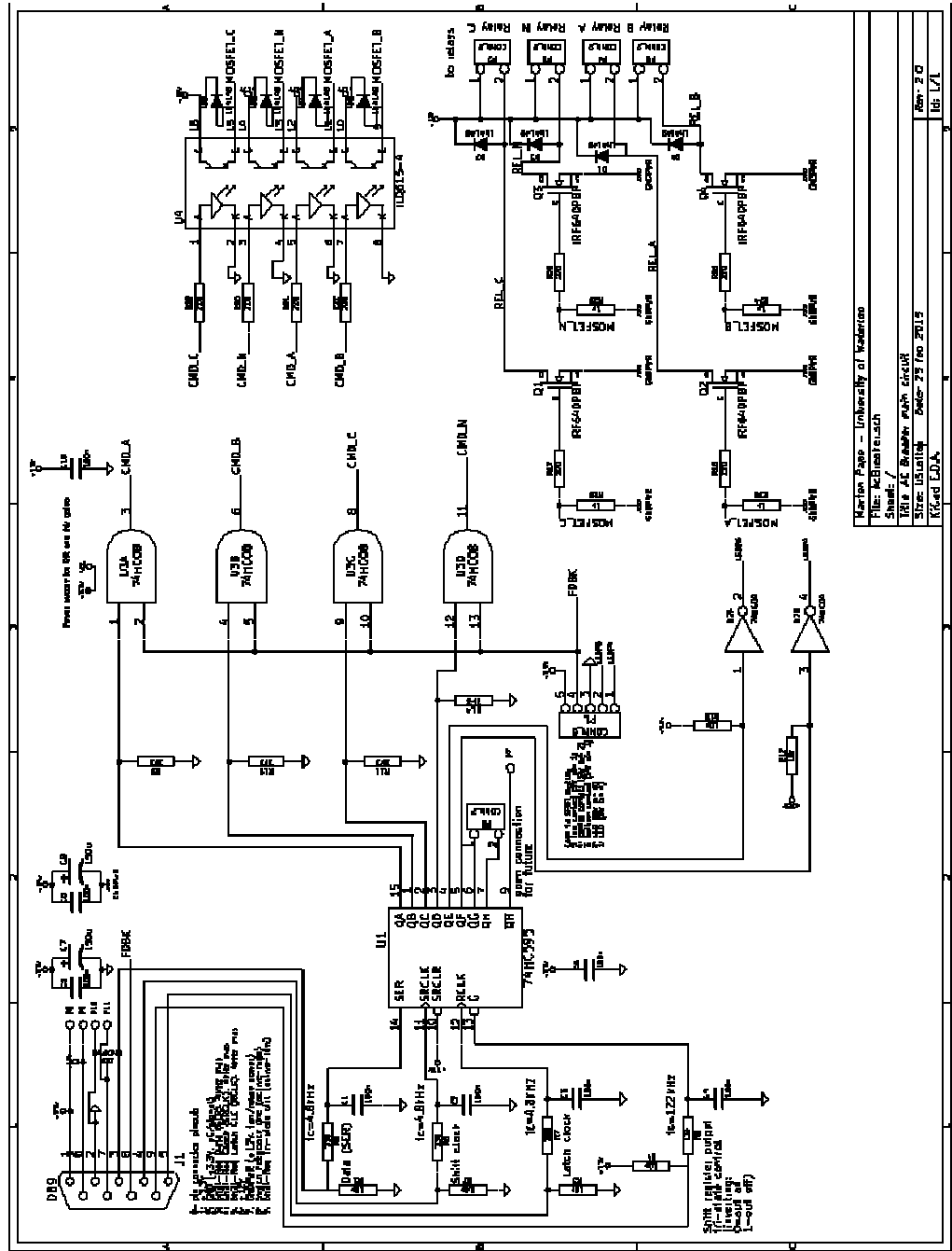


Figure B.3 AC breaker board schematic

B.2.1 AC breaker board microcontroller interface

Table B.2 AC breaker board microcontroller interface: shift register pin assignment

Output pin	Description	Logic
1	Phase A relay state	Active-high
2	Phase B relay state	Active-high
3	Phase C relay state	Active-high
4	Phase N relay state	Active-high
5	LED status indication control line 1	See Error! Reference source not found.
6	LED status indication control line 2	
7	User definable (open pin)	n/a
8	User definable (open pin)	n/a

Table B.3 AC breaker board status LED control signals

LED status indication control line 1	LED status indication control line 2	LED color
0	0	Off
0	1	Red
1	0	Green
1	1	Off (default)

B.3 Three-phase sensor board

This board can either take a voltage or a current transducer per stage.

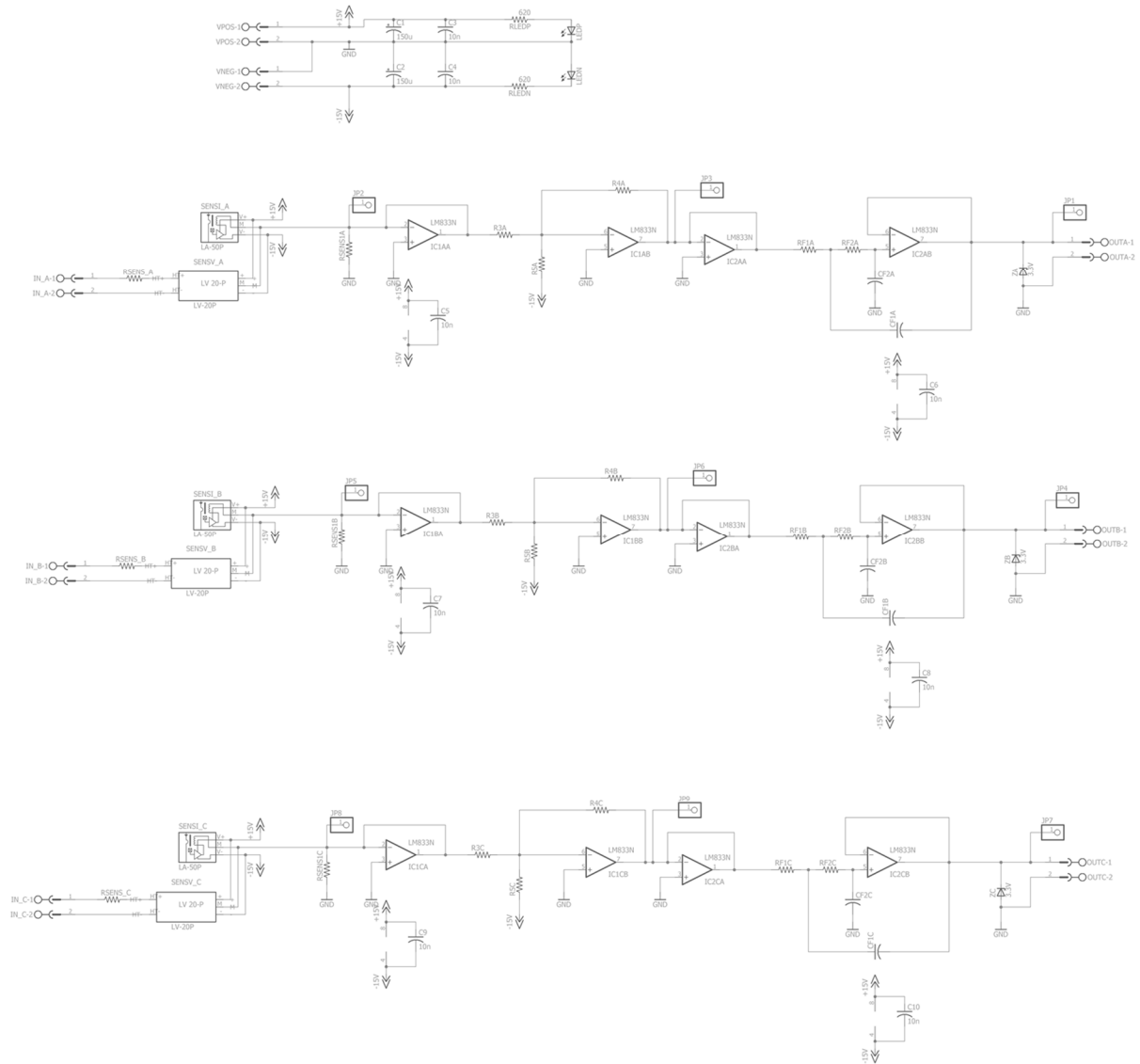


Figure B.4 Three-phase sensor board, complete schematic

B.3.1 Three-phase sensor designs

Table B.4 Sensor component values for a $0\text{-}550V_{dc}$ sensor

Parameter	Value	Parameter	Value
Sensor	LEM LV20-P	R_{sens1}	84.5Ω
R_{sens}	$39k\Omega$	R_{f1}	$8.87k\Omega$
R_3	Stage omitted	R_{f2}	$15k\Omega$
R_4	Stage omitted	C_{f1}	$10nF$
R_5	Stage omitted	C_{f2}	$4.7nF$

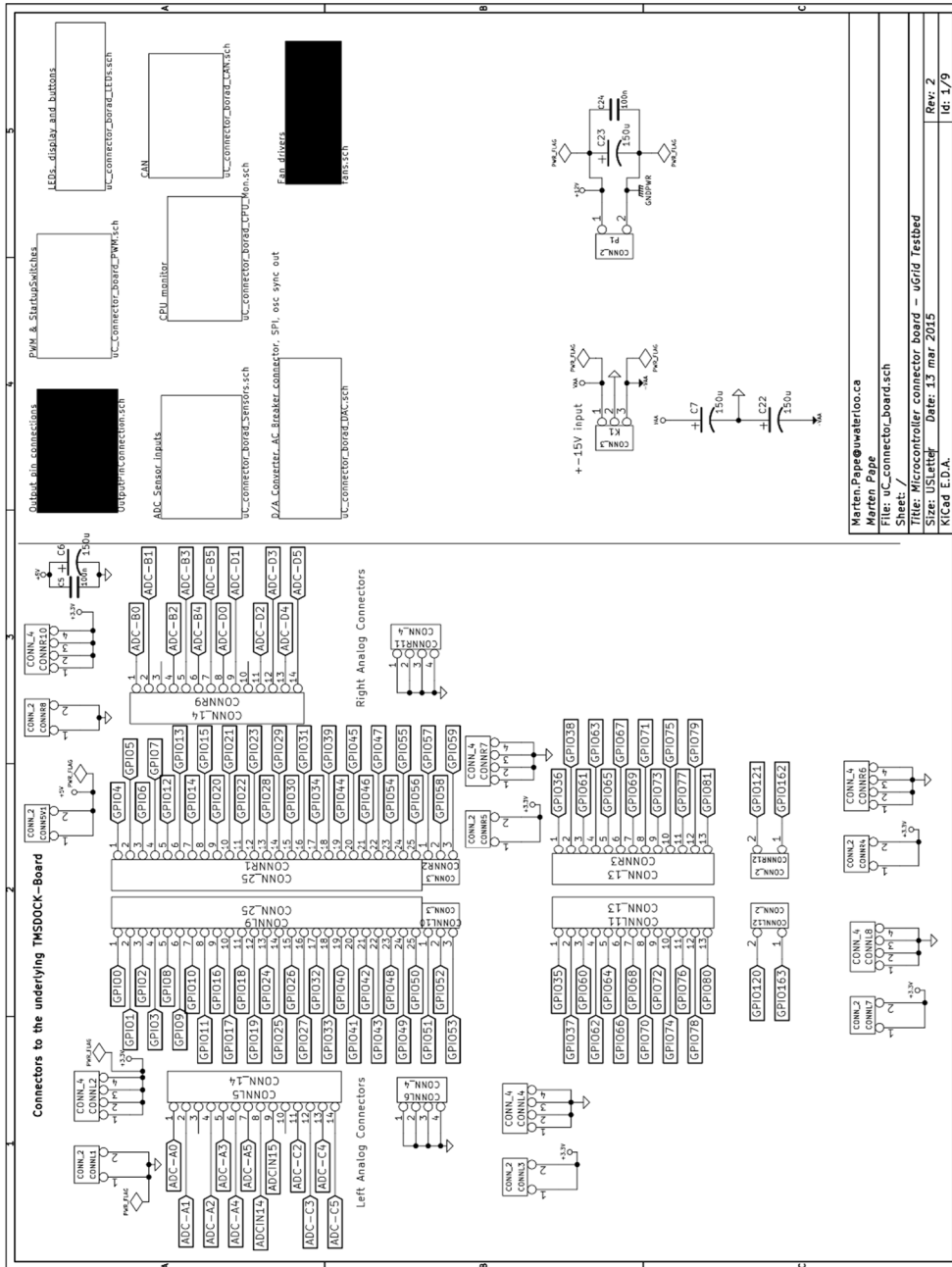
Table B.5 Sensor component values for a $168V_{AC,rms}$ sensor

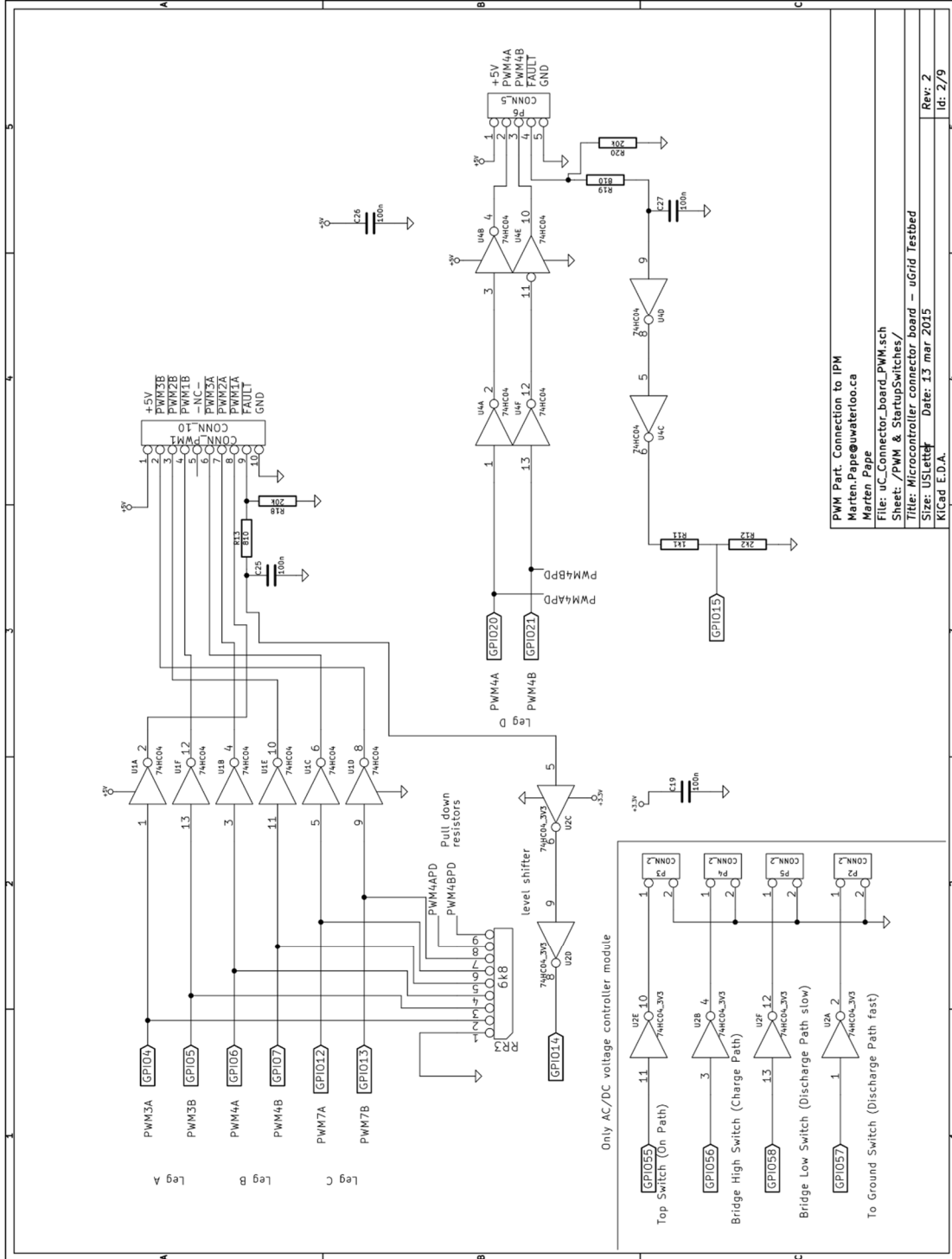
Parameter	Value	Parameter	Value
Sensor	LEM LV20-P	R_3	$3k\Omega$
R_{sens}	$15k\Omega$	R_4	$1k\Omega$
R_{sens1}	113Ω	R_5	$10k\Omega$

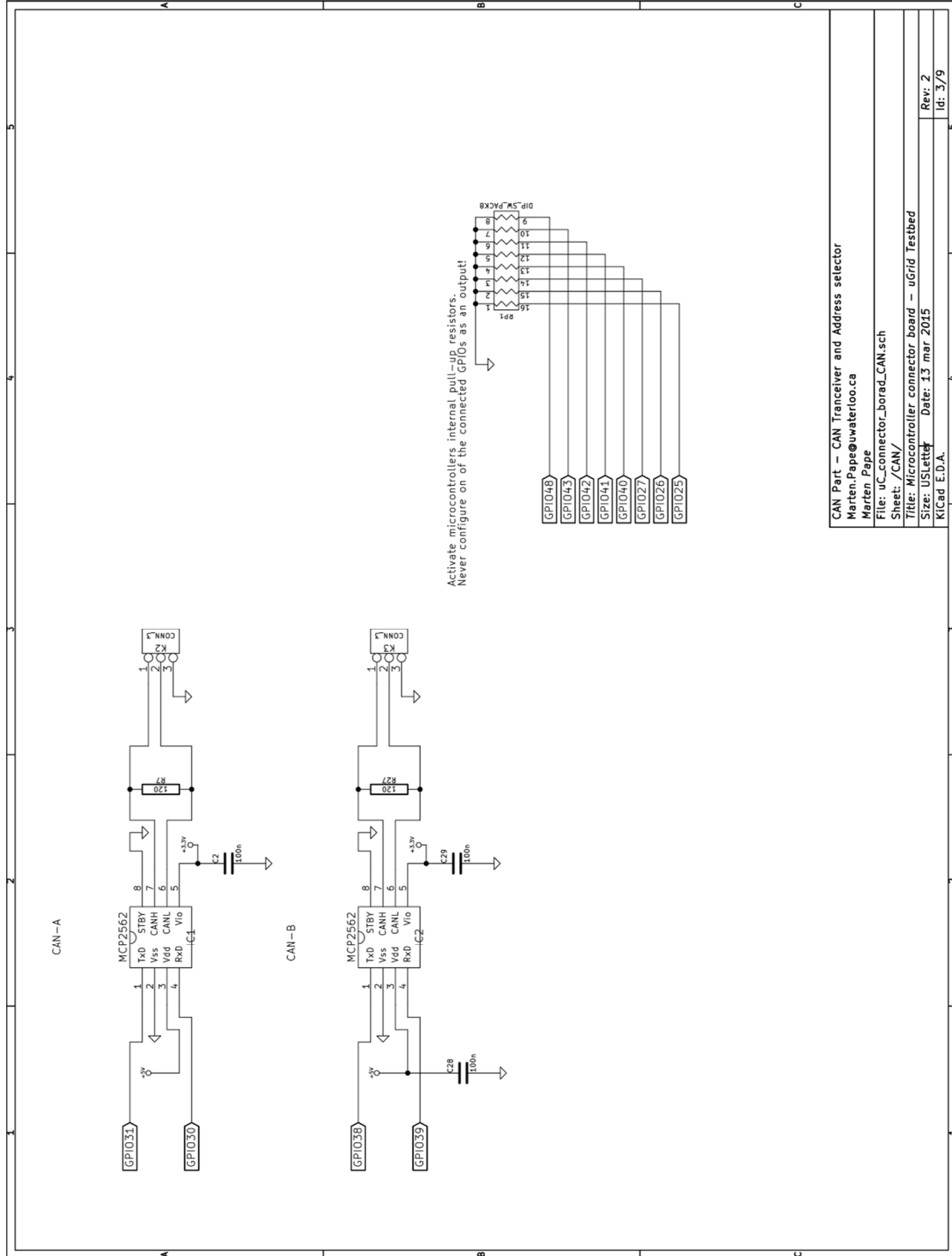
Table B.6 Sensor component values for a $35I_{AC,rms}$ sensor

Parameter	Value	Parameter	Value
Sensor	LEM LA55-P	R_3	$3.3k\Omega$
R_{sens}	n/a	R_4	$1k\Omega$
R_{sens1}	100Ω	R_5	$10k\Omega$

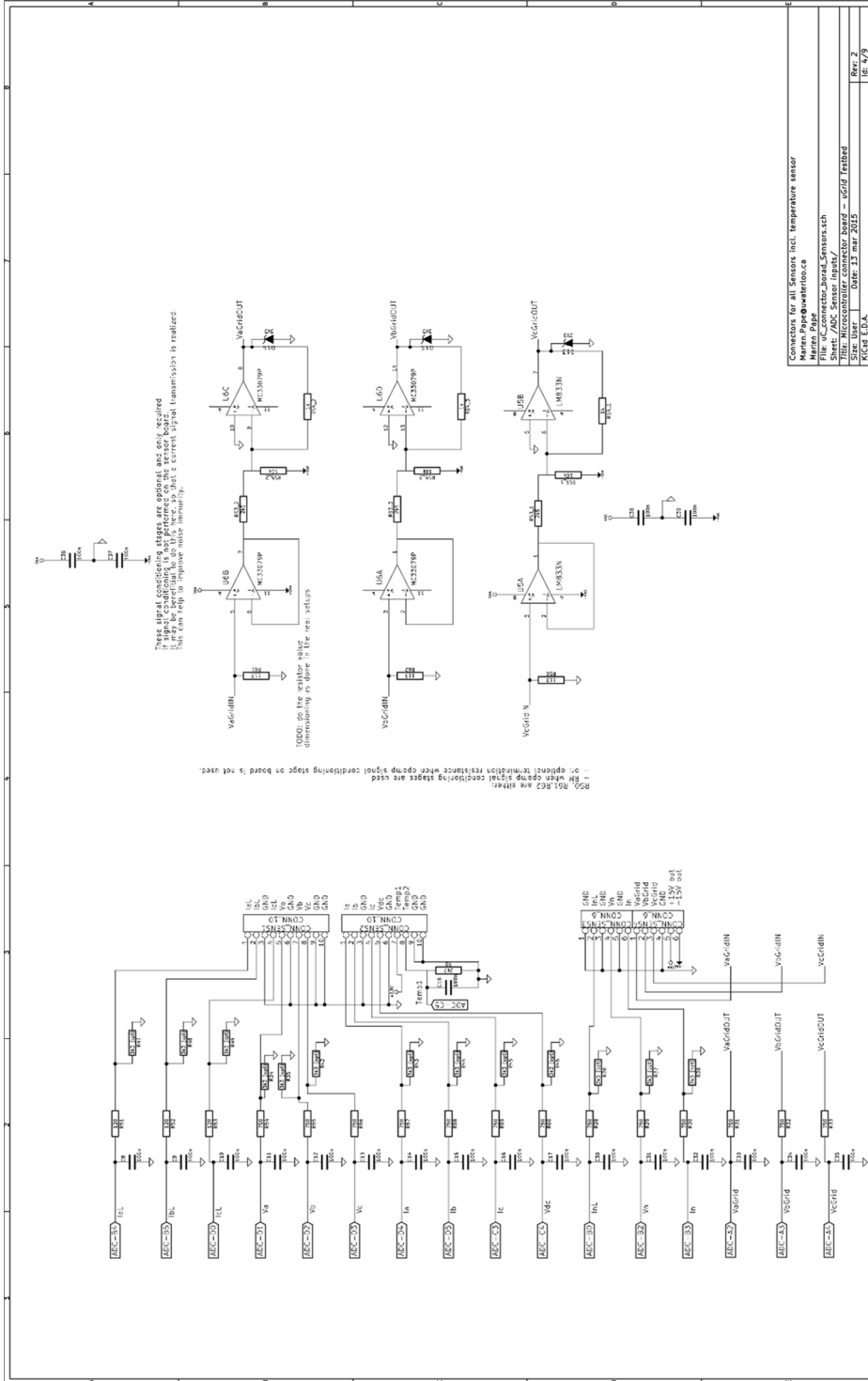
B.4 SMCU – Microcontroller adapter board

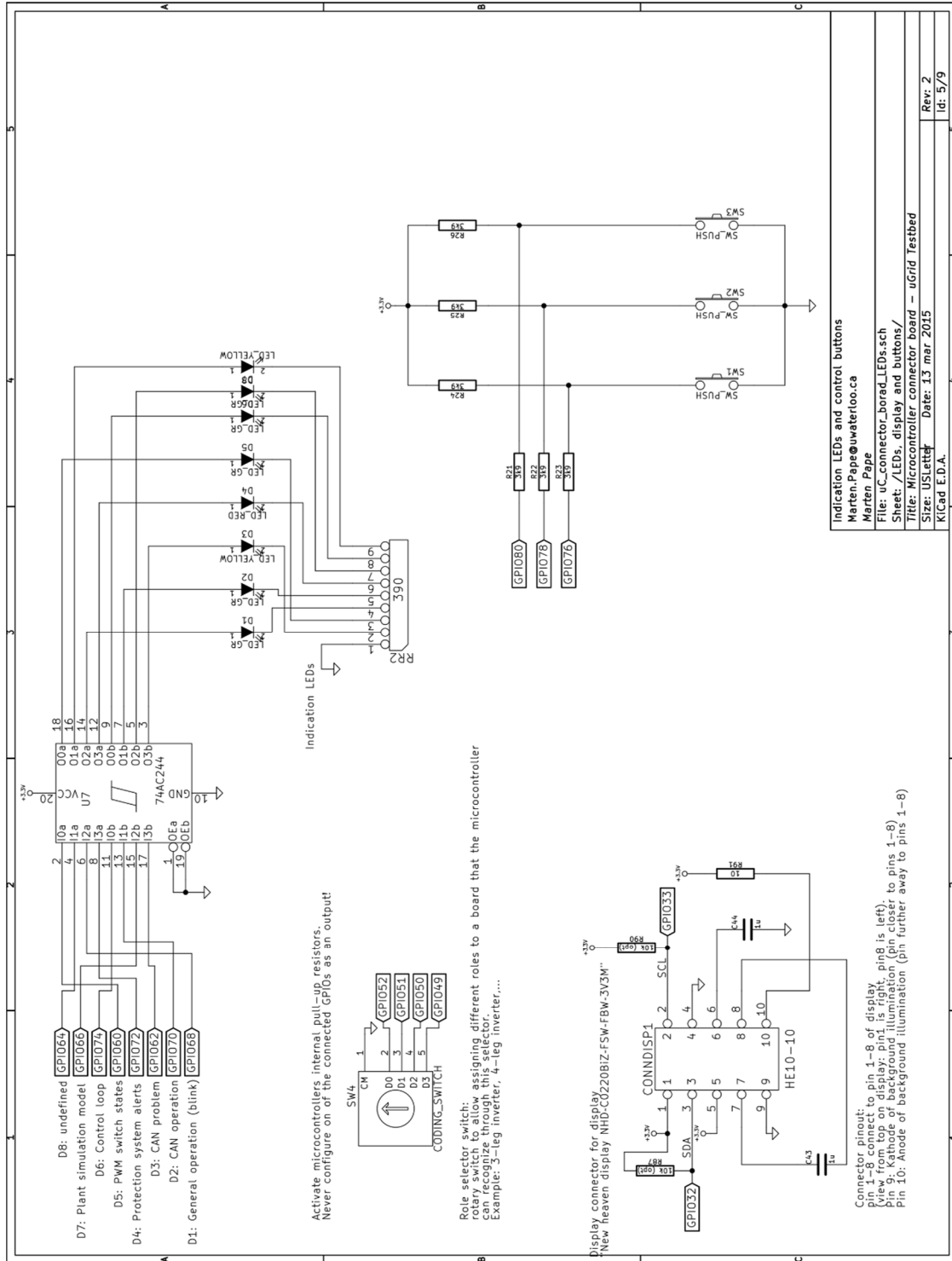


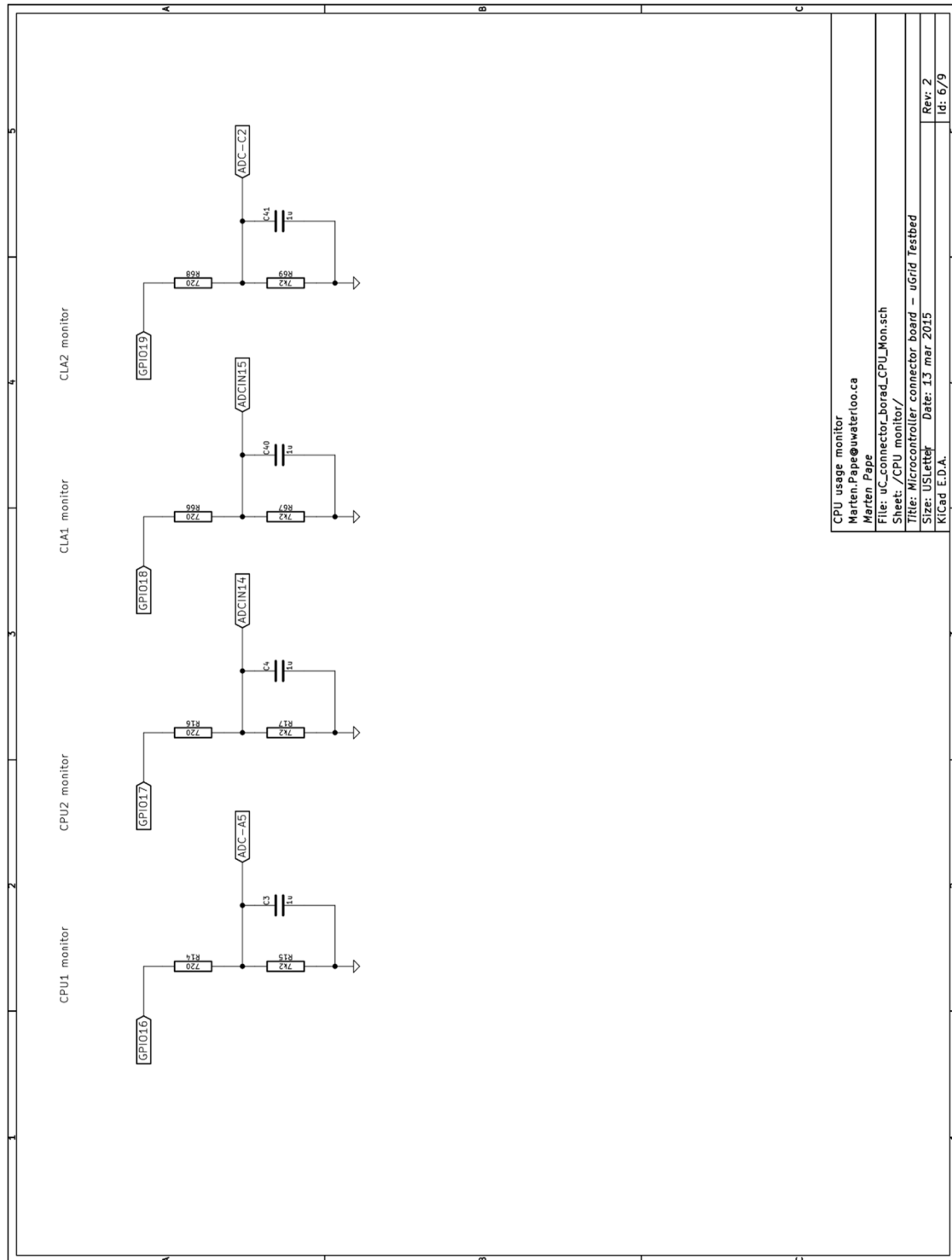


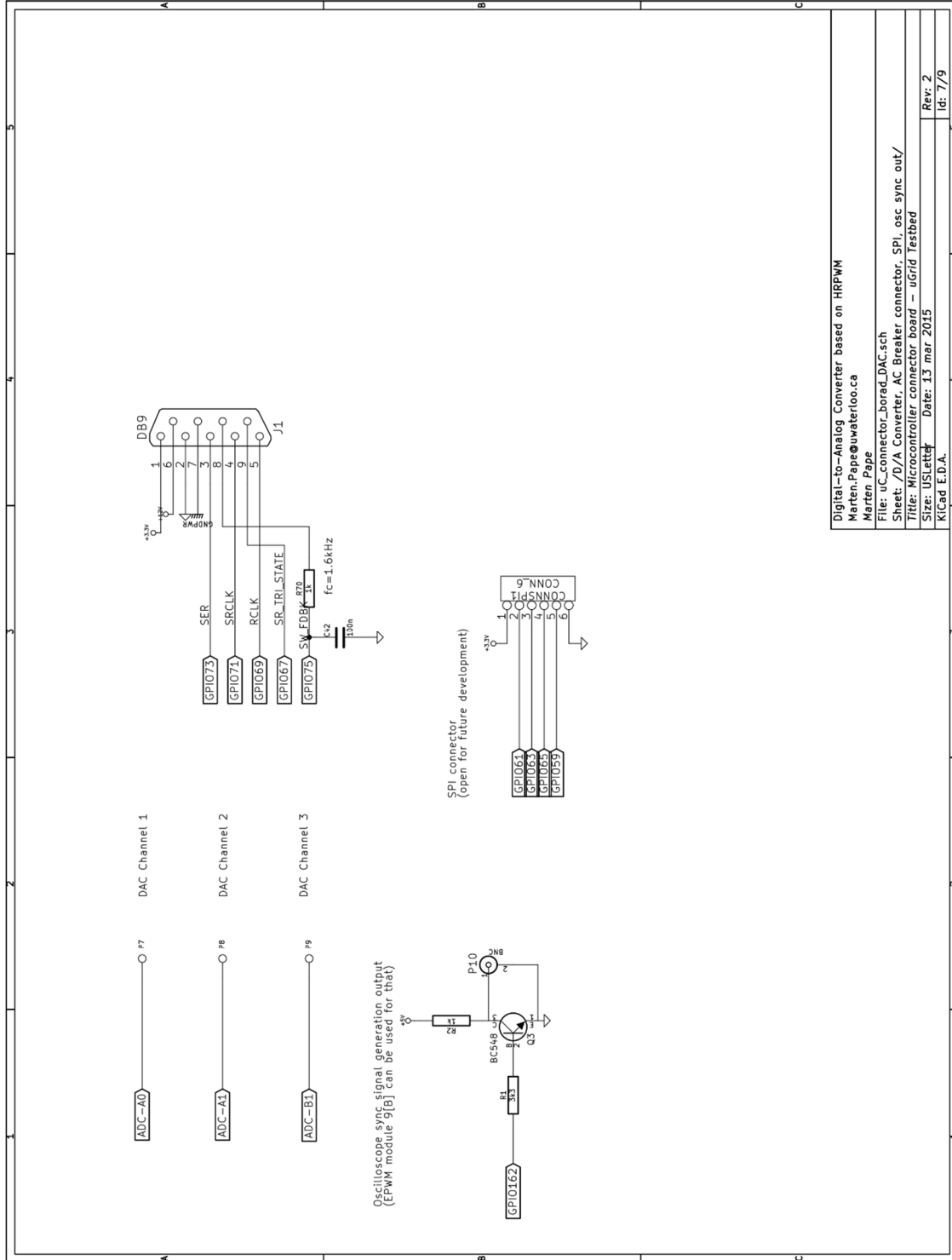


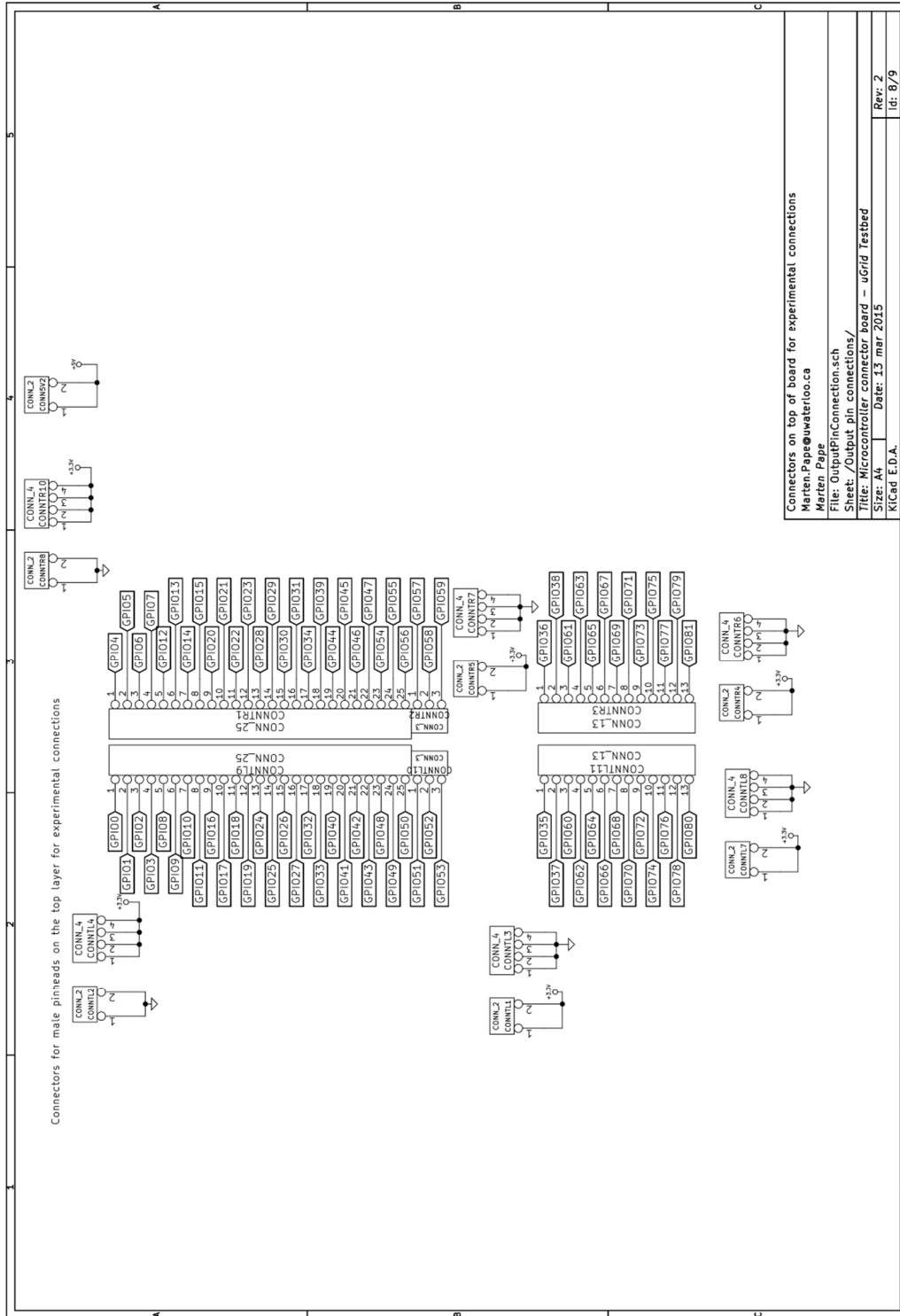
CAN Part – CAN Transceiver and Address selector
 Marten.Pape@uwaterloo.ca
 Marten Pape
 File: uC_connector_board_CAN.sch
 Sheet: /CAN/
 Title: Microcontroller connector board – uGrid Testbed
 Size: USLetter Date: 13 mar 2015
 KICad E.D.A. Rev: 2
 Id: 3/9

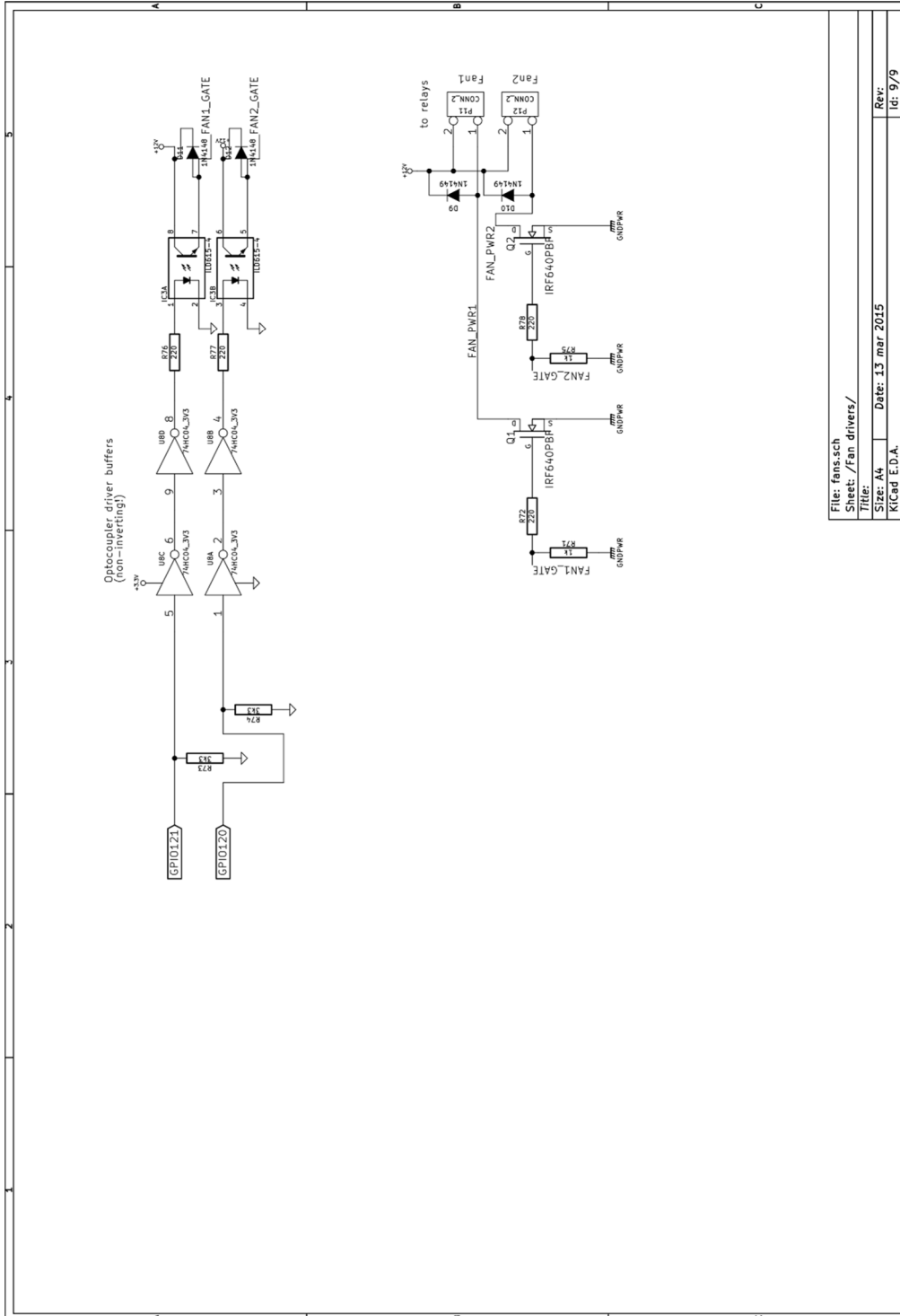












B.5 Filter inductor design

In order to design a filter inductor, requirements and assumption have to be known. For the 1mH inductor design, these are:

- Maximum expected peak current at 60Hz: 22A (15.5Arms = 110% rated current)
- Current ripples at switching frequency (20.4 kHz): 2A (peak-peak)

This design is based on a toroid inductor design guide²⁹. According to this guide, the no-load number of turns can be determined to be

$$T_{nl} = \sqrt{\frac{L[nH]}{A_L}} = \sqrt{\frac{10^6 nH}{88 \frac{nH}{T^2}}} = 107 \text{ turns} \quad (\text{B.1})$$

The selected³⁰ iron powder ring core has an A_L value of $88 \frac{nH}{T^2}$, a relative permeability of 26μ , a path length of 18.4cm for the core circle and a volume of 91.4 cm³.

The maximum DC bias is expected to be

$$H_1 = \frac{107 \text{ turns} \times 22A}{18.4cm} = 127.9 \frac{TA}{cm} \quad (\text{B.2})$$

From manufacturer data of XFLUX cores it can be determined that the permeability shift due to core saturation effects will be at 85% of the nominal value. Hence, the number of turns must be increased to keep the inductance high at high DC bias. A linear interpolation offers a good approximation:

²⁹ <http://www.mag-inc.com/design/design-guides/inductor-design-with-magnetics-powder-cores>

³⁰ Mag-inc XFLUX 0078735A7

$$T_{fl} = \frac{T_{nl}}{shift} = \frac{107 \text{ turns}}{85\%} = 126 \text{ turns}$$

The resulting new maximum DC bias will be

$$H_2 = \frac{126 \text{ turns} \times 22A}{18.4cm} = 150.65 \frac{TA}{cm} \quad (B.3)$$

The resulting permeability shift decreases to 80%. Typically, the design is considered sufficient after this iteration. Effects of stray inductances will have a more significant influence on the resulting inductance than another few iterations.

The full load A_L value A'_L becomes:

$$A'_L = 88 \frac{nH}{T^2} \times 0.8 = 70.4 \frac{nH}{T^2} \quad (B.4)$$

With 126 turns, the inductor is expected to have an inductance of 1.40mH at no load and 1.12mH at no load. Reducing the number of turns to 113 turns yields an expected inductance value of 0.90mH-1.12mH (during the implementation, the required cable length has been determined to 48ft).

In order to calculate the expected inductor temperature, the core loss density (CLD) for the material used must be known. In this case, $CLD[20kHz] = \frac{75mW}{cm^3}$.

The resulting core losses due to high frequency switching are:

$$P_{loss,sw} = 75 \frac{mW}{cm^3} \times 91.4cm^3 = 6.92W \quad (B.5)$$

The losses in the copper wire at full load depend on its resistance:

$$R = 0.00328 \frac{\Omega}{m} \times 113 \text{ turns} \times 0.129 \frac{m}{turn} = 0.0478\Omega \quad (B.6)$$

Where $0.00328 \frac{\Omega}{m}$ is the resistance per meter of an AWG 11 wire. The resulting power losses are:

$$P_{loss,wire} = (15.5A)^2 \times 0.0478\Omega = 11.5W \quad (B.7)$$

The total inductor losses at full load are expected to be:

$$P_{loss,sw} + P_{loss,wire} = 18.4W \quad (B.8)$$

Using an approximated equation, this results in an expected inductor temperature:

$$\begin{aligned} T_{ind} &= T_{amb} + \left(\frac{Total\ loss\ (mW)}{surface\ area\ (cm^2)} \right)^{0.833} \\ &= 25^\circ C + \left(\frac{18400mW}{271cm^2} \right) = 58.6^\circ C \end{aligned} \quad (B.9)$$

All materials used are rated to at least $120^\circ C$ so that some room for even higher overload currents is given.

Appendix C

Software

C.1 Complex power limiting

This has been adapted from [72].

Code listing 1 Complex power limit transformation algorithm

```
1: Select channel priority (d/q)
2: If (priority is d)
3:     Set d limit to complex limit
4:     Compute d channel controller output  $out_d$ 
5:     Set q limit to  $\sqrt{limit_{complex}^2 - out_d^2}$ 
6:     Compute q channel controller output  $out_q$ 
7: else
8:     Set q limit to complex limit
9:     Compute q channel controller output  $out_q$ 
10:    Set d limit to  $\sqrt{limit_{complex}^2 - out_q^2}$ 
11:    Compute d channel controller output  $out_d$ 
12: end
```

C.2 Additional software components – SMCU firmware

- **AcBreakerController.** Provides control over the AC breaker relay states, the LED state indication and allows the `ProtectionSystem` to query the manual override switch position.
- **CpuMonitor.** Allows to read the current average load for CPU1, CPU2, CLA1 and CLA2.
- **AddressReader.** Provides read access to an 8-bit DIP switch component with which a CAN address for a simulation module and DC-bus voltage controller module can be selected. Based on this address the correct sensor calibrations for a specific module are loaded, as well.
- **DacGeneration.** This is a debugging tool. Using the digital-to-analog outputs A, B and C, digital values within the microcontroller can be visualized on an oscilloscope in real-time. This is very useful if processes are to be monitored that change with frequencies higher than 100 Hz.
- **DisplayController.** This software block communicates with a 2x20 dot matrix display via I2C bus to output selected messages. The first line always shows current key sensor readings (simulation module: output active and reactive power; DC-bus voltage controller module: DC-bus voltage). The second line cycles a set of current pre-defined status messages that can be queued from any program component.

- **FanController.** Forms the hardware proxy and hardware adapter for a maximum of two PWM controlled 12V DC fans. Its configuration is realized in the `FanControllerSettings`.
- **InputButtonAdapter.** This is a hardware adapter for three buttons on the Microcontroller adapter board to allow minimal manual control of the module without communication link (e.g., emergency shutdown).
- **LEDIndicator.** This component controls the status LED indications on the Microcontroller adapter board.
- **MeasDataSynchronizer.** This class holds a copy of all measurements (`MeasDataStorage`) for use by all `TaskScheduler` tasks (update rate: 1 kHz). `TaskScheduler` tasks must not access the original measurement data directly, because data integrity is not guaranteed during the runtime of a `TaskScheduler` task. Instead, the synchronized data must be used.
- **StartupSwitchesAdapter.** Used only with the DC-bus voltage controller module. It generates the command signals for the charge/discharge circuit IGBT gate drivers.

C.3 Screenshots: test bed central controller software

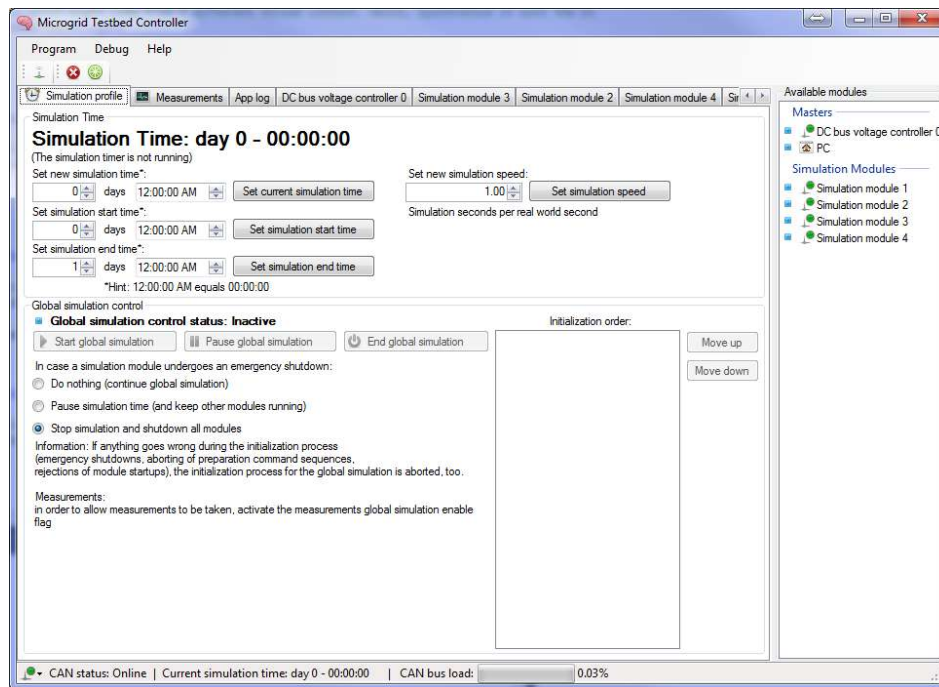
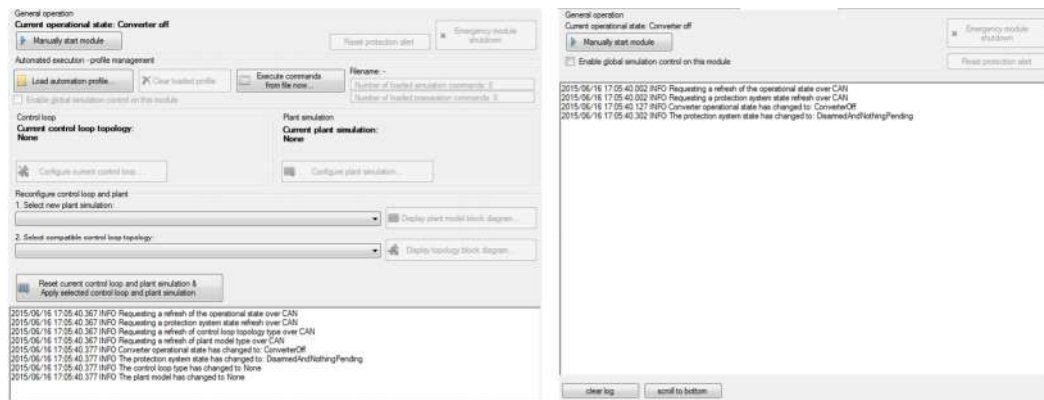


Figure C.1 The TBCC main window with multiple modules online



(a)

(b)

Figure C.2 SimulationModuleUI (a) and DcBusModuleUI (b)

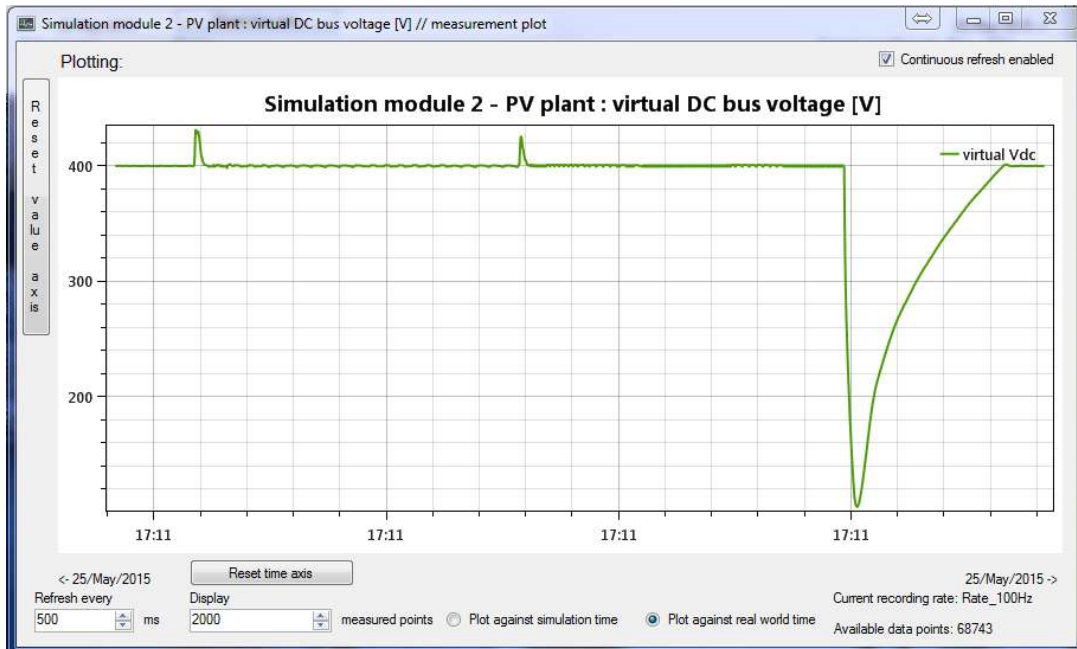


Figure C.3 Example plot of a measurement of a PV plant variable

Execution time	Command	Values
(0) 00:00:05.0	setP0	625
(0) 00:00:10.0	setP0	1250
(0) 00:00:15.0	setP0	2500
(0) 00:00:20.0	setP0	0
(0) 00:00:20.0	unsubscribe	9
(0) 00:00:21.0	stopConverter	

Figure C.4 Profile command display window with six commands loaded

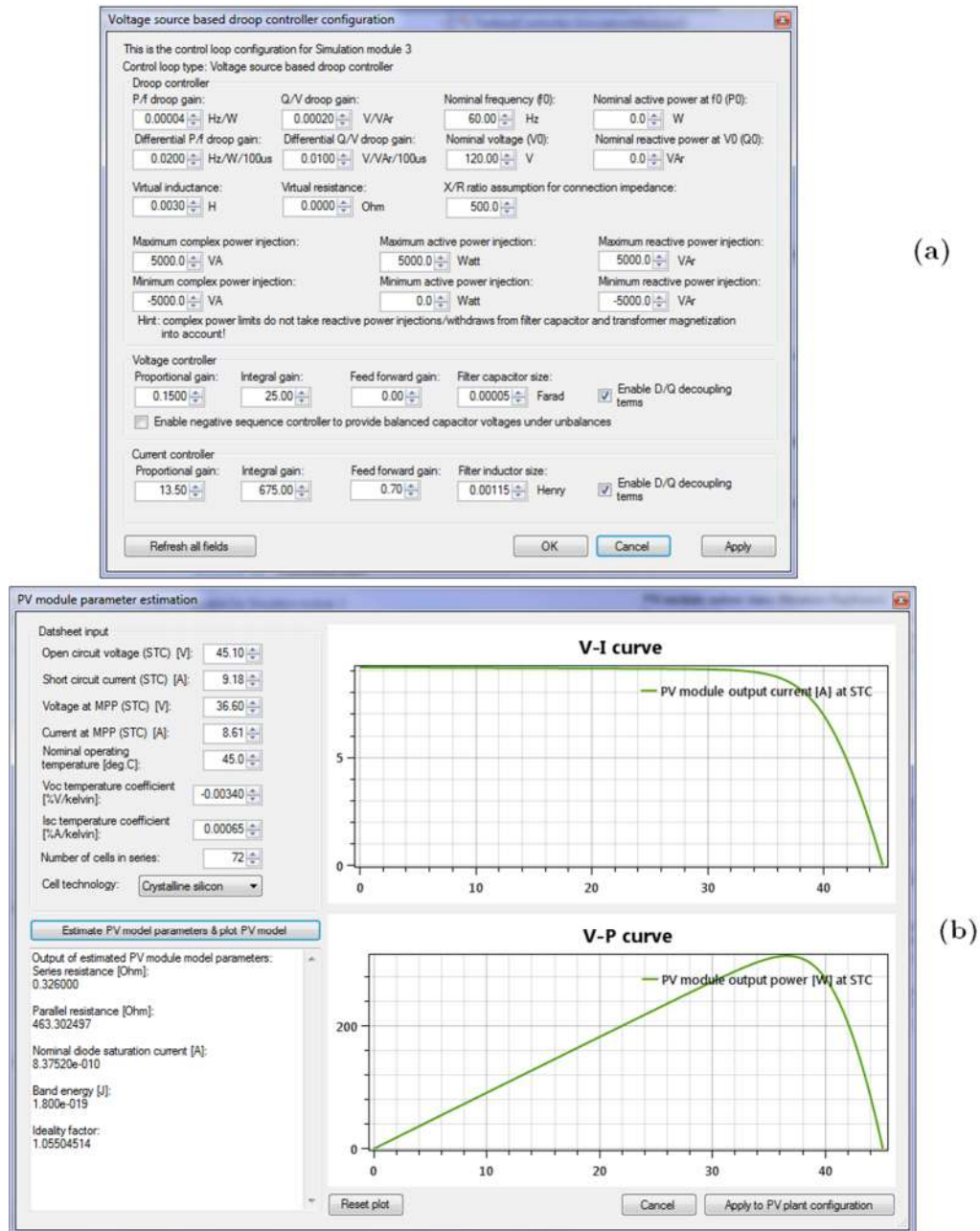


Figure C.5 Configuration dialog for the voltage-source based droop controller (a), PV module parameter estimation after [63], [66] (b)

Appendix D

Bill of materials

D.1 AC breaker box

Table D.1 BOM for one AC breaker unit

Manufacturer	Manufacturer part number	Component references	Quantity	Unit Price [CAD]
TEXAS INSTRUMENTS	SN74HC08N	U3	1	0.67
AVX CORPORATION	SR295E104MAR	C1 C2 C3 C4 C5 C6 C8 C10	8	0.3
NICHICON (VA)	UPJ1V151MPD6TD	C7 C9	2	0.47
ON SHORE TECHNOLOGY INC	ES1200/12DSFB	0	1	4.44
FCI	DE09S064HTLF	0	1	1.76
EDAC INC	621-009-260-042	J1	1	2.38
TE CONNECTIVITY AMP	640455-2	P2 P3 P4 P5	4	0.13
TE CONNECTIVITY AMP	3-643813-2	0	4	0.21
SAMTEC INC	TSW-150-07-T-S	P1	0.1	2.74
0	0	P6	1	0
0	0	P7 P8 P9 P10 P11	5	0
NORCOMP INC	977-009-020R121	0	1	1.32
FAIRCHILD SEMICONDUCTOR	1N4148	D5 D6 D7 D8	4	0.13
FAIRCHILD SEMICONDUCTOR (VA)	1N4149TR	D1 D2 D3 D4	4	0.13
BUD INDUSTRIES	NBF-32018	0	1	31.92

AMETHERM	AS32 5R020	0	3	8.21
TOSHIBA SEMICONDUCTOR AND STORAGE	TC74HC04APF	U2	1	0.59
VISHAY SILICONIX	IRF640PBF	Q1 Q2 Q3 Q4	4	2
VISHAY SEMICONDUCTOR OPTO DIVISION	ILQ615-4	U4	1	3.85
TE CONNECTIVITY AMP (VA)	2-520181-2	0	8	0.36
TE CONNECTIVITY AMP (VA)	4-520447-2	0	8	0.49
TE CONNECTIVITY POTTER & BRUMFIELD	T9AP1D52-12	0	4	5.56
STACKPOLE ELECTRONICS INC (VA)	CF14JT10K0	R1 R2 R3 R4 R12 R13	6	0.13
STACKPOLE ELECTRONICS INC (VA)	CF14JT13K0	R8	1	0.13
STACKPOLE ELECTRONICS INC (VA)	CF14JT1K00	R15 R16 R23 R24	4	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT220R	R19 R20 R21 R22 R17 R18 R25 R26	8	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT330R	R5 R6 R7	3	0.13
STACKPOLE ELECTRONICS INC (VA)	CF14JT3K30	R9 R10 R11 R14	4	0.13
NXP	74HC595N	U1	1	1.32
ON SHORE TECHNOLOGY INC	ED14DT	14PDIP	2	0.23
ON SHORE TECHNOLOGY INC	ED16DT	16PDIP	2	0.25
NKK SWITCHES	M2112LCFW01	0	1	9.79
LITTELFUSE INC	V20E140P	0	6	1.27

Total cost per unit, excluding PCB: **CAD\$ 122.36** (date: Q1/2015).

Total cost per unit, including PCB: **CAD\$ 132.36** (date: Q1/2015).

D.2 Single-phase DC voltage sensor

For $0-550V_{dc}$

Manufacturer	Manufacturer part number	Component references	Quantity	Unit Price [CAD]
YAGEO (VA)	MFP-25BRD52-15K	R6 or R7	1	0.6
PHOENIX CONTACT	1935161	0	5	0.37
LEM USA INC	LV 20-P	SENSV	1	42.35
KEMET	C317C103K5R5TA	C2 or C3	1	0.31
AVX CORPORATION	SR295E104MAR	C9 C10 C12 C13	5	0.3
MURATA ELECTRONICS NORTH AMERICA	RPER71H473K2K1A03 B	C2 or C3	1	0.3
NICHICON (VA)	UPJ1V151MPD6TD	C5 C6 C7 C8	4	0.47
NXP SEMICONDUCTORS (VA)	BZX79-B3V0,133	ZA ZB ZC	3	0.22
KINGBRIGHT COMPANY LLC	WP7113GD	LEDN LEDP	2	0.15
YAGEO	MFR-25F52-8K87	R6 or R7	1	0.13
YAGEO	MFR-25F52-84R5	R	1	0.13
TE CONNECTIVITY AMP (VA)	5-1625890-8	RL	1	0.13

STACKPOLE ELECTRONICS INC (VA)	CF14JT620R	RLEDP RLEDN	2	0.1
ON SHORE TECHNOLOGY INC	ED08DT	0	1	0.21
LINEAR TECHNOLOGY	LT1126CN8#PBF	IC1A IC1B IC1C	1	8.37
VISHAY DALE (VA)	RS01039K00FE73	Rin	1	3.1

Total cost per unit, excluding PCB: **CAD\$ 113.53** (date: Q1/2015).

D.3 Three-phase AC current sensor

For $35A_{AC,rms}$

Manufacturer	Manufacturer part number	Component references	Quantity	Unit Price [CAD]
YAGEO (VA)	MFP-25BRD52-100R	RSENS1A RSENS1B RSENS1C	3	0.46
PHOENIX CONTACT	1935161		5	0.37
YAGEO (VA)	MFP-25BRD52-3K3	R3A R3B R3C	3	0.46
LEM USA INC	LA 55-P	SENSI_A SENSI_B SENSI_C	3	24.86
AVX CORPORATION	SR295E104MAR	C3 C4 C5 C6 C7 C8 C9 C10	8	0.3
NICHICON (VA)	UPJ1V151MPD6TD	C1 C2	2	0.47

NXP SEMICONDUCTORS (VA)	BZX79-B3V0,133	ZA ZB ZC	3	0.22
KINGBRIGHT COMPANY LLC	WP7113GD	LEDN LEDP	2	0.15
TE CONNECTIVITY AMP (VA)	2-1676913-6	R4A R4B R4C	3	0.29
TE CONNECTIVITY AMP (VA)	1622796-6	R5A R5B R5C	3	0.42
STACKPOLE ELECTRONICS INC (VA)	CF14JT620R	RLEDP RLEDN	2	0.1
ON SHORE TECHNOLOGY INC	ED08DT		3	0.21
TEXAS INSTRUMENTS	LM833N/NOPB	IC1A IC1B IC1C	3	1.25

Total cost per unit, excluding PCB: **CAD\$ 119.85** (date: Q1/2015).

Total cost per unit, including PCB: **CAD\$ 124.85** (date: Q1/2015).

D.4 Three-phase AC voltage sensor

For $168V_{AC,rms}$

Manufacturer	Manufacturer part number	Component references	Quantity	Unit Price [CAD]
YAGEO	MFR-25F52-113R	RSENS1A RSENDS1B RSENS1C	3	0.1
PHOENIX CONTACT	1935161	0	8	0.37

LEM USA INC	LV 20-P	SENSI_A SENSI_B SENSI_C	3	42.35
AVX CORPORATION	SR295E104MAR	C3 C4 C5 C6 C7 C8 C9 C10	8	0.3
NICHICON (VA)	UPJ1V151MPD6TD	C1 C2	2	0.47
NXP SEMICONDUCTORS (VA)	BZX79-B3V0,133	ZA ZB ZC	3	0.22
KINGBRIGHT COMPANY LLC	WP7113GD	LEDN LEDP	2	0.15
TT ELECTRONICS/IRC (VA)	GS-3-100-1502-F-LF	RSENS_A RSENS_B RSENS_C	3	0.96
TE CONNECTIVITY AMP (VA)	2-1676913-6	R4A R4B R4C	3	0.29
TE CONNECTIVITY AMP (VA)	1622796-6	R5A R5B R5C	3	0.42
STACKPOLE ELECTRONICS INC (VA)	CF14JT620R	RLEDP RLEDN	2	0.1
ON SHORE TECHNOLOGY INC	ED08DT	0	3	0.21
TEXAS INSTRUMENTS	LM833N/NOPB	IC1A IC1B IC1C	3	1.25

Total cost per unit, excluding PCB: **CAD\$ 193.41** (date: Q1/2015).

Total cost per unit, including PCB: **CAD\$ 198.41** (date: Q1/2015).

D.5 Microcontroller adapter board

Manufacturer	Manufacturer part number	Component references	Quantity	Unit Price [CAD]
YAGEO	MFR-25F52-1K1	R11	1	0.13
YAGEO	MFR-25F52-10R	R91	1	0.13
YAGEO	MFR-25F52-100R	R7 R27	2	0.13
EVERLIGHT ELECTRONICS CO LTD	MV5374C	D3 D8	2	0.6
EVERLIGHT ELECTRONICS CO LTD	MV5774C	D4	1	0.6
YAGEO (VA)	MFP-25BRD52-10K	RS5_1 RS5_2 RS5_3	3	0.6
MARKTECH OPTOELECTRONICS	MT240-G-A	D1 D2 D5 D6 D7	5	0.43
YAGEO	MFR-25F52-113R	R50 R61 R62	3	0.13
YAGEO (VA)	MFP-25BRD52-1K	RS4_1 RS4_2 RS4_3	3	0.6
FAIRCHILD SEMI-CONDUCTOR	1N4148	D11 D12	2	0.13
FAIRCHILD SEMI-CONDUCTOR (VA)	1N4149TR	D9 D10	2	0.13
FAIRCHILD SEMI-CONDUCTOR (VA)	1N5226BTR	D13 D14 D15	3	0.16

TEXAS INSTRUMENTS	CD74AC244E	U7	1	1.24
TEXAS INSTRUMENTS	TMDXDOCK28377D	0	1	297.03
TDK CORPORATION	FK24X7R1H105K	C3 C4 C40 C41 C43 C44	6	0.52
BOURNS INC	4609X-101-391LF	RR2	1	0.54
BOURNS INC	4609X-101-682LF	RR3	1	0.54
AVX CORPORATION	SR295E104MAR	C2 C5 C8 C9 C10 C11 C12 C13 C14 C15 C16 C17 C18 C19 C24 C25 C26 C27 C28 C29 C30 C31 C32 C33 C34 C35 C36 C37 C38 C39 C42	31	0.3
NICHICON (VA)	UPJ1V151MPD6TD	C6 C7 C22 C23	2	0.47
COPAL ELECTRONICS INC	S-2150	SW4	1	4.88
EDAC INC	621-009-260-042	J1	1	2.38
YAGEO	MFR-25F52-7K15	R15 R17 R67 R69	4	0.13
YAGEO	MFR-25F52-715R	R14 R16 R66 R68	4	0.13
TE CONNECTIVITY AMP	640456-6	CONNSPI1	1	0.39
TE CONNECTIVITY AMP	640454-2	P2 P3 P4 P5	4	0.17
TE CONNECTIVITY AMP	640455-2	P1 P11 P12	3	0.13

TE CONNECTIV- ITY AMP	640455-3	K1 K2 K3	3	0.18
TE CONNECTIV- ITY AMP	640455-5	P6	1	0.26
TE CONNECTIV- ITY AMP	640455-6	CONN_SENS4	1	0.3
TE CONNECTIV- ITY AMP	640455-6	CONN_SENS3	1	0.3
TE CONNECTIV- ITY AMP	1-640455-0	CONN_PWM1 CONN_SENS1 CONN_SENS2	3	0.48
TE CONNECTIV- ITY AMP	4-644540-0	0	3	0.88
TE CONNECTIV- ITY AMP	3-640440-3	0	3	0.32
TE CONNECTIV- ITY AMP	3-640440-4	0	1	0.35
TE CONNECTIV- ITY AMP	3-643813-2	0	3	0.22
TE CONNECTIV- ITY AMP	3-643813-2	0	4	0.22
TE CONNECTIV- ITY AMP	3-644540-5	0	1	0.58
TE CONNECTIV- ITY AMP	3-644540-6	0	1	0.58
TE CONNECTIV- ITY AMP	5-1634503-1	P10	1	2.84

FAIRCHILD SEMI- CONDUCTOR (VA)	BC548CTA	Q3	1	0.28
STACKPOLE ELECTRONICS INC (VA)	CF14JT10K0	R87 R90	2	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT120R	R51 R52 R53	3	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT1K00	R71 R75	2	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT1K00	R2 R70	2	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT20K0	R18 R20	2	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT220R	R72 R76 R77 R78	4	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT2K20	R12	1	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT2K70	R8	1	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT3K30	R1 R73 R74	3	0.14

STACKPOLE ELECTRONICS INC (VA)	CF14JT3K30	R34 R35 R36 R37 R38 R42 R43 R44 R45 R46 R47 R48 R49	13	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT3K90	R21 R22 R23 R24 R25 R26	6	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT750R	R28 R29 R30 R31 R32 R33 R54 R55 R56 R57 R58 R59 R60	13	0.14
STACKPOLE ELECTRONICS INC (VA)	CF14JT820R	R13 R19	2	0.14
CTS ELECTRO- COMPONENTS	195-8MST	RP1	1	1.51
ON SHORE TECHNOLOGY INC	302-S101	CONNDISP1	1	0.36
ON SHORE TECHNOLOGY INC	ED08DT	0	1	0.21
ON SHORE TECHNOLOGY INC	ED08DT	0	2	0.21
ON SHORE TECHNOLOGY INC	ED14DT	0	4	0.23
ON SHORE TECHNOLOGY INC	ED14DT	0	1	0.23

ON SHORE TECHNOLOGY INC	ED16DT	0	1	0.25
ON SHORE TECHNOLOGY INC	ED20DT	0	1	0.34
E-SWITCH	KS-00Q-01	SW2	1	0.73
E-SWITCH	KS-00Q-02	SW1	1	0.73
E-SWITCH	KS-00Q-03	SW3	1	0.73
MEMORY PRO- TECTION DE- VICES	EP501B	0	1	1.11
VISHAY SEMI- CONDUCTOR OPTO DIVISION	ILD615-4	IC3	1	2.43
VISHAY SILI- CONIX	IRF640PBF	Q1 Q2	2	2.06
ON SEMICON- DUCTOR	LM833NG	U5	1	0.79
3M (VA)	3365/10 300SF	0	0.125	2.74
ON SEMICON- DUCTOR	MC33079PG	U6	1	1.86
MICROCHIP TECHNOLOGY	MCP2562FD-E/P	IC1 IC2	2	1.54
NEWHAVEN DIS- PLAY INTL	NHD-C0220BIZ-FSW- FBW-3V3M	0	1	13.47
OMRON ELEC- TRONICS INC- EMC DIV	XG4M-1030	0	1	1.23

SAMTEC INC	TSW-150-07-T-S	various	3	2.82
TOSHIBA SEMI- CONDUCTOR AND STORAGE	TC74HC04APF	U1 U2 U4 U8	4	0.61
OHMITE (VA)	WHB2K5FET	RS3_1 RS3_2 RS3_3	3	0.66812

Total cost per unit, excluding PCB: **CAD\$ 411.27** (date: Q1/2015).

Total cost per unit, including PCB: **CAD\$ 441.27** (date: Q1/2015).

D.6 Cost summary

Table D.2 Cost summary for Microgrid test bed housing expenses

Component	Price in CAD (approximated)
Server rack manipulation	700
Power plugs	60
Low-voltage power supplies	335
AC power terminal protection gear (breaker, fuses...), fixtures	141
USB-CAN adapter	333
Sum	1569

Table D.3 Cost summary for DC-bus voltage controller module

Component	Price in CAD (approximated)
Heat sink (inventory)	0
IGBTs, power capacitors, power resistors	457
Microcontroller adapter board and microcontroller	440
2x2 gate drivers for discrete IGBTs	210
Fan	25
ΔY transformer	785
Sensors	415
Sum	2332

Table D.4 Cost summary for one simulation module

Component	Price in CAD (approximated)
Heat sink (donation)	0
IGBTs and related power components	340
Microcontroller adapter board and microcontroller	441
Sensors	561
LC Power filter components	56
ΔY transformer	785
Sum	2183

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